Pairwise comparisons:





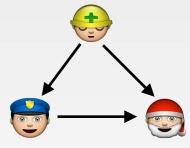




Pairwise comparisons:

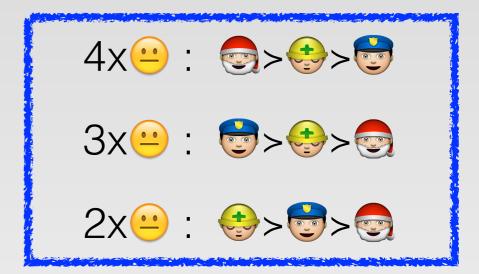




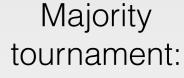


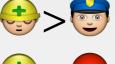


 A candidate is a Condorcet winner if he wins all pairwise comparisons



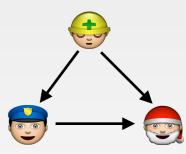
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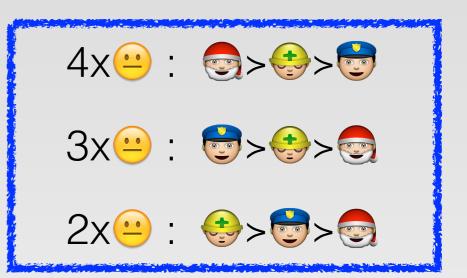




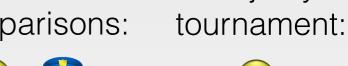




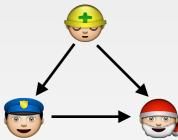
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 - a rule is Condorcet-consistent if it selects a Condorcet winner whenever one exists



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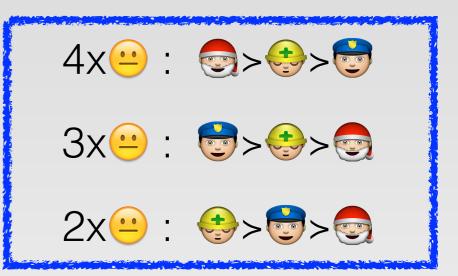






Majority

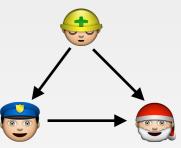
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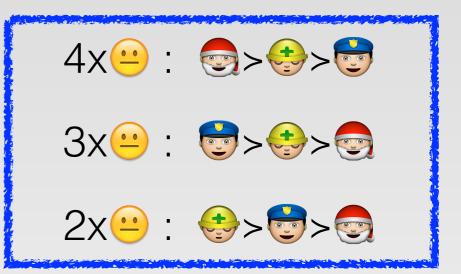
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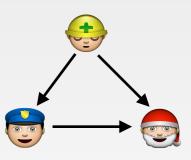


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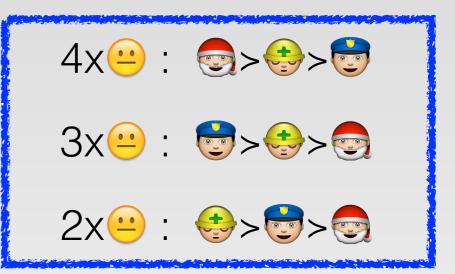








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- Condorcet-consistency implies majority-consistency

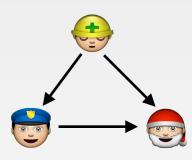


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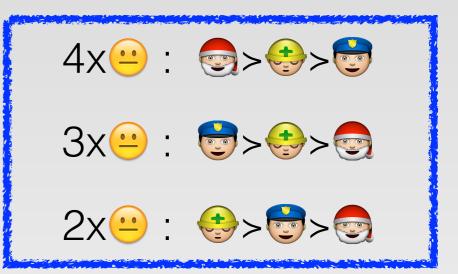








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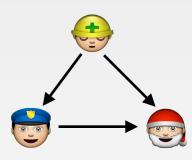


Pairwise comparisons:























Let L be a linear ordering of the candidates









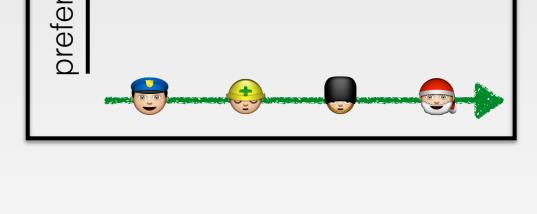
 A ranking is single-peaked w.r.t. L if its corresponding preference curve has a unique peak, and preference is declining if we move away from the peak

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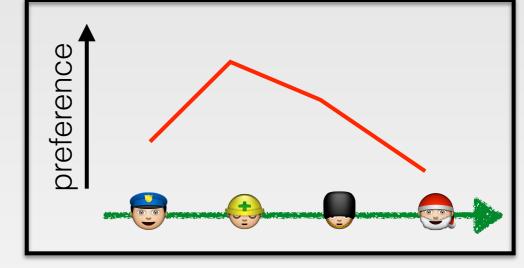


