Algorithm Design and Analysis

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Graph Algorithms - 4

Plan:

Min-cost Spanning Tree Algorithms:

- Prim's (review)
- Arborescence problem

Kleinberg-Tardos, Ch. 4

The Minimum Spanning Tree for <u>Undirected</u> Graphs



Find a spanning tree of minimum total weight.

The weight of a spanning tree is the sum of the weights on all the edges which comprise the spanning tree.

The Minimum Spanning Tree



Joseph Kruskal (1929-2010)

Boruvka's Algorithm (1926) Kruskal's Algorithm (1956) Prim's Algorithm (1957)



Robert Prim (1921-)

Prim's Algorithm

Greedy algorithm that builds a tree one VERTEX at a time.

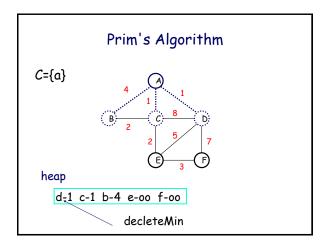
First described by Jarnık in a 1929 letter to Boruvka.

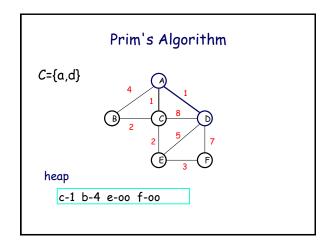
Rediscovered by Kruskal in 1956, by Prim in 1957, by Loberman and Weinberger in 1957, and finally by Dijkstra in 1958.

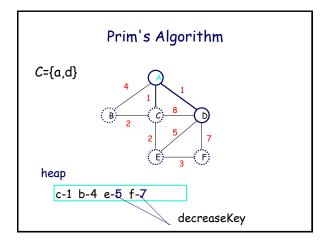
Prim's Algorithm

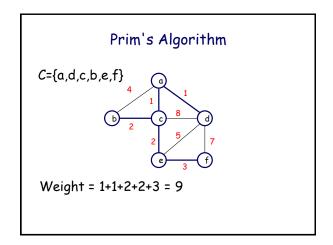
algorithm builds a tree one VERTEX at a time.

- Start with an arbitrary vertex as component $\ensuremath{\mathcal{C}}$
- Expand ${\cal C}$ by adding a new vertex having the minimum weight edge with exactly one end point in ${\cal C}$.
- Continue to grow the tree until C gets all vertices.





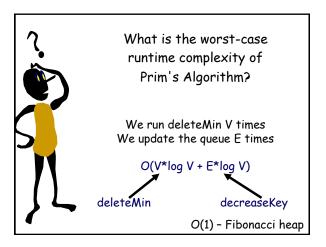




Property of the MST

Lemma: Let X be any subset of the vertices of G, and let edge e be the smallest edge connecting X to G-X.

Then e is part of the minimum spanning tree.



The Minimum Spanning Tree for <u>Directed</u> Graphs





Start at X and follow the greedy approach

We will get a tree of size 5, though the min is 4.

However there is even a smaller subset of edges - 3

The Minimum Spanning Tree for Directed Graphs

This example exhibits two problems

What is the meaning of MST for directed graphs?



Clearly, we want to have a rooted tree, in which we can reach any vertex staring at the root

How would you find it?

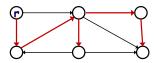
Clearly, the greedy approach of Prim's does not work

Arborescences

<u>Def.</u> Given a digraph G = (V, E) and a vertex $r \in V$, an arborescence (rooted at r) is a treeT s.t.

T is a spanning tree of G if we ignore the direction of edges.

There is a directed unique path in T from r to each other node $v \in V$.





Given a digraph G, find an arborescence rooted at r (if one exists)

Run DFS or BFS

Arborescences

<u>Theorem.</u> A subgraph T of G is an arborescence rooted at r iff T has no directed cycles and each node $v \neq r$ has exactly one entering edge.

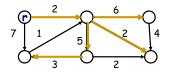
Proof.

- ⇒) Trivial.
- Start a vertex v and follow edges in backward direction.

Since no cycles you eventually reach r.

Min-cost Arborescences

Given a digraph G with a root node r and with a <u>nonnegative</u> cost on each edge, compute an arborescence rooted at r of minimum cost.



We assume that all vertices are reachable from r.

Min-cost Arborescences

Observation 1. This is not a min-cost spanning tree. It does not necessarily include the cheapest edge.

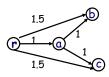


Running Prim's on undirected graph won't help.

