

## More Data Flow Analyses

Reading: NNH 2.1

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Analysis of Software Artifacts  
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## General Monotonicity Proofs

- We proved RD was monotone for data flow equations for a *specific program*
- Here's a more general proof, for the assignment flow function:
  - To show: If  $RD_{\text{entry}}(\ell) \subseteq RD_{\text{entry}}'(\ell)$  then  $RD_{\text{exit}}(\ell) \subseteq RD_{\text{exit}}'(\ell)$ 
    - case:  $B' = [x := a]'$
    - Assume  $RD_{\text{entry}}(\ell) \subseteq RD_{\text{entry}}'(\ell)$
    - Now  $\text{kill}_{RD}([x := a]) = \{(x, *)\}$  (where \* is any label or ?)
    - Thus  $RD_{\text{entry}}(\ell) \setminus \text{kill}_{RD}(B') \subseteq RD_{\text{entry}}'(\ell) \setminus \text{kill}_{RD}(B')$
    - And  $\text{gen}_{RD}([x := a]) = \{(x, \ell)\}$
    - Therefore  $(RD_{\text{entry}}(\ell) \setminus \text{kill}_{RD}(B')) \cup \text{gen}_{RD}(B) \subseteq (RD_{\text{entry}}'(\ell) \setminus \text{kill}_{RD}(B')) \cup \text{gen}_{RD}'(B')$
    - And we are done with the case for  $[x := a]'$

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## Live Variables Analysis

A variable is *live* at program point p if there exists a path from p to a use of the variable that does not re-define the variable.

- Live Variables Analysis
  - Determines which variables ***may*** be live at each program point

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## Live Variable Analysis Example

$[y := x]^1;$	$LV_{\text{enter}}(1) =$
$[z := 1]^2;$	$LV_{\text{exit}}(1) =$
while $[y > 1]^3$ do	
$[z := z * y]^4;$	$LV_{\text{exit}}(2) =$
$[y := y - 1]^5;$	$LV_{\text{exit}}(3) =$
$[y := 0]^6;$	$LV_{\text{exit}}(4) =$
	$LV_{\text{exit}}(5) =$
	$LV_{\text{exit}}(6) =$

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## Live Variable Analysis Example

$[y := x]^1;$	$LV_{\text{enter}}(1) = \{x\}$
$[z := 1]^2;$	$LV_{\text{exit}}(1) = \{y\}$
while $[y > 1]^3$ do	
$[z := z * y]^4;$	$LV_{\text{exit}}(2) = \{y, z\}$
$[y := y - 1]^5;$	$LV_{\text{exit}}(3) = \{y, z\}$
$[y := 0]^6;$	$LV_{\text{exit}}(4) = \{y, z\}$
	$LV_{\text{exit}}(5) = \{y, z\}$
	$LV_{\text{exit}}(6) = \emptyset$

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## Live Variable Analysis Equations

$[y := x]^1;$	$LV_{\text{exit}}(1) =$
$[z := 1]^2;$	$LV_{\text{exit}}(2) =$
while $[y > 1]^3$ do	$LV_{\text{exit}}(3) =$
$[z := z * y]^4;$	$LV_{\text{exit}}(4) =$
$[y := y - 1]^5;$	$LV_{\text{exit}}(5) =$
$[y := 0]^6;$	$LV_{\text{exit}}(6) =$
	$LV_{\text{enter}}(1) =$
	$LV_{\text{enter}}(2) =$
	$LV_{\text{enter}}(3) =$
	$LV_{\text{enter}}(4) =$
	$LV_{\text{enter}}(5) =$
	$LV_{\text{enter}}(6) =$