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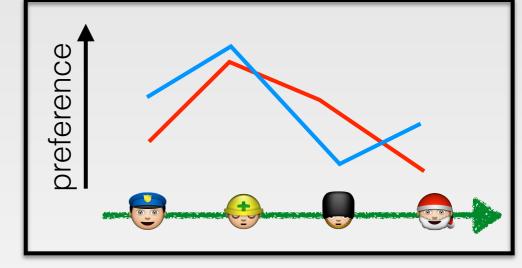


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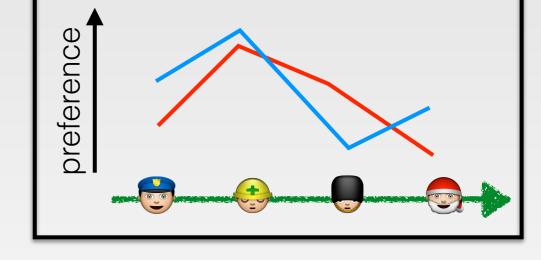
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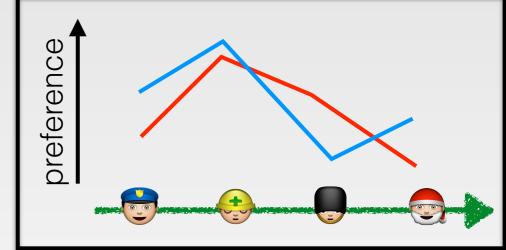


Every single-peaked preference profile has a Condorcet winner





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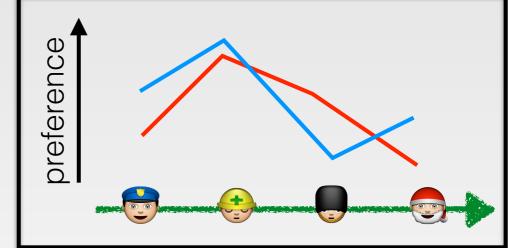


- Every single-peaked preference profile has a Condorcet winner
 - Condorcet winner is the most preferred alternative of the median voter





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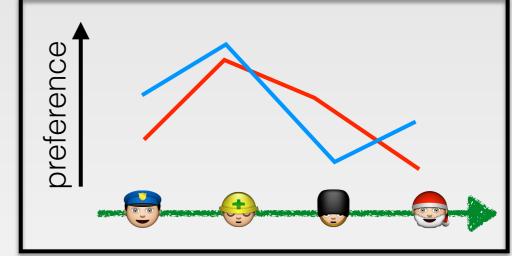


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- This ignores candidates' incentives to enter/leave an election



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 - ▶ 2000 US presidential election: Bush vs. Gore vs. Nader



~48%



~48%



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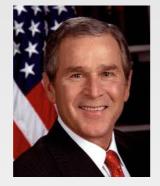
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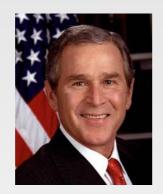
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- Most papers on strategic candidacy assume truthful voting
- Question: What if both voters and candidates act strategically?
 - will this lead to "better" voting outcomes?



Finite set of candidates C = {¹€, ¹€, ¹€, ¹€, ...}



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- Finite set of voters V = {\(\cup_{\cup_\cup_{\cup_{\cup_\cup_{\cup_\cup_{\cup_{\cup_{\cup_{\cup_{\ci
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- Two-stage game
 - stage 1: candidates decide to run or not
 - stage 2: voters submit ranking of running candidates

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 - <u>•</u>: •>•>=>=

- Two-stage game
 - stage 1: candidates decide to run or not
 - stage 2: voters submit ranking of running candidates
- What are the equilibrium outcomes of this game?
 - setting 1: single-peaked preferences and majority-consistent voting rules



Related Work

- Dutta, Jackson, & Le Breton [Econometrica 2001]: impossibility result
 - alternative proofs and extensions: Ehlers & Weymark [ET 2003], Eraslan & McLennan [JET 2004], Samejima [Econ Lett 2005], Rodríguez-Álvarez [ET 2006, SCW 2006]
- Dutta, Jackson, & Le Breton [JET 2002]: binary voting rules
 - characterization of equilibrium outcomes for successive elimination
- Samejima [Jap Econ Rev 2007]: single-peaked preferences
 - characterization of voting rules that never give candidates incentives not to run
- Lang, Maudet, & Polukarov [SAGT 2013]: existence of pure equilibria



- Preferences of voters and candidates are single-peaked
 - there exists a Condorcet winner, denoted <a>

