

# The Resolution of Keller's Conjecture

Joshua Brakensiek (Stanford)   **Marijn Heule** (CMU)  
John Mackey (CMU)   David Narváez (RIT)



Carnegie  
Mellon  
University

The logo for Carnegie Mellon University, with the words 'Carnegie', 'Mellon', and 'University' stacked vertically in a red serif font.

RIT  
Rochester  
Institute of  
Technology

The logo for the Rochester Institute of Technology (RIT), with 'RIT' in a large orange serif font above a horizontal line, and 'Rochester Institute of Technology' in a black sans-serif font below it.

IJCAR   July 2, 2020

# Overview

A Brief History of Keller's Conjecture

Keller Graphs and Maximum Cliques

Encoding Keller's Conjecture into SAT

Proofs and Symmetry Breaking

Experimental Results

Conclusions and Future Work

# Table of Contents

A Brief History of Keller's Conjecture

Keller Graphs and Maximum Cliques

Encoding Keller's Conjecture into SAT

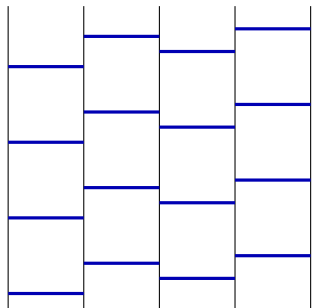
Proofs and Symmetry Breaking

Experimental Results

Conclusions and Future Work

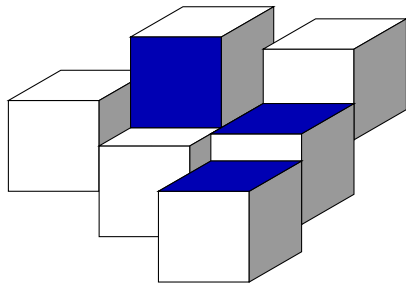
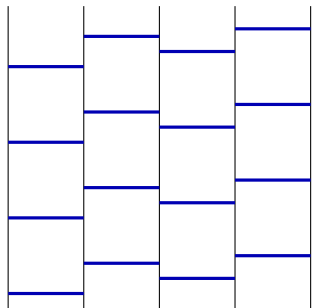
# Introduction

Consider tiling a floor with **square tiles**, all of the same size. Is it the case that any gap-free tiling results in at least **two fully connected tiles**, i.e., tiles that have an entire edge in common?



## Introduction

Consider tiling a floor with **square tiles**, all of the same size. Is it the case that any gap-free tiling results in at least **two fully connected tiles**, i.e., tiles that have an entire edge in common?

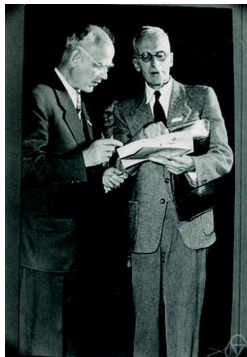


# Keller's Conjecture

In 1930, **Ott-Heinrich Keller** conjectured that this phenomenon holds in every dimension.

## Keller's Conjecture.

For all  $n \geq 1$ , **every** tiling of the  $n$ -dimensional space with unit cubes has two which fully share a face.



[Wikipedia, CC BY-SA]

## Dimensions Resolved

- ▶ In 1940, Perron proved that Keller's conjecture is **true** for  $1 \leq n \leq 6$ .

## Dimensions Resolved

- ▶ In 1940, Perron proved that Keller's conjecture is **true** for  $1 \leq n \leq 6$ .
- ▶ In 1992, Lagarias and Shor showed that Keller's conjecture is **false** for  $n \geq 10$ .



## Dimensions Resolved

- ▶ In 1940, Perron proved that Keller's conjecture is **true** for  $1 \leq n \leq 6$ .
- ▶ In 1992, Lagarias and Shor showed that Keller's conjecture is **false** for  $n \geq 10$ .
- ▶ In 2002, Mackey showed that Keller's conjecture is **false** for  $n \geq 8$ .

