

Synchronization: Basics

15-213/14-513/15-513: Introduction to Computer Systems
24th Lecture, July 28, 2022

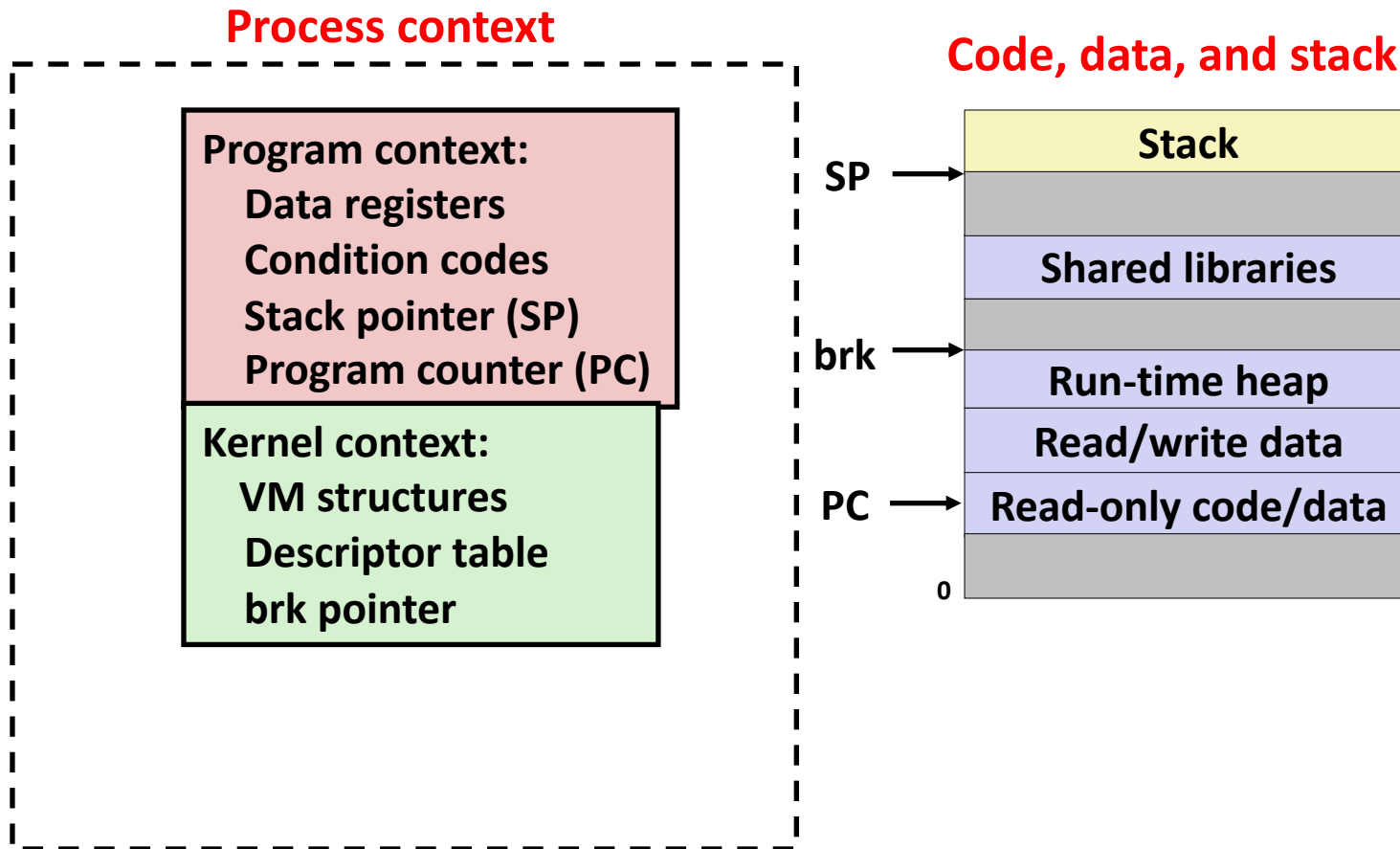
Instructor: Abi Kim

Today

- **Threads**
- **Sharing**
- **Mutual exclusion**

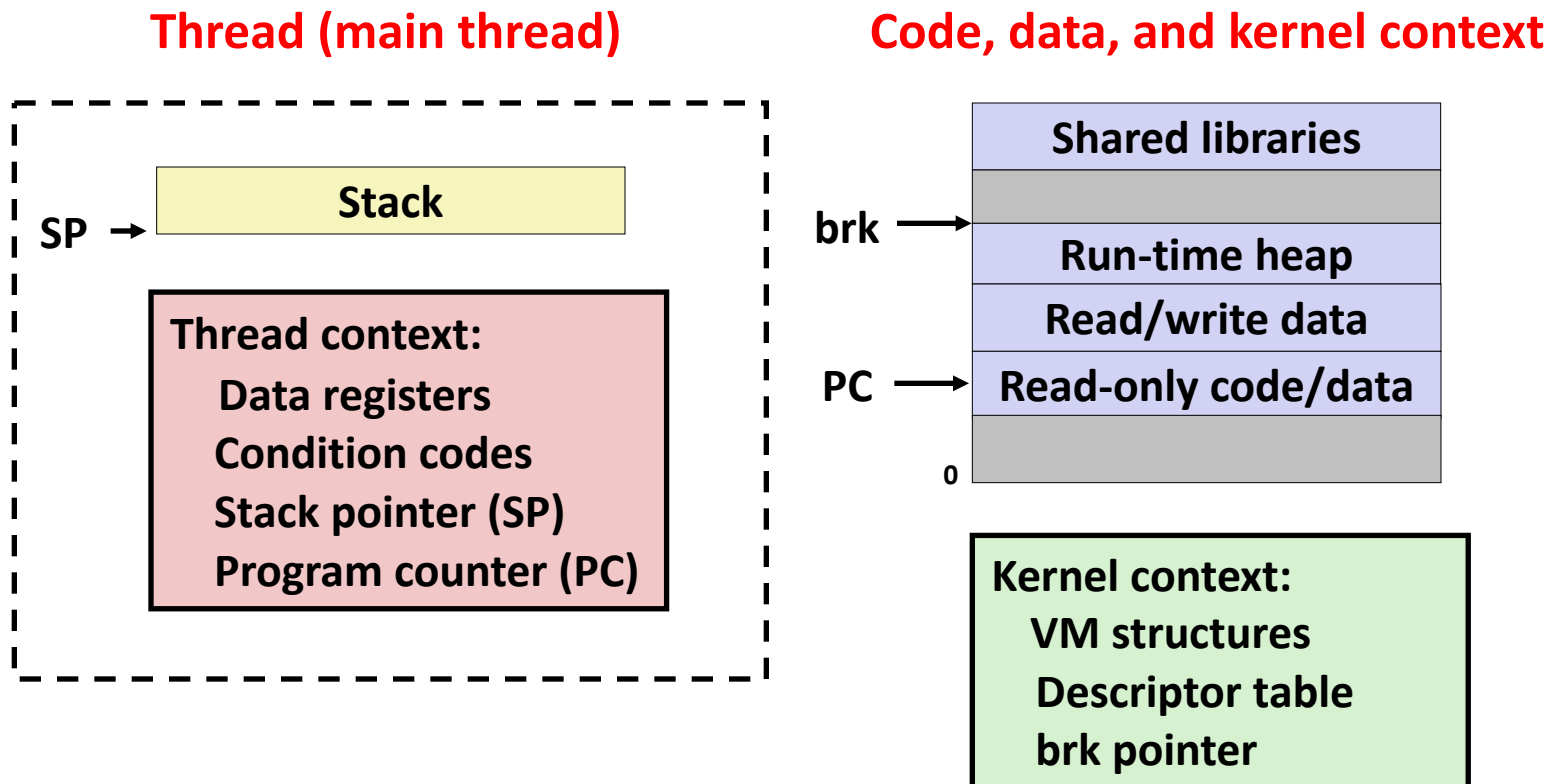
Traditional View of a Process

- Process = process context + code, data, and stack



Alternate View of a Process

- Process = thread + (code, data, and kernel context)



A Process With Multiple Threads

- **Multiple threads can be associated with a process**
 - Each thread has its own logical control flow
 - Each thread shares the same code, data, and kernel context
 - Each thread has its own stack for local variables
 - but not protected from other threads
 - Each thread has its own thread id (TID)

Thread 1 (main thread) Thread 2 (peer thread)

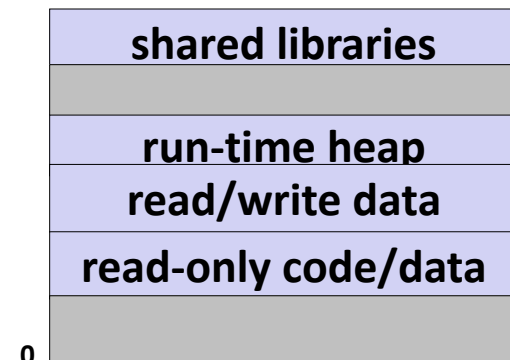
stack 1

stack 2

Thread 1 context:
 Data registers
 Condition codes
 SP₁
 PC₁

Thread 2 context:
 Data registers
 Condition codes
 SP₂
 PC₂

Shared code and data



Kernel context:
 VM structures
 Descriptor table
 brk pointer

Don't let the picture confuse you!

