

Analysis of Software Artifacts

Hoare Logic: Proving Programs Correct
(continued)

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Review: Hoare Logic Rules

- $wp(x := E, P) = [E/x] P$
- $wp(S; T, Q) = wp(S, wp(T, Q))$
- $wp(\text{if } B \text{ then } S \text{ else } T, Q)$
 $= B \Rightarrow wp(S, Q) \&& \neg B \Rightarrow wp(T, Q)$

Proving loops correct

- *Partial correctness*
 - The loop may not terminate, but if it does, the postcondition will hold
 - $\{P\}$ while B do $S \{Q\}$
 - Find an invariant Inv such that:
 - $P \Rightarrow \text{Inv}$
 - The invariant is initially true
 - $\{\text{Inv} \& \& B\} S \{\text{Inv}\}$
 - Each execution of the loop preserves the invariant
 - $(\text{Inv} \& \& \neg B) \Rightarrow Q$
 - The invariant and the loop exit condition imply the postcondition

Quick Quiz

Consider the following program:

```
{ N >= 0 }  
i := 0;  
while (i < N) do  
    i := N  
{ i = N }
```

Correctness Conditions

$$P \Rightarrow \text{Inv}$$

The invariant is initially true

$$\{ \text{Inv} \& \& B \} S \{ \text{Inv} \}$$

Loop preserves the invariant

$$(\text{Inv} \& \& \neg B) \Rightarrow Q$$

Invariant and exit implies postcondition

Which of the following loop invariants are correct? For those that are incorrect, explain why.

- A) $i = 0$
- B) $i = N$
- C) $N \geq 0$
- D) $i \leq N$

Loop Example

- Prove array sum correct

{ $N \geq 0$ }

$j := 0;$

$s := 0;$

How can we find a loop invariant?

while ($j < N$) do

$j := j + 1;$
 $s := s + a[j];$

Replace N with j
Add information on range of j
Result: $0 \leq j \leq N \ \& \ s = (\sum_i \mid 0 \leq i < j \bullet a[i])$

end

{ $s = (\sum_i \mid 0 \leq i < N \bullet a[i])$ }

Loop Example

- Prove array sum correct

```
{ N ≥ 0 }  
j := 0;  
s := 0;  
{ 0 ≤ j ≤ N && s = (Σi | 0 ≤ i < j • a[i]) }  
while (j < N) do  
{ 0 ≤ j ≤ N && s = (Σi | 0 ≤ i < j • a[i]) } && j < N }  
    j := j + 1;  
    s := s + a[j];  
{ 0 ≤ j ≤ N && s = (Σi | 0 ≤ i < j • a[i]) }  
end  
{ s = (Σi | 0 ≤ i < N • a[i]) }
```

Loop Example

- Prove array sum correct

```
{ N ≥ 0 }  
j := 0;  
s := 0;  
{ 0 ≤ j ≤ N && s = (Σi | 0 ≤ i < j • a[i]) }  
while (j < N) do  
{ 0 ≤ j ≤ N && s = (Σi | 0 ≤ i < j • a[i]) }  
    j := j + 1;  
    s := s + a[j];  
{ 0 ≤ j ≤ N && s = (Σi | 0 ≤ i < j • a[i]) }  
end  
{ s = (Σi | 0 ≤ i < N • a[i]) }
```

Proof obligation #1

Proof obligation #2

Proof obligation #3

Proof Obligations

- Invariant is initially true

$$\{ N \geq 0 \}$$
$$j := 0;$$
$$s := 0;$$
$$\{ 0 \leq j \leq N \And s = (\sum i \mid 0 \leq i < j \bullet a[i]) \}$$

Proof Obligations

- Invariant is initially true
 $\{N \geq 0\}$
 $j := 0;$
 $s := 0;$
 $\{0 \leq j \leq N \&& s = (\sum i \mid 0 \leq i < j \bullet a[i])\}$
- Invariant is maintained
 $\{0 \leq j \leq N \&& s = (\sum i \mid 0 \leq i < j \bullet a[i]) \&& j < N\}$
 $j := j + 1;$
 $s := s + a[j];$
 $\{0 \leq j \leq N \&& s = (\sum i \mid 0 \leq i < j \bullet a[i])\}$