## Dimensions Resolved

- ▶ In 1940, Perron proved that Keller's conjecture is **true** for  $1 \leq n \leq 6$ .
- ▶ In 1992, Lagarias and Shor showed that Keller's conjecture is **false** for  $n \geq 10$ .
- ▶ In 2002, Mackey showed that Keller's conjecture is **false** for  $n \geq 8$ .

What about dimension 7?

# Main Result

Theorem (Brakensiek, Heule, Mackey, and Narváez, 2020).  
Keller's conjecture is true in dimension 7.

# Main Result

Theorem (Brakensiek, Heule, Mackey, and Narváez, 2020).

Keller's conjecture is true in dimension 7.

- ▶ Ends the 90 year quest to resolve Keller's conjecture in all dimensions.

# Main Result

Theorem (Brakensiek, Heule, Mackey, and Narváez, 2020).

Keller's conjecture is true in dimension 7.

- ▶ Ends the 90 year quest to resolve Keller's conjecture in all dimensions.
- ▶ Proof involves resolving a maximum clique question about Keller graphs using SAT solving.

# Main Result

Theorem (Brakensiek, Heule, Mackey, and Narváez, 2020).

Keller's conjecture is true in dimension 7.

- ▶ Ends the **90 year quest** to resolve Keller's conjecture in all dimensions.
- ▶ Proof involves resolving a maximum clique question about **Keller graphs** using SAT solving.
- ▶ The SAT formula is very difficult to solve, required extensive **symmetry breaking**.

# Main Result

Theorem (Brakensiek, Heule, Mackey, and Narváez, 2020).

Keller's conjecture is true in dimension 7.

- ▶ Ends the **90 year quest** to resolve Keller's conjecture in all dimensions.
- ▶ Proof involves resolving a maximum clique question about **Keller graphs** using SAT solving.
- ▶ The SAT formula is very difficult to solve, required extensive **symmetry breaking**.
- ▶ Total proof size is over 200 gigabytes! **Verified** by a proof checker.

# Table of Contents

A Brief History of Keller's Conjecture

**Keller Graphs and Maximum Cliques**

Encoding Keller's Conjecture into SAT

Proofs and Symmetry Breaking

Experimental Results

Conclusions and Future Work



## Formal Description

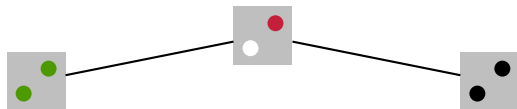
- ▶ A **clique** in a graph is a set of pairwise adjacent vertices.

## Formal Description

- ▶ A **clique** in a graph is a set of pairwise adjacent vertices.
- ▶ We define the Keller graph  $G_{n,s}$  to have  $(2s)^n$  **vertices/cubes**. Each has  $n$  **dimensions/dots** have one of  $2s$  **colors** which come in  $s$  **complementary pairs**: e.g. black/white and red/green.

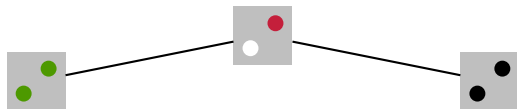
## Formal Description

- ▶ A **clique** in a graph is a set of pairwise adjacent vertices.
- ▶ We define the Keller graph  $G_{n,s}$  to have  $(2s)^n$  **vertices/cubes**. Each has  $n$  **dimensions/dots** have one of  $2s$  **colors** which come in  $s$  **complementary pairs**: e.g. black/white and red/green.
- ▶ Two vertices are adjacent if and only if 1) at least one corresponding dimension/dot has a **complementary pair** of colors; and 2) they differ in **at least two** dimensions/dots.