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## Live Variable Analysis Equations

```

[y := x]1;          LVexit(1) = LVenter(2)
[z := 1]2;          LVexit(2) = LVenter(3)
while [y > 1]3 do   LVexit(3) = LVenter(4) ∪ LVenter(6)
  [z := z * y]4;    LVexit(4) = LVenter(5)
  [y := y - 1]5;    LVexit(5) = LVenter(3)
  [y := 0]6;        LVexit(6) = ∅
LVenter(1) = (LVexit(1) \ {y}) ∪ {x}
LVenter(2) = (LVexit(2) \ {z}) ∪ ∅
LVenter(3) = (LVexit(3) \ ∅) ∪ {y}
LVenter(4) = (LVexit(4) \ {z}) ∪ {y, z}
LVenter(5) = (LVexit(5) \ {y}) ∪ {y}
LVenter(6) = (LVexit(6) \ {y}) ∪ ∅

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## General LVA Equations

LV <sub>exit</sub> ( $\ell$ )	=	$\emptyset$	if ( $\ell \in \text{final}(S_i)$ )
	=	$\cup \{ LV_{\text{entry}}(\ell') \mid (\ell, \ell') \in \text{flow}^R(S_i) \}$	otherwise
LV <sub>entry</sub> ( $\ell$ )	=	$(LV_{\text{exit}}(\ell) \setminus \text{kill}_{\text{LV}}(B)) \cup \text{gen}_{\text{LV}}(B)$	
kill <sub>LV</sub> ([x := a])	=		
kill <sub>LV</sub> ([skip])	=		
kill <sub>LV</sub> ([b])	=		
gen <sub>LV</sub> ([x := a])	=		
gen <sub>LV</sub> ([skip])	=		
gen <sub>LV</sub> ([b])	=		

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## General LVA Equations

LV <sub>exit</sub> ( $\ell$ )	=	$\emptyset$	if ( $\ell \in \text{final}(S_i)$ )
	=	$\cup \{ LV_{\text{entry}}(\ell') \mid (\ell, \ell') \in \text{flow}^R(S_i) \}$	otherwise
LV <sub>entry</sub> ( $\ell$ )	=	$(LV_{\text{exit}}(\ell) \setminus \text{kill}_{\text{LV}}(B)) \cup \text{gen}_{\text{LV}}(B)$	
kill <sub>LV</sub> ([x := a])	=	{x}	
kill <sub>LV</sub> ([skip])	=	$\emptyset$	
kill <sub>LV</sub> ([b])	=	$\emptyset$	
gen <sub>LV</sub> ([x := a])	=	FV(a)	
gen <sub>LV</sub> ([skip])	=	$\emptyset$	
gen <sub>LV</sub> ([b])	=	FV(b)	

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## Data Flow Analysis Characteristics

		Type	
		May	Must
Direction	Forward	Reaching Definitions	Available Expressions
	Backward	Live Variables	Very Busy Exp (text)

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## Monotone Frameworks

Reading: NNH 2.3, Appendix A.1-A.3

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## Monotone Framework

### Reaching Definitions

RD <sub>entry</sub> ( $\ell$ )	=	$\{(x,?) \mid x \in FV(S_i)\}$	if $\ell = \text{init}(S_i)$
	=	$\cup \{ RD_{\text{exit}}(\ell') \mid (\ell', \ell) \in \text{flow}(S_i) \}$	otherwise
RD <sub>exit</sub> ( $\ell$ )	=	$(RD_{\text{entry}}(\ell) \setminus \text{kill}_{\text{RD}}(B)) \cup \text{gen}_{\text{RD}}(B)$	

### Monotone Framework: A Generalization

Analysis <sub>o</sub> ( $\ell$ )	=	$\ell$	if $\ell \in E$
	=	$\sqcup \{ \text{Analysis}_*(\ell') \mid (\ell', \ell) \in F \}$	otherwise
Analysis <sub>*</sub> ( $\ell$ )	=	$f_\ell(\text{Analysis}_o(\ell))$	

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## Monotone Framework

$$\text{Analysis}_\circ(\ell) = \begin{cases} \iota & \text{if } \ell \in E \\ \sqcup \{ \text{Analysis}_\bullet(\ell') \mid (\ell', \ell) \in F \} & \text{otherwise} \end{cases}$$

$$\text{Analysis}_\bullet(\ell) = f_\ell(\text{Analysis}_\circ(\ell))$$

where:

- $\circ$  means entry (forward) or exit (backward)
- $\bullet$  means exit (forward) or entry (backward)
- $\sqcup$  is  $\cup$  (may) or  $\sqcap$  (must)