Running an analogue of Prim's for directed graph won't help either

Min-cost Arborescences

Observation 2. This is not a shortest-path tree



Edges rb and rc won't be in the min-cost arborescence tree

Edge reweighting

For each $v \neq r$, let $\delta(v)$ denote the min cost of any edge entering v.

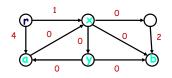
In the picture, $\delta(x)$ is 1.

The reduced cost $w^*(u, v) = w(u, v) - \delta(v) \ge 0$

 $\delta(y)$ is 5.

 $\delta(a)$ is 3.

 $\delta(b)$ is 3.



$$w^*(u, v) = w(u, v) - \delta(v)$$

Lemma. An arborescence in a digraph has the min-cost with respect to w iff it has the mincost with respect to w^* .

Proof. Let T be an arborescence in G(V,E).

Compute $w(T) - w^*(T)$

 $\delta(v)$ - min cost of any edge entering v

$$w(T) - w * (T) = \sum_{e \in T} w(e) - w * (e) = \sum_{v \in V \setminus r} \delta(v)$$

The last term does not depend on T.

QED

Algorithm: intuition

Let G^* denote a new graph after reweighting. For every v \neq r in G^* pick 0-weight edge entering v. Let B denote the set of such edges.

If B is an arborescence, we are done.

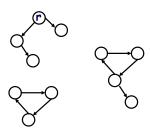
Note B is the min-cost since all edges have 0 cost.

If B is NOT an arborescence...

When B is not an arborescence?

How can it happen B is not an arborescence?

Note, only a single edge can enter a vertex



when it has a directed cycle or several cycles..



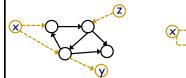


a directed cycle...



Vertex contraction

We contract every cycle into a supernode Dashed edges and nodes are from the original graph G.





Recursively solve the problem in contracted graph

The Algorithm

For each $v \neq r$ compute $\delta(v)$ - the mincost of edges entering v.

For each $v \neq r$ compute $w^*(u, v) = w(u, v) - \delta(v)$.

For each v≠r choose 0-cost edge entering v.

Let us call this subset of edges - B.

If B forms an arborescence, we are done.

else

Contract every cycle C to a supernode

Repeat the algorithm

Extend an arborescence by adding all but one edge of C.

Return

Complexity

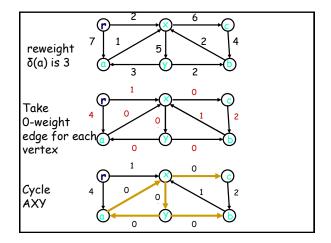
At most V contractions (since each one reduces the number of nodes).

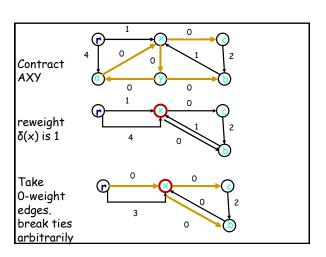
Finding and contracting the cycle C takes O(E).

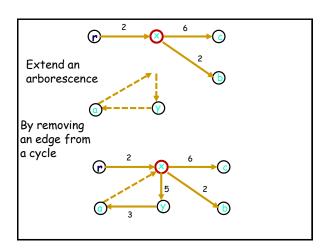
Transforming T' into T takes O(E) time.

Total - O(V E).

Faster for Fibonacci heaps.

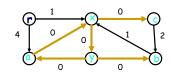






Correctness

<u>Lemma.</u> Let C be a cycle in G consisting of 0-cost edges. There exists a mincost arborescence rooted at C that has exactly one edge entering C.



Correctness

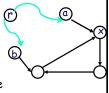
<u>Lemma.</u> Let *C* be a cycle in *G* consisting of 0-cost edges. There exists a mincost arborescence rooted at r that has exactly one edge entering *C*.

Proof. Let T be a min-cost arborescence that has more than one edge enters C

Let (a,x) lies on a shortest path from r.

We delete all edges in T that enters C except (a,b)

We add all edges in ${\cal C}$ except the one that enters ${\bf x}$.



Correctness

<u>Lemma.</u> Let C be a cycle in G consisting of O-cost edges. There exists a mincost arborescence rooted at r that has exactly one edge entering C.

Claim: that new tree T* is a mincost arborescence

1. $cost(T^*) \le cost(T)$ since we add 0-cost edges

2. T* has exactly one edge entering each vertex

3. T* has no cycles.

