

# Midterm Exam (Homework #6 / Homework #7)

⚠ This is a preview of the published version of the quiz

Started: Jan 13 at 10:52pm

## Quiz Instructions

### Instructions

- This exam is an individual effort.
- You are not permitted to help others, in any way, with this exam.
- You are not permitted to release or to discuss this exam with anyone, except the course staff, until given permission to do so by the instructors (which will not occur until all students have completed the exam. There may be exceptional cases that take it late).
- You are permitted to use only the official course textbook, the official course slides, and your own personal notes.
- A simple calculator is permitted, but won't prove to be helpful (we don't think).
- You have 160 minutes, from first exposure through submission to take this exam. Do not attempt to "peek", "check", or "test" the exam. This will start your clock.
- We only expect the exam to take 70-90 minutes.
- The exam counts for the 25% "exam portion" of the midterm grade, but is reduced to counting as a "double homework" for the final grade.
- In order to make the exam an "invested but low stakes" experience, half of this exam's weight toward the final grade may be dropped as one of the two "homework drops", but the full weight can't be dropped.

Question	Topic	Points
1	Integers	10
2	Floats	15
3	Array Sizes	5
4	Array Arithmetic	5
5	Structs and Alignment	12
6	Assembly: Basic	8
7	Assembly: Switch	15
8	Assembly: Loops and Conditionals	12
9	Memory Hierarchy	5
10	Locality	3
11	Caching	10
Total:		100

**Question 1**

10 pts

**1. Integers (10 points, 2 points per blank)**

This question is based upon the following declaration on a **machine using 6-bit two's complement arithmetic for signed integers**.

Fill in the empty boxes in the table below.

- Show all digits for the "Binary" column, including any leading 0s. Do not add spaces, letters, annotations, groupings, units, etc.
- You need not fill in entries marked with "--".
- TMax denotes the largest positive two's complement number
- TMin denotes the most negative two's complement number.

Expression	Decimal Representation	Binary Representation
0 + 0	<input type="text"/>	-
Tmin	<input type="text"/>	-
-28 - 5	<input type="text"/>	-
--	-7	<input type="text"/>
--	<input type="text"/>	101101

**2. Floats (15 points)**

The floating point questions below are based upon an IEEE-like floating point format with the following specification:

- 9-bit width
- There is  $s = 1$  sign bit
- There are  $k = 4$  fraction bits
- Wherever rounding is necessary, round-to-even should be used. In addition, you should give the rounded value of the encoded floating point number.
- This question asks about the undecoded bits within the IEEE-like representation, answer in binary without spaces, groupings, annotations, letters, units, etc.

**Question 2****1 pts****Question 2: Floats (15 points, 1 point for this part)****2(A) (1 points)** What is the bias? (Answer in decimal)**Question 3****1 pts****Question 2: Floats (15 points, 1 point for this part)****2(B) (1 points)** What is the exponent for denormalized numbers? (Answer in decimal)*Hint:* This question asks about the actual, decoded exponent, not the bit pattern or value of the bit pattern in isolation.**Question 4****1 pts****Question 2: Floats (15 points, 1 point for this part)****2(C) (1 points)** What is the maximum exponent for normalized numbers? (Answer in decimal)*Hint:* This question asks about the actual, decoded exponent, not the bit pattern or value of the bit pattern in isolation.**Question 5****1 pts****Question 2: Floats (15 points, 1 point for this part)****2(D) (1 points)** What exponent bit pattern is used for special values (infinity, NaN, etc)?

*Hint:* This question asks about the undecoded bits within the IEEE-like representation, answer in binary without spaces, groupings, annotations, letters, units, etc.

**Question 6**

11 pts

**Question 2: Floats (15 points, 1 point for each blank in this part)**

This question is based upon an IEEE-like floating point format with the following specification:

- 9-bit width
- There is  $s = 1$  sign bit
- There are  $k = 4$  fraction bits
- Wherever rounding is necessary, round-to-even should be used. In addition, you should give the rounded value of the encoded floating point number.
- If the question asks about the undecoded bits within the IEEE-like representation, answer in binary without spaces, groupings, annotations, letters, units, etc.
- **For the 3rd column: Answer as a fully reduced decimal fraction**, i.e. use the smallest denominator possible without a fractional numerator.