Proof Obligations

- Invariant is initially true
 $\{N \geq 0\}$
 $j := 0;$
 $s := 0;$
 $\{0 \leq j \leq N \&& s = (\sum i \mid 0 \leq i < j \bullet a[i])\}$
- Invariant is maintained
 $\{0 \leq j \leq N \&& s = (\sum i \mid 0 \leq i < j \bullet a[i]) \&& j < N\}$
 $j := j + 1;$
 $s := s + a[j];$
 $\{0 \leq j \leq N \&& s = (\sum i \mid 0 \leq i < j \bullet a[i])\}$
- Invariant and exit condition imply postcondition
 $0 \leq j \leq N \&& s = (\sum i \mid 0 \leq i < j \bullet a[i]) \&& j \geq N$
 $\Rightarrow s = (\sum i \mid 0 \leq i < N \bullet a[i])$

Proof Obligations

- Invariant is initially true
 $\{ N \geq 0 \}$

$j := 0;$

$s := 0;$
 $\{ 0 \leq j \leq N \And s = (\sum_i \mid 0 \leq i < j \bullet a[i]) \}$

Proof Obligations

- Invariant is initially true

{ $N \geq 0$ }

```
j := 0;  
{ 0 ≤ j ≤ N && 0 = (Σi | 0 ≤ i < j • a[i]) } // by assignment rule  
s := 0;  
{ 0 ≤ j ≤ N && s = (Σi | 0 ≤ i < j • a[i]) }
```

Proof Obligations

- Invariant is initially true

```
{ N ≥ 0 }  
{ 0 ≤ 0 ≤ N && 0 = (Σi | 0 ≤ i < 0 • a[i]) } // by assignment rule  
j := 0;  
{ 0 ≤ j ≤ N && 0 = (Σi | 0 ≤ i < j • a[i]) } // by assignment rule  
s := 0;  
{ 0 ≤ j ≤ N && s = (Σi | 0 ≤ i < j • a[i]) }
```

Proof Obligations

- **Invariant is initially true**

```
{ N ≥ 0 }  
{ 0 ≤ 0 ≤ N && 0 = (Σi | 0 ≤ i < 0 • a[i]) } // by assignment rule  
j := 0;  
{ 0 ≤ j ≤ N && 0 = (Σi | 0 ≤ i < j • a[i]) } // by assignment rule  
s := 0;  
{ 0 ≤ j ≤ N && s = (Σi | 0 ≤ i < j • a[i]) }
```

- **Need to show that:**

$$(N \geq 0) \Rightarrow (0 \leq 0 \leq N \&\& 0 = (\sum_i | 0 \leq i < 0 \bullet a[i]))$$

Proof Obligations

- **Invariant is initially true**

```
{ N ≥ 0 }  
{ 0 ≤ 0 ≤ N && 0 = (Σi | 0 ≤ i < 0 • a[i]) } // by assignment rule  
j := 0;  
{ 0 ≤ j ≤ N && 0 = (Σi | 0 ≤ i < j • a[i]) } // by assignment rule  
s := 0;  
{ 0 ≤ j ≤ N && s = (Σi | 0 ≤ i < j • a[i]) }
```
- **Need to show that:**

```
= (N ≥ 0) ⇒ (0 ≤ 0 ≤ N && 0 = (Σi | 0 ≤ i < 0 • a[i]))  
= (N ≥ 0) ⇒ (0 ≤ N && 0 = 0) // 0 ≤ 0 is true, empty sum is 0
```

Proof Obligations

- Invariant is initially true
 - $\{ N \geq 0 \}$
 - $\{ 0 \leq 0 \leq N \ \&\& \ 0 = (\sum i \mid 0 \leq i < 0 \cdot a[i]) \} \ // by\ assignment\ rule$
 - $j := 0;$
 - $\{ 0 \leq j \leq N \ \&\& \ 0 = (\sum i \mid 0 \leq i < j \cdot a[i]) \} \ // by\ assignment\ rule$
 - $s := 0;$
 - $\{ 0 \leq j \leq N \ \&\& \ s = (\sum i \mid 0 \leq i < j \cdot a[i]) \}$
- Need to show that:
 - $(N \geq 0) \Rightarrow (0 \leq 0 \leq N \ \&\& \ 0 = (\sum i \mid 0 \leq i < 0 \cdot a[i]))$
 - $= (N \geq 0) \Rightarrow (0 \leq N \ \&\& \ 0 = 0) \ // 0 \leq 0 \ is \ true, \ empty \ sum \ is \ 0$
 - $= (N \geq 0) \Rightarrow (0 \leq N) \ // 0 = 0 \ is \ true, \ P \ \&\& \ true \ is \ P$