Thread 1 (main thread) Thread 2 (peer thread)

stack 1

stack 2

Thread 1 context:
Data registers
Condition codes
SP₁
PC₁

Thread 2 context:
Data registers
Condition codes
SP₂
PC₂

Shared code and data

shared libraries

run-time heap

read/write data

read-only code/data

0

Kernel context:
VM structures
Descriptor table
brk pointer

Memory is shared between all threads

Benefits of Threads

- **Threads have lighter overhead**
- **Easier to share memory in concurrent programs using threads**
- **Threads are faster due to multi-core CPUs allowing multiple threads to execute at once**

Today

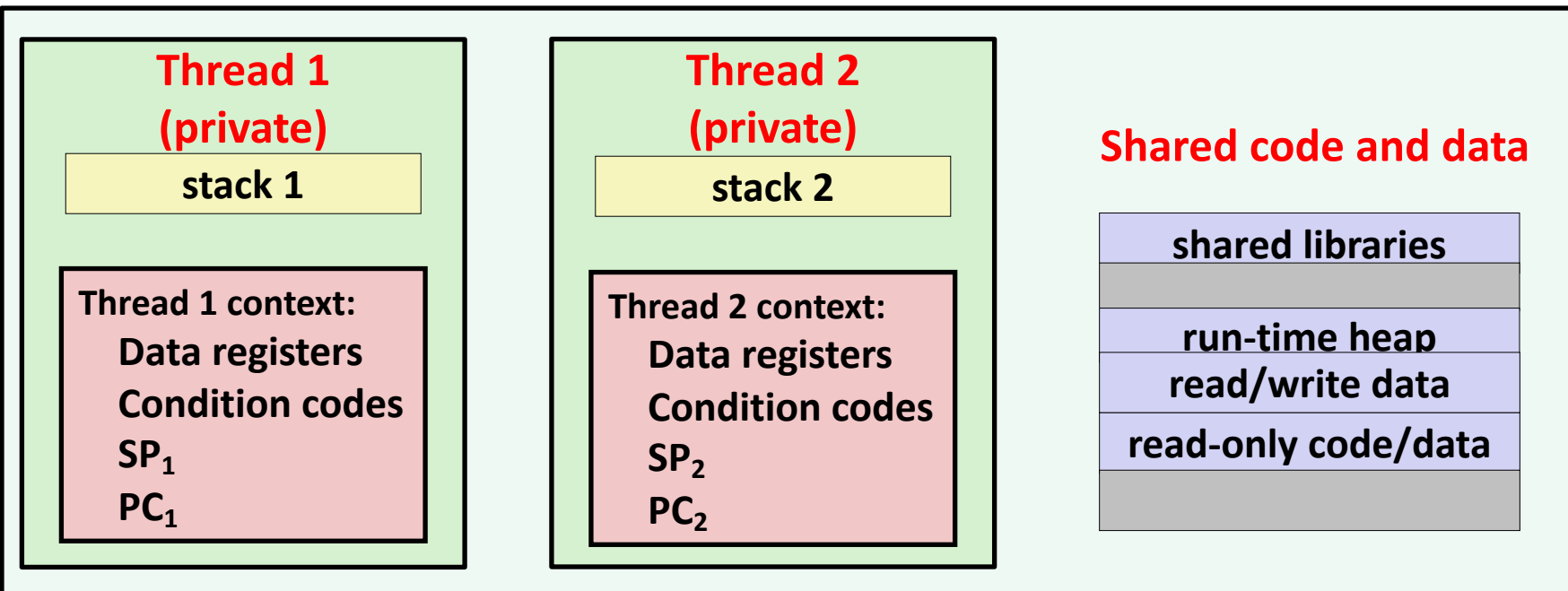
- Threads review
- **Sharing**
- Mutual exclusion
- Semaphores

Shared Variables in Threaded C Programs

- **Question: Which variables in a threaded C program are shared?**
 - The answer is not as simple as “*global variables are shared*” and “*stack variables are private*”
- **Def: A variable x is *shared* if and only if multiple threads reference some instance of x .**
- **Requires answers to the following questions:**
 - What is the memory model for threads?
 - How are instances of variables mapped to memory?
 - How many threads might reference each of these instances?

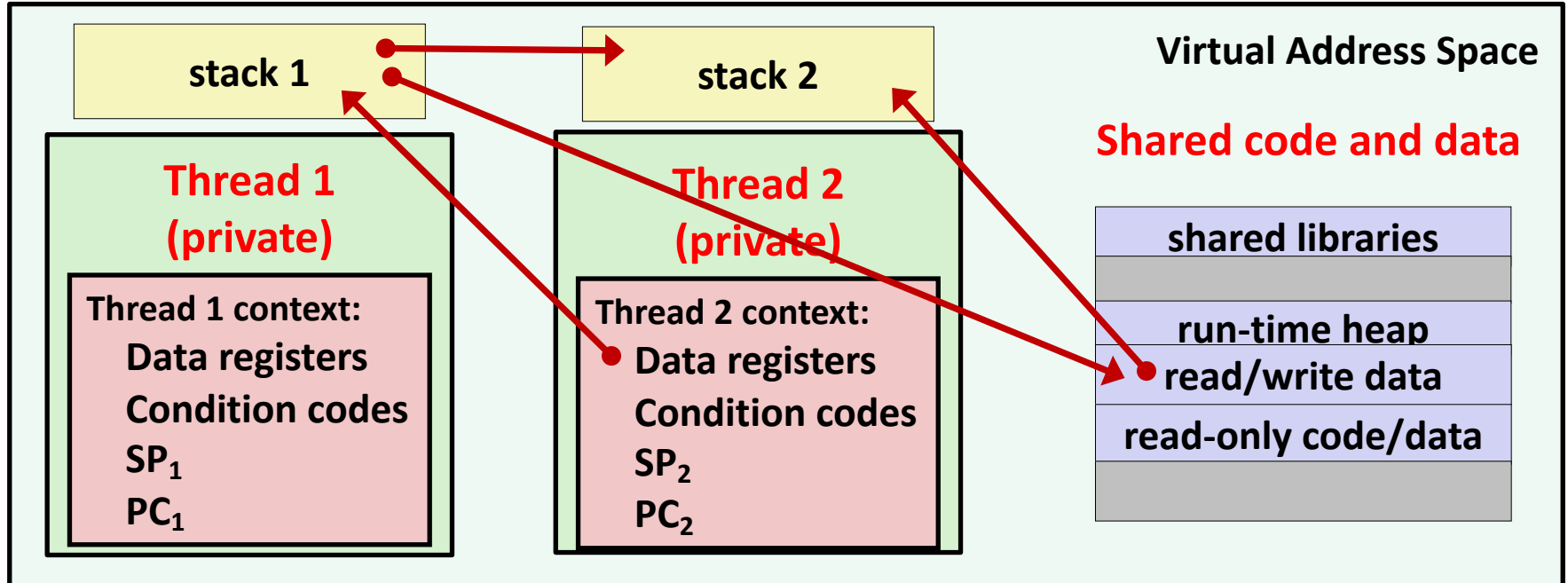
Threads Memory Model: Conceptual

- **Multiple threads run within the context of a single process**
- **Each thread has its own separate thread context**
 - Thread ID, stack, stack pointer, PC, condition codes, and GP registers
- **All threads share the remaining process context**
 - Code, data, heap, and shared library segments of the process virtual address space
 - Open files and installed handlers



Threads Memory Model: Actual

- Separation of data is not strictly enforced:
 - Register values are truly separate and protected, but...
 - Any thread can read and write the stack of any other thread



*The mismatch between the conceptual and operation model
is a source of confusion and errors*

Passing an argument to a thread - Pedantic

```
int hist[N] = {0};

int main(int argc, char *argv[]) {
    long i;
    pthread_t tids[N];

    for (i = 0; i < N; i++) {
        long* p = Malloc(sizeof(long));
        *p = i;
        Pthread_create(&tids[i],
                      NULL,
                      thread,
                      (void *)p);
    }
    for (i = 0; i < N; i++)
        Pthread_join(tids[i], NULL);
    check();
}
```

```
void *thread(void *vargp)
{
    hist[* (long *)vargp] += 1;
    Free(vargp);
    return NULL;
}
```

```
void check(void) {
    for (int i=0; i<N; i++) {
        if (hist[i] != 1) {
            printf("Failed at %d\n", i);
            exit(-1);
        }
    }
    printf("OK\n");
}
```

Passing an argument to a thread - Pedantic

```
int hist[N] = {0};

int main(int argc, char *argv[]) {
    long i;
    pthread_t tids[N];

    for (i = 0; i < N; i++) {
        long* p = Malloc(sizeof(long));
        *p = i;
        Pthread_create(&tids[i],
                       NULL,
                       thread,
                       (void *)p);
    }
    for (i = 0; i < N; i++)
        Pthread_join(tids[i], NULL);
    check();
}
```

```
void *thread(void *vargp)
{
    hist[(long *)vargp] += 1;
    Free(vargp);
    return NULL;
}
```

- Use malloc to create a per thread heap allocated place in memory for the argument
- Remember to free in thread!
- Producer-consumer pattern