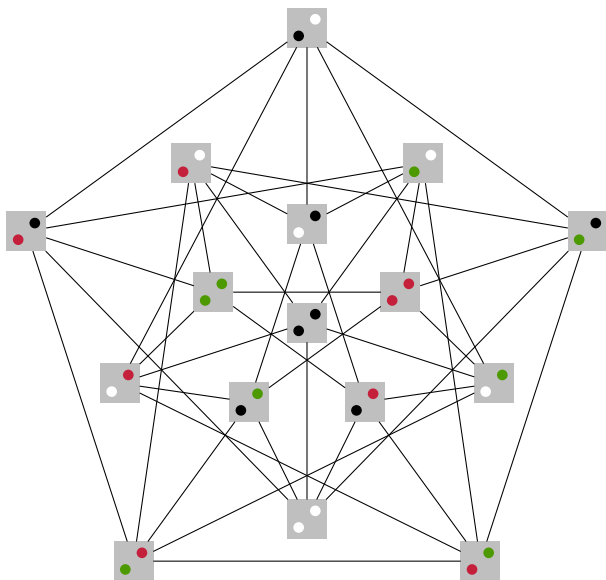
## Formal Description

- ▶ A **clique** in a graph is a set of pairwise adjacent vertices.
- ▶ We define the Keller graph  $G_{n,s}$  to have  $(2s)^n$  vertices/cubes. Each has  $n$  dimensions/dots have one of  $2s$  colors which come in  $s$  complementary pairs: e.g. black/white and red/green.
- ▶ Two vertices are adjacent if and only if 1) at least one corresponding dimension/dot has a **complementary pair** of colors; and 2) they differ in **at least two** dimensions/dots.



- ▶ Corrádi and Szabó's work (1990) showed that there is a **counterexample** to Keller's conjecture in some dimension  $n$  if one can show  $G_{n,s}$  has a clique of size  $2^n$ .

# From Keller's Conjecture to Graph Theory: $G_{2,2}$



## Toward Resolving Dimension 7

- ▶ In 2011, Debroni, Eblen, Langston, Myrvold, Shor and Weerapurage showed that the **largest clique in  $G_{7,2}$**  has size 124.
- ▶ To confirm Keller's conjecture in dimension 7, one needs to prove that  $G_{7,64}$  does not have a clique of size  $2^7 = 128$ .
- ▶ Between 2013 and 2017, Łysakowska and Kisielewicz showed that if one of  $G_{7,3}$ ,  $G_{7,4}$  or  $G_{7,6}$  has no clique of size  $2^7$ , then Keller's conjecture is true in dimension 7.

# Table of Contents

A Brief History of Keller's Conjecture

Keller Graphs and Maximum Cliques

Encoding Keller's Conjecture into SAT

Proofs and Symmetry Breaking

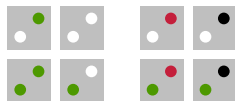
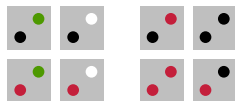
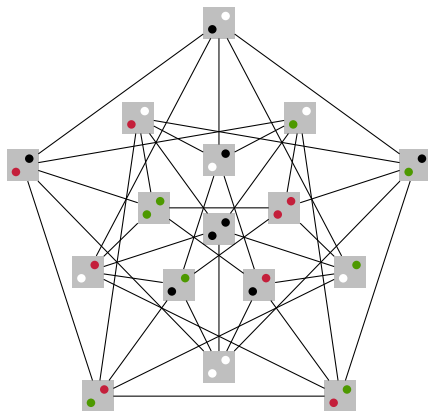
Experimental Results

Conclusions and Future Work

# Succinct Encoding: Groups

$G_{n,s}$  can be partitioned into  $2^n$  independent sets (groups)