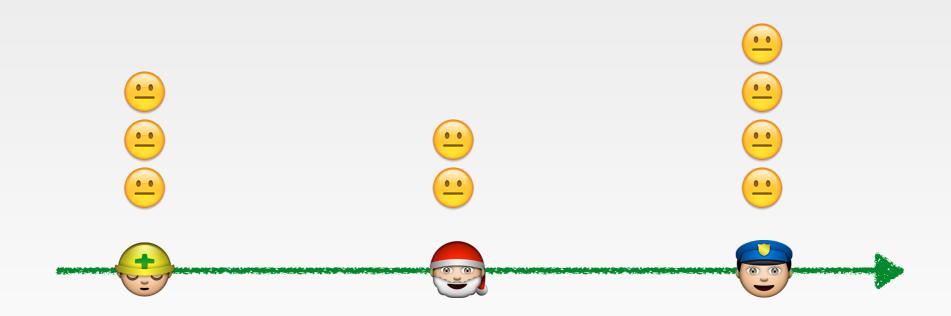


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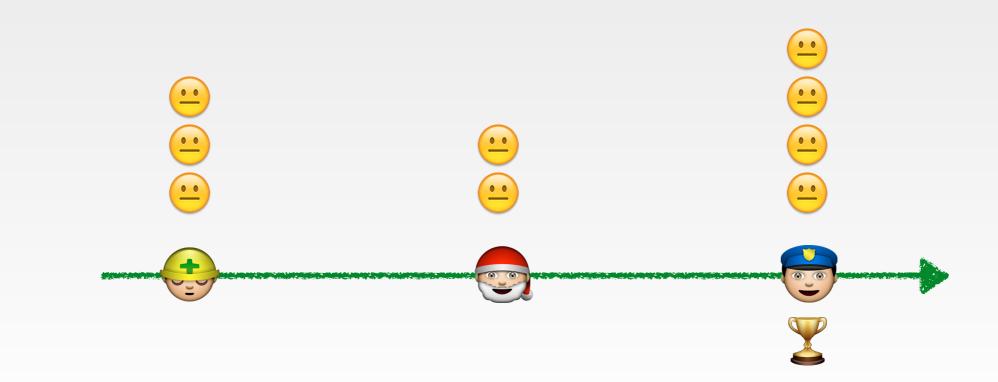


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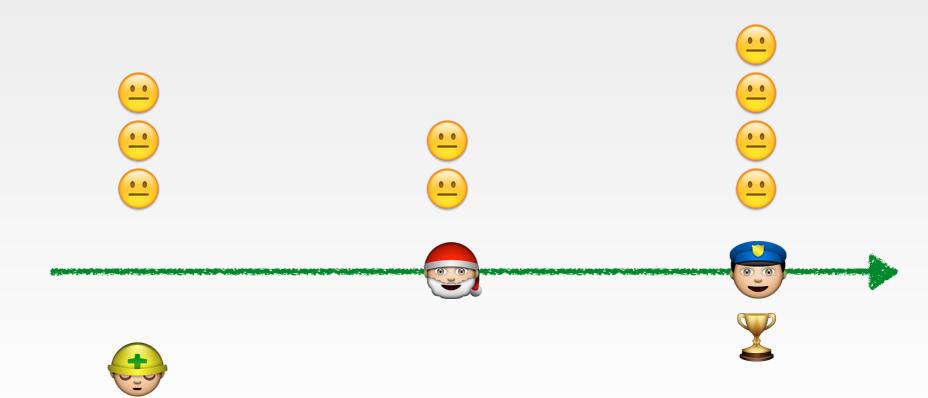


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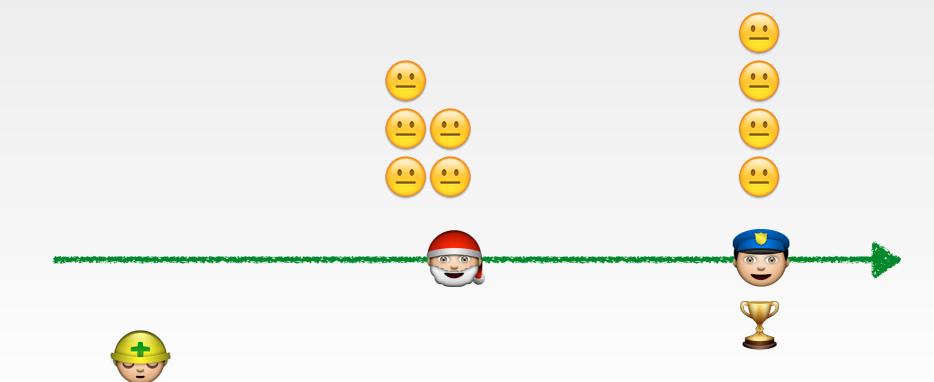


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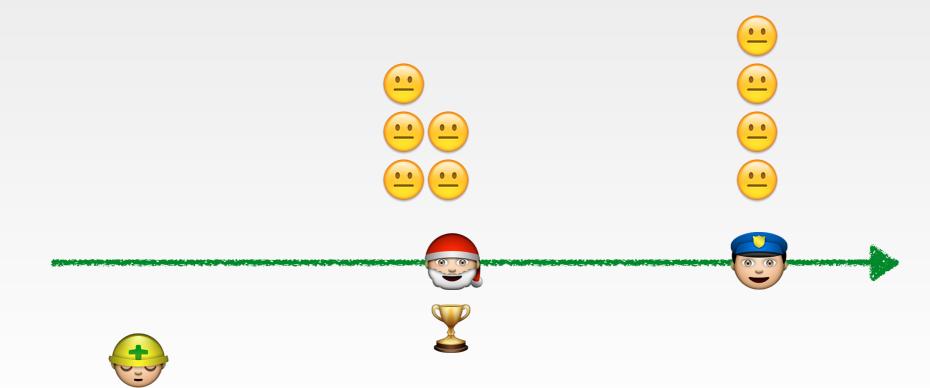