Passing an argument to a thread – Also OK!

```
int hist[N] = {0};

int main(int argc, char *argv[]) {
    long i;
    pthread_t tids[N];

    for (i = 0; i < N; i++)
        Pthread_create(&tids[i],
                       NULL,
                       thread,
                       (void *)i);
    for (i = 0; i < N; i++)
        Pthread_join(tids[i], NULL);
    check();
}
```

```
void *thread(void *vargp)
{
    hist[(long)vargp] += 1;
    return NULL;
}
```

- Ok to Use cast since $\text{sizeof}(\text{long}) \leq \text{sizeof}(\text{void}^*)$
- Cast does NOT change bits

Passing an argument to a thread – **WRONG!**

```
int hist[N] = {0};

int main(int argc, char *argv[]) {
    long i;
    pthread_t tids[N];

    for (i = 0; i < N; i++)
        Pthread_create(&tids[i],
                       NULL,
                       thread,
                       (void *)&i);

    for (i = 0; i < N; i++)
        Pthread_join(tids[i], NULL);
    check();
}
```

```
void *thread(void *vargp)
{
    hist[* (long*)vargp] += 1;
    return NULL;
}
```

- **&i** points to same location for all threads!
- **Creates a data race!**

Three Ways to Pass Thread Arg

■ Malloc/free

- Producer malloc's space, passes pointer to `pthread_create`
- Consumer dereferences pointer

■ Ptr to stack slot

- Producer passes address to producer's stack in `pthread_create`
- Consumer dereferences pointer

■ Cast of int

- Producer casts an int/long to address in `pthread_create`
- Consumer casts `void*` argument back to int/long

Example Program to Illustrate Sharing

```

char **ptr; /* global var */

int main(int argc, char *argv[])
{
    long i;
    pthread_t tid;
    char *msgs[2] = {
        "Hello from foo",
        "Hello from bar"
    };

    ptr = msgs;
    for (i = 0; i < 2; i++)
        Pthread_create(&tid,
            NULL,
            thread,
            (void *)i);
    Pthread_exit(NULL);
}

```

sharing.c

```

void *thread(void *vargp)
{
    long myid = (long)vargp;
    static int cnt = 0;

    printf("[%ld]: %s (cnt=%d)\n",
        myid, ptr[myid], ++cnt);
    return NULL;
}

```

Peer threads reference main thread's stack indirectly through global ptr variable

A common way to pass a single argument to a thread routine

Shared Variables in Threaded C Programs

- **Question: Which variables in a threaded C program are shared?**
 - The answer is not as simple as “*global variables are shared*” and “*stack variables are private*”
- **Def: A variable x is *shared* if and only if multiple threads reference some instance of x .**
- **Requires answers to the following questions:**
 - What is the memory model for threads?
 - How are instances of variables mapped to memory?
 - How many threads might reference each of these instances?

Mapping Variable Instances to Memory

■ Global variables

- *Def:* Variable declared outside of a function
- **Virtual memory contains exactly one instance of any global variable**

■ Local variables

- *Def:* Variable declared inside function without `static` attribute
- **Each thread stack contains one instance of each local variable**

■ Local static variables

- *Def:* Variable declared inside function with the `static` attribute
- **Virtual memory contains exactly one instance of any local static variable.**

Mapping Variable Instances to Memory

```
char **ptr; /* global var */

int main(int main, char *argv[])
{
    long i;
    pthread_t tid;
    char *msgs[2] = {
        "Hello from foo",
        "Hello from bar"
    };

    ptr = msgs;
    for (i = 0; i < 2; i++)
        Pthread_create(&tid,
            NULL,
            thread,
            (void *)i);
    Pthread_exit(NULL);
}
```

sharing.c

```
void *thread(void *vargp)
{
    long myid = (long)vargp;
    static int cnt = 0;

    printf("[%ld]: %s (cnt=%d)\n",
        myid, ptr[myid], ++cnt);
    return NULL;
}
```

Mapping Variable Instances to Memory

Global var: 1 instance (ptr [data])

Local vars: 1 instance (i.m, msgs.m, tid.m)

```
char **ptr; /* global var */

int main(int main, char *argv[])
{
    long i;
    pthread_t tid;
    char *msgs[2] = {
        "Hello from foo",
        "Hello from bar"
    };

    ptr = msgs;
    for (i = 0; i < 2; i++)
        Pthread_create(&tid,
            NULL,
            thread,
            (void *)i);
    Pthread_exit(NULL);
}
```

sharing.c

Local var: 2 instances (
myid.p0 [peer thread 0's stack],
myid.p1 [peer thread 1's stack]
)

```
void *thread(void *vargp)
{
    long myid = (long)vargp;
    static int cnt = 0;

    printf("[%ld]: %s (cnt=%d)\n",
        myid, ptr[myid], ++cnt);
    return NULL;
}
```

Local static var: 1 instance (cnt [data])

Shared Variable Analysis

■ Which variables are shared?

| <i>Variable instance</i> | <i>Referenced by main thread?</i> | <i>Referenced by peer thread 0?</i> | <i>Referenced by peer thread 1?</i> |
|--------------------------|-----------------------------------|-------------------------------------|-------------------------------------|
| ptr | yes | yes | yes |
| cnt | no | yes | yes |
| i.m | yes | no | no |
| msgs.m | yes | yes | yes |
| myid.p0 | no | yes | no |
| myid.p1 | no | no | yes |

```
char **ptr; /* global var */
int main(int main, char *argv[]) {
    long i; pthread_t tid;
    char *msgs[2] = {"Hello from foo",
                    "Hello from bar" };

    ptr = msgs;
    for (i = 0; i < 2; i++)
        Pthread_create(&tid,
                       NULL, thread, (void *)i);
    Pthread_exit(NULL);
}
```

```
void *thread(void *vargp)
{
    long myid = (long)vargp;
    static int cnt = 0;

    printf("[%ld]: %s (cnt=%d)\n",
           myid, ptr[myid], ++cnt);
    return NULL;
}
```

Shared Variable Analysis

■ Which variables are shared?

| <i>Variable instance</i> | <i>Referenced by main thread?</i> | <i>Referenced by peer thread 0?</i> | <i>Referenced by peer thread 1?</i> |
|--------------------------|-----------------------------------|-------------------------------------|-------------------------------------|
| <code>ptr</code> | yes | yes | yes |
| <code>cnt</code> | no | yes | yes |
| <code>i.m</code> | yes | no | no |
| <code>msgs.m</code> | yes | yes | yes |
| <code>myid.p0</code> | no | yes | no |
| <code>myid.p1</code> | no | no | yes |

■ Answer: A variable x is shared iff multiple threads reference at least one instance of x . Thus:

- `ptr`, `cnt`, and `msgs` are shared
- `i` and `myid` are *not* shared

Synchronizing Threads

- Shared variables are handy...
- ...but introduce the possibility of nasty *synchronization* errors.

badcnt.c: Improper Synchronization

```

/* Global shared variable */
volatile long cnt = 0; /* Counter */

int main(int argc, char **argv)
{
    long niters;
    pthread_t tid1, tid2;

    niters = atoi(argv[1]);
    Pthread_create(&tid1, NULL,
                  thread, &niters);
    Pthread_create(&tid2, NULL,
                  thread, &niters);
    Pthread_join(tid1, NULL);
    Pthread_join(tid2, NULL);

    /* Check result */
    if (cnt != (2 * niters))
        printf("BOOM! cnt=%ld\n", cnt);
    else
        printf("OK cnt=%ld\n", cnt);
    exit(0);
}

```

badcnt.c

```

/* Thread routine */
void *thread(void *vargp)
{
    long i, niters =
        *((long *)vargp);

    for (i = 0; i < niters; i++)
        cnt++;

    return NULL;
}

```

```

linux> ./badcnt 10000
OK cnt=20000
linux> ./badcnt 10000
BOOM! cnt=13051
linux>

```

cnt should equal 20,000.

What went wrong?

Assembly Code for Counter Loop

C code for counter loop in thread i

```
for (i = 0; i < niters; i++)
    cnt++;
```

Asm code for thread i

| | |
|--|--|
| <pre> movq (%rdi), %rcx testq %rcx,%rcx jle .L2 movl \$0, %eax ----- .L3: movq cnt(%rip), %rdx addq \$1, %rdx movq %rdx, cnt(%rip) ----- addq \$1, %rax cmpq %rcx, %rax jne .L3 .L2: </pre> | <p style="font-size: 2em;">}</p> <p>H_i : Head</p> <p style="font-size: 2em;">}</p> <p>L_i : Load cnt U_i : Update cnt S_i : Store cnt</p> <p style="font-size: 2em;">}</p> <p>T_i : Tail</p> |
|--|--|

Concurrent Execution

- **Key idea:** In general, any **sequentially consistent*** interleaving is possible, but some give an unexpected result!
 - I_i denotes that thread i executes instruction I
 - $\%rdx_i$ is the content of $\%rdx$ in thread i 's context

| i (thread) | $instr_i$ | $\%rdx_1$ | $\%rdx_2$ | cnt |
|--------------|-----------|-----------|-----------|-----|
| 1 | H_1 | - | - | 0 |
| 1 | L_1 | 0 | - | 0 |
| 1 | U_1 | 1 | - | 0 |
| 1 | S_1 | 1 | - | 1 |
| 2 | H_2 | - | - | 1 |
| 2 | L_2 | - | 1 | 1 |
| 2 | U_2 | - | 2 | 1 |
| 2 | S_2 | - | 2 | 2 |
| 2 | T_2 | - | 2 | 2 |
| 1 | T_1 | 1 | - | 2 |