**Key Observation:** If there is a clique of size  $2^n$ , each group has exactly one vertex in the clique.

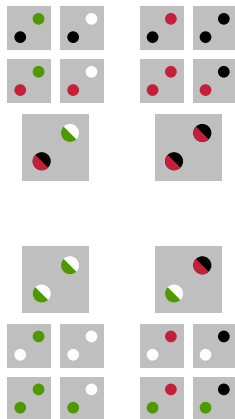
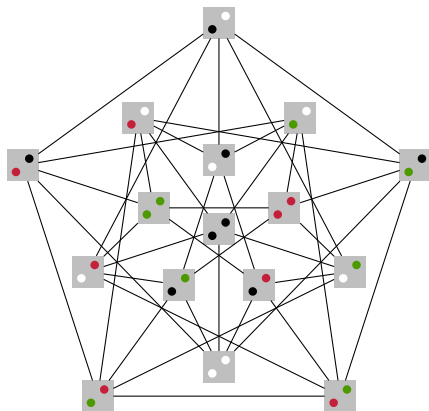




# Succinct Encoding: Groups

$G_{n,s}$  can be partitioned into  $2^n$  independent sets (groups)

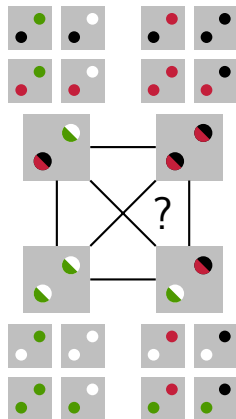
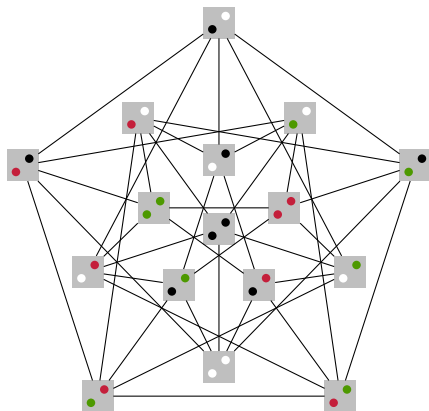
**Key Observation:** If there is a clique of size  $2^n$ , each group has exactly one vertex in the clique.



# Succinct Encoding: Groups

$G_{n,s}$  can be partitioned into  $2^n$  independent sets (groups)

**Key Observation:** If there is a clique of size  $2^n$ , each group has exactly one vertex in the clique.



## Succinct Encoding: Constraints

- ▶ We build a clique by picking a vertex from each group.

## Succinct Encoding: Constraints

- ▶ We build a clique by picking a vertex from each group.
- ▶ Variables:  $x_{v,d,c}$  encodes vertex picked from group  $v$  at dimension/dot  $d$  has color  $c$ .

## Succinct Encoding: Constraints

- ▶ We build a clique by picking a vertex from each group.
- ▶ Variables:  $x_{v,d,c}$  encodes vertex picked from group  $v$  at dimension/dot  $d$  has color  $c$ .

Constraints:

- ▶ First, every dimension/dot must have **exactly one color**.

## Succinct Encoding: Constraints

- ▶ We build a clique by picking a vertex from each group.
- ▶ Variables:  $x_{v,d,c}$  encodes vertex picked from group  $v$  at dimension/dot  $d$  has color  $c$ .

Constraints:

- ▶ First, every dimension/dot must have **exactly one color**.
- ▶ Second, each pair of vertices should have **complementary colors** in some dimension/dot.

# Succinct Encoding: Constraints

- ▶ We build a clique by picking a vertex from each group.
- ▶ Variables:  $x_{v,d,c}$  encodes vertex picked from group  $v$  at dimension/dot  $d$  has color  $c$ .

Constraints:

- ▶ First, every dimension/dot must have **exactly one color**.
- ▶ Second, each pair of vertices should have **complementary colors** in some dimension/dot.
- ▶ Third, each pair of vertices should have **different colors** in some other dimension/dot.

## Succinct Encoding: Constraints

- ▶ We build a clique by picking a vertex from each group.
- ▶ Variables:  $x_{v,d,c}$  encodes vertex picked from group  $v$  at dimension/dot  $d$  has color  $c$ .