Proof Obligations

- Invariant is initially true
$$\{ N \geq 0 \}$$
$$\{ 0 \leq 0 \leq N \And 0 = (\sum_i | 0 \leq i < 0 \cdot a[i]) \} // by assignment rule$$
$$j := 0;$$
$$\{ 0 \leq j \leq N \And 0 = (\sum_i | 0 \leq i < j \cdot a[i]) \} // by assignment rule$$
$$s := 0;$$
$$\{ 0 \leq j \leq N \And s = (\sum_i | 0 \leq i < j \cdot a[i]) \}$$
- Need to show that:
$$(N \geq 0) \Rightarrow (0 \leq 0 \leq N \And 0 = (\sum_i | 0 \leq i < 0 \cdot a[i]))$$
$$= (N \geq 0) \Rightarrow (0 \leq N \And 0 = 0) // 0 \leq 0 is true, empty sum is 0$$
$$= (N \geq 0) \Rightarrow (0 \leq N) // 0 = 0 is true, P \And true is P$$
$$= \text{true}$$

Proof Obligations

- Invariant is maintained
 $\{0 \leq j \leq N \& s = (\Sigma i \mid 0 \leq i < j \bullet a[i]) \& \& j < N\}$

$j := j + 1;$

$s := s + a[j];$
 $\{0 \leq j \leq N \& \& s = (\Sigma i \mid 0 \leq i < j \bullet a[i])\}$

Proof Obligations

- Invariant is maintained
 $\{0 \leq j \leq N \& s = (\Sigma i \mid 0 \leq i < j \bullet a[i]) \& \& j < N\}$

```
j := j + 1;  
 $\{0 \leq j \leq N \& s+a[j] = (\Sigma i \mid 0 \leq i < j \bullet a[i])\} \text{ // by assignment rule}$   
s := s + a[j];  
 $\{0 \leq j \leq N \& s = (\Sigma i \mid 0 \leq i < j \bullet a[i])\}$ 
```

Proof Obligations

- Invariant is maintained
 - $\{0 \leq j \leq N \& s = (\Sigma i \mid 0 \leq i < j \cdot a[i]) \& j < N\}$
 - $\{0 \leq j + 1 \leq N \& s + a[j+1] = (\Sigma i \mid 0 \leq i < j + 1 \cdot a[i])\} \quad // by assignment rule$
 - $j := j + 1;$
 - $\{0 \leq j \leq N \& s + a[j] = (\Sigma i \mid 0 \leq i < j \cdot a[i])\} \quad // by assignment rule$
 - $s := s + a[j];$
 - $\{0 \leq j \leq N \& s = (\Sigma i \mid 0 \leq i < j \cdot a[i])\}$

Proof Obligations

- Invariant is maintained
 - $\{0 \leq j \leq N \& s = (\Sigma i \mid 0 \leq i < j \cdot a[i]) \& \& j < N\}$
 - $\{0 \leq j + 1 \leq N \& s + a[j+1] = (\Sigma i \mid 0 \leq i < j+1 \cdot a[i])\} \quad // by assignment rule$
 - $j := j + 1;$
 - $\{0 \leq j \leq N \& s + a[j] = (\Sigma i \mid 0 \leq i < j \cdot a[i])\} \quad // by assignment rule$
 - $s := s + a[j];$
 - $\{0 \leq j \leq N \& s = (\Sigma i \mid 0 \leq i < j \cdot a[i])\}$
- Need to show that:
 - $(0 \leq j \leq N \& s = (\Sigma i \mid 0 \leq i < j \cdot a[i]) \& \& j < N)$
 - $\Rightarrow (0 \leq j + 1 \leq N \& s + a[j+1] = (\Sigma i \mid 0 \leq i < j+1 \cdot a[i]))$

Proof Obligations

- **Invariant is maintained**

```
{0 ≤ j ≤ N && s = (Σi | 0≤i<j • a[i]) && j < N}  
{0 ≤ j + 1 ≤ N && s+a[j+1] = (Σi | 0≤i<j+1 • a[i]) } // by assignment rule  
j := j + 1;
```

```
{0 ≤ j ≤ N && s+a[j] = (Σi | 0≤i<j • a[i]) } // by assignment rule  
s := s + a[j];  
{0 ≤ j ≤ N && s = (Σi | 0≤i<j • a[i]) }
```