**2(E-I) (1 point per blank)** Fill in the following:

Value	Binary Representation	Rounded Value as a reduced decimal fraction	Rounding <i>ERROR</i> as a reduced decimal fraction
3/16	<input type="text"/>	--	--
-9/1024	<input type="text"/>	--	--
-Infinity -Inf	<input type="text"/>	--	--
19/2048	<input type="text"/>	Fully reduced: + <input type="text"/> / <input type="text"/>	Fully reduced: (neglect sign) <input type="text"/> / <input type="text"/>
17/2048	<input type="text"/>	Fully reduced: + <input type="text"/>	--

		/	

**Question 7**

5 pts

**3. Arrays Sizes (5 points, 2.5pts per part)**

Consider the following definitions in an x86-64 system with 8-byte pointers and 2-byte shorts, 4-byte ints, and 8-byte longs. Answer with only a decimal number

Definition A	Definition B
int numbersA[ 5 ][ 3 ][ 2 ];	char *numbersB = numbersA;

**3(A) (2.5 points):** How many bytes are allocated to numbersA? (Write "UNKNOWN" if not knowable): Bytes

*Hint:* Think sizeof(); **answer with only a whole decimal number.** No units. no fractions. No weirdness.

 Bytes

**3(B) (2.5 points):** Consider the following line of code. What is the difference in value of `numbersB` before and after the increment? (Write "UNKNOWN" if not knowable):

```
numbersB = numbersB + 1;
```

*Hint:* **Answer with only a whole decimal number.** No units. no fractions. No weirdness.

 Bytes

**Question 8**

5 pts

**4.Array Arithmetic (5 points, 2.5 points per blank)**

**(2.5 points):** Consider the following definitions as implemented on a shark machine, i.e. x86-64 with 1-byte chars, 2-byte shorts, 4-byte ints, 8-byte longs, and 8-byte pointers.

Definition A	Definition B

```
unsigned long numbersA[ 5 ][ 3 ]; unsigned long *numbersB = numbersA;
```

For each part below, write "UNKNOWN" (without quotes) if there is not enough information to answer the question or the answer is otherwise unknowable. **Otherwise, answer with only a whole decimal number.** No units. no fractions. No weirdness.

**4(A) (2.5 points)** What is the difference, i.e. number of bytes, between the addresses of `numbersA[ 1 ][ 2 ]` and `numbersA[ 2 ][ 1 ]`?

Bytes

**4(B) (2.5 points)** What is the difference, i.e. number of bytes, between `numbersB[ 1 ][ 2 ]` and `numbersB[ 2 ][ 1 ]`?

Bytes

### 5. Structs and Alignment (12 points, 2 points per part)

The struct questions below are based upon the following definition as implemented on a shark machine, i.e. x86-64 with 1-byte chars, 2-byte shorts, 4-byte ints, 8-byte longs, and 8-byte pointers.

```
struct {
    char c1;
    char c2;
    short s;
    long l;
    int i;
} exam;
```

Assume a system which requires "natural alignment" (the alignment presented in lectures), i.e. each type needs to be aligned to a multiple of its data type size.

### Question 9

2 pts

### 5. Structs and Alignment (12 points, 2 points per part)

**5(A) (2 points)** What is the value of `sizeof(struct exam)`?

### Question 10

2 pts

**5. Structs and Alignment (12 points, 2 points per part)**

**5(B) (2 points)** How many bytes of padding does the compiler introduce after s?

**Question 11**

**2 pts**

**5. Structs and Alignment (12 points, 2 points per part)**

**5(C) (2 points)** How many bytes of padding does the compiler introduce after l?

**Question 12**

**2 pts**

**5. Structs and Alignment (12 points, 2 points per part)**

**5(D) (2 points)** How many bytes of padding does the compiler introduce after i?

**Question 13**

**2 pts**

**5. Structs and Alignment (10 points, 2 points per part)**

**5(E) (2 points)** Which of the following field orderings minimize the amount of padding introduced by the compiler?

c1, c2, s, l, i

s, c1, c2, l, i

None of the above

c1, s, c2, i, l

c1, c2, s, i, l

All of the above

**Question 14**

2 pts

**5. Structs and Alignment (12 points, 2 points per part)**

**5(F) (2 points)** Assuming the fields of the struct were organized optimally by the programmer, what would be the value of sizeof (struct exam)?