Constraints:

- ▶ First, every dimension/dot must have **exactly one color**.
- ▶ Second, each pair of vertices should have **complementary colors** in some dimension/dot.
- ▶ Third, each pair of vertices should have **different colors** in some other dimension/dot.

Using auxiliary variables, these expressions can be encoded as succinct propositional formulas.



## Encoding Size

Keller Graph	Cube Count	Variable Count	Clause Count
$G_{7,3}$	279 936	39 424	200 320
$G_{7,4}$	2 097 152	43 008	265 728
$G_{7,6}$	35 831 808	50 176	399 232

the number of clauses is **smaller** than the number of cubes

# Table of Contents

A Brief History of Keller's Conjecture

Keller Graphs and Maximum Cliques

Encoding Keller's Conjecture into SAT

**Proofs and Symmetry Breaking**

Experimental Results

Conclusions and Future Work

# Clausal Proofs of Unsatisfiability

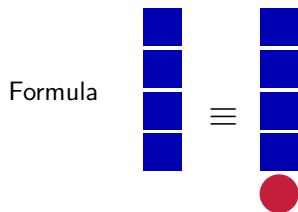
Formula



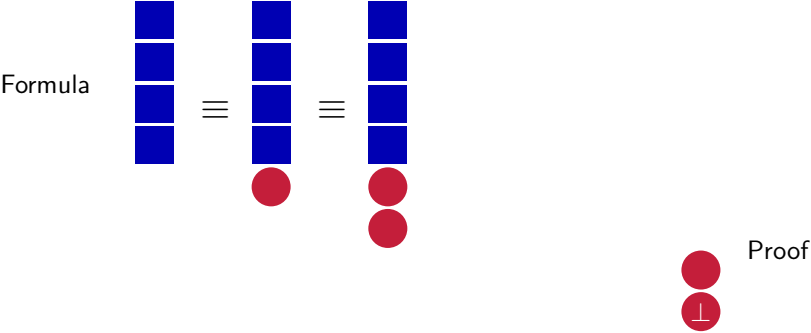
Proof



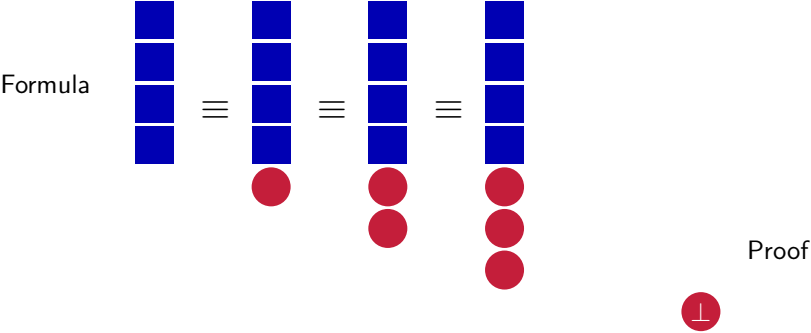
# Clausal Proofs of Unsatisfiability



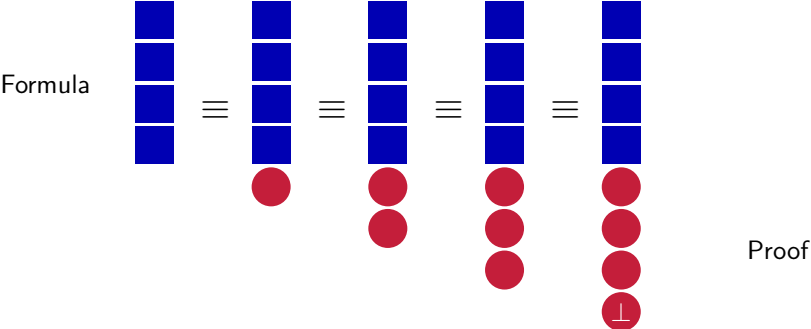
# Clausal Proofs of Unsatisfiability



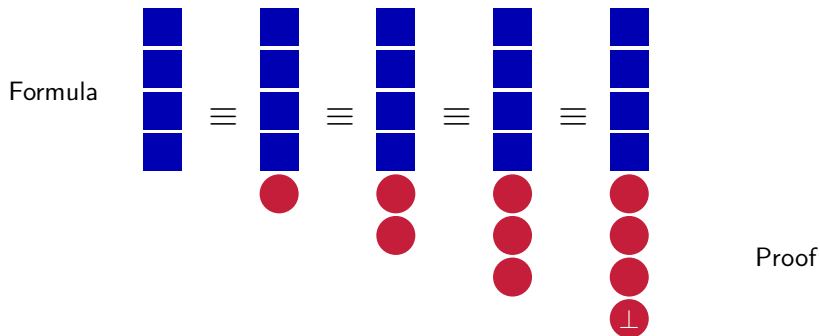
# Clausal Proofs of Unsatisfiability



# Clausal Proofs of Unsatisfiability



# Clausal Proofs of Unsatisfiability



- ▶ Checking the redundancy of a clause in **polynomial time**
- ▶ Clausal proofs are **easy to emit** from modern SAT solvers
- ▶ **Symmetry breaking** can be expressed using clausal proofs

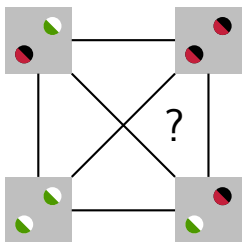


# Symmetry Breaking Introduction

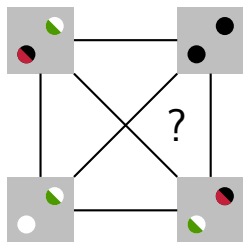
Without loss of generality we can assume that

- ▶ Both dots of the right top cube are black
