Modules II

15-150 Lecture 17: **4980**, 2024

Stephanie Balzer Carnegie Mellon University

When and where:

- Thursday, **November 7**, **11:00am—12:20pm**.
- **MM 103** (Sections A—D), **PH 100** (Sections E—L).

Be on time; next lecture starts at 12:30pm!

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Scope:

- Lectures: 1-15.
- Labs: 1–8 and midterm review section of Lab 10.
- Assignments: up to including Exceptions/Regex.

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- Labs: 1–8 and midterm review section of Lab 10.
- Assignments: up to including Exceptions/Regex.

What you may have on your desk:

- Writing utensils, we provide paper, something to drink/eat, tissues.
- 8.5" x 11" cheatsheet (back and front), handwritten or typeset.
- No cell phones, laptops, or any other smart devices.

Recap

Specification: externally visible promise deliver.

Implementation: internal choice of how to deliver promise.

Allows us to hide implementation details from the client. Implementation: internal choice of how to deliver promise. Specification: externally visible promise deliver.

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Specification: externally visible promise deliver.

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Allows us to hide implementation details from the client.

Representation independence: the client becomes independent of the choice of internal representation.

Any two implementations that satisfy specifications are indistinguishable to the client and thus equal.

Facilitates modular reasoning (component-wise reasoning).

Recap

Specification: **signature**.

Implementation: **structure**.

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SML modules allow us to control the "flow of information":

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Transparent ascription: for undefined type specified in signature, representation type chosen by structure is revealed.

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SML modules allow us to control the "flow of information":

Structures can **hide** auxiliary, implementation-specific components, not specified by signature.

Transparent ascription: for undefined type specified in signature, representation type chosen by structure is revealed.

Opaque ascription: for undefined type specified in signature, representation type chosen by structure is hidden.

Today

Functors (aka functions on structures).

Type classes (aka descriptive signatures).

Let's resume our dictionary example!

A dictionary is a collection of pairs of the form

(key, value)

where all keys must be unique within a dictionary.

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signature DICT = 
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 type key = string (\ast concrete type \ast)type 'a entry = key * 'a (* concrete type *) type 'a dict (* abstract type *)
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  val empty : 'a dict 
  val lookup : 'a dict -> key -> 'a option
 val insert : 'a dict * 'a entry \rightarrow 'a dict
end
```
A dictionary is a collection of pairs of the form

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where all keys must be unique within a dictionary.

```
signature DICT = 
sig
  type key = string (\ast concrete type \ast)type 'a entry = key * 'a (* concrete type *)type 'a dict a context of Replace entry if key already exists
  val empty : 'a dict 
 val lookup : 'a dict -> key -> 'a option'
 val insert : 'a dict * 'a entry -> 'a dict
end
                            Replace entry, if key already exists
```
Implementation: **represent** dictionary as a binary search tree, where (key, value)

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are stored in nodes.

Representation **invariant**:

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Representation **invariant**:

Tree must be sorted.

Implementation: **represent** dictionary as a binary search tree, where (key, value)

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are stored in nodes.

All functions declared by structure

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```
signature DICT = 
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 type key = string (* concrete type *)
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 type 'a dict (* abstract type *)
 val empty : 'a dict 
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end
```

```
signature DICT = 
                                   sig
                                     type key = string (** concrete type *)type 'a entry = key * 'a (* concrete type *) type 'a dict (* abstract type *)
                                      val empty : 'a dict 
                                      val lookup : 'a dict -> key -> 'a option
                                     val insert : 'a dict * 'a entry -> 'a dict
end structure BST : DICT = 
struct
   type key = string
  type 'a entry = key * 'a
```

```
 datatype 'a tree =
```

```
Empty | Node of 'a tree * 'a entry * 'a tree
```

