

Dynamic Memory Allocation: Advanced Concepts

18-213/18-613: Introduction to Computer Systems 15th Lecture, March 14, 2023

3

Review: Dynamic Memory Allocation

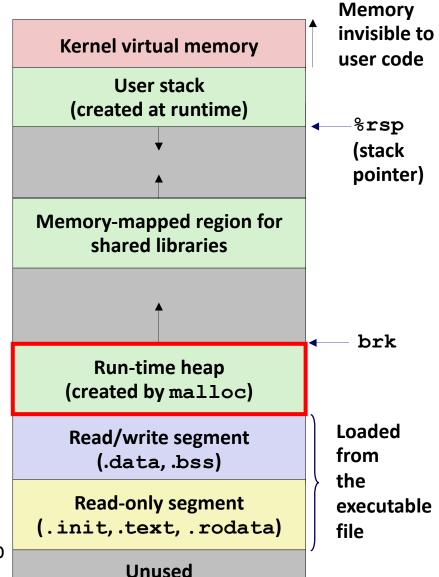
Application

Dynamic Memory Allocator

Heap

- Programmers use dynamic memory allocators (such as malloc) to acquire virtual memory (VM) at run time.
 - for data structures whose size is only known at runtime
- Dynamic memory allocators manage an area of process
 VM known as the heap.

0x400000



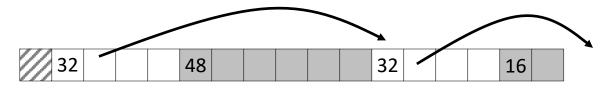
Review: Keeping Track of Free Blocks

■ Method 1: *Implicit list* using length—links all blocks



Need to tag each block as allocated/free

Method 2: Explicit list among the free blocks using pointers



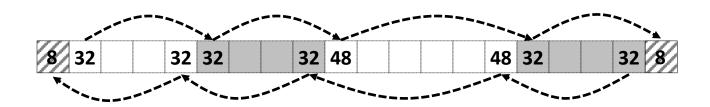
Need space for pointers

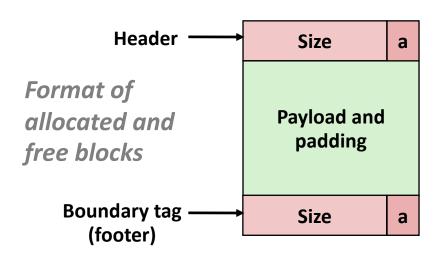
- Method 3: Segregated free list
 - Different free lists for different size classes
- Method 4: *Blocks sorted by size*
 - Can use a balanced tree (e.g. Red-Black tree) with pointers within each free block, and the length used as a key

Review: Boundary Tags for Coalescing

Boundary tags

- Replicate size/allocated word at "bottom" (end) of free blocks
- Allows us to traverse the "list" backwards, but requires extra space
- Important and general technique!





a = 1: Allocated block

a = 0: Free block

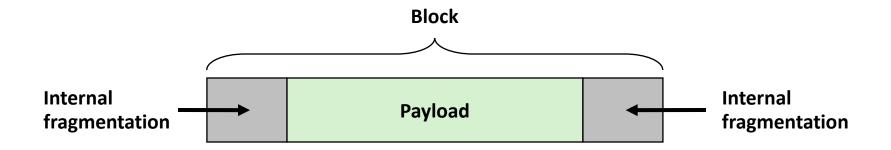
Size: Total block size

Payload: Application data (allocated blocks only)

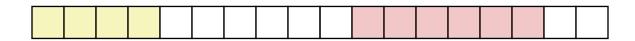
Disadvantage: Internal fragmentation

Review: Internal vs. External Fragmentation

 For a given block, internal fragmentation occurs if payload is smaller than block size



 External fragmentation occurs when there is enough aggregate heap memory, but no single free block is large enough