- ▶ The bottom left dot of the bottom left cube is white

before symmetry breaking



after symmetry breaking

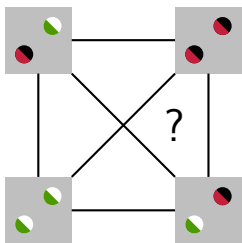


# Symmetry Breaking Introduction

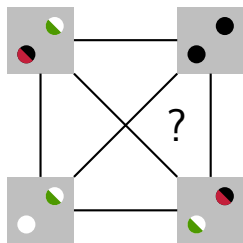
Without loss of generality we can assume that

- ▶ Both dots of the right top cube are black
- ▶ The bottom left dot of the bottom left cube is white

before symmetry breaking



after symmetry breaking



This problem becomes *trivial* after symmetry breaking

# Symmetry Breaking Overview

The symmetry breaking consists of three parts:

1. **Manual proof** that we can assume the following **three cubes**:



# Symmetry Breaking Overview

The symmetry breaking consists of three parts:

1. **Manual proof** that we can assume the following **three cubes**:



2. **Clausal proof** that we have the following **three additional cubes**:



# Symmetry Breaking Overview

The symmetry breaking consists of three parts:

1. **Manual proof** that we can assume the following **three cubes**:



2. **Clausal proof** that we have the following **three additional cubes**:



3. Enumerate and filter all options for the **rainbow dimensions/dots**

## Case Split

Given the cubes, in how many ways can we color rainbow dots?



**Worst case** for  $r$  rainbow dots without symmetry breaking is  $s^r$

With filtering using symmetry breaking these can be reduced to:

- ▶  $s = 3$ : 21 525 (instead of  $3^{13} = 1\,594\,323$ )
- ▶  $s = 4$ : 37 128 (instead of  $4^{13} = 67\,108\,864$ )
- ▶  $s = 6$ : 38 584 (instead of  $6^{13} = 13\,060\,694\,016$ )

We express this symmetry breaking in the clausal proof

## Case Split

Given the cubes, in how many ways can we color rainbow dots?