- **Need to show that:**

```
(0 ≤ j ≤ N && s = (Σi | 0≤i<j • a[i]) && j < N)  
⇒ (0 ≤ j + 1 ≤ N && s+a[j+1] = (Σi | 0≤i<j+1 • a[i]))  
= (0 ≤ j < N && s = (Σi | 0≤i<j • a[i]))  
⇒ (-1 ≤ j < N && s+a[j+1] = (Σi | 0≤i<j+1 • a[i])) // simplify bounds of j
```

Proof Obligations

- Invariant is maintained

```
{0 ≤ j ≤ N && s = (Σi | 0≤i<j • a[i]) && j < N}  
{0 ≤ j + 1 ≤ N && s+a[j+1] = (Σi | 0≤i<j+1 • a[i]) } // by assignment rule  
j := j + 1;
```

```
{0 ≤ j ≤ N && s+a[j] = (Σi | 0≤i<j • a[i]) } // by assignment rule  
s := s + a[j];
```

```
{0 ≤ j ≤ N && s = (Σi | 0≤i<j • a[i]) }
```

- Need to show that:

```
(0 ≤ j ≤ N && s = (Σi | 0≤i<j • a[i]) && j < N)  
⇒ (0 ≤ j + 1 ≤ N && s+a[j+1] = (Σi | 0≤i<j+1 • a[i]))  
= (0 ≤ j < N && s = (Σi | 0≤i<j • a[i]))  
⇒ (-1 ≤ j < N && s+a[j+1] = (Σi | 0≤i<j+1 • a[i])) // simplify bounds of j  
= (0 ≤ j < N && s = (Σi | 0≤i<j • a[i]))  
⇒ (-1 ≤ j < N && s+a[j+1] = (Σi | 0≤i<j • a[i]) + a[j] ) // separate last element
```

Proof Obligations

- Invariant is maintained
 $\{0 \leq j \leq N \& s = (\Sigma i \mid 0 \leq i < j \cdot a[i]) \& \& j < N\}$
 $\{0 \leq j + 1 \leq N \& s + a[j+1] = (\Sigma i \mid 0 \leq i < j + 1 \cdot a[i])\} \quad // by assignment rule$
 $j := j + 1;$
 $\{0 \leq j \leq N \& s + a[j] = (\Sigma i \mid 0 \leq i < j \cdot a[i])\} \quad // by assignment rule$
- Need to show that:
 $(0 \leq j \leq N \& s = (\Sigma i \mid 0 \leq i < j \cdot a[i]) \& \& j < N)$
 $\Rightarrow (0 \leq j + 1 \leq N \& s + a[j+1] = (\Sigma i \mid 0 \leq i < j + 1 \cdot a[i]))$
= $(0 \leq j < N \& s = (\Sigma i \mid 0 \leq i < j \cdot a[i]))$
 $\Rightarrow (-1 \leq j < N \& s + a[j+1] = (\Sigma i \mid 0 \leq i < j + 1 \cdot a[i])) \quad // simplify bounds of j$
= $(0 \leq j < N \& s = (\Sigma i \mid 0 \leq i < j \cdot a[i]))$
 $\Rightarrow (-1 \leq j < N \& s + a[j+1] = (\Sigma i \mid 0 \leq i < j \cdot a[i]) + a[j]) \quad // separate last element$
// we have a problem – we need $a[j+1]$ and $a[j]$ to cancel out

Where's the error?

- Prove array sum correct

$$\{ N \geq 0 \}$$
$$j := 0;$$
$$s := 0;$$

```
while (j < N) do
```

```
    j := j + 1;  
    s := s + a[j];
```

```
end
```

$$\{ s = (\Sigma i \mid 0 \leq i < N \cdot a[i]) \}$$

Where's the error?