- $F$  is  $\text{flow}(S_\circ)$  (forward) or  $\text{flow}^\bullet(S_\bullet)$  (backward)
- $E$  is  $\{ \text{init}(S_\circ) \}$  (forward) or  $\text{final}(S_\bullet)$  (backward)
- $\iota$  specifies initial or final analysis information, and
- $f_\ell$  is a transfer function
  - Typically  $f_\ell(x) = x \setminus \text{kill}_{\text{Analysis}_\circ(B)} \cup \text{gen}_{\text{Analysis}_\bullet(B)}$

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## Monotone Framework

$$\text{Analysis}_\circ(\ell) = \begin{cases} \iota & \text{if } \ell \in E \\ \sqcup \{ \text{Analysis}_\bullet(\ell') \mid (\ell', \ell) \in F \} & \text{otherwise} \end{cases}$$

$$\text{Analysis}_\bullet(\ell) = f_\ell(\text{Analysis}_\circ(\ell))$$

	RD	AE	LV
$\sqcup$			
$F$			
$E$			
$\iota$			

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## Monotone Framework

$$\text{Analysis}_\circ(\ell) = \begin{cases} \iota & \text{if } \ell \in E \\ \sqcup \{ \text{Analysis}_\bullet(\ell') \mid (\ell', \ell) \in F \} & \text{otherwise} \end{cases}$$

$$\text{Analysis}_\bullet(\ell) = f_\ell(\text{Analysis}_\circ(\ell))$$

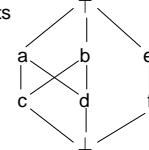
	RD	AE	LV
$\sqcup$	$\cup$	$\cap$	$\cup$
$F$	$\text{flow}(S_\circ)$	$\text{flow}(S_\bullet)$	$\text{flow}^\bullet(S_\circ)$
$E$	$\{ \text{init}(S_\circ) \}$	$\{ \text{init}(S_\bullet) \}$	$\text{final}(S_\bullet)$
$\iota$	$\{ (x,?) \mid x \in \text{FV}(S_\circ) \}$	$\emptyset$	$\emptyset$

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## Complete Lattice

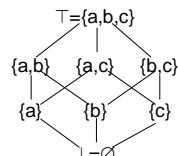
- Not all data flow analyses use sets
  - Lattice: a more general concept
- A set  $L$  with:
  - A partial order  $\sqsubseteq$
  - A combination operator  $\sqcup$
  - A least element  $\perp = \sqcup(\emptyset)$
  - A greatest element  $\top = \sqcup(L)$
  - Each subset  $Y$  of  $L$  has a least upper bound  $\sqcup(Y)$
- Typically we want the lattice to have finite height
  - A finite number of elements on each path from  $\perp$  to  $\top$ 
    - See NNH Appendix A.3



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## Example: Subset Lattice

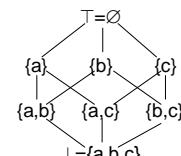


- Reaching Definitions
- The set  $L = \mathcal{P}(\{a, b, c\})$  with:
  - $\sqsubseteq = \subseteq$
  - $\sqcup = \cup$  (may analysis)
  - $\perp = \emptyset$  (the most precise and starting element)
  - $\top = \{a, b, c\}$  (the least precise element)

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## Example: Superset Lattice

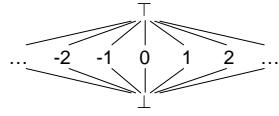


- Available Expressions
- The set  $L = \mathcal{P}(\{a, b, c\})$  with:
  - $\sqsubseteq = \supseteq$
  - $\sqcup = \cap$  (must analysis)
  - $\perp = \{a, b, c\}$  (the most precise and starting element)
  - $\top = \emptyset$  (the least precise element)

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## Constant Propagation Lattice



- More efficient than the set of possible values
  - Don't want to store sets
  - If more than one value, give up and assume any ( $\top$ )
- The set  $L = \{\perp, \top\} \cup \text{NAT}$  with:
  - $x \sqsubseteq \top, \quad \perp \sqsubseteq x, \quad x \sqsubseteq x$
  - $x \sqcup \perp = x, \quad x \sqcup \top = \top, \quad n \sqcup m = \top$  (for  $n \neq m$ )
- $\iota = \top$