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type 'a dict = 'a tree
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structure BST : DICT = \int_{end}^{v_0}struct
   type key = string
  type 'a entry = key * 'a datatype 'a tree = 
     Empty | Node of 'a tree * 'a entry * 'a tree
  type 'a dict = 'a tree
```


 fun lookup ... Transparent ascription can be useful for debugging purposes.

end

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Reserves detaty se l \blacksquare thus nattern mat $f(x) = \frac{1}{2} \int_0^1 \frac{1}{2} \cos \left(\frac{1}{2} \cos \left$ Because datatype is not declared in signature, constructors (and thus pattern matching) are not available outside signature.

end

Reserves detaty se l \blacksquare thus nattern mat $\frac{1}{1}$ Because datatype is not declared in signature, constructors (and thus pattern matching) are not available outside signature.

end

But bindings externally visible due to transparent ascription.

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  val empty = Empty fun insert ... 
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```
 $(*)$ ins : 'a dict $*$ 'a entry \rightarrow 'a dict $*)$ fun insert (Empty, e) = Node(Empty, e, Empty) insert (Node(lt, e' as $(k',_+)$, rt), e as $(k,)$ =

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              EQUAL \Rightarrow Node(Ut, e, rt)| LESS == Node (insert), et al. | LESS == Node (insert), et al. | LESS == Node (insert), et al. | LESS == Node<br>| LESS == Node (insert), et al. | LESS == Node (insert), et al. | LESS == Node (insert), et al. | LESS == Node
                                                              Replace existing entry
                                                                    with new one
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        EQUAL \Rightarrow Node(lt, e, rt) | LESS => Node(insert(lt,e), e', rt) 
          | GREATER => Node(lt, e', insert(rt,e)))
```
```
(* lookup : 'a dict \rightarrow key \rightarrow 'a option *)fun lookup tree key = 
 let 
    fun lk (Empty) = NONE
      | lk (Node(left, (k,v), right)) = 
        (case String.compare(key,k) of 
         EQUAL \Rightarrow SOME(v) | LESS => lk left 
          | GREATER => lk right) 
   in
     lk tree 
   end
```
Let's interact with BST:

```
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```
val d = BST.insert(BST.insert(BST.insert( 
           BST.empty,("a",1)),("b",2)),("c",3))
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What is the type of **d**?

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The binding for d will be revealed because of opaque ascription. However, because the tree datatype is not declared in the signature, a client cannot pattern match on its constructors.

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Now consider:

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Now consider: val look = BST. lookup d
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What is the type of d?
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```
Now consider: val look = BST. lookup d
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What is the type of look?
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```
BST.key -> int option
```
Now consider:

```
Let's interact with BST:
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val d = BST.insert(BST.insert(BST.insert( 
           BST.empty,("a",1)),("b",2)),("c",3))
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What is the type of d?
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BST.key -> int option
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Now consider: val \times = look "e"val y = look "a"
```

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Let's interact with BST:
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Bindings:

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Let's interact with BST:
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Now consider: val look = BST.lookup d
```
What is the type of **look**?

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BST.key -> int option
```

```
Now consider: val \times = look "e"val y = look "a"
Bindings: [NONE/x, (SOME 1)/y]
```