**Worst case** for  $r$  rainbow dots without symmetry breaking is  $s^r$

With filtering using symmetry breaking these can be reduced to:

- ▶  $s = 3$ : 21 525 (instead of  $3^{13} = 1\,594\,323$ )
- ▶  $s = 4$ : 37 128 (instead of  $4^{13} = 67\,108\,864$ )
- ▶  $s = 6$ : 38 584 (instead of  $6^{13} = 13\,060\,694\,016$ )

We express this symmetry breaking in the clausal proof

One case was **very hard** and we split it into smaller cases

# Table of Contents

A Brief History of Keller's Conjecture

Keller Graphs and Maximum Cliques

Encoding Keller's Conjecture into SAT

Proofs and Symmetry Breaking

**Experimental Results**

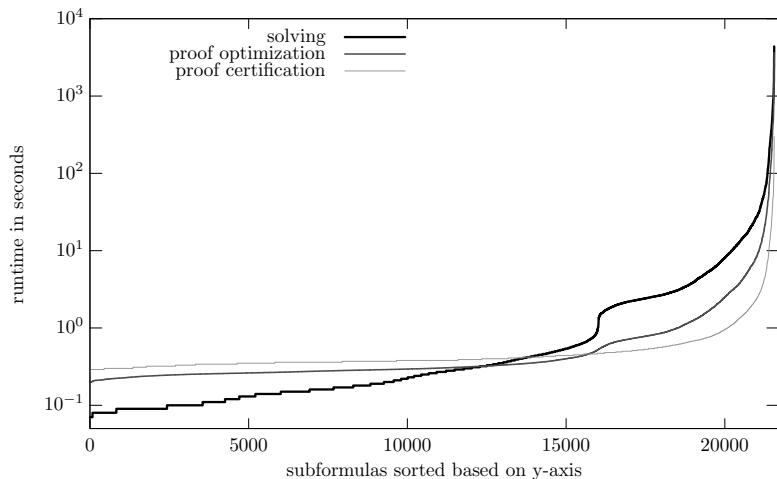
Conclusions and Future Work



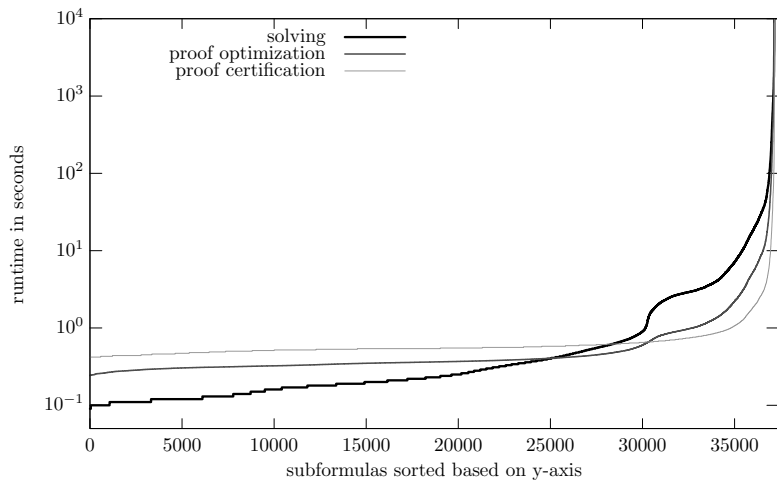
## Experimental Setup

- ▶ Each case is solved using CaDiCaL
- ▶ Parallel execution on Xeon E5-2690 processors with 24 cores
- ▶ CaDiCaL emits proofs in the DRAT format
- ▶ DRAT proofs are optimized using DRAT-trim
- ▶ The formally-verified ACL2check certifies the optimized proofs