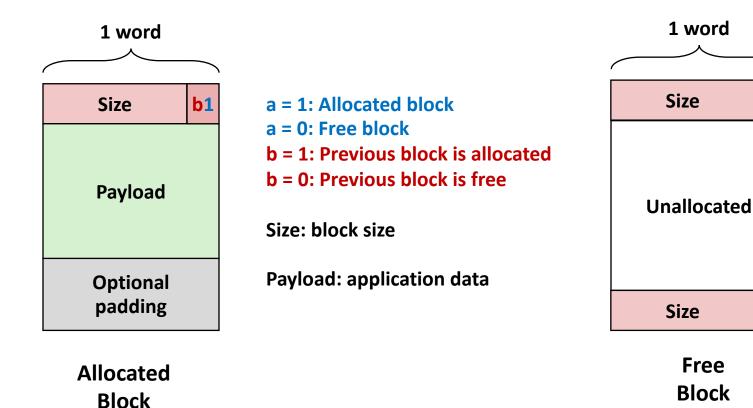


b0

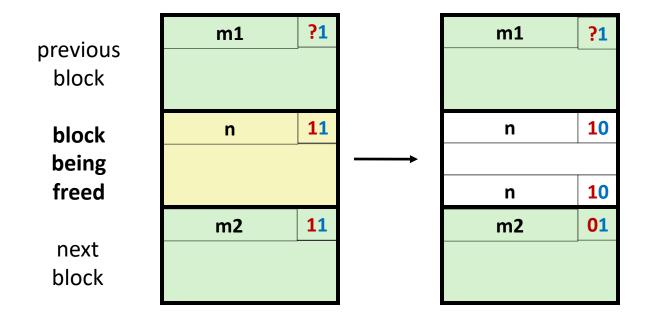
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Review: No Boundary Tag for Allocated Blocks

- Boundary tag needed only for free blocks
- When sizes are multiples of 16, have 4 spare bits

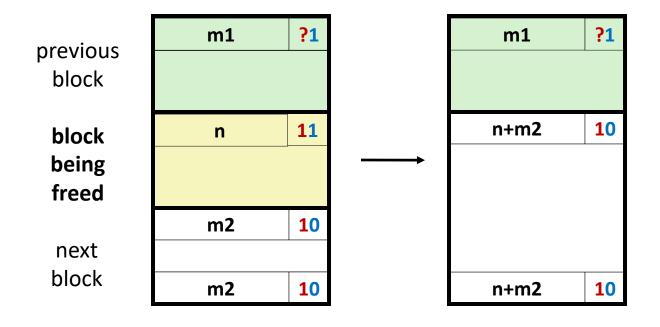


No Boundary Tag for Allocated Blocks (Case 1)



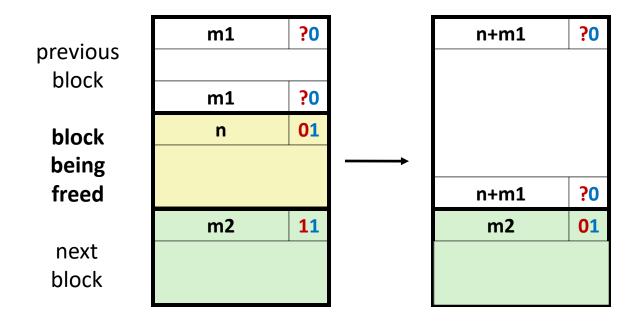
Header: Use 2 bits (address bits always zero due to alignment):

No Boundary Tag for Allocated Blocks (Case 2)



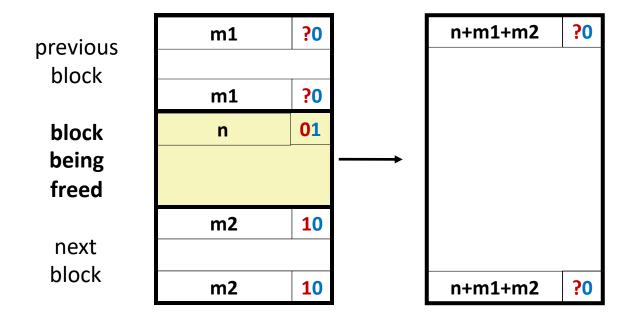
Header: Use 2 bits (address bits always zero due to alignment):

No Boundary Tag for Allocated Blocks (Case 3)



Header: Use 2 bits (address bits always zero due to alignment):

No Boundary Tag for Allocated Blocks (Case 4)



Header: Use 2 bits (address bits always zero due to alignment):

Implicit Lists Summary

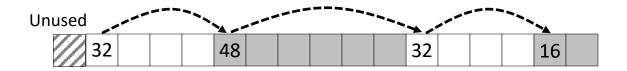
- Implementation: very simple
- Allocate cost:
 - linear time worst case
- Free cost:
 - constant time worst case
 - even with coalescing
- Memory Overhead:
 - Depends on placement policy
 - Strategies include first fit, next fit, and best fit
- Not used in practice for malloc/free because of lineartime allocation
 - used in many special purpose applications
- However, the concepts of splitting and boundary tag coalescing are general to all allocators