- Prove array sum correct

{ $N \geq 0$ }

$j := 0;$

$s := 0;$

while ($j < N$) do

$j := j + 1;$
 $s := s + a[j];$

end

{ $s = (\sum_i | 0 \leq i < N \cdot a[i])$ }

Need to add element
before incrementing j



Corrected Code

- Prove array sum correct

{ $N \geq 0$ }

$j := 0;$

$s := 0;$

while ($j < N$) do

$s := s + a[j];$
 $j := j + 1;$

end

{ $s = (\sum_i | 0 \leq i < N \cdot a[i])$ }

Proof Obligations

- Invariant is maintained
 $\{0 \leq j \leq N \& s = (\Sigma i \mid 0 \leq i < j \bullet a[i]) \& \& j < N\}$

$s := s + a[j];$

$j := j + 1;$
 $\{0 \leq j \leq N \& s = (\Sigma i \mid 0 \leq i < j \bullet a[i])\}$

Proof Obligations

- Invariant is maintained
 $\{0 \leq j \leq N \& s = (\Sigma i \mid 0 \leq i < j \cdot a[i]) \& \& j < N\}$

```
s := s + a[j];
{0 ≤ j + 1 ≤ N && s = ( $\Sigma i \mid 0 \leq i < j + 1 \cdot a[i]$ ) }
j := j + 1;
{0 ≤ j ≤ N && s = ( $\Sigma i \mid 0 \leq i < j \cdot a[i]$ ) }
```

Proof Obligations

- Invariant is maintained
 - $\{0 \leq j \leq N \& \& s = (\Sigma i \mid 0 \leq i < j \cdot a[i]) \& \& j < N\}$
 - $\{0 \leq j + 1 \leq N \& \& s + a[j] = (\Sigma i \mid 0 \leq i < j + 1 \cdot a[i])\}$ // by assignment rule
 - $s := s + a[j];$
 - $\{0 \leq j + 1 \leq N \& \& s = (\Sigma i \mid 0 \leq i < j + 1 \cdot a[i])\}$
 - $j := j + 1;$
 - $\{0 \leq j \leq N \& \& s = (\Sigma i \mid 0 \leq i < j \cdot a[i])\}$

Proof Obligations

- **Invariant is maintained**
 $\{0 \leq j \leq N \&\& s = (\Sigma i \mid 0 \leq i < j \cdot a[i]) \&\& j < N\}$
 $\{0 \leq j + 1 \leq N \&\& s + a[j] = (\Sigma i \mid 0 \leq i < j + 1 \cdot a[i])\}$ // by assignment rule
 $s := s + a[j];$
 $\{0 \leq j + 1 \leq N \&\& s = (\Sigma i \mid 0 \leq i < j + 1 \cdot a[i])\}$ // by assignment rule
 $j := j + 1;$
 $\{0 \leq j \leq N \&\& s = (\Sigma i \mid 0 \leq i < j \cdot a[i])\}$
- **Need to show that:**
 $(0 \leq j \leq N \&\& s = (\Sigma i \mid 0 \leq i < j \cdot a[i]) \&\& j < N)$
 $\Rightarrow (0 \leq j + 1 \leq N \&\& s + a[j] = (\Sigma i \mid 0 \leq i < j + 1 \cdot a[i]))$

Proof Obligations

- Invariant is maintained
 - $\{0 \leq j \leq N \& \& s = (\Sigma i \mid 0 \leq i < j \cdot a[i]) \& \& j < N\}$
 - $\{0 \leq j + 1 \leq N \& \& s+a[j] = (\Sigma i \mid 0 \leq i < j+1 \cdot a[i])\}$ // by assignment rule
 - $s := s + a[j];$
 - $\{0 \leq j + 1 \leq N \& \& s = (\Sigma i \mid 0 \leq i < j+1 \cdot a[i])\}$ // by assignment rule
 - $j := j + 1;$
 - $\{0 \leq j \leq N \& \& s = (\Sigma i \mid 0 \leq i < j \cdot a[i])\}$
- Need to show that:
 - $(0 \leq j \leq N \& \& s = (\Sigma i \mid 0 \leq i < j \cdot a[i]) \& \& j < N)$
 - $\Rightarrow (0 \leq j + 1 \leq N \& \& s+a[j] = (\Sigma i \mid 0 \leq i < j+1 \cdot a[i]))$
 - $= (0 \leq j < N \& \& s = (\Sigma i \mid 0 \leq i < j \cdot a[i]))$
 - $\Rightarrow (-1 \leq j < N \& \& s+a[j] = (\Sigma i \mid 0 \leq i < j+1 \cdot a[i]))$ // simplify bounds of j