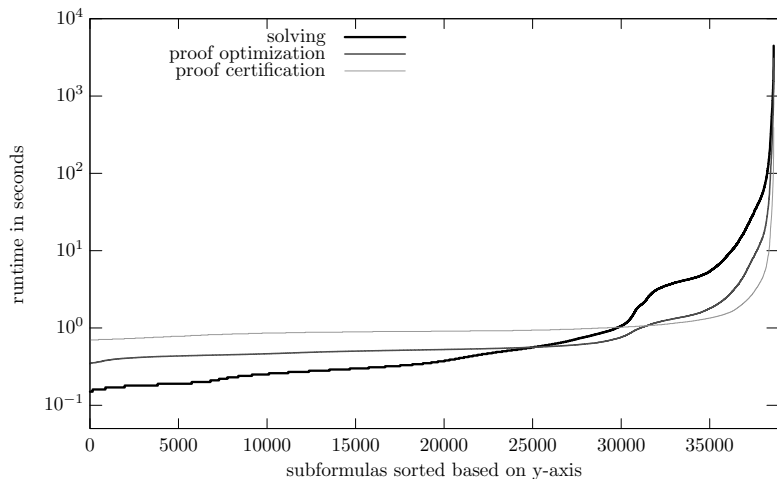
## Results on $G_{7,3}$



## Results on $G_{7,4}$



## Results on $G_{7,6}$



# Table of Contents

A Brief History of Keller's Conjecture

Keller Graphs and Maximum Cliques

Encoding Keller's Conjecture into SAT

Proofs and Symmetry Breaking

Experimental Results

Conclusions and Future Work

# Conclusions

We resolved the remaining case of Keller's conjecture

- ▶ No clique of size 128 in  $G_{7,3}$ ,  $G_{7,4}$ , and  $G_{7,6}$
- ▶ Designed a SAT compact encoding
- ▶ Combined parallel SAT solver and symmetry breaking
- ▶ Constructed a clausal proof of unsatisfiability
- ▶ Certified the proof with a formally-verified checker

# Future Work

Toward a full formal proof of Keller's conjecture:

- ▶ Formalize Keller's conjecture
- ▶ Prove the relation between Keller graphs and the conjecture
- ▶ Prove the correctness of the encoding

# Future Work

Toward a full formal proof of Keller's conjecture:

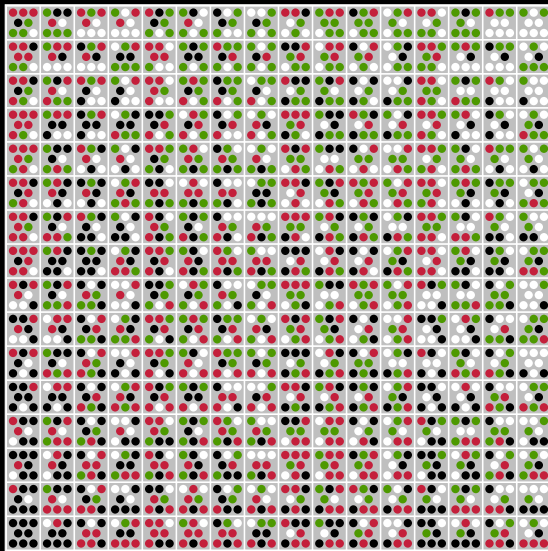
- ▶ Formalize Keller's conjecture
- ▶ Prove the relation between Keller graphs and the conjecture
- ▶ Prove the correctness of the encoding

Open questions:

- ▶ What is the largest clique in  $G_{7,3}$ ,  $G_{7,4}$ ,  $G_{7,6}$ ?
- ▶ Is the clique of 256 in  $G_{8,2}$  unique (modulo symmetries)?
- ▶ Why is there a transition between dimensions 7 and 8?



# Fin: A Clique of Size 256 in $G_{8,2}$ (Mackey, 2002)



*Fin*