Today

- Implicit free lists (review)
- Explicit free lists CSAPP 9.9.13
- Segregated free lists
 CSAPP 9.9.14
- Memory-related perils and pitfalls CSAPP 9.11

Keeping Track of Free Blocks

Method 1: Implicit list using length—links all blocks

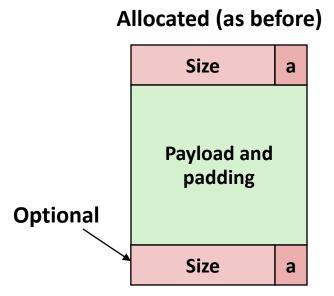


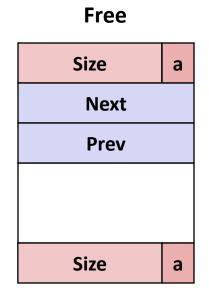
Method 2: Explicit list among the free blocks using pointers



- Method 3: Segregated free list
 - Different free lists for different size classes
- Method 4: *Blocks sorted by size*
 - Can use a balanced tree (e.g. Red-Black tree) with pointers within each free block, and the length used as a key

Explicit Free Lists





■ Maintain list(s) of *free* blocks, not *all* blocks

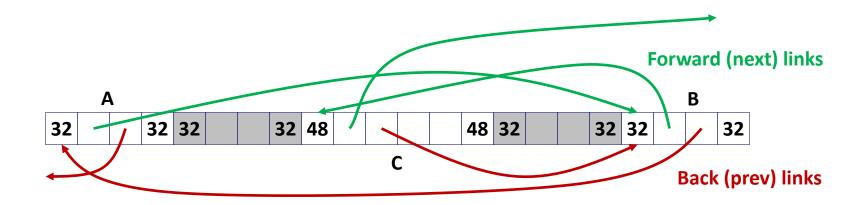
- Luckily we track only free blocks, so we can use payload area
- The "next" free block could be anywhere
 - So we need to store forward/back pointers, not just sizes
- Still need boundary tags for coalescing
 - To find adjacent blocks according to memory order

Explicit Free Lists

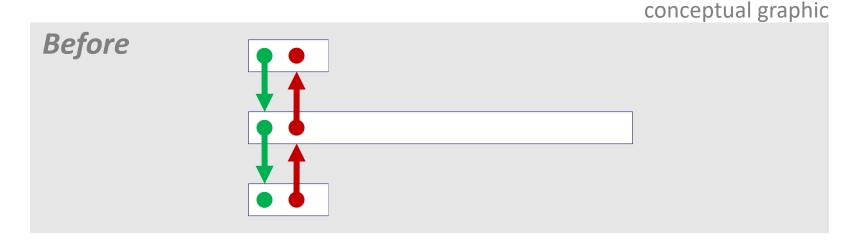
Logically:

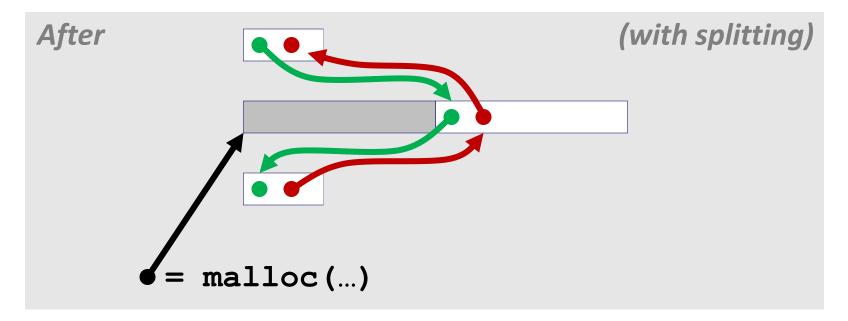


Physically: blocks can be in any order



Allocating From Explicit Free Lists





Freeing With Explicit Free Lists

Insertion policy: Where in the free list do you put a newly freed block?