OK

**For now. In reality, on x86 even non-sequentially consistent interleavings are possible*

Concurrent Execution

- **Key idea:** In general, any sequentially consistent interleaving is possible, but some give an unexpected result!
 - I_i denotes that thread i executes instruction I
 - $\%rdx_i$ is the content of $\%rdx$ in thread i 's context

| i (thread) | $instr_i$ | $\%rdx_1$ | $\%rdx_2$ | cnt |
|--------------|-----------|-----------|-----------|-----|
| 1 | H_1 | - | - | 0 |
| 1 | L_1 | 0 | - | 0 |
| 1 | U_1 | 1 | - | 0 |
| 1 | S_1 | 1 | - | 1 |
| 2 | H_2 | - | - | 1 |
| 2 | L_2 | - | 1 | 1 |
| 2 | U_2 | - | 2 | 1 |
| 2 | S_2 | - | 2 | 2 |
| 2 | T_2 | - | 2 | 2 |
| 1 | T_1 | 1 | - | 2 |



Thread 1
critical section



Thread 2
critical section

OK

Concurrent Execution (cont)

- Incorrect ordering: two threads increment the counter, but the result is 1 instead of 2

| i (thread) | instr _i | %rdx ₁ | %rdx ₂ | cnt |
|------------|--------------------|-------------------|-------------------|-----|
| 1 | H ₁ | - | - | 0 |
| 1 | L ₁ | 0 | - | 0 |
| 1 | U ₁ | 1 | - | 0 |
| 2 | H ₂ | - | - | 0 |
| 2 | L ₂ | - | 0 | 0 |
| 1 | S ₁ | 1 | - | 1 |
| 1 | T ₁ | 1 | - | 1 |
| 2 | U ₂ | - | 1 | 1 |
| 2 | S ₂ | - | 1 | 1 |
| 2 | T ₂ | - | 1 | 1 |

Oops!

Concurrent Execution (cont)

- How about this ordering?

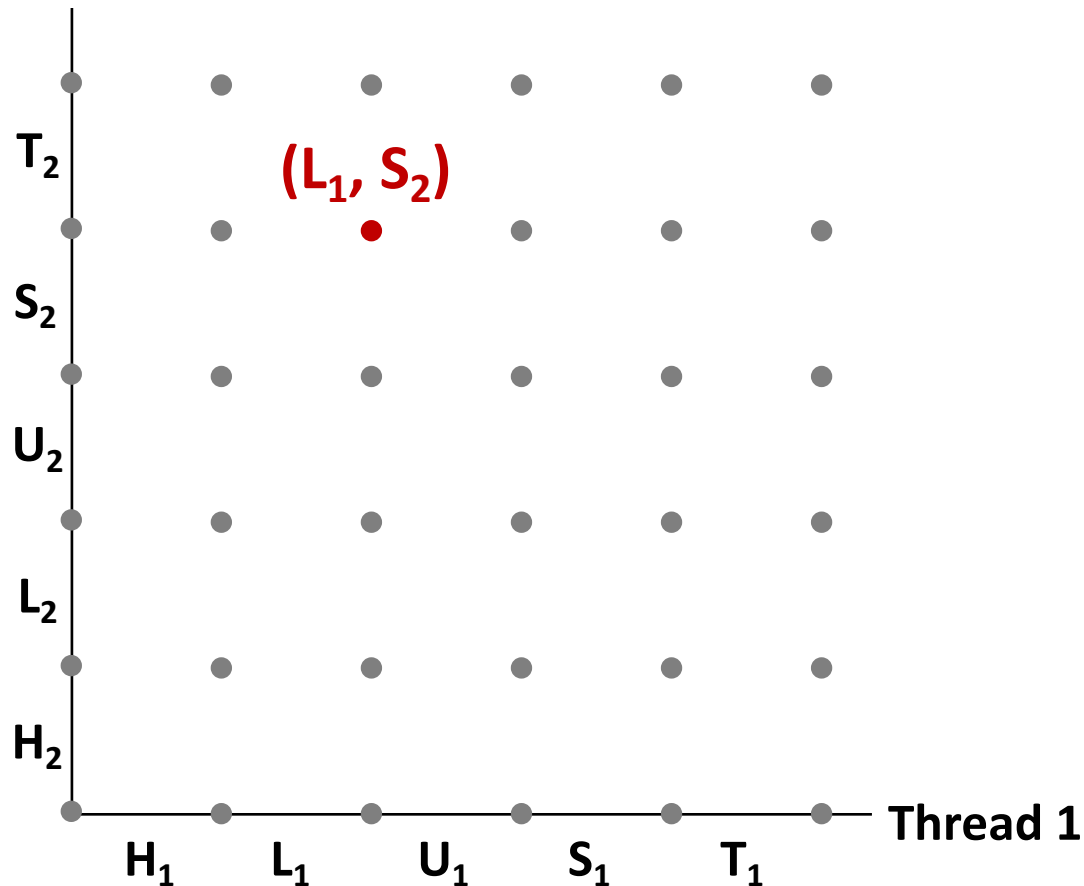
| i (thread) | instr _i | %rdx ₁ | %rdx ₂ | cnt |
|------------|--------------------|-------------------|-------------------|-----|
| 1 | H ₁ | | | 0 |
| 1 | L ₁ | 0 | | |
| 2 | H ₂ | | | |
| 2 | L ₂ | | 0 | |
| 2 | U ₂ | | 1 | |
| 2 | S ₂ | | 1 | 1 |
| 1 | U ₁ | 1 | | |
| 1 | S ₁ | 1 | | 1 |
| 1 | T ₁ | | | 1 |
| 2 | T ₂ | | | 1 |

Oops!

- We can analyze the behavior using a *progress graph*

Progress Graphs

Thread 2



A *progress graph* depicts the discrete *execution state space* of concurrent threads.

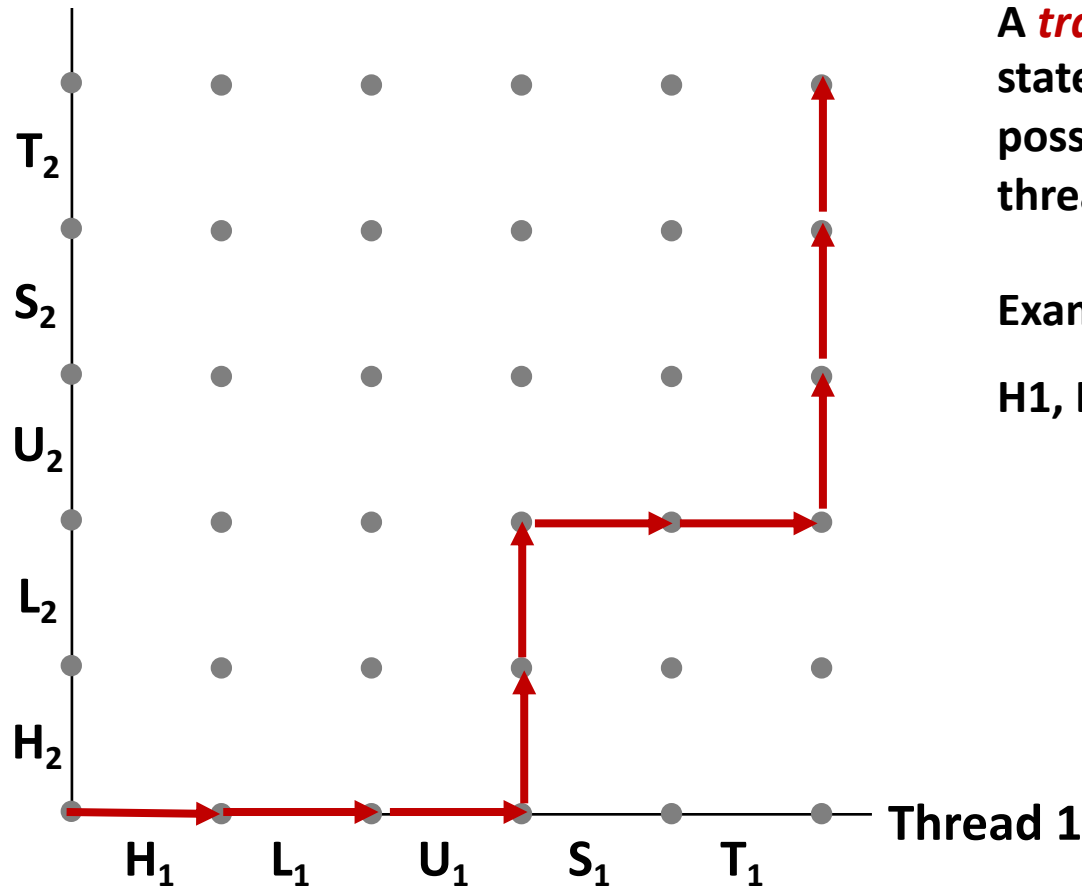
Each axis corresponds to the sequential order of instructions in a thread.

Each point corresponds to a possible *execution state* (Inst₁, Inst₂).

E.g., (L₁, S₂) denotes state where thread 1 has completed L₁ and thread 2 has completed S₂.