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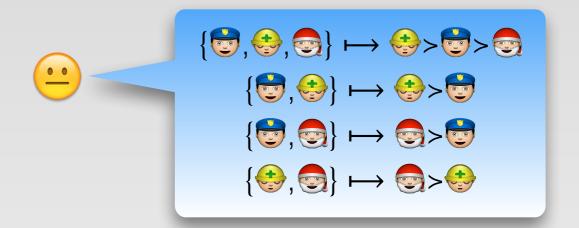
• Strategies in the two-stage game



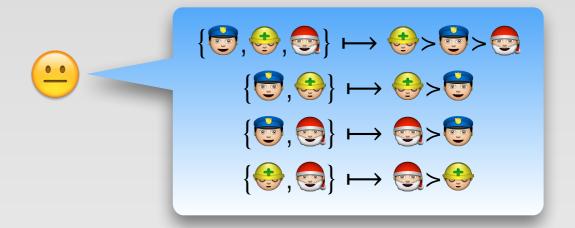
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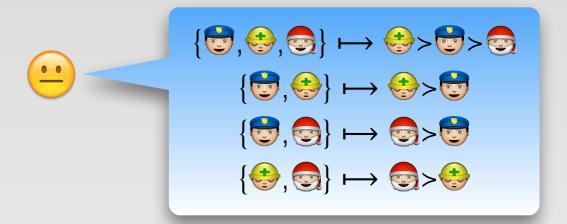
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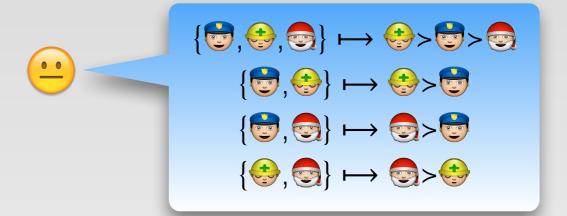
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- V-equilibrium if, for all B⊆C, no voter wants to deviate
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- Relationships
 - ▶ (C-eq. ∧ V-eq.) ⇔ subgame-perfect equilibrium
 - ▶ (strong C-eq. ∧ strong V-eq.) ← subgame-perfect strong equilibrium



Assumptions: single-peaked preferences, majority-consistent voting rule



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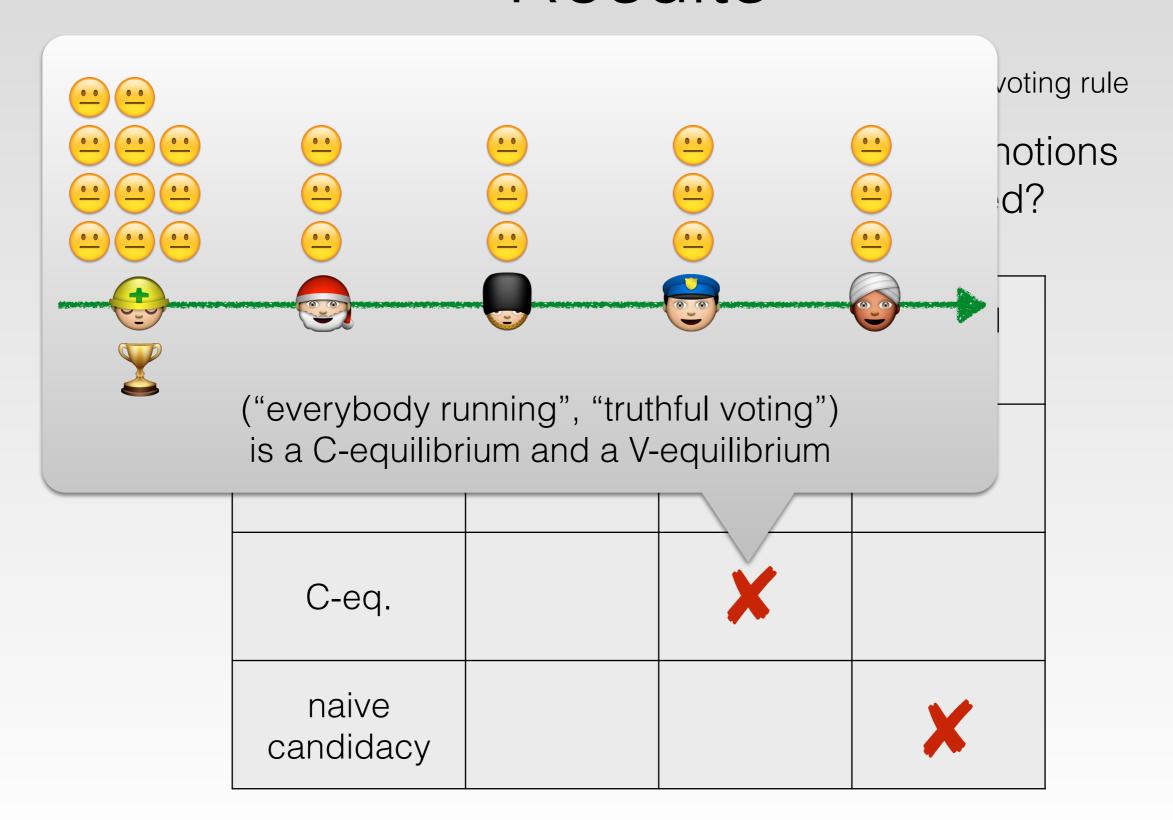
voters	strong V-eq.	V-eq.	truthful voting
strong C-eq.			
C-eq.			
naive candidacy			

