

### Smale's third problem

**P = NP?**



### Millennium Prize Problems

Seven famous problems in math stated in 2000 by the Clay Foundation \$1,000,000 prize for solving any of them

One of the problems: P vs. NP

### Millennium Prize Problems



Keith Devlin

If one is solved in the next few years, it'll probably be P vs. NP

If, in the year 3000, one of them is **unsolved**, it will be P vs. NP



Laszlo Lovasz

### Polynomial Time Complexity

Is there a fixed constant  $c$  and an algorithm  $A$  such that  $A$  solves the decision problem in time  $O(n^c)$ ?

### Verifying solutions

In some problems (like SUDOKU), verifying the solution can be done efficiently

NP = Decision problems whose solutions can be **verified** in polynomial time in their input size

The N in NP stands for "nondeterministically"



Here's how P vs. NP is usually (informally) stated:

Let  $L$  be an algorithmic task.

Suppose there is an efficient algorithm for verifying solutions to  $L$ . " $L \in NP$ "

Is there always also an efficient algorithm for finding solutions to  $L$ ? " $L \in P$ "

## Definition of P

An input is encoded as a binary string.

$P = \{L \subseteq \{0, 1\}^* \mid \exists \text{ polynomial time algorithm for deciding } L\}$

## Definition of NP

NP =  
 $\{$   
 $L \subseteq \{0, 1\}^* \mid \exists \text{ polynomial time verifier}$   
 $R(x, y) = \text{true, where } x \in L \text{ and } |y| \leq O(|x|^c)$   
 $\}$

## Definition of NP-hard

NP-hard =  $\{L \subseteq \{0, 1\}^* \mid \forall X \in \text{NP and } X \leq_p L\}$

To reduce problem  $X$  to problem  $L$  (we write  $X \leq_p L$ ) we want a function  $f$  that maps  $X$  to  $L$  such that:

- 1)  $f$  is a polynomial time computable
- 2)  $x \in X$  if and only if  $f(x) \in L$ .

In short. We need to convert  $X$  into  $L$ .

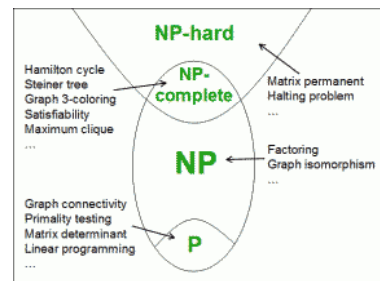
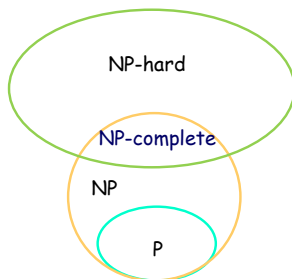
**Lemma.** If  $A \leq_p B$  and  $B \in P$  then  $A \in P$ .

## Definition of NP-complete

$L$  is NP-complete iff

- 1)  $L \in \text{NP}$
  - 2)  $L \in \text{NP-hard}$
- 2) For all  $Y \in \text{NP}, Y \leq_p L$

## Venn Diagram ( $P \neq \text{NP}$ )



## NP-complete Reduction

A recipe for proving any  $L \in \text{NP-complete}$ :

- 1) Prove  $L \in \text{NP}$
- 2) Choose  $A \in \text{NPC}$  and reduce it to  $L$ 
  - 2.1) Describe mapping  $f: A \rightarrow L$
  - 2.2) Prove  $x \in A$  iff  $f(x) \in L$
  - 2.3) Prove  $f$  is polynomial

## Conjunctive Normal Form

Let  $X_k$  denote variables.  
We define literals as either  $X_k$  or  $\neg X_k$ .

The conjunctive normal form (CNF) is an AND of OR clauses. For example,

$$(X_1 \vee X_2 \vee \neg X_3) \wedge (X_1 \vee \neg X_2 \vee X_4) \wedge \dots$$

**SAT Problem:** is there exist a set of variables that satisfy a given CNF?

## Cook-Levin Theorem (1971)

SAT is NP-complete

No proof, see Kozen's textbook.

## 3-CNF problem (or 3-SAT)

Each clause has a most 3 literals.

**Question:** Is there such a set of input variables that 3-cnf is true?

**Theorem.** 3-CNF is NP-complete

**Proof.**

$3\text{-CNF} \subseteq \text{NP}$

We need to show  $\text{CNF} \leq_p 3\text{-CNF}$ .

## $\text{CNF} \leq_p 3\text{-CNF}$

We need to convert any CNF into 3-CNF...

**Claim:**

$$(a \vee b \vee c \vee d) \text{ is true iff} \\ (a \vee b \vee x) \wedge (\neg x \vee c \vee d) \text{ is true}$$

$$(a \vee b \vee c \vee d \vee e) \text{ converts to} \\ (a \vee b \vee x) \wedge (\neg x \vee c \vee y) \wedge (\neg y \vee d \vee e)$$

The rest of the proof is left as an exercise to a reader.

## Clique is NP-complete

- 1) Clique is in NP
- 2) We will show that  $\text{SAT} \leq_p \text{Clique}$   
Create a vertex for each variable in a clause, assume  $k$ -clauses.

Two vertices (from different clauses) are connected if one is NOT negation of other.

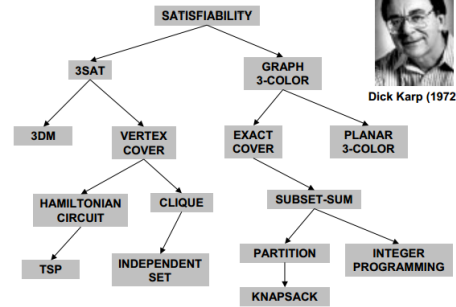
A CNF is satisfiable if at least one literal in each clause is true. Thus those literals create a  $k$ -clique.

## Sudoku

Theorem (2002)

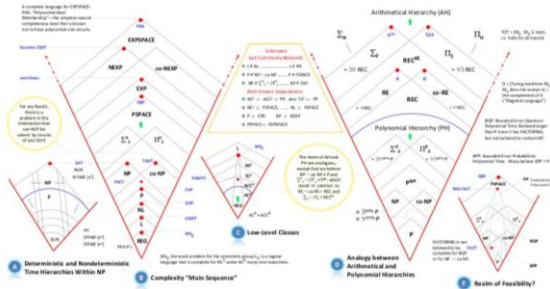
There is a polynomial reduction from 3-coloring to sudoku.

## Reduction



All of these problems poly-reduce to one another!

## Complexity universe



Travelling Salesman is an intellectual thriller about four mathematicians hired by the U.S. government to solve the most elusive problem in computer science history — P vs. NP.

The four have jointly created a "system" which could be the next major advancement for our civilization or destroy the fabric of humanity.

[www.travellingsalesmanmovie.com/](http://www.travellingsalesmanmovie.com/)