

# 15-213

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## Dynamic Memory Allocation II October 21, 2008

### Topics

- Explicit doubly-linked free lists
- Segregated free lists
- Garbage collection
- Review of pointers
- Memory-related perils and pitfalls

lecture-16.ppt

## Summary of Key Allocator Policies

### Placement policy:

- First fit, next fit, best fit, etc.
- Trades off lower throughput for less fragmentation
  - *Interesting observation:* segregated free lists (next lecture) approximate a best fit placement policy without having to search entire free list.

### Splitting policy:

- When do we go ahead and split free blocks?
- How much internal fragmentation are we willing to tolerate?

### Coalescing policy:

- *Immediate coalescing:* coalesce each time free is called
- *Deferred coalescing:* try to improve performance of free by deferring coalescing until needed. e.g.,
  - Coalesce as you scan the free list for malloc.
  - Coalesce when the amount of external fragmentation reaches some threshold.

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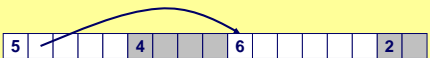
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## Keeping Track of Free Blocks

**Method 1:** Implicit list using lengths -- links all blocks



**Method 2:** Explicit list among the free blocks using pointers within the free blocks



**Method 3:** Segregated free lists

- Different free lists for different size classes

**Method 4:** Blocks sorted by size (not discussed)

- Can use a balanced tree (e.g. Red-Black tree) with pointers within each free block, and the length used as a key

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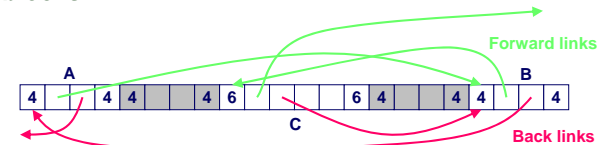
## Explicit Free Lists

Maintain list(s) of **free** blocks, not **all** blocks

- The "next" free block could be anywhere
  - So we need to store pointers, not just sizes
- Still need boundary tags for coalescing
- Luckily we track only free blocks, so we can use payload area



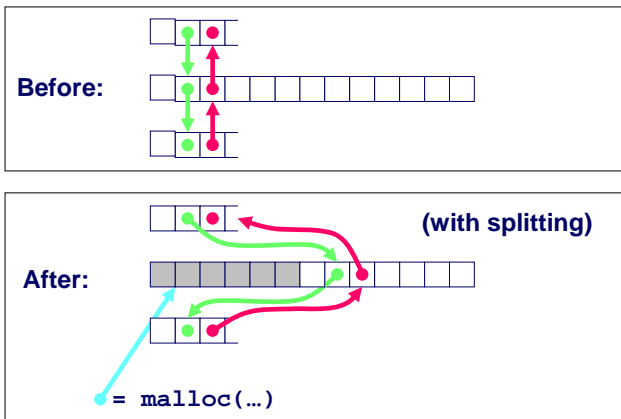
**Note:** links don't have to be in the same order as the blocks!



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## Allocating From Explicit Free Lists



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## Freeing With Explicit Free Lists

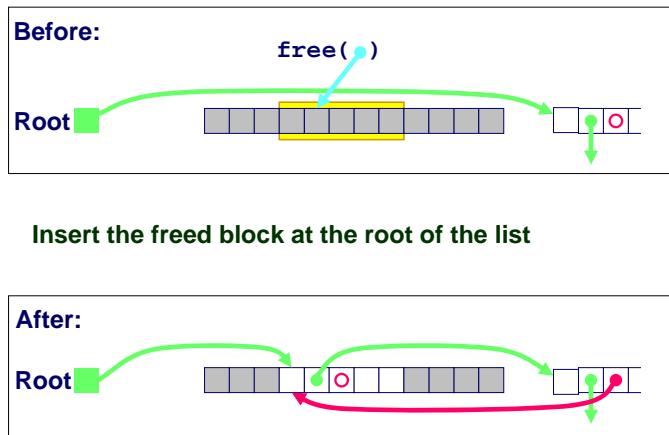
**Insertion policy:** Where in the free list do you put a newly freed block?