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 type key = string (\ast concrete type \ast)type 'a entry = key * 'a (* concrete type *) type 'a dict (* abstract type *)
  val empty : 'a dict 
  val lookup : 
  val insert : 
end
```
-
-
-

What if we needed keys other than strings?

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signature DICT = sig type 'a key = 'a (* concrete type *) type ('a,'b) entry = 'a key * 'b (* concrete type *) type ('a,'b) dict (* abstract type *) val empty : ('a,'b) dict val lookup : val insert : end

What if we needed keys other than strings?

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What if we needed keys other than strings?

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signature DICT =
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What if we needed keys other than strings?

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 type 'a key = 'a (*) (* concrete type *)
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We could try to make key polymorphic too.

Keys should become comparable!

lookup:

insert:

lookup: $\begin{pmatrix} a & * & a & -b \\ 0 & * & a & -b \end{pmatrix}$ -> $\begin{pmatrix} a & b & d \end{pmatrix}$ dict -> $\begin{pmatrix} a & -b & a \\ b & b & c \end{pmatrix}$ insert: $\begin{pmatrix} a & * & a & -b \\ 0 & * & a & -b \end{pmatrix}$ and $\begin{pmatrix} a & b & b \\ 0 & b & a \end{pmatrix}$ and $\begin{pmatrix} a & b & b \\ 0 & a & b \end{pmatrix}$ \rightarrow ($'a, b$) dict

\n
$$
\text{lookup: } \frac{(\overline{a} * a \rightarrow \text{order})}{(\overline{a} * a \rightarrow \text{order})} \rightarrow (\overline{a}, \overline{b}) \text{ dict} \rightarrow 'a \rightarrow 'b \text{ option}
$$
\n

\n\n $\text{insert: } \frac{(\overline{a} * a \rightarrow \text{order})}{(\overline{a} * a \rightarrow \text{order})} \rightarrow ((\overline{a}, \overline{b}) \text{ dict} * (\overline{a}, \overline{b}) \text{ entry})$ \n

\n
$$
\text{lookup: } \frac{(\overline{a} * a) > \text{order}}{\text{insert: } \frac{(\overline{a} * a) > \text{order}}{\text{order}}} \rightarrow (\overline{a}, \overline{b}) \text{ dict} \rightarrow a \rightarrow b \text{ option}
$$
\n

\n\n $\text{insert: } \frac{(\overline{a} * a) > \text{order}}{\text{order}} \rightarrow (\overline{a}, \overline{b}) \text{ dict} \times (\overline{a}, \overline{b}) \text{ entry}}$ \n

Keys should become comparable!

Require a comparison function as an argument.

\n
$$
\text{lookup: } \frac{(\overline{a} * a \rightarrow \text{order})}{(\overline{a} * a \rightarrow \text{order})} \rightarrow (\overline{a}, \overline{b}) \text{ dict} \rightarrow 'a \rightarrow 'b \text{ option}
$$
\n

\n\n $\text{insert: } \frac{(\overline{a} * a \rightarrow \text{order})}{(\overline{a} * a \rightarrow \text{order})} \rightarrow ((\overline{a}, \overline{b}) \text{ dict} * (\overline{a}, \overline{b}) \text{ entry})$ \n

Keys should become comparable!

Require a comparison function as an argument.

Restricts polymorphism of keys!

Let's update our BST structure accordingly

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```
structure BST : DICT = 
struct
   type 'a key = 'a 
  type (a, b) entry = 'a key * 'b
  datatype ('a,'b) dict = Empty | Node of
             (a, b) dict \ast (a, b) entry \ast (a, b) dict
  val empty = Emptyfun insert cmp d k =fun lookup cmp (d, k) =end
```
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structure BST : DICT = 
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structure BST : DICT = struct type 'a key = 'a type ('a,'b) entry = 'a key * 'b datatype ('a,'b) dict = Empty | Node of ('a,'b) dict * ('a,'b) entry * ('a,'b) dict val empty = Empty fun insert cmp d k = fun lookup cmp (d, k) = end As specified by signature

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structure BST : DICT = 
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                (\overline{a}, \overline{b}) dict * (\overline{a}, \overline{b}) entry * (\overline{a}, \overline{b}) dict
  val empty = Emptyfun insert cmp d k =fun lookup cmp (d, k) =end
```

```
structure BST : DICT = 
struct
   type 'a key = 'a 
  type (a, b) entry = 'a key * 'b
  datatype ('a,'b) dict = Empty | Node of
                (\overline{a}, \overline{b}) dict * (\overline{a}, \overline{b}) entry * (\overline{a}, \overline{b}) dict
  val empty = Emptyfun insert cmp d k =fun lookup cmp (d, k) =end
                                        As before.
```

```
structure BST : DICT = 
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   type 'a key = 'a 
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end end and society is the set of the Bodies of insert and
                             lookup now use cmp instead of
                                   String.compare.
```

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```
fun insert $cmp | d k =$ fun lookup cmp (d, k) =

Does this do the trick?

fun insert cmp d k = fun lookup cmp (d, k) =

Does this do the trick? Well, not quite.

fun insert cmp d k = fun lookup cmp $(d, k) =$

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For example, a client creates the following tree using insert and Int.compare:

For **lookup** of **1**, the client now uses:

fun cmp (x,y) = Int.compare (y,x)

Does this do the trick? Well, not quite.

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What if a client provides different cmp functions to insert than to lookup, for example?

Can we enforce the invariant, that all operations use the same comparison function by typing?

Yes, but we need type classes for this!

A signature specifying a type and associated operations.

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No expectation that specification is exhaustive.

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Example:

Signature ORDERED specifies an "ordered type class" to consist of a type t along with a comparison function compare for t.