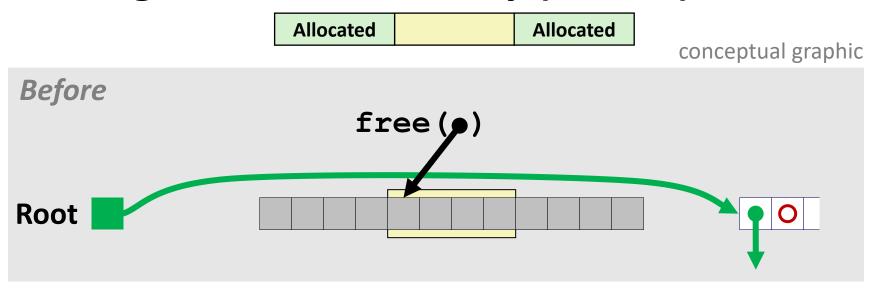
Unordered

- LIFO (last-in-first-out) policy
 - Insert freed block at the beginning of the free list
- FIFO (first-in-first-out) policy
 - Insert freed block at the end of the free list
- Pro: simple and constant time
- Con: studies suggest fragmentation is worse than address ordered

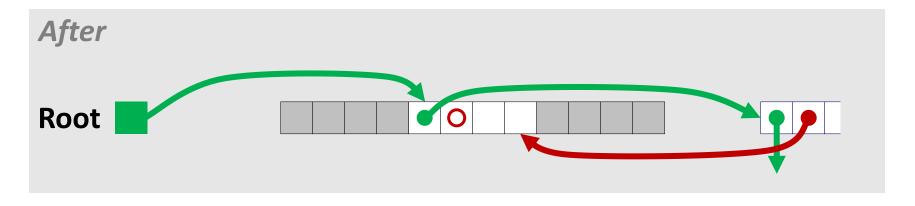
Address-ordered policy

- Insert freed blocks so that free list blocks are always in address order: addr(prev) < addr(curr) < addr(next)</p>
- Con: requires search
- Pro: studies suggest fragmentation is lower than LIFO/FIFO

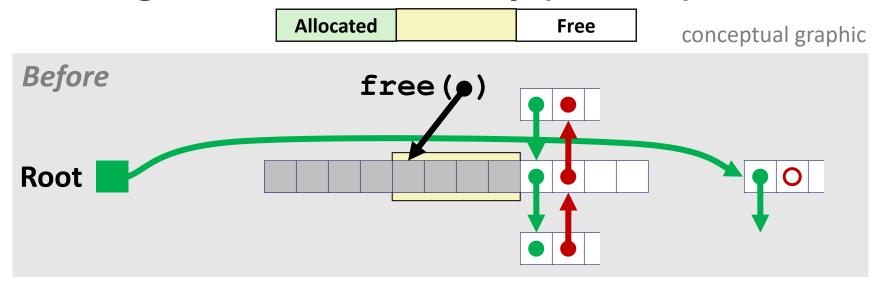
Freeing With a LIFO Policy (Case 1)



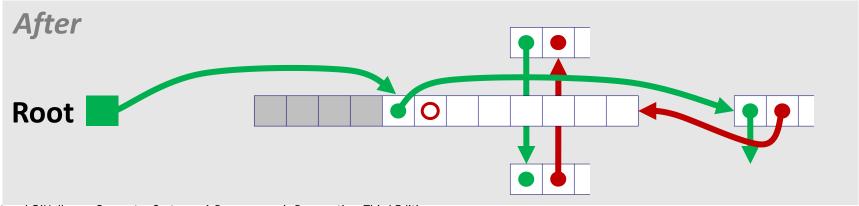
Insert the freed block at the root of the list



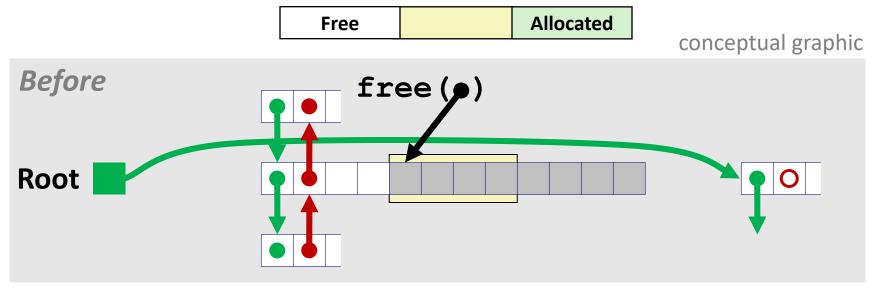
Freeing With a LIFO Policy (Case 2)