Assumptions: single-peaked preferences, majority-consistent voting rule

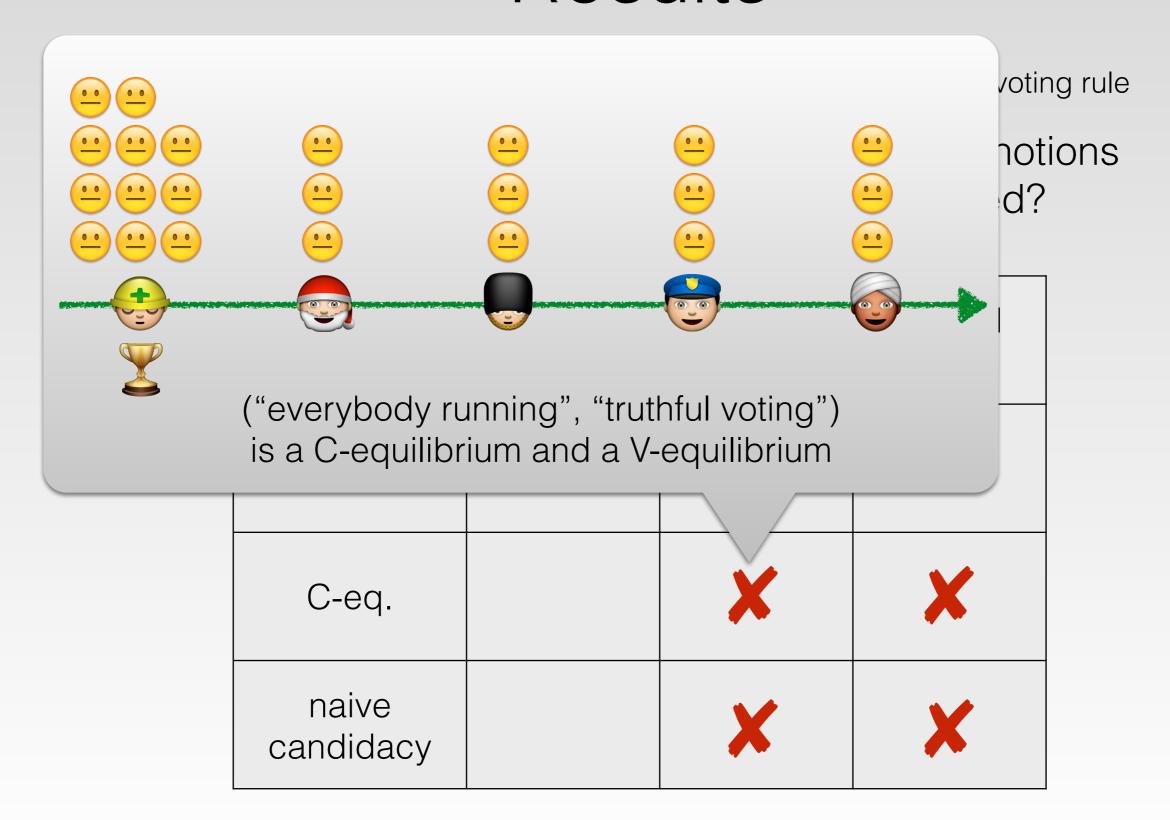
voters	strong V-eq.	V-eq.	truthful voting
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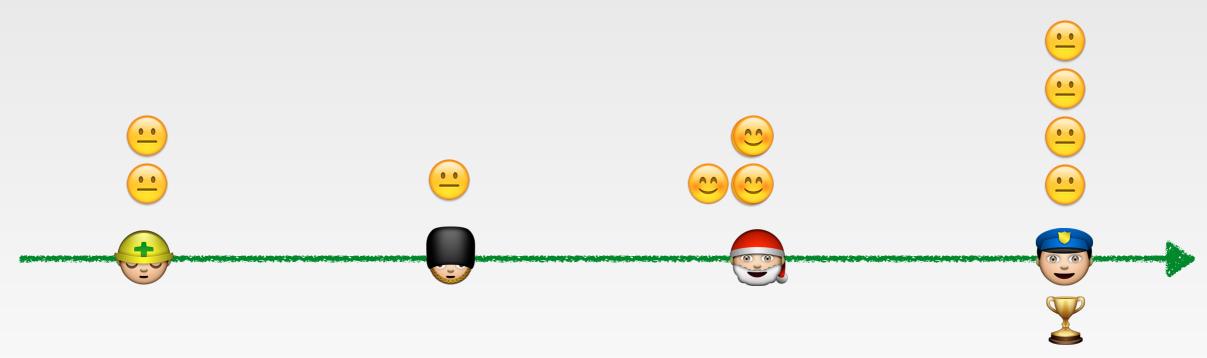


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voters	strong V-eq.	V-eq.	truthful voting
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C-eq.		X	X
naive candidacy		X	X

Strong V-equilibria

- Consider a single-peaked preference profile with Condorcet winner and a majority-consistent voting rule.
- Theorem: (i) There exists a subgame-perfect strong equilibrium.
 (ii) In every strong V-equilibrium in which runs, wins.