```
Example: signature ORDERED = 
         sig
           type t (** parameter *)val compare : t * t -> order
         end
```
Example: signature ORDERED = sig type t $|(*\text{ parameter }*)|$ val compare : t * t -> order end

Even though t is not concrete, it does not have to be abstract.
Type classes

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Signature ORDERED is said to be **descriptive**.

Signature DICT is in contrast **prescriptive**, defining an abstract type with all its operations, exhaustively.

Perspective of types in signatures

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Concrete:

Signature dictates representation type, which is thus visible to client.

Abstract:

Signature hides representation type. Client code must work regardless of the representation type chosen by structure.

Parameter:

Client supplies the type, implementation must work with whatever the clients supplies.

```
signature ORDERED = 
sig
 type t (* parameter *)
val compare : t * t \rightarrow orderend
```

```
structure IntLt : ORDERED = 
struct
  type t = intval compare = Int.compare
end
```

```
signature ORDERED = 
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```
structure IntLt : ORDERED = 
struct
  type t = intval compare = Int.compare
end
structure IntGt : ORDERED = 
struct
  type t = intfun compare(x,y) = Int.\text{compare}(y,x)end
```

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  type t = intval compare = Int.compare
end
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struct
  type t = intfun compare(x,y) = Int.\text{compare}(y,x)end
structure StringLt : ORDERED = 
struct
  type t = string
  val compare = String.compare
```
end

```
signature ORDERED = 
sig
  type t (* parameter *)val compare : t * t \rightarrow orderend
```

```
signature DICT = 
sig 
 type key = string (\ast concrete \ast)type 'a entry = key * 'a (* concrete *) type 'a dict (* abstract *)
  val empty : 'a dict 
  val lookup : 'a dict -> key -> 'a option 
 val insert : 'a dict * 'a entry \rightarrow 'a dict
end
```

```
signature DICT =
sig 
 type key = string (*)type 'a entry = key * 'a (* concrete *) type 'a dict (* abstract *)
  val empty : 'a dict 
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end
```


```
signature DICT =
sig 
 structure Key = ORDERED (* parameter *)
 type 'a entry = key * 'a (* concrete *) type 'a dict (* abstract *)
  val empty : 'a dict 
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```
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 structure Key = ORDERED (* parameter *)
 type 'a entry = Key \tcdot x 'a (* concrete *)
  type 'a dict (* abstract *)
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  val lookup : 'a dict -> key -> 'a option 
 val insert : 'a dict * 'a entry \rightarrow 'a dict
end
```


```
signature DICT = 
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 structure Key = ORDERED (* parameter *)
 type 'a entry = Key.t * 'a (* concrete *) type 'a dict (* abstract *)
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 \blacksquare

Using our structures defined earlier implementing type class ORDERED, we can define dictionary structures with different keys:

structure IntLtDict : DICT = struct

```
end
```

```
structure IntLtDict : DICT = 
struct 
   structure Key = IntLt
```

```
end
```

```
structure IntLtDict : DICT = 
struct 
   structure Key = IntLt 
   (* code as before but now using Key.t instead of key
     and Key.compare instead of String.compare *)end
```

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structure IntGtDict : DICT = 
struct
```
Using our structures defined earlier implementing type class ORDERED, we can define dictionary structures with different keys:

```
structure IntLtDict : DICT = 
struct 
   structure Key = IntLt 
   (* code as before but now using Key.t instead of key
     and Key.compare instead of String.compare *)end
```

```
structure IntGtDict : DICT = 
struct
```

```
 structure Key = IntGt
```
end

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```
structure IntLtDict : DICT = 
struct 
   structure Key = IntLt 
   (* code as before but now using Key.t instead of key
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structure IntGtDict : DICT = 
struct 
   structure Key = IntGt 
   (* code as before but now using Key.t instead of key
```
and Key.compare instead of String.compare $*)$

end
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```