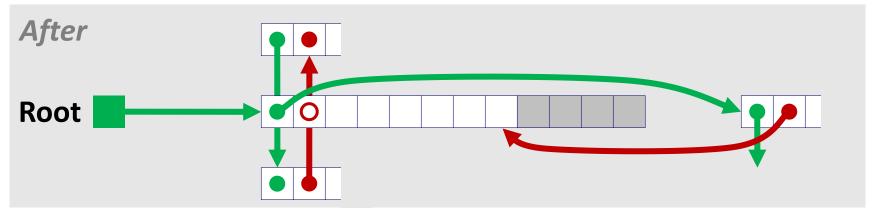
 Splice out adjacent successor block, coalesce both memory blocks, and insert the new block at the root of the list



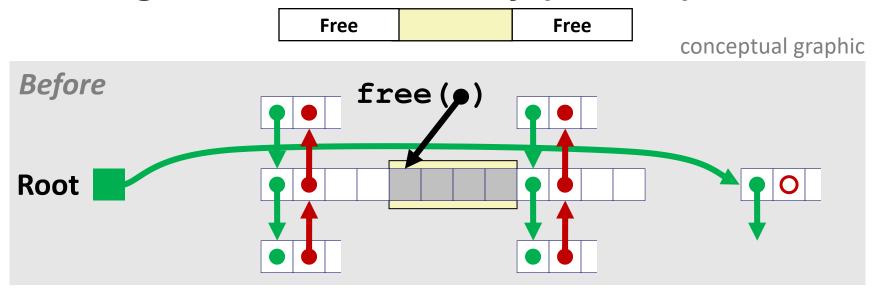
Freeing With a LIFO Policy (Case 3)



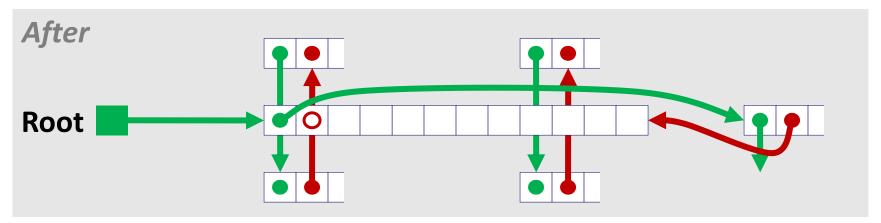
 Splice out adjacent predecessor block, coalesce both memory blocks, and insert the new block at the root of the list



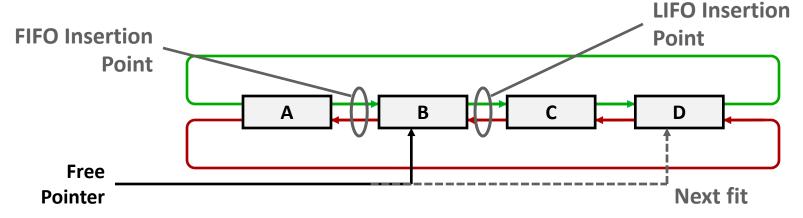
Freeing With a LIFO Policy (Case 4)



 Splice out adjacent predecessor and successor blocks, coalesce all 3 blocks, and insert the new block at the root of the list



Some Advice: An Implementation Trick



- Use circular, doubly-linked list
- Support multiple approaches with single data structure
- First-fit vs. next-fit
 - Either keep free pointer fixed or move as search list
- LIFO vs. FIFO
 - Insert as next block (LIFO), or previous block (FIFO)

Explicit List Summary

Comparison to implicit list:

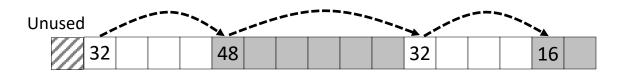
- Allocate is linear time in number of *free* blocks instead of *all* blocks
 - Much faster when most of the memory is full
- Slightly more complicated allocate and free because need to splice blocks in and out of the list
- Some extra space for the links (2 extra words needed for each block)
 - Does this increase internal fragmentation?