Proof Obligations

- Invariant is maintained
 $\{0 \leq j \leq N \& s = (\Sigma i \mid 0 \leq i < j \cdot a[i]) \& \& j < N\}$
 $\{0 \leq j + 1 \leq N \& s+a[j] = (\Sigma i \mid 0 \leq i < j + 1 \cdot a[i])\}$ // by assignment rule
 $s := s + a[j];$
 $\{0 \leq j + 1 \leq N \& s = (\Sigma i \mid 0 \leq i < j + 1 \cdot a[i])\}$ // by assignment rule
 $j := j + 1;$
 $\{0 \leq j \leq N \& s = (\Sigma i \mid 0 \leq i < j \cdot a[i])\}$
- Need to show that:
 $(0 \leq j \leq N \& s = (\Sigma i \mid 0 \leq i < j \cdot a[i]) \& \& j < N)$
 $\Rightarrow (0 \leq j + 1 \leq N \& s+a[j] = (\Sigma i \mid 0 \leq i < j + 1 \cdot a[i]))$
= $(0 \leq j < N \& s = (\Sigma i \mid 0 \leq i < j \cdot a[i]))$
 $\Rightarrow (-1 \leq j < N \& s+a[j] = (\Sigma i \mid 0 \leq i < j + 1 \cdot a[i])) // simplify bounds of j$
= $(0 \leq j < N \& s = (\Sigma i \mid 0 \leq i < j \cdot a[i]))$
 $\Rightarrow (-1 \leq j < N \& s+a[j] = (\Sigma i \mid 0 \leq i < j \cdot a[i]) + a[j]) // separate last part of sum$

Proof Obligations

- Invariant is maintained
$$\begin{aligned} & \{0 \leq j \leq N \& \& s = (\Sigma i \mid 0 \leq i < j \cdot a[i]) \& \& j < N\} \\ & \{0 \leq j + 1 \leq N \& \& s + a[j] = (\Sigma i \mid 0 \leq i < j + 1 \cdot a[i])\} \quad // by assignment rule \\ & s := s + a[j]; \\ & \{0 \leq j + 1 \leq N \& \& s = (\Sigma i \mid 0 \leq i < j + 1 \cdot a[i])\} \quad // by assignment rule \\ & j := j + 1; \\ & \{0 \leq j \leq N \& \& s = (\Sigma i \mid 0 \leq i < j \cdot a[i])\} \end{aligned}$$
- Need to show that:
$$\begin{aligned} & (0 \leq j \leq N \& \& s = (\Sigma i \mid 0 \leq i < j \cdot a[i]) \& \& j < N) \\ & \Rightarrow (0 \leq j + 1 \leq N \& \& s + a[j] = (\Sigma i \mid 0 \leq i < j + 1 \cdot a[i])) \\ = & (0 \leq j < N \& \& s = (\Sigma i \mid 0 \leq i < j \cdot a[i])) \\ & \Rightarrow (-1 \leq j < N \& \& s + a[j] = (\Sigma i \mid 0 \leq i < j + 1 \cdot a[i])) \quad // simplify bounds of j \\ = & (0 \leq j < N \& \& s = (\Sigma i \mid 0 \leq i < j \cdot a[i])) \\ & \Rightarrow (-1 \leq j < N \& \& s + a[j] = (\Sigma i \mid 0 \leq i < j \cdot a[i]) + a[j]) \quad // separate last part of sum \\ = & (0 \leq j < N \& \& s = (\Sigma i \mid 0 \leq i < j \cdot a[i])) \\ & \Rightarrow (-1 \leq j < N \& \& s = (\Sigma i \mid 0 \leq i < j \cdot a[i])) \quad // subtract a[j] from both sides \end{aligned}$$

Proof Obligations

- Invariant is maintained

```
{0 ≤ j ≤ N && s = (Σi | 0≤i<j • a[i]) && j < N}  
{0 ≤ j + 1 ≤ N && s+a[j] = (Σi | 0≤i<j+1 • a[i]) } // by assignment rule
```

```
s := s + a[j];
```

```
{0 ≤ j + 1 ≤ N && s = (Σi | 0≤i<j+1 • a[i]) }
```

```
j := j + 1;
```

```
{0 ≤ j ≤ N && s = (Σi | 0≤i<j • a[i]) }
```