- LIFO (last-in-first-out) policy
  - Insert freed block at the beginning of the free list
  - Pro: simple and constant time
  - Con: studies suggest fragmentation is worse than address ordered.
- Address-ordered policy
  - Insert freed blocks so that free list blocks are always in address order
    - i.e.,  $\text{addr}(\text{pred}) < \text{addr}(\text{curr}) < \text{addr}(\text{succ})$
  - Con: requires search
  - Pro: studies suggest fragmentation is lower than LIFO

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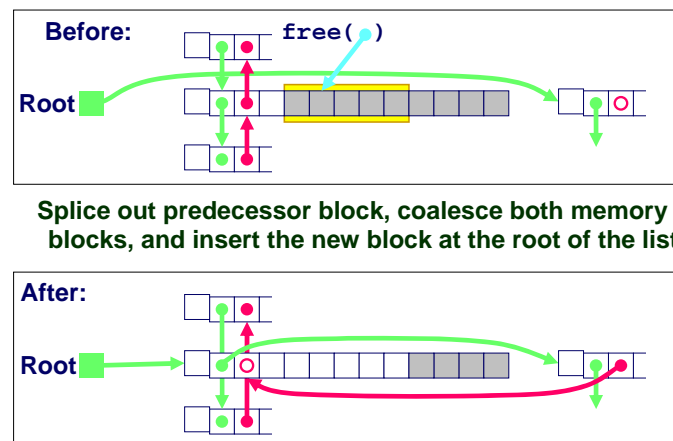
## Freeing With a LIFO Policy (Case 1)



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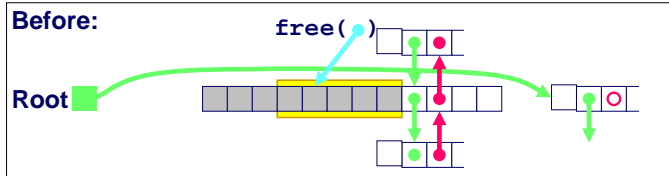
## Freeing With a LIFO Policy (Case 2)



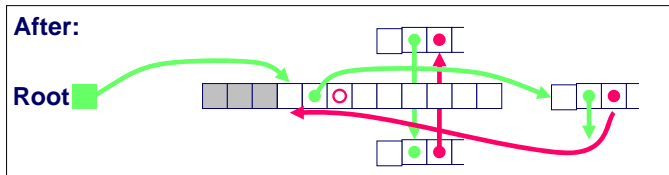
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## Freeing With a LIFO Policy (Case 3)



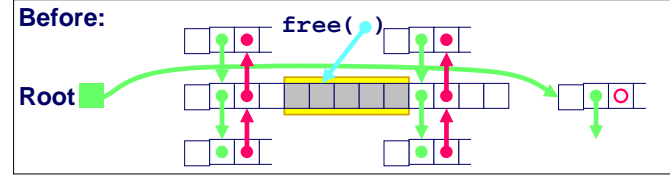
Splice out successor block, coalesce both memory blocks, and insert the new block at the root of the list



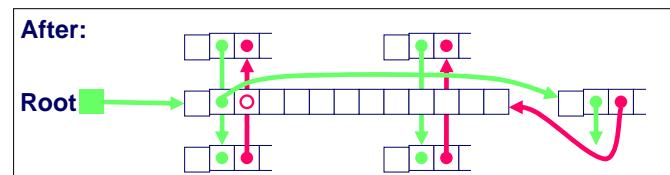
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## Freeing With a LIFO Policy (Case 4)



Splice out predecessor and successor blocks, coalesce all 3 memory blocks, and insert the new block at the root of the list



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## Explicit List Summary

### Comparison to implicit list:

- Allocate is linear time in # of free blocks instead of total blocks
  - Allocations *much* faster when most of the memory is full
- Slightly more complicated allocate and free since needs to splice blocks in and out of the list
- Some extra space for the links (2 extra words needed for each free block)

Does this increase internal frag?

Most common use of linked lists is in conjunction with segregated free lists

- Keep multiple linked lists of different size classes, or possibly for different types of objects

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## Keeping Track of Free Blocks

**Method 1: Implicit list** using lengths -- links all blocks



**Method 2: Explicit list** among the free blocks using pointers within the free blocks



**Method 3: Segregated free list**

- Different free lists for different size classes

**Method 4: Blocks sorted by size**

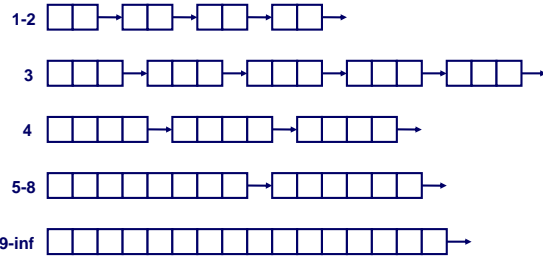
- Can use a balanced tree (e.g. Red-Black tree) with pointers within each free block, and the length used as a key