Trajectories in Progress Graphs

Thread 2

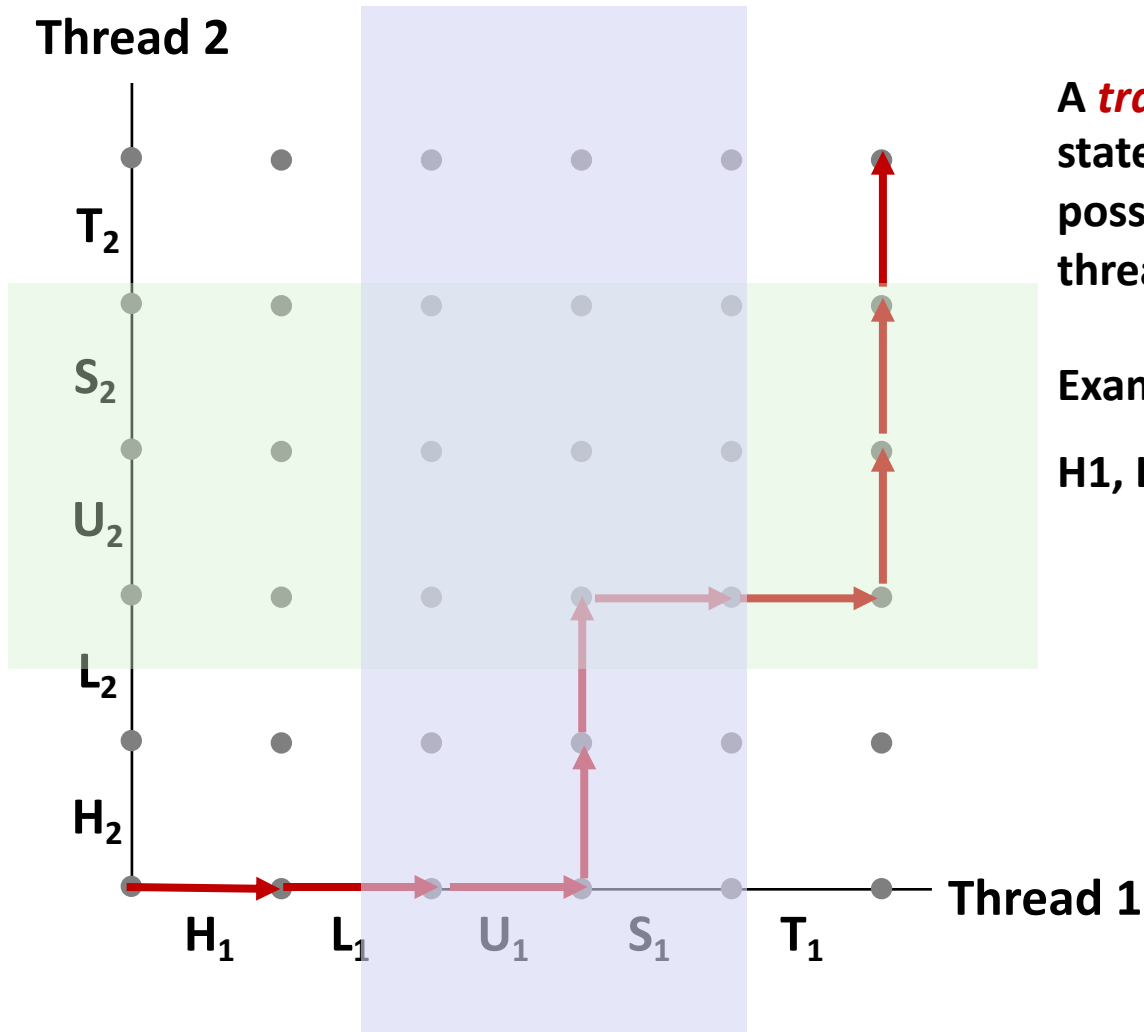


A *trajectory* is a sequence of legal state transitions that describes one possible concurrent execution of the threads.

Example:

$H_1, L_1, U_1, H_2, L_2, S_1, T_1, U_2, S_2, T_2$

Trajectories in Progress Graphs

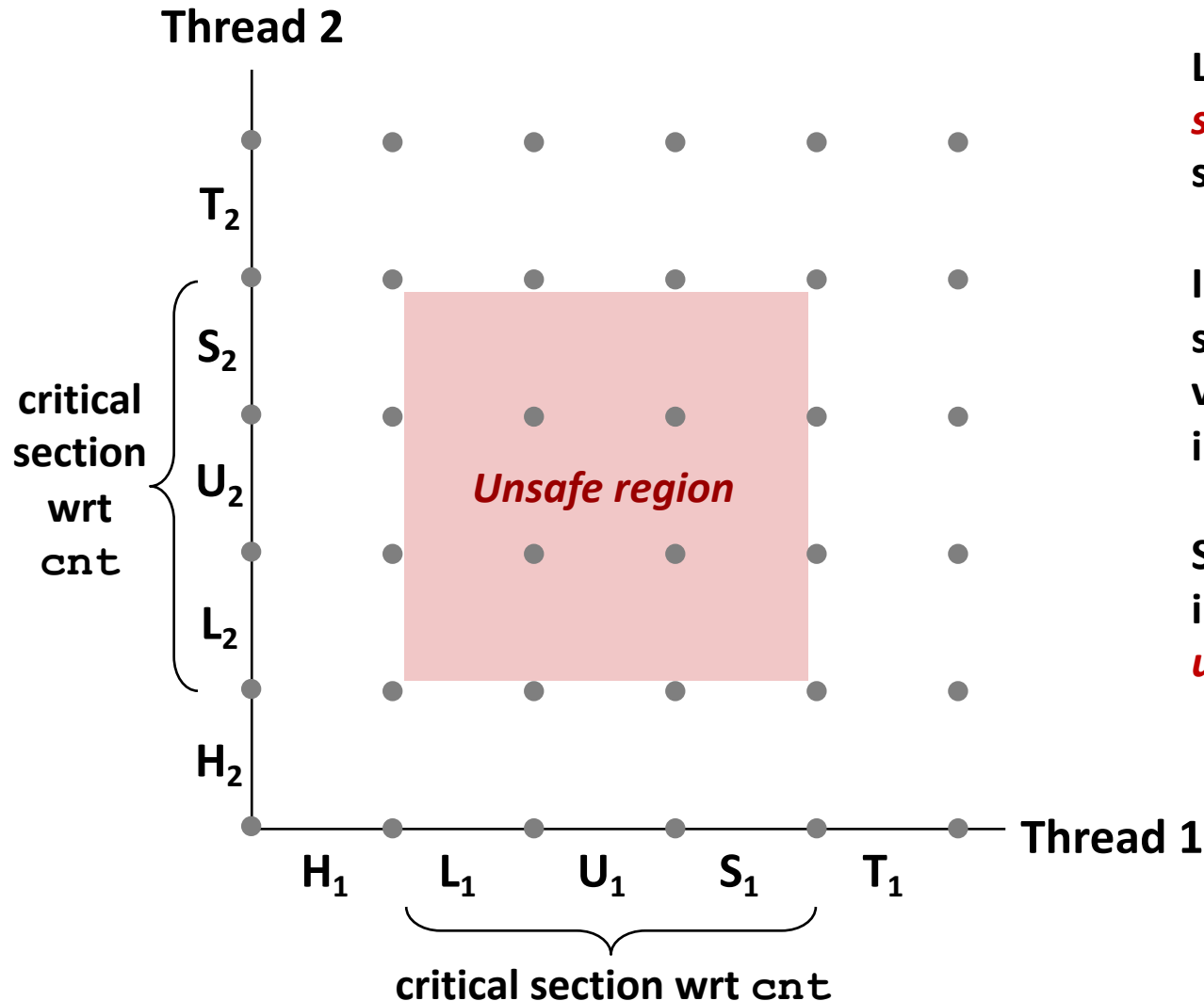


A *trajectory* is a sequence of legal state transitions that describes one possible concurrent execution of the threads.