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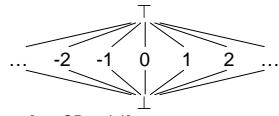
## Tuple Lattices

- Motivation: Constant Propagation
  - Need to hold constants for each variable in the program
- $L_T = L_1 \times L_2 \times L_3 \times \dots \times L_N$ 
  - element of tuple lattice is a tuple of elements from each variable's lattice
  - $i^{\text{th}}$  component of tuple is info about  $i^{\text{th}}$  variable/stmt
- $\sqsubseteq_T$  and  $\sqcup_T$  are defined pointwise
  - $< \dots, e_i, \dots, > \sqsubseteq_T < \dots, f_i, \dots, > \equiv \forall i. e_i \sqsubseteq f_i$
  - $< \dots, e_i, \dots, > \sqcup_T < \dots, f_i, \dots, > \equiv < \dots, e_i \sqcup f_i, \dots, >$
- $\top_T = < \top, \dots, \top >$
- $\perp_T = < \perp, \dots, \perp >$
- $\iota_T = < \iota_1, \dots, \iota_n >$

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## Constant Propagation Transfer Fns



- $f^{CP}[\![x := a]\!](\sigma) = \sigma [x \mapsto CP[\![a]\!](\sigma)]$
- $f^{CP}[\![\text{skip}]\!](\sigma) = \sigma$
- $f^{CP}[\![b]\!](\sigma) = \sigma$
- $CP[\![n]\!](\sigma) = n$
- $CP[\![x]\!](\sigma) = \sigma(x)$
- $CP[\![a_1 \ op_a \ a_2]\!](\sigma) = CP[\![a_1]\!](\sigma) \widehat{op}_a CP[\![a_2]\!](\sigma)$
- $$\begin{array}{ll} z_1 \widehat{op}_a z_2 & = z_1 \widehat{op}_a z_2 \\ & = \top & \text{if } z_1, z_2 \in \text{NAT} \\ & = z_1 (z_2) & \text{if } z_1 = \top \text{ or } z_2 = \top \\ & & \text{if } z_2(z_1) = \perp \end{array}$$

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## Example

Iter	Position	a	b
[a := 1] <sup>1</sup>	--	$\perp$	$\perp$
1	entry(1)	$\top$	$\top$
2	exit(1)	1	$\top$
3	entry(2)	1	$\top$
while [a < 2] <sup>3</sup> do	4	exit(2)	1
[b := b * 1] <sup>4</sup> ,	5	entry(3)	1
[a := a + 1] <sup>5</sup> :	6	exit(3)	1
	7	entry(4)	1
	8	exit(4)	1
	9	entry(5)	1
	10	exit(5)	2
	11	entry(3)	$\top$
	12	exit(3)	$\top$
	13	entry(4)	$\top$
	14	exit(4)	$\top$
	15	entry(5)	$\top$
	17	exit(5)	2

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## Monotonicity Condition

- If  $\sigma_1 \sqsubseteq \sigma_2$  then  $f_\iota(\sigma_1) \sqsubseteq f_\iota(\sigma_2)$
- Check for  $f^{CP}[\![x := a]\!](\sigma)$ 
  - Assume  $\sigma_1 \sqsubseteq \sigma_2$
  - Lemma:  $CP[\![a]\!](\sigma_1) \sqsubseteq CP[\![a]\!](\sigma_2)$ 
    - Proof by induction on the structure of  $a$
    - Base case:  $CP[\![n]\!](\sigma_1) = CP[\![n]\!](\sigma_2) = n$
    - Base case:  $CP[\![x]\!](\sigma_1) = \sigma_1(x) \sqsubseteq \sigma_2(x) = CP[\![x]\!](\sigma_2)$
    - Inductive case:  $CP[\![a_1 \ op_a \ a_2]\!](\sigma)$ 
      - By the induction hypothesis we have:
        - $CP[\![a_1]\!](\sigma_1) \sqsubseteq CP[\![a_1]\!](\sigma_2)$
        - $CP[\![a_2]\!](\sigma_1) \sqsubseteq CP[\![a_2]\!](\sigma_2)$
      - By case analysis on the definition of  $\widehat{op}_a$  we can prove
        - $CP[\![a_1]\!](\sigma_1) \widehat{op}_a CP[\![a_2]\!](\sigma_1) \sqsubseteq CP[\![a_1]\!](\sigma_2) \widehat{op}_a CP[\![a_2]\!](\sigma_2)$
      - Therefore  $CP[\![a_1 \ op_a \ a_2]\!](\sigma_1) \sqsubseteq CP[\![a_1 \ op_a \ a_2]\!](\sigma_2)$
  - Therefore:  $\sigma_1[x \mapsto CP[\![a]\!](\sigma_1)] \sqsubseteq \sigma_2[x \mapsto CP[\![a]\!](\sigma_2)]$
  - Must check for other  $f^{CP}$  as well

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