

15-150

Fall 2024

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LECTURE 2

Functions

Last time

- Types, expressions, values
- Extensional equivalence
- Declaration, binding, environment

Today's Goals

- Declare named functions
- State what a function closure is
- Evaluate expressions that involve function application
- Use patterns
 - clausal function declarations
 - case expressions
- Use functions as first-class values (time permitting)

Declarations, Environments, Scope (continued)

```
val x : int = 8 - 5      [3/x]
val y : int = x + 1     [4/y]
val x : int = 10        [10/x]
val z : int = x + 1     [11/z]
```

Second binding of x **shadows** first binding. First binding has been *shadowed*.

Local declarations

```
let
  val m : int = 3
  val n : int = m * m
in
  m + n
end
```

This is an expression with type `int` and value 12.

```
val k : int = 4
```

```
let
```

```
    val k : real = 3.0
```

```
in
```

```
    k * k
```

```
end
```



Type?
Value?

9.0 : real

```
val k : int = 4
```

```
let
```

```
    val k : real = 3.0
```

```
in
```

```
    k * k
```

```
end
```

k } Type?
Value? 4 : int

Concrete Type Definitions

```
type float = real  
type point = float * float  
val p : point = (1.0, 2.6)
```

Declarations

```
val p = (1.0, 2.6)
```

```
fun square (x : int) : int = x * x;
```

Create bindings

Bindings

```
val p = (1.0, 2.6)
```

creates the binding

$[(1.0, 2.6) / p]$

Bindings

```
val p = (1.0, 2.6)
```

creates the binding

`[(1.0, 2.6)/p]`

```
fun square (x : int) : int = x * x;
```


creates the binding

`[???/square]`

Closures

```
fun square (x : int) : int = x * x
```

binds the identifier square to a closure:

[ /square]



code for square, lambda expression **fn** (x:int) => x * x
environment (all prior bindings when square was declared)

Anonymous functions a.k.a. lambda

`fn (x : int) => x * x`

formal parameter argument type body

The diagram illustrates the components of an anonymous function. Three red arrows point upwards from labels to specific parts of the code: one from 'formal parameter' to 'x', one from 'argument type' to ': int', and one from 'body' to '* x'.

Functions are values

`fn (x : int) => x * x`

This expression is already a value —
no further evaluation happens

Alternative way to declare

```
val square = fn (x : int) => x * x
```


Applying a function

$(\text{fn } (x : \text{int}) \Rightarrow x * x) \ 7$

function argument

This function application evaluates to 49.

Applying a function

$$\frac{(\text{fn } (x : \text{int}) \Rightarrow x * x) \quad 7}{e_1 \quad e_2}$$

How does ML evaluate a function application $e_1 e_2$?

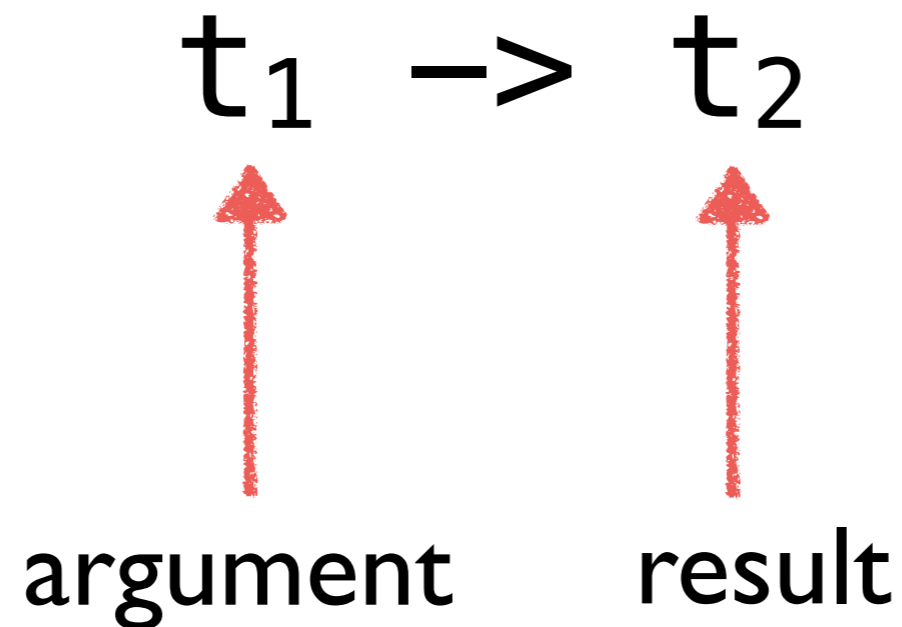
- Evaluate e_1 to a function value of the form

$$\text{fn}(x : t) \Rightarrow e$$

- Reduce e_2 to a value v
- Locally extend the environment that existed at the time of the definition of function with a binding of value v to the variable x
- Evaluate the body e in the resulting environment