**Question 15**

8 pts

**6. Assembly-Basic (8 points, 2 points per part)**

Please consider the following assembly:

```
movq 32(%rdi), %rax      # Assume that %rdi holds the starting address of an array
movq %rax, 16(%rdi)
movq 8(%rdi), %rax
leaq (%rax,%rax,4), %rax
salq $2, %rax
movq %rax, 24(%rdi)
```

**6(A) (2 points)** What type are the elements of the array?

**6(B) (2 points)** Upon what element, i.e. via what index, of the array is arithmetic being performed?

**6(C) (2 points)** What arithmetic is being performed upon that element?

**6(D) (2 points)** Which one of the following elements of the array never has values assigned to it by this assembly code?

**7. Assembly-Switch (15 points)**

Consider the following code, which was compiled from C Programming Language source code containing one switch statement and no (zero) if statements:



```

Dump of assembler code for function foo:
0x000000000400530 <+0>:   cmp    $0x5,%esi
0x000000000400533 <+3>:   ja    0x400555 <foo+37>
0x000000000400535 <+5>:   mov    %esi,%esi
0x000000000400537 <+7>:   jmpq  *0x400620(,%rsi,8)
0x00000000040053e <+14>:  lea   0x1(%rdi),%eax
0x000000000400541 <+17>:  retq
0x000000000400542 <+18>:  shl   $0x2,%edi
0x000000000400545 <+21>:  lea   (%rdi,%rdi,1),%eax
0x000000000400548 <+24>:  retq
0x000000000400549 <+25>:  lea   0x3(%rdi),%eax
0x00000000040054c <+28>:  test  %edi,%edi
0x00000000040054e <+30>:  cmovns %edi,%eax
0x000000000400551 <+33>:  sar   $0x2,%eax
0x000000000400554 <+36>:  retq
0x000000000400555 <+37>:  mov   %edi,%eax
0x000000000400557 <+39>:  shl   $0x4,%eax
0x00000000040055a <+42>:  retq
End of assembler dump.

```

Consider also the following dump:

```

(gdb) x/16gx 0x400610
0x400610:  0x0000000000020001  0x0000000000000000
0x400620:  0x00000000040053e  0x00000000040053e
0x400630:  0x000000000400542  0x000000000400545
0x400640:  0x000000000400555  0x000000000400549
0x400650:  0x0000003c3b031b01  0xfffffdb000000006
0x400660:  0xfffffd0000000088  0xfffffee000000058
0x400670:  0xfffff0b0000000b0  0xfffff40000000c8
0x400680:  0xfffffb0000000e8   Cannot access memory at address 0x400688

```

## Question 16

3 pts

### 7. Assembly-Switch (15 points)

7(A) (3 points) Which of the following executes for case 3?

- lea 0x1(%rdi),%eax
- mov %edi,%eax
- shl \$0x2,%edi
- lea 0x3(%rdi),%eax
- shl \$0x4,%eax
- None of the above
- lea (%rdi,%rdi,1),%eax

## Question 17

3 pts

**7. Assembly-Switch (15 points)**

**7(B) (3 points)** Which integer input values are managed by non-default cases of the switch statement? Check all that apply.

- 1
- 2
- None of the above
- 4
- 3
- Other value(s) in addition to those above
- 5

**Question 18**

**3 pts**

**7. Assembly-Switch (15 points)**

**7(C) (3 points)** If there is a default case, at what address, in hex, does the begin?

- If there isn't a default case, write NONE.
- When writing an address, please do not include any leading 0s, prefixes or suffixes, or any spaces, and please write any letters in either all upper or all lower case, not mixed case.