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## Segregated List (Seglist) Allocators

Each **size class** of blocks has its own free list



- Often have separate size class for *each* small size (2,3,4,...)
- For larger sizes, typically have a size class for each power of 2

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## Seglist Allocator

Given an array of free lists for different size classes

To allocate a block of size  $n$ :

- Search appropriate free list for block of size  $m > n$
- If an appropriate block is found:
  - Split block and place fragment on appropriate list (optional)
- If no block is found, try next larger class
- Repeat until block is found

If no block is found:

- Request additional heap memory from OS (using `sbrk()`)
- Allocate block of  $n$  bytes from this new memory
- Place remainder as a single free block in largest size class

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## Seglist Allocator (cont)

To free a block:

- Coalesce and place on appropriate list (optional)

Advantages of seglist allocators

- Higher throughput
  - i.e., log time for power-of-two size classes
- Better memory utilization
  - First-fit search of segregated free list approximates a best-fit search of entire heap
  - Extreme case: Giving each block its own size class is equivalent to best-fit

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## For More Info on Allocators

D. Knuth, "*The Art of Computer Programming, Second Edition*", Addison Wesley, 1973

- The classic reference on dynamic storage allocation

Wilson et al, "*Dynamic Storage Allocation: A Survey and Critical Review*", Proc. 1995 Int'l Workshop on Memory Management, Kinross, Scotland, Sept, 1995.

- Comprehensive survey
- Available from CS:APP student site ([csapp.cs.cmu.edu](http://csapp.cs.cmu.edu))

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## Memory-Related Perils and Pitfalls

- Dereferencing bad pointers
- Reading uninitialized memory
- Overwriting memory
- Referencing nonexistent variables
- Freeing blocks multiple times
- Referencing freed blocks
- Failing to free blocks

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## Dereferencing Bad Pointers

The classic `scanf` bug

```
int val;
...
scanf("%d", val);
```

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## Reading Uninitialized Memory

Assuming that heap data is initialized to zero

```
/* return y = Ax */
int *matvec(int **A, int *x) {
    int *y = malloc(N*sizeof(int));
    int i, j;

    for (i=0; i<N; i++)
        for (j=0; j<N; j++)
            y[i] += A[i][j]*x[j];
    return y;
}
```

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## Overwriting Memory

Allocating the (possibly) wrong sized object

```
int **p;
p = malloc(N*sizeof(int));

for (i=0; i<N; i++) {
    p[i] = malloc(M*sizeof(int));
}
```

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## Overwriting Memory

### Off-by-one error

```
int **p;  
  
p = malloc(N*sizeof(int *));  
  
for (i=0; i<=N; i++) {  
    p[i] = malloc(M*sizeof(int));  
}
```

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## Overwriting Memory

### Not checking the max string size

```
char s[8];  
int i;  
  
gets(s); /* reads "123456789" from stdin */
```

### Basis for classic buffer overflow attacks

- 1988 Internet worm
- Modern attacks on Web servers
- AOL/Microsoft IM war

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## Overwriting Memory

### Misunderstanding pointer arithmetic

```
int *search(int *p, int val) {  
  
    while (*p && *p != val)  
        p += sizeof(int);  
  
    return p;  
}
```

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## Referencing Nonexistent Variables

### Forgetting that local variables disappear when a function returns

```
int *foo () {  
    int val;  
  
    return &val;  
}
```

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## Freeing Blocks Multiple Times

Nasty!

```
x = malloc(N*sizeof(int));
<manipulate x>
free(x);

y = malloc(M*sizeof(int));
<manipulate y>
free(x);
```

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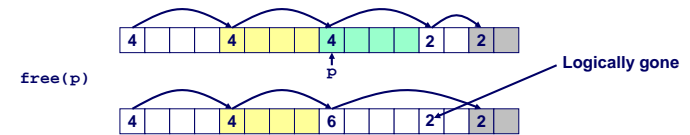
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## Implicit List: Coalescing

Join (*coalesce*) with next and/or previous blocks, if they are free

■ Coalescing with next block

```
void free_block(ptr p) {
    *p = *p & -2; // clear allocated flag
    next = p + *p; // find next block
    if ((*next & 1) == 0)
        *p = *p + *next; // add to this block if
                        // not allocated
}
```



■ But how do we coalesce with *previous* block?