• Corollary: In every strong V-equilibrium that is also a C-equilibrium (strong or not), wins.



Assumptions: single-peaked preferences, majority-consistent voting rule

voters	strong V-eq.	V-eq.	truthful voting
strong C-eq.			
C-eq.		X	X
naive candidacy		X	X



Assumptions: single-peaked preferences, majority-consistent voting rule

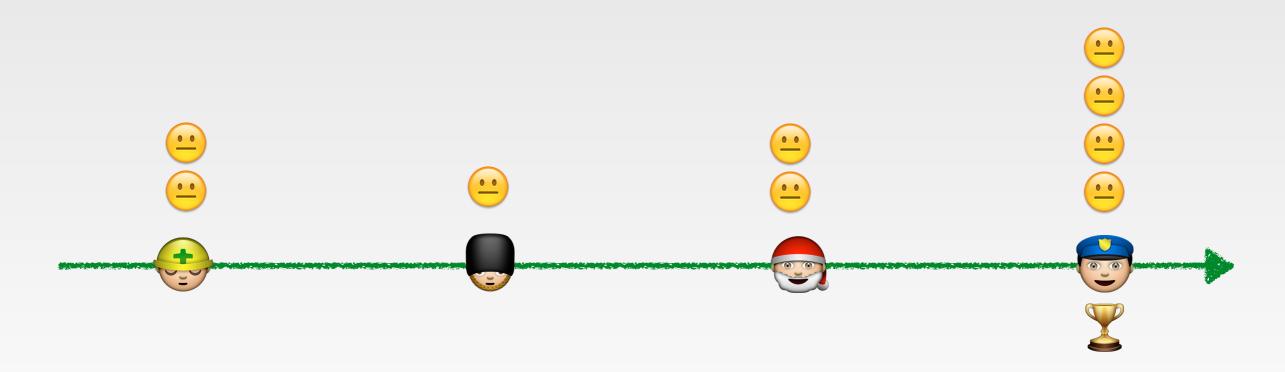
voters	strong V-eq.	V-eq.	truthful voting
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C-eq.		X	X
naive candidacy		X	X



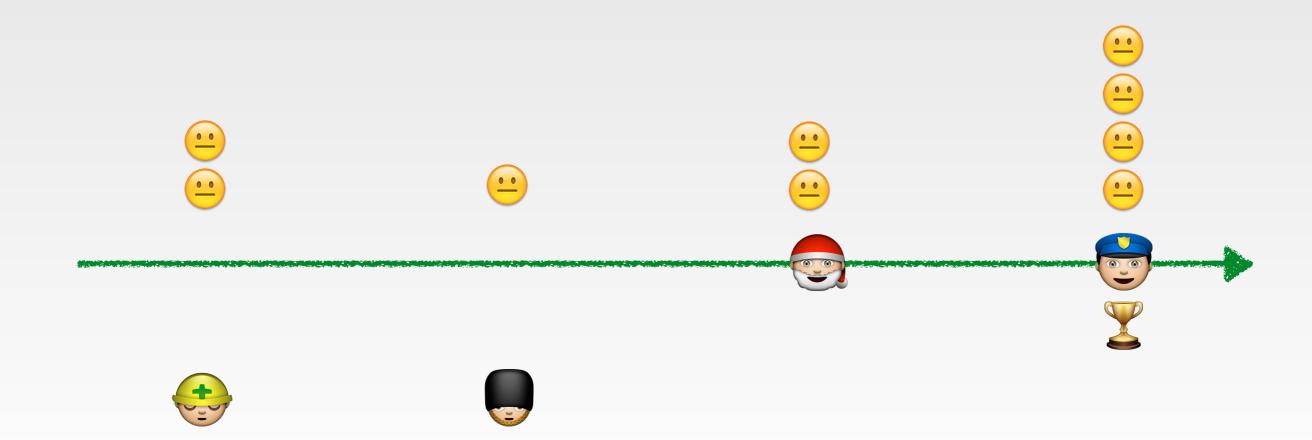
- (i) There exists a strong C-eq. where all voters vote truthfully.
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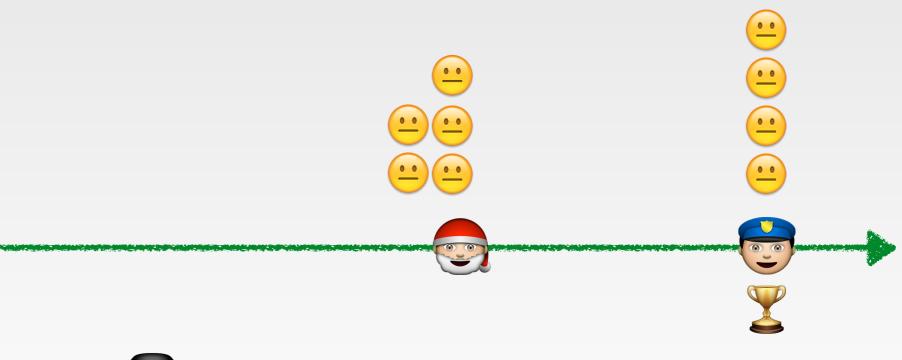