Your answer: [blank]

**Question 19**

**3 pts**

**7. Assembly-Switch (15 points)**

**7(D) (3 points)** Which of the following case(s), if any, consist of exactly the same code as least one other case (no extra code, no code missing)? Check all that apply. [exact\_same]

- 3
- 2
- 0
- 1
- 5

None of the above

4

## Question 20

3 pts

### 7. Assembly-Switch (15 points)

7(E) (3 points) Which case(s), if any, fall through to the next case *after executing some of their own code*?

None of the above

4

0

3

5

2

1

### 8. Loops and Conditionals (12 points)

Consider the following code, under the assumption that it was compiled in the same environment using the same "shark machine" toolset you've used all semester:

```
(gdb) disassemble loop
Dump of assembler code for function loop:
0x0000000040059d <+0>:  push  %rbp
0x0000000040059e <+1>:  mov   %rsp,%rbp
0x000000004005a1 <+4>:  push  %r14
0x000000004005a3 <+6>:  push  %r13
0x000000004005a5 <+8>:  push  %r12
0x000000004005a7 <+10>: push  %rbx
0x000000004005a8 <+11>: sub   $0x20,%rsp
0x000000004005ac <+15>: mov   %edi,-0x34(%rbp)
0x000000004005af <+18>: mov   %esi,-0x38(%rbp)
0x000000004005b2 <+21>: mov   %edx,-0x3c(%rbp)
0x000000004005b5 <+24>: mov   $0x0,%r13d
0x000000004005bb <+30>: mov   $0x0,%r14d
0x000000004005c1 <+36>: mov   $0x0,%ebx
0x000000004005c6 <+41>: mov   $0x0,%r12d
0x000000004005cc <+47>: mov   $0x64,%eax
0x000000004005d1 <+52>: cmpl  $0x64,-0x34(%rbp)
0x000000004005d5 <+56>: cmovge -0x34(%rbp),%eax
0x000000004005d9 <+60>: mov   %eax,-0x34(%rbp)
0x000000004005dc <+63>: mov   $0x64,%eax
0x000000004005e1 <+68>: cmpl  $0x64,-0x38(%rbp)
0x000000004005e5 <+72>: cmovge -0x38(%rbp),%eax
0x000000004005e9 <+76>: mov   %eax,-0x38(%rbp)
0x000000004005ec <+79>: mov   $0x0,%ebx
0x000000004005f1 <+84>: jmp   0x40062c <loop+143>
0x000000004005f3 <+86>: mov   $0x0,%r13d
0x000000004005f9 <+92>: mov   $0x0,%r12d
0x000000004005ff <+98>: jmp   0x400620 <loop+131>
0x00000000400601 <+100>: lea  -0x24(%rbp),%rax
0x00000000400605 <+104>: mov   %rax,%rsi
0x00000000400608 <+107>: mov   $0x400710,%edi
0x0000000040060d <+112>: mov   $0x0,%eax
0x00000000400612 <+117>: callq 0x4004a0 <__isoc99_scanf@plt>
0x00000000400617 <+122>: mov   -0x24(%rbp),%eax
0x0000000040061a <+125>: add  %eax,%r13d
0x0000000040061d <+128>: add  %ebx,%r12d
0x00000000400620 <+131>: cmp  -0x38(%rbp),%r12d
```

```
0x00000000400624 <+135>:  jl    0x400601 <loop+100>
0x00000000400626 <+137>:  add   %r13d,%r14d
0x00000000400629 <+140>:  add   $0x1,%ebx
0x0000000040062c <+143>:  cmp   -0x34(%rbp),%ebx
0x0000000040062f <+146>:  jl    0x4005f3 <loop+86>
0x00000000400631 <+148>:  cmp   $0x63,%r14d
0x00000000400635 <+152>:  jg    0x40063e <loop+161>
0x00000000400637 <+154>:  mov   $0x64,%eax
0x0000000040063c <+159>:  jmp   0x400641 <loop+164>
0x0000000040063e <+161>:  mov   %r14d,%eax
0x00000000400641 <+164>:  add   $0x20,%rsp
0x00000000400645 <+168>:  pop   %rbx
0x00000000400646 <+169>:  pop   %r12
0x00000000400648 <+171>:  pop   %r13
0x0000000040064a <+173>:  pop   %r14
0x0000000040064c <+175>:  pop   %rbp
0x0000000040064d <+176>:  retq
End of assembler dump.
```

## Question 21

3 pts

### 8. Loops and Conditionals (12 points)

8(A) (3 points) How many loops are in the code?