Example:

$H_1, L_1, U_1, H_2, L_2, S_1, T_1, U_2, S_2, T_2$

Critical Sections and Unsafe Regions

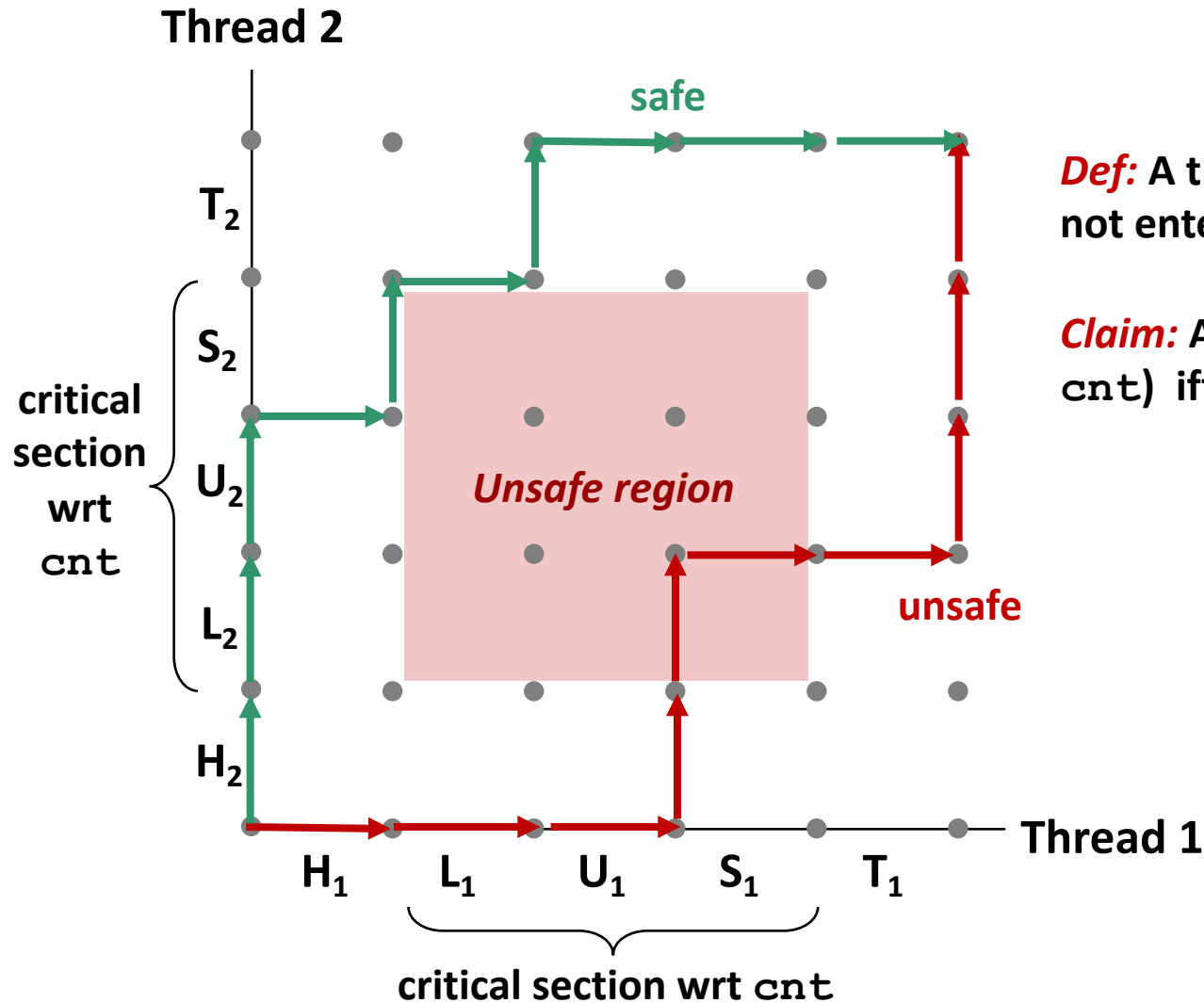


L , U , and S form a *critical section* with respect to the shared variable `cnt`

Instructions in critical sections (wrt some shared variable) should not be interleaved

Sets of states where such interleaving occurs form *unsafe regions*

Critical Sections and Unsafe Regions



Def: A trajectory is *safe* iff it does not enter any unsafe region

Claim: A trajectory is correct (wrt cnt) iff it is safe

badcnt.c: Improper Synchronization

```

/* Global shared variable */
volatile long cnt = 0; /* Counter */

int main(int argc, char **argv)
{
    long niters;
    pthread_t tid1, tid2;

    niters = atoi(argv[1]);
    Pthread_create(&tid1, NULL,
                  thread, &niters);
    Pthread_create(&tid2, NULL,
                  thread, &niters);
    Pthread_join(tid1, NULL);
    Pthread_join(tid2, NULL);

    /* Check result */
    if (cnt != (2 * niters))
        printf("BOOM! cnt=%ld\n", cnt);
    else
        printf("OK cnt=%ld\n", cnt);
    exit(0);
}

```

badcnt.c

```

/* Thread routine */
void *thread(void *vargp)
{
    long i, niters =
        *((long *)vargp);

    for (i = 0; i < niters; i++)
        cnt++;

    return NULL;
}

```

| Variable | main | thread1 | thread2 |
|----------|------|---------|---------|
| cnt | | | |
| niters.m | | | |
| tid1.m | | | |
| i.1 | | | |
| i.2 | | | |
| niters.1 | | | |
| niters.2 | | | |

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```

/* Global shared variable */
volatile long cnt = 0; /* Counter */

int main(int argc, char **argv)
{
    long niters;
    pthread_t tid1, tid2;

    niters = atoi(argv[1]);
    Pthread_create(&tid1, NULL,
                  thread, &niters);
    Pthread_create(&tid2, NULL,
                  thread, &niters);
    Pthread_join(tid1, NULL);
    Pthread_join(tid2, NULL);

    /* Check result */
    if (cnt != (2 * niters))
        printf("BOOM! cnt=%ld\n", cnt);
    else
        printf("OK cnt=%ld\n", cnt);
    exit(0);
}

```

badcnt.c

```

/* Thread routine */
void *thread(void *vargp)
{
    long i, niters =
        *((long *)vargp);

    for (i = 0; i < niters; i++)
        cnt++;

    return NULL;
}

```

| Variable | main | thread1 | thread2 |
|----------|------|---------|---------|
| cnt | yes* | yes | yes |
| niters.m | yes | no | no |
| tid1.m | yes | no | no |
| i.1 | no | yes | no |
| i.2 | no | no | yes |
| niters.1 | no | yes | no |
| niters.2 | no | no | yes |

Today

- Threads review
- Sharing
- **Mutual exclusion**

Enforcing Mutual Exclusion

- **Question:** How can we guarantee a safe trajectory?
- **Answer:** We must *synchronize* the execution of the threads so that they can never have an unsafe trajectory.
 - i.e., need to guarantee *mutually exclusive access* for each critical section.
- **Classic solution:**
 - Mutex (pthreads)
 - Semaphores (Edsger Dijkstra)
- **Other approaches (out of our scope)**
 - Condition variables (pthreads)
 - Monitors (Java)

Semaphores

- ***Semaphore***: non-negative global integer synchronization variable. Manipulated by *P* and *V* operations.
- ***P(s)***
 - If *s* is nonzero, then decrement *s* by 1 and return immediately.
 - Test and decrement operations occur atomically (indivisibly)
 - If *s* is zero, then suspend thread until *s* becomes nonzero and the thread is restarted by a *V* operation.
 - After restarting, the *P* operation decrements *s* and returns control to the caller.
- ***V(s)***:
 - Increment *s* by 1.
 - Increment operation occurs atomically
 - If there are any threads blocked in a *P* operation waiting for *s* to become non-zero, then restart exactly one of those threads, which then completes its *P* operation by decrementing *s*.
- **Semaphore invariant: ($s \geq 0$)**

Semaphores

- ***Semaphore***: non-negative global integer synchronization variable
- **Manipulated by P and V operations:**
 - $P(s)$: [**while** ($s == 0$) **wait**() ; $s--$;]
 - Dutch for "Proberen" (test)
 - $V(s)$: [$s++$;]
 - Dutch for "Verhogen" (increment)
- **OS kernel guarantees that operations between brackets [] are executed indivisibly**
 - Only one P or V operation at a time can modify s .
 - When **while** loop in P terminates, only that P can decrement s
- **Semaphore invariant: ($s \geq 0$)**

C Semaphore Operations

Pthreads functions:

```
#include <semaphore.h>

int sem_init(sem_t *s, 0, unsigned int val);} /* s = val */

int sem_wait(sem_t *s); /* P(s) */
int sem_post(sem_t *s); /* V(s) */
```

CS:APP wrapper functions:

```
#include "csapp.h"

void P(sem_t *s); /* Wrapper function for sem_wait */
void V(sem_t *s); /* Wrapper function for sem_post */
```

badcnt.c: Improper Synchronization

```
/* Global shared variable */
volatile long cnt = 0; /* Counter */

int main(int argc, char **argv)
{
    long niters;
    pthread_t tid1, tid2;

    niters = atoi(argv[1]);
    Pthread_create(&tid1, NULL,
                  thread, &niters);
    Pthread_create(&tid2, NULL,
                  thread, &niters);
    Pthread_join(tid1, NULL);
    Pthread_join(tid2, NULL);

    /* Check result */
    if (cnt != (2 * niters))
        printf("BOOM! cnt=%ld\n", cnt);
    else
        printf("OK cnt=%ld\n", cnt);
    exit(0);
}
```

badcnt.c

```
/* Thread routine */
void *thread(void *vargp)
{
    long i, niters =
        *((long *)vargp);

    for (i = 0; i < niters; i++)
        cnt++;

    return NULL;
}
```

How can we fix this using synchronization?

MUTual EXclusion (mutex)

- ***Mutex***: boolean synchronization variable
- `enum {locked = 0, unlocked = 1}`
- **lock(m)**
 - If the mutex is currently not locked, lock it and return
 - Otherwise, wait (spinning, yielding, etc) and retry
- **unlock(m)**
 - Update the mutex state to unlocked

Using Semaphores for Mutual Exclusion

Basic idea:

- Associate a unique semaphore *mutex*, initially 1, with each shared variable (or related set of shared variables).
- Surround corresponding critical sections with $P(mutex)$ and $V(mutex)$ operations.

Terminology:

- *Binary semaphore*: semaphore whose value is always 0 or 1
- *Mutex*: binary semaphore used for mutual exclusion
 - P operation: “locking” the mutex
 - V operation: “unlocking” or “releasing” the mutex
 - “*Holding*” a mutex: locked and not yet unlocked.
- *Counting semaphore*: used as a counter for set of available resources.

goodcnt.c: Proper Synchronization

Define and initialize a mutex for the shared variable cnt:

```
volatile long cnt = 0; /* Counter */
sem_t mutex;          /* Semaphore that protects cnt */

sem_init(&mutex, 0, 1); /* mutex = 1 */
```

Surround critical section with *P* and *V*:

```
for (i = 0; i < niters; i++) {
    P(&mutex);
    cnt++;
    V(&mutex);
}
goodcnt.c
```

```
linux> ./goodcnt 10000
OK cnt=20000
linux> ./goodcnt 10000
OK cnt=20000
linux>
```

Warning: It's orders of magnitude slower than badcnt.c.

goodcnt.c: Proper Synchronization

Define and initialize a mutex for the shared variable cnt:

```
volatile long cnt = 0; /* Counter */
sem_t mutex;          /* Semaphore that protects cnt */

sem_init(&mutex, 0, 1); /* mutex = 1 */
```

Surround critical section with P and V:

OK cnt=2000000 BOOM! cnt=1036525 Slowdown

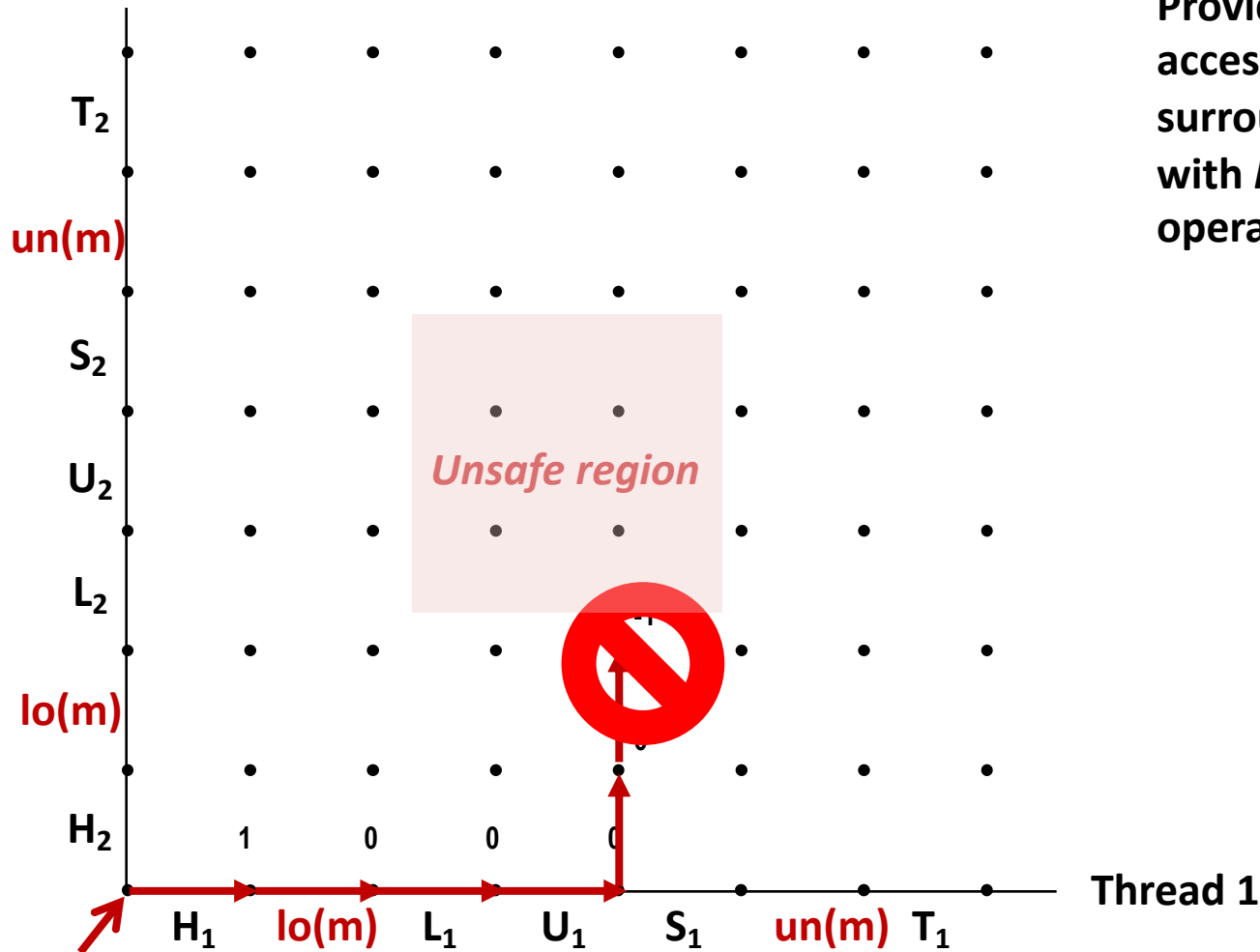
| | | | |
|------|----------|----------|-----|
| real | 0m0.138s | 0m0.007s | 20X |
| user | 0m0.120s | 0m0.008s | 15X |
| sys | 0m0.108s | 0m0.000s | NaN |

And slower means much slower!

ver

Why Mutexes Work

Thread 2



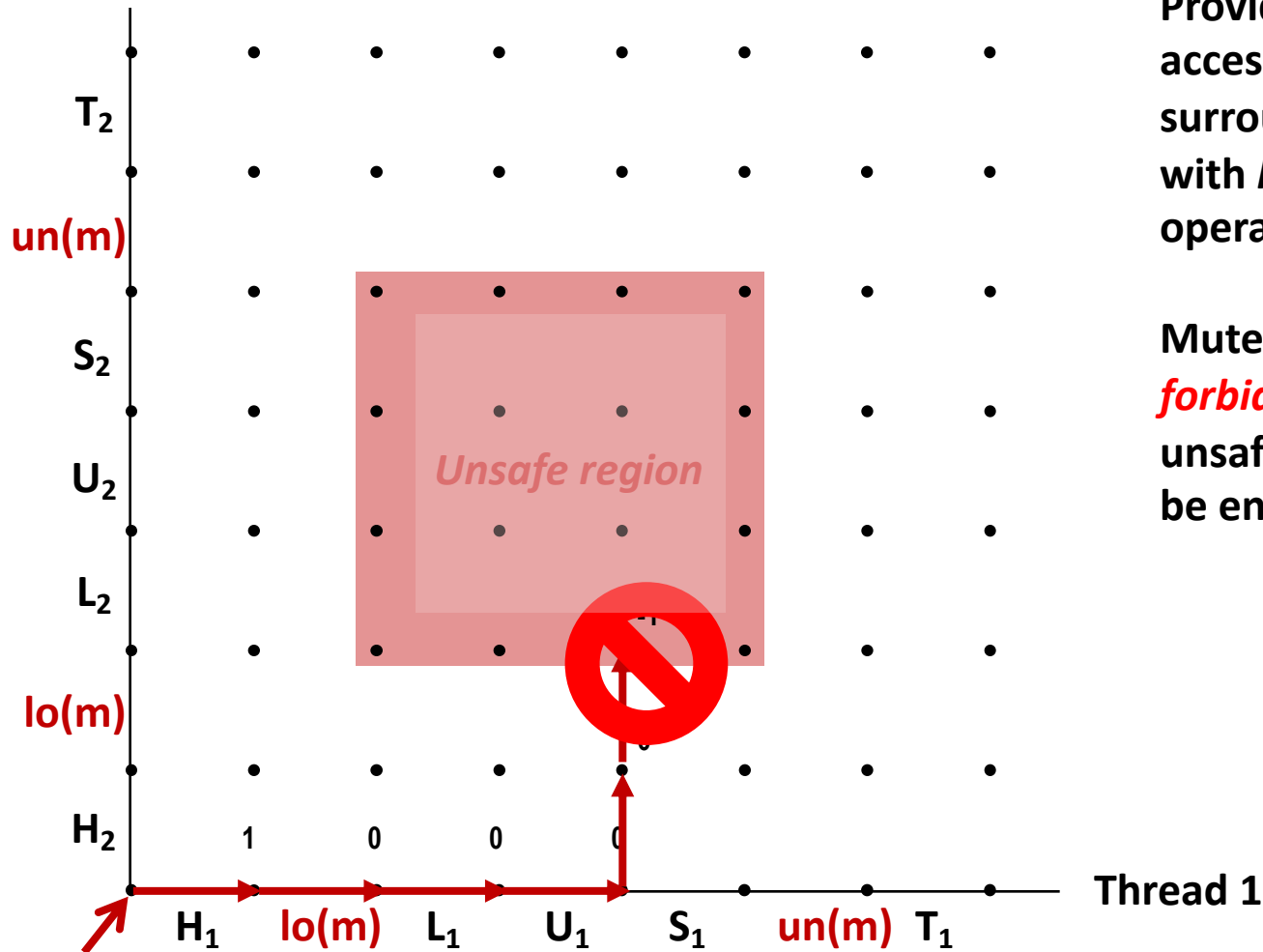
Provide mutually exclusive access to shared variable by surrounding critical section with *lock* and *unlock* operations

Initially

$m = 1$

Why Mutexes Work

Thread 2



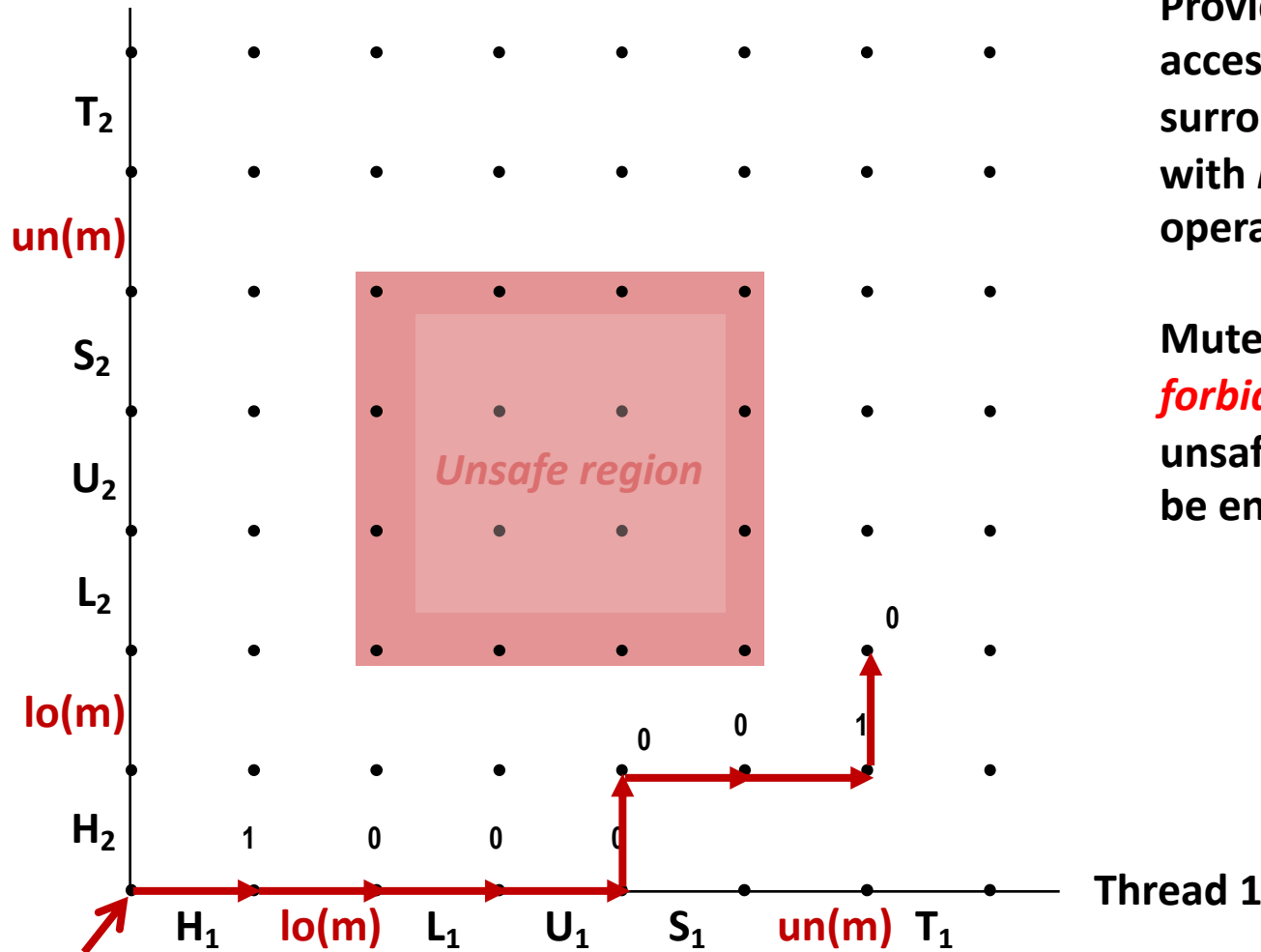
Initially
 $m = 1$

Provide mutually exclusive access to shared variable by surrounding critical section with *lock* and *unlock* operations

Mutex invariant creates a **forbidden region** that encloses unsafe region and that cannot be entered by any trajectory.