```
57
structure IntLtDict : DICT = 
struct 
  structure Key = IntLt (* code as before but now using Key.t instead of key
     and Key.compare instead of String.compare *)end
structure IntGtDict : DICT = 
struct 
  structure Key = IntGt (* code as before but now using Key.t instead of key
     and Key.compare instead of String.compare *)end
structure StringLtDict : DICT = 
struct 
  structure Key = StringLt (* code as before but now using Key.t instead of key
     and Key.compare instead of String.compare *)end
                                           Only differ in Key!
```


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No, this is not possible! IntGtDict.dict and IntLtDict.dict are different types.

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ML type checker will thus prevent intermingling of dictionaries.

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No, this is not possible! IntGtDict.dict and IntLtDict.dict are different types.

ML type checker will thus prevent intermingling of dictionaries.

Remark: Had we implemented **dict** in terms of a representation type available in the client's scope, we should have used opaque ascription!

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YES, but we need to use a functor for this!

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Can we avoid rewriting (copying & pasting) the same code over and over when implementing dictionaries with different keys?

YES, but we need to use a functor for this!

A **functor** creates a structure, given a structure as an argument.

Let's write a functor that creates a structure ascribing to DICT, given a structure ascribing to ORDERED as an argument.

functor TreeDict (K : ORDERED) : DICT = struct

functor TreeDict (K : ORDERED) : DICT = struct

functor TreeDict (K : ORDERED) : DICT = struct type 'a entry Argument structure, of type ORDERED

functor TreeDict (K : ORDERED) : DICT = struct

end

type 'a entry entry entry entry entry entry and the second control of the second control o Argument structure, of type ORDERED

(* code as before, but using Key.t and K Note: ":" denotes typing, not ascription mode.

functor TreeDict (K : ORDERED) : DICT = struct

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functor TreeDict (K : ORDERED) : DICT = struct

Structured returned, transparently ascribing to DICT

functor TreeDict (K : ORDERED) : DICT = struct

```
functor TreeDict (K : ORDERED) : DICT = 
struct
```
structure Key = K

```
functor TreeDict (K : ORDERED) : DICT = 
struct
  structure Key = K
  type 'a entry = Key \cdot t \cdot 'a
```

```
functor TreeDict (K : ORDERED) : DICT = 
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  structure Key = K
  type 'a entry = Key \cdot t \cdot 'adatatype 'a dict = \ldots
```

```
functor TreeDict (K : ORDERED) : DICT = 
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   structure Key = K
  type 'a entry = Key \cdot t \times 'adatatype 'a dict = \ldots(* code as before, but using Key.t and Key.compare *)end
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Now, we can define our earlier dictionaries as:

```
functor TreeDict (K : ORDERED) : DICT = 
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  type 'a entry = Key \cdot t \times 'adatatype 'a dict = \ldots(* code as before, but using Key.t and Key.compare *)end
```
Now, we can define our earlier dictionaries as:

```
structure IntLtDict = TreeDict(IntLt) 
structure IntGtDict = TreeDict(IntGt) 
structure StringLtDict = TreeDict(StringLt)
```

```
functor TreeDict (K : ORDERED) : DICT = 
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functor TreeDict (K : ORDERED) :> DICT = struct structure $Key = K$

type 'a entry = $Key \cdot t \cdot 'a$

 $datatype$ 'a dict = \ldots

 $(*$ code as before, but using Key.t and Key.compare $*)$ end

But now we hide the representation type for Key. t.

functor TreeDict (K : ORDERED) :> DICT = struct

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functor TreeDict (K : ORDERED) :> DICT = struct

structure $Key = K$ type 'a entry = $Key.t * 'a$ $datatype$ 'a dict = \ldots $(*$ code as before, but using Key.t and Key.compare $*)$ end

But now we hide the representation type for Key. t.

But we want it to be known that $Key.$ t is the same as the input key K.t!

To rectify this, we need to add a where clause.

Signatures can be prescriptive, in which case they exhaustively specify a type's operations, typically using opaque ascription.

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A word on syntax:

Functors only take a single structure as an argument.

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