Function types

Function types are of the form



Typing rules

$(\text{fn } (x : t_1) \Rightarrow e) : t_1 \rightarrow t_2$

if $e : t_2$ assuming $x : t_1$

Examples:

$(\text{fn } (x : \text{int}) \Rightarrow x) : \text{int} \rightarrow \text{int}$

$(\text{fn } (x : \text{real}) \Rightarrow x) : \text{real} \rightarrow \text{real}$

Typing rules

fn $(x : t_1) \Rightarrow e) : t_1 \rightarrow t_2$

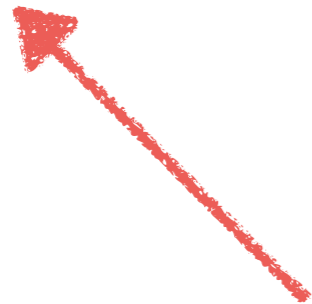
if $e : t_2$ assuming $x : t_1$

$e_1 e_2 : t_2$ if $e_1 : t_1 \rightarrow t_2$ and $e_2 : t_1$

Function closures

Environment together with a function expression

```
[3.14/pi](fn (r : real) => pi * r * r)
```



The environment provides the bindings of nonlocal variables

Static scope

```
val pi : real = 3.14
```

```
fun area (r:real):real = pi * r * r
```


Function Application

```
val pi : real = 3.14
```

```
fun area (r:real):real = pi * r * r
```

What does SML do with the following expression?

```
area (1.9 + 2.1)
```

Answer: First type-check, if well-typed then evaluate

Type-check `area (1.9 + 2.1)`

`area: real -> real`

because `area` is `(fn area (r:real) => pi * r * r)`

and `pi * r * r : real`

given that `pi: real` by its declaration

and `r: real` by type annotation

`area (1.9 + 2.1): real`

because `area: real -> real` and `(1.9 + 2.1): real`

Evaluate `area (1.9 + 2.1)`

`area (1.9 + 2.1)`

`==> [3.14/pi] (fn (r : real) => pi * r * r)(1.9 + 2.1)`

`==> [3.14/pi] (fn (r : real) => pi * r * r)(4.0)`

`==> [3.14/pi] [4.0/r] (pi * r * r)`

`==> 50.24`

Also written as

`area (1.9 + 2.1) ↪ 50.24`

```
val pi : real = 3.14
```

```
fun area (r:real):real = pi * r * r
```

```
area 1.0 ↪ 3.14
```

```
val pi : real = 3.14519
```

prior binding of pi is shadowed

```
area 1.0 ↪ ???
```

```
val pi : real = 3.14
```

```
fun area (r:real):real = pi * r * r
```

```
area 1.0 ↪ 3.14
```

```
val pi : real = 3.14519
```

```
area 1.0 ↪ 3.14
```

static binding!

```
val pi : real = 3.14
```

```
fun area (r:real):real = pi * r * r
```

```
area 1.0 ↪ 3.14
```

```
val pi : real = 3.14519
```

```
fun new_area(r:real) : real = pi * r * r
```

```
area 1.0 ↪ 3.14
```

```
new_area 1.0 ↪ 3.14519
```

Example: 5-step methodology

```
(* fact : int -> int
   REQUIRES:  n >= 0
   ENSURES:  fact(n) evaluates to n!
*)
```

```
fun fact(0: int): int = 1
  | fact(n: int): int = n * fact(n-1)
```

Pattern Matching

Patterns

- Constant (e.g. 0, “and”, no reals)
- Variable (e.g. n)
- Tuple of patterns (p_1, \dots, p_n)
- Based on user-defined datatypes
- The wildcard `_`

Matching

- A *pattern* can be *matched* against a *value*
- If the match *succeeds*, it produces *bindings*

Patterns in function declarations

```
fun    f p1 = e1  
      | f p2 = e2  
      ...  
      | f pk = ek
```

Patterns in variable declarations

```
val p = e
```

```
val (k, r) : int * real = (2, 3.14)
```

This declaration creates two variable bindings:

```
[2/k, 3.14/r]
```

Constant patterns

```
val p = e
```

```
val 49 = square (7)
```

Succeeds only if the value returned by `square` is 49.

fib

n	0	1	2	3	4	5
f_n	1	1	2	3	5	8

```
(* fib : int -> int
   REQUIRES: n >= 0
   ENSURES: fib(n) ==> fn (nth Fibonacci number)
*)
```

```
fun fib (0 : int) : int = 1
  | fib (1 : int) : int = 1
  | fib (n : int) = fib (n-1) + fib (n-2)
```

```
val 5 = fib 4
```

Efficient fib

n	0	1	2	3	4	5
f_n	1	1	2	3	5	8

(* fibb : int -> int * int

REQUIRES: $n \geq 0$

ENSURES: $\text{fibb}(n) \Rightarrow (f_n, f_{n-1})$

with f_n the n th Fibonacci number (let $f_{-1} = 0$)