Why Mutexes Work

Thread 2

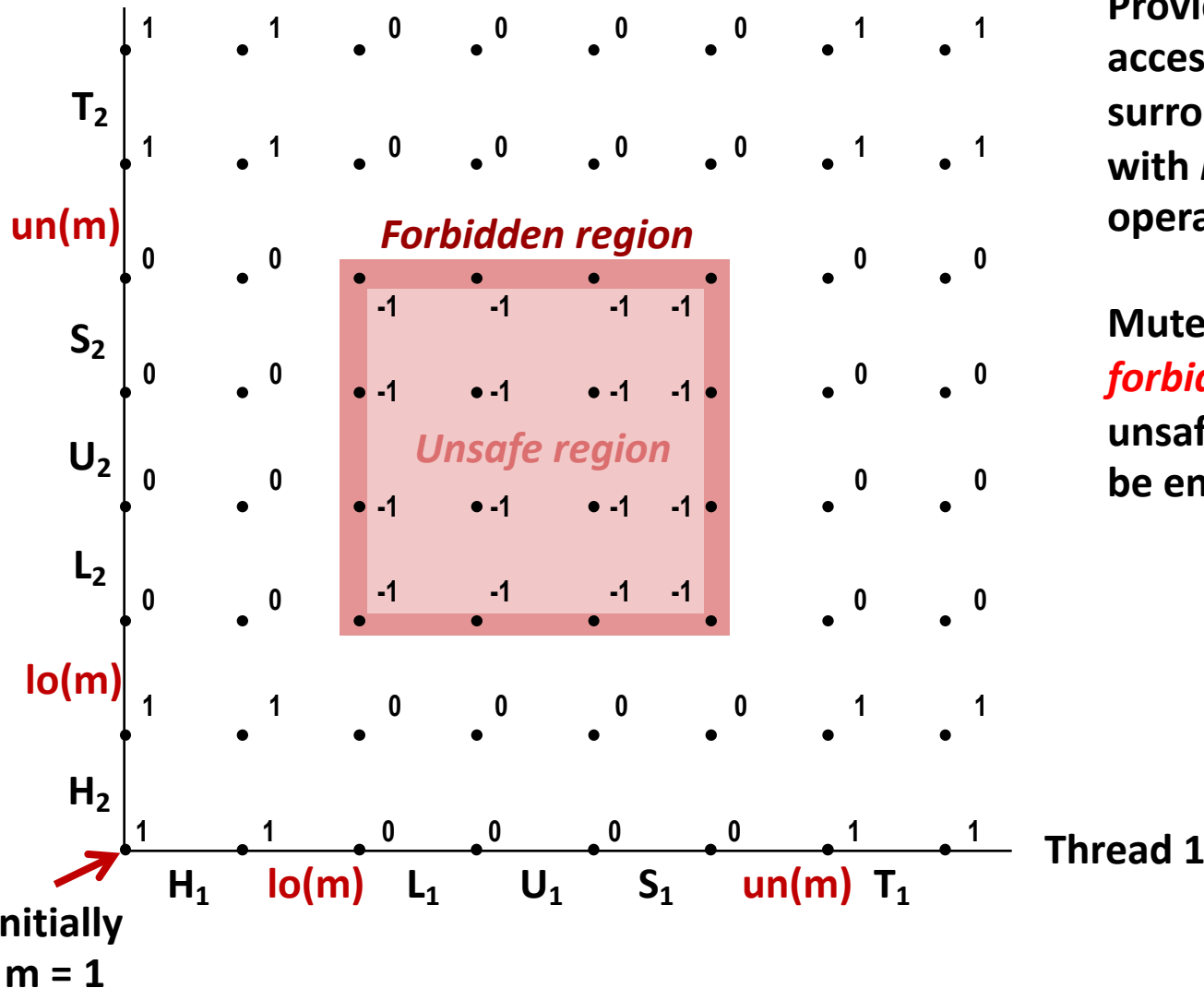


Provide mutually exclusive access to shared variable by surrounding critical section with *lock* and *unlock* operations

Mutex invariant creates a **forbidden region** that encloses unsafe region and that cannot be entered by any trajectory.

Why Mutexes Work

Thread 2



Provide mutually exclusive access to shared variable by surrounding critical section with *lock* and *unlock* operations

Mutex invariant creates a **forbidden region** that encloses unsafe region and that cannot be entered by any trajectory.

Summary

- **Programmers need a clear model of how variables are shared by threads.**
- **Variables shared by multiple threads must be protected to ensure mutually exclusive access.**
- **Semaphores are a fundamental mechanism for enforcing mutual exclusion.**

Appendix: Binary Semaphores vs. Mutexes (not test material, just fyi!)

- Binary semaphore: semaphore initialized with a value of 1
- Both binary semaphores and mutexes can be used to guarantee mutual exclusion
- Main difference is ownership
 - Mutexes must be unlocked by the thread who owned them previously
 - Binary semaphores can be signaled/incremented (V) by a thread who did not decrement (P) them
- As long as you use binary semaphores in the following way in all threads, they can be used as a mutex

```
P(&sem);  
// critical section  
V(&sem);
```

They are also implemented differently but that's out of the scope for this class... (covered in 15-410)