Today

- Explicit free lists
- Segregated free lists
- Memory-related perils and pitfalls

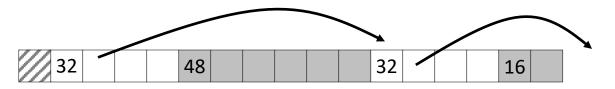
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Method 2: Explicit list among the free blocks using pointers

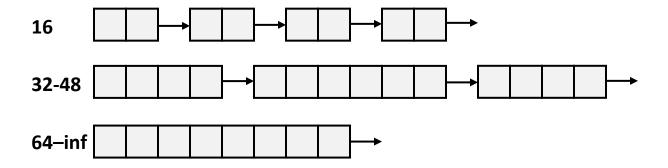


Need space for pointers

- Method 3: Segregated free list
 - Different free lists for different size classes
- Method 4: *Blocks sorted by size*
 - Can use a balanced tree (e.g. Red-Black tree) with pointers within each free block, and the length used as a key

Segregated List (Seglist) Allocators

Each size class of blocks has its own free list



- Often have separate classes for each small size
- For larger sizes: One class for each size $[2^i + 1, 2^{i+1}]$

Seglist Allocator

Given an array of free lists, each one for some size class

To allocate a block of size n:

- Search appropriate free list for block of size m > n (i.e., first fit)
- If an appropriate block is found:
 - Split block and place fragment on appropriate list
 - If no block is found, try next larger class
- Repeat until block is found

If no block is found:

- Request additional heap memory from OS (using sbrk ())
- Allocate block of n bytes from this new memory
- Place remainder as a single free block in appropriate size class.

Seglist Allocator (cont.)

- To free a block:
 - Coalesce and place on appropriate list
- Advantages of seglist allocators vs. non-seglist allocators (both with first-fit)
 - Higher throughput
 - log time for power-of-two size classes vs. linear time
 - Better memory utilization
 - First-fit search of segregated free list approximates a best-fit search of entire heap.
 - Extreme case: Giving each block its own size class is equivalent to best-fit.

More Info on Allocators

- D. Knuth, The Art of Computer Programming, vol 1, 3rd edition, Addison Wesley, 1997
 - The classic reference on dynamic storage allocation
- Wilson et al, "Dynamic Storage Allocation: A Survey and Critical Review", Proc. 1995 Int'l Workshop on Memory Management, Kinross, Scotland, Sept, 1995.
 - Comprehensive survey
 - Available from CS:APP student site (csapp.cs.cmu.edu)

Quiz Time!

Canvas Quiz: Day 15 – Malloc Advanced

Today

- Explicit free lists
- Segregated free lists
- Memory-related perils and pitfalls

Memory-Related Perils and Pitfalls

- Dereferencing bad pointers
- Reading uninitialized memory
- Overwriting memory
- Referencing nonexistent variables
- Freeing blocks multiple times
- Referencing freed blocks
- Failing to free blocks

Dereferencing Bad Pointers

■ The classic scanf bug

```
int val;
...
scanf("%d", val);
```

Reading Uninitialized Memory

Assuming that heap data is initialized to zero

```
/* return y = Ax */
int *matvec(int **A, int *x) {
   int *y = malloc(N*sizeof(int));
   int i, j;
   for (i=0; i<N; i++)
      for (j=0; j<N; j++)
         y[i] += A[i][j]*x[j];
   return y;
```

Can avoid by using calloc

Overwriting Memory

Allocating the (possibly) wrong sized object

```
int **p;

p = malloc(N*sizeof(int));

for (i=0; i<N; i++) {
   p[i] = malloc(M*sizeof(int));
}</pre>
```

Can you spot the bug?

Off-by-one errors

```
char **p;

p = malloc(N*sizeof(int *));

for (i=0; i<=N; i++) {
   p[i] = malloc(M*sizeof(int));
}</pre>
```

```
char *p;
p = malloc(strlen(s));
strcpy(p,s);
```

Not checking the max string size

```
char s[8];
int i;

gets(s); /* reads "123456789" from stdin */
```

Basis for classic buffer overflow attacks

Misunderstanding pointer arithmetic

```
int *search(int *p, int val) {
  while (p && *p != val)
    p += sizeof(int);

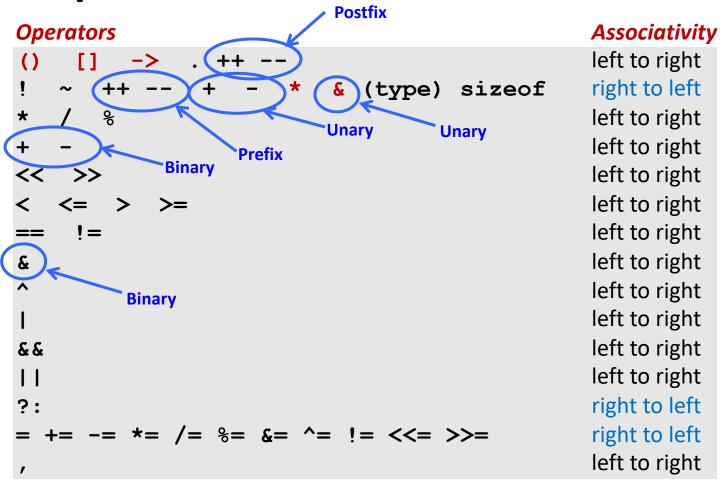
return p;
}
```

