

# **Synchronization (3)**

---

**David A. Eckhardt**  
**School of Computer Science**  
**Carnegie Mellon University**

**de0u@andrew.cmu.edu**

# Status Rendezvous

---

## P1 Handin

- See academic.cs.15-412.announce for directions
  - (please follow them!)
- A word about the p-word

## Partner selection for Project 2

- de0u+partner@andrew
  - or de0u+partners@andrew (I am learning)
- By Tuesday 2002-03-04 23:59 EST
- Only 25 as of midnight (some 2-way)

## Project 2

- Out: Wednesday, February 5
- In: Wednesday, February 19

## HW1???

- Don't be surprised if it's out Friday

# Outline

---

## More flavors of mutual exclusion

- semaphore
- monitor

## A word about *deadlock*

- (Much) more to come

# Semaphore

---

## Basic concept

- Integer: number of free instances of a resource
- Threads should not run unless they are allocated an instance

## Operations

- wait()
  - aka P() aka proberen(), “wait”
  - wait until value > 0
  - decrement value
- signal()
  - aka V() aka verhogen(), “increment”
  - increment value

## Just one small issue...

- wait() and signal() must be *atomic*!

# Semaphore - example

---

## “Mutex-style” semaphore

```
semaphore m = 1;  
  
do {  
    wait(m);  
    ...critical section...  
    signal(m);  
    ...remainder section...  
} while (1);
```

# Semaphore - example

---

## “Condition-style” semaphore

```
semaphore c = 0;
```

Process 1	Process 2
	wait(c);
...compute some important result...	
signal(c);	
	...consume result...

## More powerful than condition variables

Process 1	Process 2
...compute some important result...	
signal(c);	
	wait(c);
	...consume result...

# Semaphore vs. mutex/condition

---

## Good news

- Semaphore is a higher-level construct
  - Integrates mutual exclusion, waiting
  - Avoids mistakes common in mutex/condition API

## Bad news

- Semaphore is a higher-level construct
  - Integrates mutual exclusion, waiting
    - Some semaphores are “mutex-like”
    - Some semaphores are “condition-like”
    - How’s a poor library to know?

# Semaphores - 31 Flavors

---

## Binary semaphore

- It counts, but only from 0 to 1!
  - “Available” / “Not available”
- Consider this a hint to the implementor...
  - “Think mutex!”

## Non-blocking semaphore

- `wait(semaphore, timeout)`

## Deadlock-avoidance semaphore

- `#include <deadlock.lecture>`

# Semaphore Wait: The Inside Story

---

## Wait

```
wait(semaphore s) {
    ACQUIRE EXCLUSIVE ACCESS
    --s->count;
    if (s->count < 0) {
        enqueue(s->queue, my_thread());
        ATOMICALLY
        RELEASE EXCLUSIVE ACCESS
        thread_pause()
    } else {
        RELEASE EXCLUSIVE ACCESS
    }
}
```

# Semaphore Signal - The Inside Story

---

## Wait

```
signal(semaphore s) {
    ACQUIRE EXCLUSIVE ACCESS
    ++s->count;
    if (s->count <= 0) {
        tid = dequeue(s->queue);
        thread_wakeup(tid);
    }
    RELEASE EXCLUSIVE ACCESS
}
```

## What's all the shouting?

- spin-waiting on an exclusion algorithm, a la mutex
- OS-assisted atomic de-scheduling

# Monitor

---

## Basic concept

- Semaphore code may have fewer errors than mutex/condition
- But there are still common errors
  - Saying “signal()” when you mean “wait()”, or reverse
  - Accidentally omitting one or the other

## Monitor: higher-level abstraction

- Collection of high-level language procedures
- All access some shared state
- Compiler adds synchronization code
  - A thread running *any* procedure blocks *all* thread entries

# Monitor Example

---

## Monitor “commerce”

```
int cash_in_till[N_STORES] = { 0 };
int wallet[N_CUSTOMERS] = { 0 } ;

void buy(int cust, int store, int price) {
    cash_in_till[store] += price;
    wallet[cust] -= price;
}

boolean give(int p1, int p2, int val) {
    if (wallet[p1] >= val) {
        wallet[p1] -= val;
        wallet[p2] += val;
        return (true);
    } else {
        return (false);
}
```

# Monitors - More Features

---

## Automatic mutual exclusion is nice...

- ...but it is too strong
  - Sometimes one thread needs to wait for another
  - Automatic mutual exclusion forbids this

## **Monitor condition variables**

- Similar to mutex/condition conditions we've seen
- `condition_wait(cvar)` - needs only one parameter
  - mutex-to-drop is implicit ("the" monitor mutex)
- Policy question - which thread to run?
  - Some implementations: signalling thread
  - Others: signalled thread
  - Others: `signal()` side effect forces instant monitor exit

# A Word About Deadlock

---

## “Pushy trains”

- Only one train per track segment (either direction ok)
- Once on a track segment, don’t back up (*very* slow)

## Ok for a while...

NY->San Francisco Train	San Francisco -> NY train
allocate(10)	allocate(1)
allocate(9)	allocate(2)
release(10)	release(1)
allocate(8)	allocate(3)
release(9)	release(2)

# A Word About Deadlock

---

## Disaster

allocate(7)	allocate(4)
release(8)	release(3)
allocate(6)	allocate(5)
release(7)	release(4)
<i>allocate(5)</i>	<i>allocate(6)</i>
release(6) - never!	release(5) - never!

## What do we do?

- There is no easy answer
- We will talk about hard answers (soon)