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## Referencing Freed Blocks

Evil!

```
x = malloc(N*sizeof(int));
<manipulate x>
free(x);
...
y = malloc(M*sizeof(int));
for (i=0; i<M; i++)
    y[i] = x[i]++;
```

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## Failing to Free Blocks (Memory Leaks)

Slow, long-term killer!

```
foo() {
    int *x = malloc(N*sizeof(int));
    ...
    return;
}
```

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## Failing to Free Blocks (Memory Leaks)

Freeing only part of a data structure

```
struct list {
    int val;
    struct list *next;
};

foo() {
    struct list *head = malloc(sizeof(struct list));
    head->val = 0;
    head->next = NULL;
    <create and manipulate the rest of the list>
    ...
    free(head);
    return;
}
```

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## Dealing With Memory Bugs

Conventional debugger (gdb)

- Good for finding bad pointer dereferences
- Hard to detect the other memory bugs

Debugging malloc (UToronto CSRI malloc)

- Wrapper around conventional malloc
- Detects memory bugs at malloc and free boundaries
  - Memory overwrites that corrupt heap structures
  - Some instances of freeing blocks multiple times
  - Memory leaks
- Cannot detect all memory bugs
  - Overwrites into the middle of allocated blocks
  - Freeing block twice that has been reallocated in the interim
  - Referencing freed blocks

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## Dealing With Memory Bugs (cont.)

Some malloc implementations contain checking code

- Linux glibc malloc: `setenv MALLOC_CHECK_ 2`
- FreeBSD: `setenv MALLOC_OPTIONS AJR`

Binary translator: valgrind (Linux), Purify

- Powerful debugging and analysis technique
- Rewrites text section of executable object file
- Can detect all errors as debugging malloc
- Can also check each individual reference at runtime
  - Bad pointers
  - Overwriting
  - Referencing outside of allocated block

Garbage collection (Boehm-Weiser Conservative GC)

- Let the system free blocks instead of the programmer.

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## Implicit Memory Management: Garbage Collection

**Garbage collection:** automatic reclamation of heap-allocated storage -- application never has to free

```
void foo() {
    int *p = malloc(128);
    return; /* p block is now garbage */
}
```

Common in functional languages, scripting languages, and modern object oriented languages:

- Lisp, ML, Java, Perl, Mathematica,

Variants ("conservative" garbage collectors) exist for C/C++

- But, cannot necessarily collect all garbage

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## Garbage Collection

How does the memory manager know when memory can be freed?

- In general, we cannot know what is going to be used in the future, since it depends on conditionals
- But, we can tell that certain blocks cannot be used if there are no pointers to them

Must make certain assumptions about pointers

1. Memory manager can distinguish pointers from non-pointers
2. All pointers point to the start of a block
3. Cannot hide pointers (e.g., by coercing them to an `int`, and then back again)

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## Classical GC Algorithms

Mark-and-sweep collection (McCarthy, 1960)

- Does not move blocks (unless you also “compact”)

Reference counting (Collins, 1960)

- Does not move blocks (not discussed)

Copying collection (Minsky, 1963)

- Moves blocks (not discussed)

Generational Collectors (Lieberman and Hewitt, 1983)

- Collection based on lifetimes
  - Most allocations become garbage very soon
  - So focus reclamation work on zones of memory recently allocated

For more information, see Jones and Lin, “*Garbage Collection: Algorithms for Automatic Dynamic Memory*”, John Wiley & Sons, 1996.