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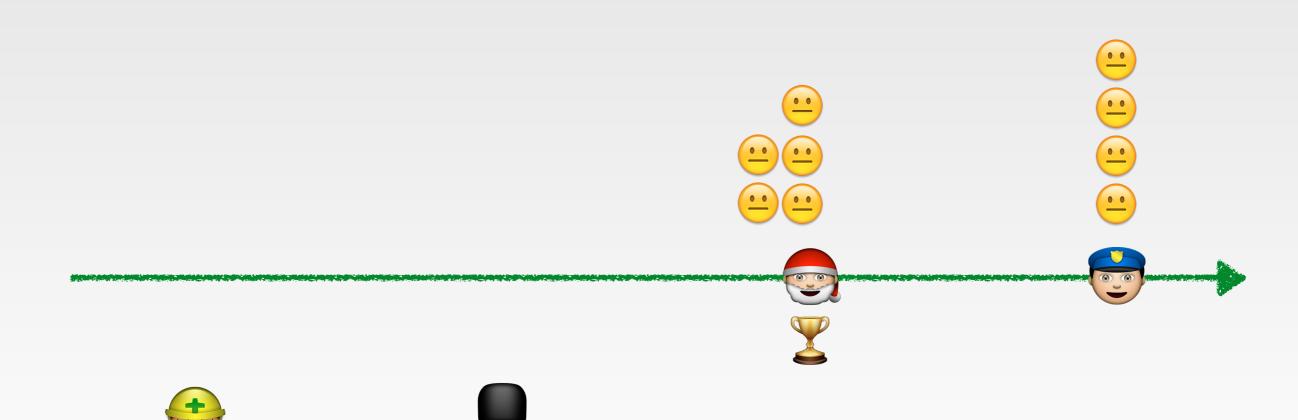








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Assumptions: single-peaked preferences, majority-consistent voting rule

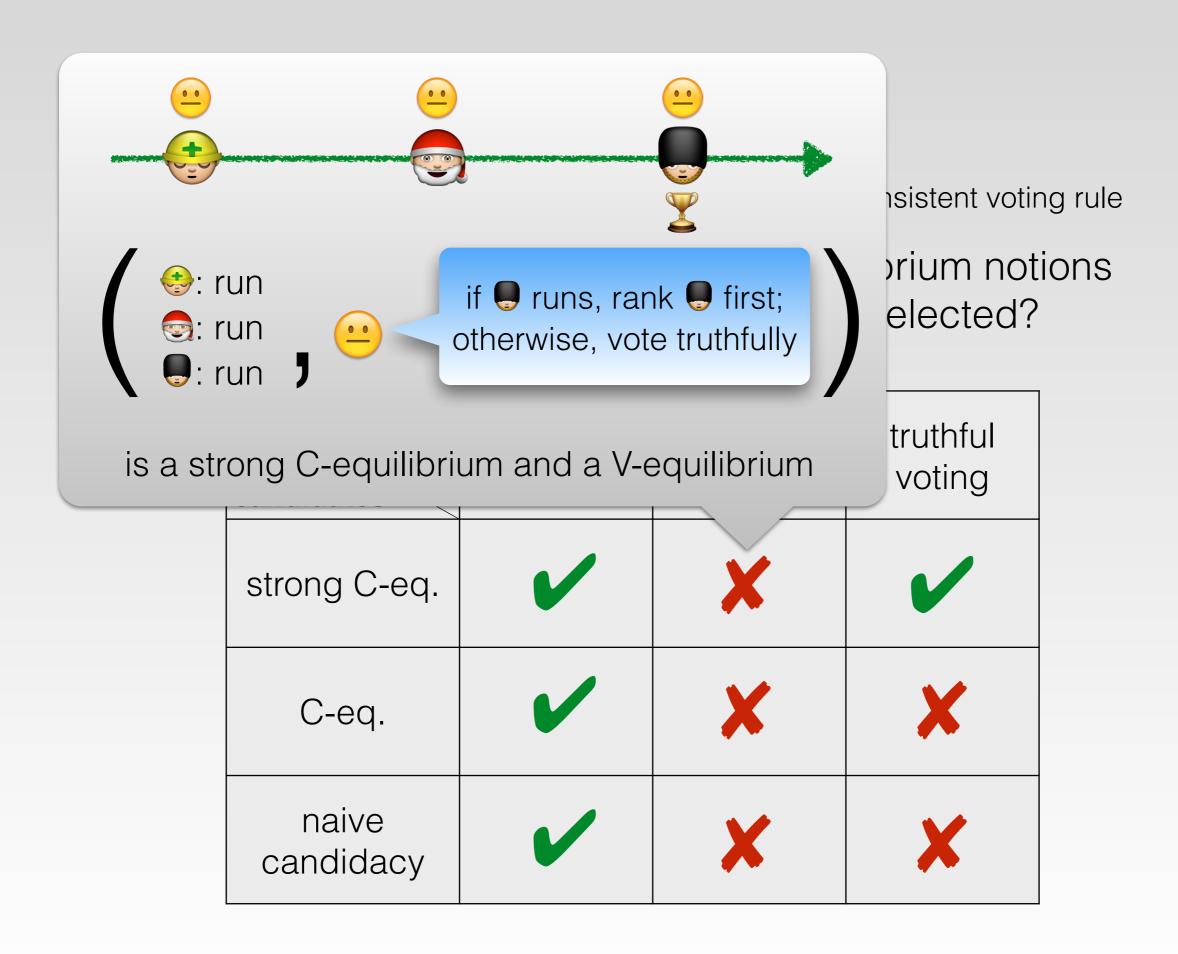
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C-eq.		X	X
naive candidacy		X	X