- Need to show that:

```
(0 ≤ j ≤ N && s = (Σi | 0≤i<j • a[i]) && j < N)  
⇒ (0 ≤ j + 1 ≤ N && s+a[j] = (Σi | 0≤i<j+1 • a[i]))
```

```
= (0 ≤ j < N && s = (Σi | 0≤i<j • a[i]))
```

```
⇒ (-1 ≤ j < N && s+a[j] = (Σi | 0≤i<j+1 • a[i])) // simplify bounds of j
```

```
= (0 ≤ j < N && s = (Σi | 0≤i<j • a[i]))
```

```
⇒ (-1 ≤ j < N && s+a[j] = (Σi | 0≤i<j • a[i]) + a[j]) // separate last part of sum
```

```
= (0 ≤ j < N && s = (Σi | 0≤i<j • a[i]))
```

```
⇒ (-1 ≤ j < N && s = (Σi | 0≤i<j • a[i])) // subtract a[j] from both sides
```

```
= true
```

Proof Obligations

- Invariant and exit condition implies postcondition

$$\begin{aligned} 0 \leq j \leq N \quad \& \quad s = (\sum_i \mid 0 \leq i < j \bullet a[i]) \quad \& \& \quad j \geq N \\ \Rightarrow s = (\sum_i \mid 0 \leq i < N \bullet a[i]) \end{aligned}$$

Proof Obligations

- Invariant and exit condition implies postcondition

$$0 \leq j \leq N \quad \& \quad s = (\sum_i \mid 0 \leq i < j \bullet a[i]) \quad \& \& \quad j \geq N$$

$$\Rightarrow s = (\sum_i \mid 0 \leq i < N \bullet a[i])$$

$$= 0 \leq j \quad \& \& \quad j = N \quad \& \& \quad s = (\sum_i \mid 0 \leq i < j \bullet a[i])$$

$$\Rightarrow s = (\sum_i \mid 0 \leq i < N \bullet a[i])$$

// because $(j \leq N \quad \& \& \quad j \geq N) = (j = N)$

Proof Obligations

- Invariant and exit condition implies postcondition

$$\begin{aligned} & 0 \leq j \leq N \And s = (\Sigma i \mid 0 \leq i < j \bullet a[i]) \And j \geq N \\ & \Rightarrow s = (\Sigma i \mid 0 \leq i < N \bullet a[i]) \\ & = 0 \leq j \And j = N \And s = (\Sigma i \mid 0 \leq i < j \bullet a[i]) \\ & \quad \Rightarrow s = (\Sigma i \mid 0 \leq i < N \bullet a[i]) \\ & \quad // because (j \leq N \And j \geq N) = (j = N) \\ & = 0 \leq N \And s = (\Sigma i \mid 0 \leq i < N \bullet a[i]) \Rightarrow s = (\Sigma i \mid 0 \leq i < N \bullet a[i]) \\ & \quad // by substituting N for j, since j = N \end{aligned}$$

Proof Obligations

- Invariant and exit condition implies postcondition

```
0 ≤ j ≤ N && s = (Σi | 0 ≤ i < j • a[i]) && j ≥ N  
⇒ s = (Σi | 0 ≤ i < N • a[i])  
= 0 ≤ j && j = N && s = (Σi | 0 ≤ i < j • a[i])  
⇒ s = (Σi | 0 ≤ i < N • a[i])  
// because (j ≤ N && j ≥ N) = (j = N)  
= 0 ≤ N && s = (Σi | 0 ≤ i < N • a[i]) ⇒ s = (Σi | 0 ≤ i < N • a[i])  
// by substituting N for j, since j = N  
= true // because P && Q ⇒ Q
```

Quick Quiz

- For the program below and the invariant $i \leq N$, write the proof obligations. The form of your answer should be three mathematical implications.

{ $N \geq 0$ }

$i := 0;$

while ($i < N$) do

$i := N$

{ $i = N$ }

- Invariant is initially true:
- Invariant is preserved by the loop body:
- Invariant and exit condition imply postcondition:

Invariant Intuition

- For code without loops, we are simulating execution directly
 - We prove one Hoare Triple for each statement, and each statement is executed once
- For code with loops, we are doing *one* proof of correctness for *multiple* loop iterations
 - Proof must cover all iterations
 - Don't know how many there will be
 - The invariant must be *general/ yet precise*
 - general enough to be true for every execution
 - precise enough to imply the postcondition we need
 - This tension makes inferring loop invariants challenging