Referencing a pointer instead of the object it points to

```
int *BinheapDelete(int **binheap, int *size) {
   int *packet;
   packet = binheap[0];
   binheap[0] = binheap[*size - 1];
   *size--;
   Heapify(binheap, *size, 0);
   return(packet);
}
```

- What gets decremented?
 - (See next slide)

C operators



- ->, (), and [] have high precedence, with * and & just below
- Unary +, -, and * have higher precedence than binary forms

Referencing a pointer instead of the object it points to

```
int *BinheapDelete(int **binheap, int *size) {
   int *packet;
   packet = binheap[0];
   binheap[0] = binheap[*size - 1];
   *size--;
   Heapify(binheap, *size, 0);
   return(packet);
}
Operators
() [] -> . ++(--)

Associativity
left to right
```

Same effect as

```
size--;
```

Rewrite as

```
(*size) --;
```

Referencing Nonexistent Variables

Forgetting that local variables disappear when a function returns

```
int *foo () {
   int val;

return &val;
}
```

Freeing Blocks Multiple Times

Nasty!

Referencing Freed Blocks

Evil!

Failing to Free Blocks (Memory Leaks)

Slow, long-term killer!

```
foo() {
   int *x = malloc(N*sizeof(int));
   ...
   return;
}
```

Failing to Free Blocks (Memory Leaks)

Freeing only part of a data structure

```
struct list {
   int val;
   struct list *next;
};
foo() {
   struct list *head = malloc(sizeof(struct list));
  head->val = 0;
  head->next = NULL;
   <create and manipulate the rest of the list>
   free (head) ;
   return;
```

Dealing With Memory Bugs

- Debugger: gdb
 - Good for finding bad pointer dereferences
 - Hard to detect the other memory bugs
- Data structure consistency checker
 - Runs silently, prints message only on error
 - Use as a probe to zero in on error
- Binary translator: valgrind
 - Powerful debugging and analysis technique
 - Rewrites text section of executable object file
 - Checks each individual reference at runtime
 - Bad pointers, overwrites, refs outside of allocated block
- glibc malloc contains checking code
 - setenv MALLOC_CHECK_ 3

Supplemental slides

Implicit Memory Management: Garbage Collection

 Garbage collection: automatic reclamation of heap-allocated storage—application never has to explicitly free memory

```
void foo() {
  int *p = malloc(128);
  return; /* p block is now garbage */
}
```

- Common in many dynamic languages:
 - Python, Ruby, Java, Perl, ML, Lisp, Mathematica
- Variants ("conservative" garbage collectors) exist for C and C++
 - However, cannot necessarily collect all garbage

Garbage Collection

How does the memory manager know when memory can be freed?

- In general we cannot know what is going to be used in the future since it depends on conditionals
- But we can tell that certain blocks cannot be used if there are no pointers to them

Must make certain assumptions about pointers

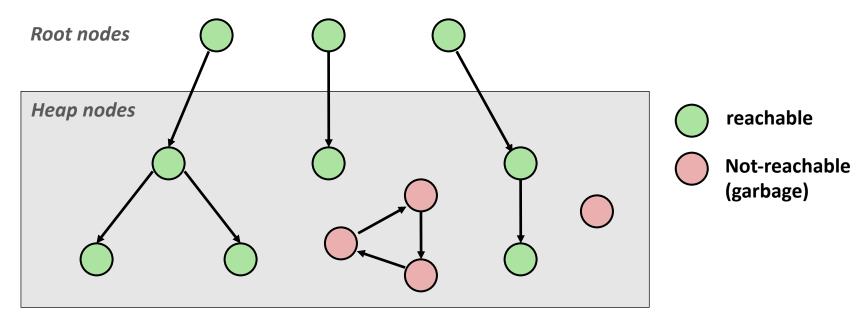
- Memory manager can distinguish pointers from non-pointers
- All pointers point to the start of a block
- Cannot hide pointers
 (e.g., by coercing them to an int, and then back again)

Classical GC Algorithms

- Mark-and-sweep collection (McCarthy, 1960)
 - Does not move blocks (unless you also "compact")
- Reference counting (Collins, 1960)
 - Does not move blocks (not discussed)
- Copying collection (Minsky, 1963)
 - Moves blocks (not discussed)
- Generational Collectors (Lieberman and Hewitt, 1983)
 - Collection based on lifetimes
 - Most allocations become garbage very soon
 - So focus reclamation work on zones of memory recently allocated
- For more information: Jones and Lin, "Garbage Collection: Algorithms for Automatic Dynamic Memory", John Wiley & Sons, 1996.