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C-eq.		X	X
naive candidacy		X	X





Assumptions: single-peaked preferences, majority-consistent voting rule

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strong C-eq.		X	
C-eq.		X	X
naive candidacy		X	X



▶ (strong C-eq. ∧ strong V-eq.), but not subgame-perfect strong equilibrium

Example 1. Consider a preference profile with candidates a, b, c and a single voter with preferences $a \succ b \succ c$. The preferences of candidate b are given by $b \succ_b c \succ_b a$. The voting rule f selects the candidate ranked first by the voter whenever all three candidates run; if, however, at most two candidates run, the lexicographically last one is chosen, ignoring the voter's vote. Let s be the strategy profile in which a and c run and the voter votes truthfully. The outcome of s under f is $o_f(s) = c$. We claim that s is (1) a strong C-equilibrium and (2) a strong V-equilibrium, but (3) not a subgame-perfect strong equilibrium (in fact not even a strong equilibrium).

For (1), observe that c has no incentive to participate in any deviation. The same holds for a, because the outcome will still be c if a deviates (whether b runs or not). And when all three candidates run, the outcome is a, making candidate b—the only deviator—worse off. For (2), s is a strong V-equilibrium because the voter makes his favorite candidate win in the only case where his vote has any influence. For (3), consider the following deviation. Candidate b deviates to running and the voter deviates to ranking b first whenever b runs. The outcome will change to b, and both deviators (candidate b and the voter) prefer b to c.

strong V-equilibria (1)

Example 4. Let R be a single-peaked preference profile with candidates $a \triangleleft b \triangleleft c$ and peak distribution (5,0,4). If f is Borda's rule, there does not exist a strong V-equilibrium (and hence no subgame-perfect strong equilibrium). To see this, consider the case where all candidates run. Observe that in any strong V-equilibrium, the outcome would have to be a. (Suppose the outcome is not a. Then, the five voters in $V_R(a)$ can jointly deviate and change the outcome to a. They can do this by having one voter voting $a \succ b \succ c$, and the remaining four voters voting exactly the opposite rankings of the voters in $V_R(c)$.) However, there is no strong V-equilibrium that yields outcome a. This is because the voters in $V_R(c)$ prefer both other alternatives to a, and—no matter how the voters in $V_R(a)$ vote—the voters in $V_R(c)$ can jointly deviate and achieve an outcome other than a. (One of b and c will obtain a score of at least a from the voters in a without loss of generality, suppose it is a. Then the voters in a can all vote a is a making a the winner.)

strong V-equilibria (2)

Example 5. Let R be a single-peaked preference profile with candidates $a \triangleleft b \triangleleft c$ and five voters: three voters have preferences $a \succ b \succ c$ and two voters have preferences $b \succ c \succ a$. The Condorcet winner is a. Let f be the voting rule veto⁸ and let s be the strategy profile where all candidates run and all voters vote truthfully. Then, $o_f(s) = b$. Moreover, s is a strong C-equilibrium and a strong V-equilibrium. The former holds because any deviation involving a does not change the outcome (provided b still runs), and c can only change the outcome to the less preferred alternative a. For the latter, the only interesting case is when all three candidates run. In this case, the two voters in $V_R(b)$ have no incentive to deviate from truthful voting (their favorite candidate is winning) and there is no way for the three voters in $V_R(a)$ to jointly deviate and achieve outcome a. (They can change the outcome to c by voting $a \succ c \succ b$, but they prefer b to c.) It can furthermore be shown that, when all candidates run, every strong V-equilibrium yields outcome b.

strong C-eq., truthful voting (1)

Example 6. Consider a single-peaked preference profile with candidates $a \triangleleft b \triangleleft c$ and five voters: three voters have preferences $a \succ b \succ c$ and two voters have preferences $b \succ c \succ a$. The Condorcet winner is a. Let s be the strategy profile where $s_a = s_b = s_c = 1$ and s_v is "truthful voting" for all voters v. It is easily verified that s is a strong C-equilibrium and $o_{Borda}(s) = b$. In fact, it can be checked that the Condorcet winner is not chosen in any strong C-equilibrium with truthful voting. (The only other strong C-equilibrium under truthful voting has candidates b and c running and also yields outcome b.)

strong C-eq., truthful voting (2)

Example 7. Consider the following preference profile with candidates a, b, c and 14 voters.

The preferences of the candidates are such that a prefers c over b and b prefers c over a. Whereas the preferences of the voters are single-peaked with respect to the ordering a \triangleleft b \triangleleft c, this is not true for the preferences of the candidates. (Therefore, this profile is not single-peaked according to the definition in Section 3.1.) The Condorcet winner is b and the Condorcet loser is c. Let s be the strategy profile where all candidates run and all voters vote truthfully. It is easily verified that s is a strong C-equilibrium and $o_{plurality}(s) = c$. In fact, "everybody running" is the only strong C-equilibrium under truthful voting.