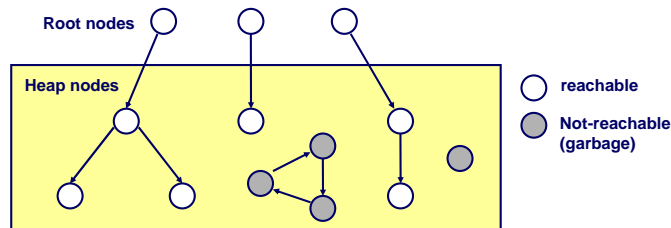
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## Memory as a Graph

We view memory as a directed graph

- Each block is a node in the graph
- Each pointer is an edge in the graph
- Locations not in the heap that contain pointers into the heap are called **root** nodes (e.g., registers, locations on the stack, global variables)



A node (block) is **reachable** if there is a path from any root to that node

Non-reachable nodes are **garbage** (cannot be needed by the application)

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## Assumptions For This Lecture

Application

- `new(n)`: returns pointer to new block with all locations cleared
- `read(b, i)`: read location `i` of block `b` into register
- `write(b, i, v)`: write `v` into location `i` of block `b`

Each block will have a header word

- addressed as `b[-1]`, for a block `b`
- Used for different purposes in different collectors

Instructions used by the Garbage Collector

- `is_ptr(p)`: determines whether `p` is a pointer
- `length(b)`: returns the length of block `b`, not including the header
- `get_roots()`: returns all the roots

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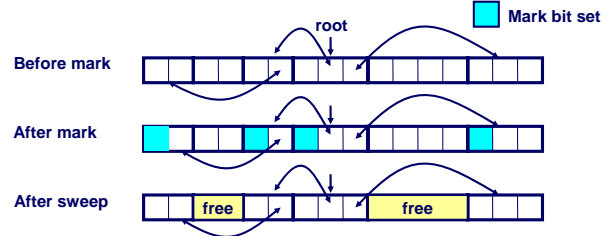
## Mark and Sweep Collecting

### Can build on top of malloc/free package

- Allocate using `malloc` until you "run out of space"

### When out of space:

- Use extra **mark bit** in the head of each block
- Mark:** Start at roots and set **mark bit** on each reachable block
- Sweep:** Scan all blocks and **free** blocks that are **not marked**



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## Mark and Sweep (cont.)

### Mark using depth-first traversal of the memory graph

```
ptr mark(ptr p) {
    if (!is_ptr(p)) return; // do nothing if not pointer
    if (markBitSet(p)) return; // check if already marked
    setMarkBit(p); // set the mark bit
    for (i=0; i < length(p); i++) // mark all children
        mark(p[i]);
    return;
}
```

### Sweep using lengths to find next block

```
ptr sweep(ptr p, ptr end) {
    while (p < end) {
        if markBitSet(p)
            clearMarkBit();
        else if (allocateBitSet(p))
            free(p);
        p += length(p);
    }
}
```

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## Conservative Mark & Sweep in C

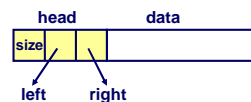
### A "conservative collector" for C programs

- `is_ptr()` determines if a word is a pointer by checking if it points to an allocated block of memory
- But, in C pointers, can point to the middle of a block



### So how do we find the beginning of the block?

- Can use a balanced tree to keep track of all allocated blocks (key is start-of-block)
- Balanced-tree pointers can be stored in header (use two additional words)



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## C operators (K&R p. 53)

### Operators

```
() [] -> .
! ~ ++ -- + - * & (type) sizeof
* / %
+ -
<< >>
< <= > >=
== !=
&
^
|
&&
||
?:
= += -= *= /= %= &= ^= != <<= >>=
,
```

### Associativity

```
left to right
right to left
left to right
left to right
left to right
left to right
left to right
left to right
left to right
left to right
left to right
left to right
right to left
left to right
```

Note: Unary +, -, and \* have higher precedence than binary forms

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## Review of C Pointer Declarations

<code>int *p</code>	p is a pointer to int
<code>int *p[13]</code>	p is an array[13] of pointer to int
<code>int *(p[13])</code>	p is an array[13] of pointer to int
<code>int **p</code>	p is a pointer to a pointer to an int
<code>int (*p)[13]</code>	p is a pointer to an array[13] of int
<code>int *f()</code>	f is a function returning a pointer to int
<code>int (*f)()</code>	f is a pointer to a function returning int
<code>int (*(f())[13])()</code>	f is a function returning ptr to an array[13] of pointers to functions returning int
<code>int (*(x[3])())[5]</code>	x is an array[3] of pointers to functions returning pointers to array[5] of ints

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## Overwriting Memory

Referencing a pointer instead of the object it points to

```
int *BinheapDelete(int **binheap, int *size) {
    int *packet;
    packet = binheap[0];
    binheap[0] = binheap[*size - 1];
    *size--;
    Heapify(binheap, *size, 0);
    return(packet);
}
```

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