- 0
- 4 or more
- 1
- 3
- 2

## Question 22

3 pts

### 8. Loops and Conditionals (12 points)

8(B) (3 points) What is the relationship between/among the loop(s)?

- They are all nested
- There is only one loop, so there is no relationship between or among loops
- Nested and one after another
- One after another

## Question 23

3 pts

### 8. Loops and Conditionals (12 points)

8(C) (3 points) Which of the following are true? Check all that apply.

- The loop control variable (the variable used to test whether to loop again or exit the loop) of one loop is used by or within another loop
- Two or more loops have a stopping value in common, e.g. progress up to or down to the same number.
- Two or more loops have a loop control variable in common

### Question 24

3 pts

### 8. Loops and Conditionals (12 points)

8(D) (3 points) How many times is the ?-operator likely used in the source C Language code?

- 0
- 3
- 1
- 4 or more
- 2

### Question 25

5 pts

### 9. Memory Hierarchy (5 points)

Your goal is to design a memory system with an average access time of 1.1nS or less.

You are given the following:

- L1 cache with an access time of 1ns and a hit rate of 99%
- L2 cache with an access time of 6ns
- Main memory with an access time of 106ns

The access times for L2 and Main memory are end-to-end times, i.e., the L2 time includes the time taken to check the L1 and the Main memory time includes the time taken to check the L1 and L2.

What is the maximum permissible L2 cache miss rate, expressed as a percentage, e.g. 0 for 0% or 10 for 10%, or 12 for 12%, or 50 for 50%, or 92 for 92%. Please enter only a 1- or 2-digit number **without** the % -sign. Do not enter fractions, values less than 1, etc. Round **up** to the nearest percent.

**Question 26****3 pts****10. Locality (3 points)**

Consider a cache with 8 sets, 2 lines/set, and a block size of 16 bytes on a system with 4-byte ints.

What is the maximum stride (index step) size while sequentially accessing a 1D int array to maintain a cache miss rate of no more than 42%?

**11. Caching (10 points)**

Given a model described as follows:

- Number of sets: 8
- Total size: 64 bytes (not counting meta data)
- Block offset bits: 2
- Replacement policy: Set-wise LRU
- 8-bit addresses

**Question 27****1 pts****11. Caching (10 points)**

**11(A) (1 point)** How many lines per set?

**Question 28****1 pts****11. Caching (10 points)**

**11(B) (1 point)** How many bytes per block?

**Question 29****8 pts**

**11. Caching (10 points)**

**11(C) (8 points, 0.5 points each blank):** Consider the following memory access trace, which is in order and begins at the beginning of time. For each of the following memory accesses, please indicate if it hits or misses, and if it misses, if it suffers from a capacity miss, a conflict miss, or a cold miss:

Question Number	Address	Hit or Miss? Circle one (per row):	Miss Type? Circle one (per row)
11(C)(1)	0xA2	[ Select ] ▼	[ Select ] ▼
11(C)(2)	0xD0	[ Select ] ▼	[ Select ] ▼
11(C)(3)	0XD7	[ Select ] ▼	[ Select ] ▼
11(C)(4)	0X92	[ Select ] ▼	[ Select ] ▼
11(C)(5)	0XD3	[ Select ] ▼	[ Select ] ▼
11(C)(6)	0XB2	[ Select ] ▼	[ Select ] ▼
11(C)(7)	0XA1	[ Select ] ▼	[ Select ] ▼
11(C)(8)	0X92	[ Select ] ▼	[ Select ] ▼

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