Memory as a Graph

- We view memory as a directed graph
 - Each block is a node in the graph
 - Each pointer is an edge in the graph
 - Locations not in the heap that contain pointers into the heap are called root nodes (e.g. registers, locations on the stack, global variables)

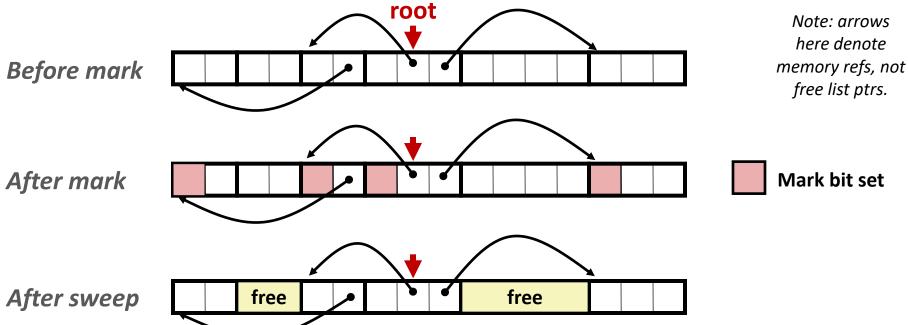


A node (block) is *reachable* if there is a path from any root to that node.

Non-reachable nodes are *garbage* (cannot be needed by the application)

Mark and Sweep Collecting

- Can build on top of malloc/free package
 - Allocate using malloc until you "run out of space"
- When out of space:
 - Use extra mark bit in the head of each block
 - Mark: Start at roots and set mark bit on each reachable block
 - Sweep: Scan all blocks and free blocks that are not marked



Assumptions For a Simple Implementation

Application

- new(n): returns pointer to new block with all locations cleared
- read(b,i): read location i of block b into register
- write(b,i,v): write v into location i of block b

Each block will have a header word

- addressed as b[-1], for a block b
- Used for different purposes in different collectors

Instructions used by the Garbage Collector

- is ptr(p): determines whether p is a pointer
- length (b): returns the length of block b, not including the header
- get_roots(): returns all the roots

```
ptr mark(ptr p) {
   if (!is_ptr(p)) return;
   if (markBitSet(p)) return;
   setMarkBit(p);
   for (i=0; i < length(p); i++)
      mark(p[i]);
   return;
}</pre>
```

Mark using depth-first traversal of the memory graph

Mark using depth-first traversal of the memory graph

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Mark using depth-first traversal of the memory graph

C Pointer Declarations: Test Yourself!

int	*p	p is a pointer to int
int	*p[13]	p is an array[13] of pointer to int
int	*(p[13])	p is an array[13] of pointer to int
int	**p	p is a pointer to a pointer to an int
int	(*p) [13]	p is a pointer to an array[13] of int
int	*f()	f is a function returning a pointer to int
int	(*f)()	f is a pointer to a function returning int
int	(*(*x[3])())[5]	x is an array[3] of pointers to functions returning pointers to array[5] of ints

C Pointer Declarations: Test Yourself!

int	*p	p is a pointer to int
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int	*f()	f is a function returning a pointer to int
int	(*f)()	f is a pointer to a function returning int
int	(*(*x[3])())[5]	x is an array[3] of pointers to functions returning pointers to array[5] of ints
int	(*(*f())[13])()	f is a function returning ptr to an array[13] of pointers to functions returning int

Parsing: int (*(*f())[13])()

```
int (*(*f())[13])()
                        f is a function
int (*(*f())[13])()
                        f is a function
                        that returns a ptr
int (*(*f())[13])()
                        f is a function
                        that returns a ptr to an
                        array of 13
int (*(*f())[13])()
                        f is a function that returns
                        a ptr to an array of 13 ptrs
int (*(*f())[13])()
                        f is a function that returns
                        a ptr to an array of 13 ptrs
                        to functions returning an int
```

int (*(*f())[13])()