*)

Efficient fib

n	0	1	2	3	4	5
f_n	1	1	2	3	5	8

```
(* fibb : int -> int * int
   REQUIRES: n >= 0
   ENSURES: fibb(n) ==> (fn, fn-1)
   with fn the nth Fibonacci number (let f-1 = 0)
*)
```

```
fun fibb (0:int):int * int = (1,0)
| fibb 1 = (1,1)
| fibb n = let
            val
            in
            end
```


Efficient fib

n	0	1	2	3	4	5
f_n	1	1	2	3	5	8

```
(* fibb : int -> int * int
   REQUIRES: n >= 0
   ENSURES: fibb(n) ==> (fn, fn-1)
   with fn the nth Fibonacci number (let f-1 = 0)
*)
```

```
fun fibb (0:int):int * int = (1,0)
| fibb 1 = (1,1)
| fibb n = let
            val (f1:int, f2:int) = fibb (n-1)
            in
            end
```

Efficient fib

n	0	1	2	3	4	5
f_n	1	1	2	3	5	8

```
(* fibb : int -> int * int
   REQUIRES: n >= 0
   ENSURES: fibb(n) ==> (fn, fn-1)
   with fn the nth Fibonacci number (let f-1 = 0)
*)
```

```
fun fibb (0:int):int * int = (1,0)
| fibb 1 = (1,1)
| fibb n = let
    val (f1:int, f2:int) = fibb (n-1)
  in
    (f1 + f2, f1)
  end
```

Patterns in case expressions

```
( case e : t' of
  | p1 => e1
  | ...
  | pk => ek )
```

How do we find the type of this case expression?

Using patterns in case expressions

```
fun fact(n:int):int =  
  case n of  
    0 => 1  
  | _ => n * fact(n-1)
```

Example: case

```
(* example: int -> int
   REQUIRES: true
   ENSURES: example(x) returns 0 if x = 1,
              x * x - 1 if x < 1,
              and 1 - x * x * x if x > 1.
*)
```

```
fun example (x:int):int =
  (case (square x, x > 0) of
    (1, true) => 0
  | (sqr, false) => sqr - 1
  | (sqr, true) => 1 - x * sqr)
```

Functions as first-class values

```
(* square : int -> int
   REQUIRES: true
   ENSURES: square(x) evaluates to x * x
*)
```

```
fun square (x : int) : int = x * x
```

```
(* sqrf : (int -> int) * int -> int)
   REQUIRES: true
   ENSURES: sqrf (f,x) ==> (f(x) * f(x))
*)
```

```
fun sqrf (f: int -> int, x: int): int = square (f(x))
```

```
val 36 = sqrf ((fn (n:int) => n+2), 4)
```



```
(* sqrf : (int -> int) * int -> int)
   REQUIRES: true
   ENSURES: sqrf (f,x) ==> (f(x) * f(x))
*)
```

```
fun sqrf (f: int -> int, x: int): int = square (f(x))
```

```
val 36 = sqrf ((fn (n:int) => n+2),4)
```

```
fun dotwice (f: int -> int, x: int): int =
```

```
(* sqrf : (int -> int) * int -> int)
  REQUIRES: true
  ENSURES: sqrf (f,x) ==> (f(x) * f(x))
*)
```

```
fun sqrf (f: int -> int, x: int): int = square (f(x))
```

```
val 36 = sqrf ((fn (n:int) => n+2),4)
```

```
fun dotwice (f: int -> int, x: int): int =
  sqrf (fn (n:int) => sqrf(f,n), x)
```

```
dotwice (fn (k:int) => k, 3) ↪ ???
```

identity function

Some comments about \cong

- If $e_1 \hookrightarrow v$ and $e_2 \hookrightarrow v$ then $e_1 \cong e_2$.
- If $e_1 \implies e_2$, then $e_1 \cong e_2$.
- If $e_1 \implies e$ and $e_2 \implies e$, then $e_1 \cong e_2$.
- Caution: $e_1 \cong e_2$ does not necessarily

mean $e_1 \implies e_2$ or $e_2 \implies e_1$

Example: $1+1+1+7 \cong 2+8$

Some comments about \cong

`[3/y,5/z] (fn (x:int) => x + y + z) \cong (fn (x:int) => x + 8)`

Functions

In math, one talks about a function f being a mapping between spaces X and Y .

$$f : X \rightarrow Y$$

In SML, we do the same with X and Y being types.

Totality

- Issue: Computationally a function may not always return a value. This complicates equivalence checking.

Definition: A function $f : X \rightarrow Y$ is *total* if f reduces to a value and $f(x)$ reduces to a value for all values x in X .

```
fun fact(0: int): int = 1
  | fact(n: int): int = n * fact(n-1)
```

Is fact total?