Total Correctness for Loops

- $\{P\}$ while B do S $\{Q\}$
- **Partial correctness:**
 - Find an invariant Inv such that:
 - $P \Rightarrow \text{Inv}$
 - The invariant is initially true
 - $\{\text{Inv} \& \& B\} S \{\text{Inv}\}$
 - Each execution of the loop preserves the invariant
 - $(\text{Inv} \& \neg B) \Rightarrow Q$
 - The invariant and the loop exit condition imply the postcondition
- **Total correctness**
 - Loop will terminate

We haven't proven termination

- Consider the following program:

```
{ true }  
i := 0  
while (true) do  
  i := i + 1;  
  { i == -1 }
```

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```
{ true }  
i := 0  
while (true) do { true }  
  i := i + 1;  
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```

- This program verifies (as partially correct)
 - Loop invariant trivially true initially and trivially preserved
 - Postcondition check:
 - $(\text{not}(\text{true}) \& \text{true}) \Rightarrow (i == -1)$
 - $= (\text{false} \& \text{true}) \Rightarrow (i == -1)$
 - $= (\text{false}) \Rightarrow (i == -1)$
 - $= \text{true}$

We haven't proven termination

- Consider the following program:

```
{ true }  
i := 0           { true }  
while (true) do  
  i := i + 1;  
  { i == -1 }
```

- This program verifies (as partially correct)
 - Loop invariant trivially true initially and trivially preserved
 - Postcondition check:
 - (not(true) & true) => (i == -1)
 - = (false && true) => (i == -1)
 - = (false) => (i == -1)
 - = true
- Partial correctness: if the program terminates, then the postcondition will hold
 - Doesn't say anything about the postcondition if the program does not terminate—any postcondition is OK.
 - We need a stronger correctness property

Termination

```
{ N ≥ 0 }  
j := 0;  
s := 0;
```

- How would you prove this program terminates?

```
while (j < N) do
```

```
    s := s + a[j];  
    j := j + 1;
```

```
end
```

```
{ s = (Σi | 0 ≤ i < N • a[i]) }
```

Termination

```
{ N ≥ 0 }  
j := 0;  
s := 0;  
  
while (j < N) do  
    • How would you prove  
      this program  
      terminates?  
  
    • Consider the loop  
        • What is the maximum  
          number of times it  
          could execute?  
        • Use induction to prove  
          this bound is correct  
  
    s := s + a[j];  
    j := j + 1;  
  
end  
{ s = (Σi | 0 ≤ i < N • a[i]) }
```

Total Correctness for Loops

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 - **Termination bound**
 - Find a *variant function* v such that:
 - v is an upper bound on the number of loops remaining

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 - Find a *variant function* v such that:
 - v is an upper bound on the number of loops remaining
 - $\{\text{Inv} \& \& B \& \& v = V\} S \{v < V\}$
 - The variant function decreases each time the loop body executes
 - $(\text{Inv} \& \& v \leq 0) \Rightarrow \neg B$
 - If we the variant function reaches zero, we must exit the loop

Total Correctness Example

```
while (j < N) do
  {0 ≤ j ≤ N} && s = ( $\sum_i | 0 \leq i < j \cdot a[i]$ ) && j < N}
  s := s + a[j];
  j := j + 1;
  {0 ≤ j ≤ N} && s = ( $\sum_i | 0 \leq i < j \cdot a[i]$ ) }
end
```

- Variant function for this loop?

Total Correctness Example

```
while (j < N) do
  {0 ≤ j ≤ N} && s = ( $\sum_i | 0 \leq i < j \cdot a[i]$ ) && j < N}
  s := s + a[j];
  j := j + 1;
  {0 ≤ j ≤ N} && s = ( $\sum_i | 0 \leq i < j \cdot a[i]$ ) }
end
```

- Variant function for this loop?
 - $N-j$

Guessing Variant Functions

- Loops with an index
 - $N \pm i$
 - Applies if you always add or always subtract a constant, and if you exit the loop when the index reaches some constant
 - Use $N-i$ if you are incrementing i , $N+i$ if you are decrementing i
 - Set N such that $N \pm i \leq 0$ at loop exit
- Other loops
 - Find an expression that is an upper bound on the number of iterations left in the loop

Additional Proof Obligations

- Variant function for this loop: $N-j$
- To show: variant function is decreasing
 $\{0 \leq j \leq N \& s = (\sum_i | 0 \leq i < j \cdot a[i]) \& \& j < N \& \& N-j = v\}$
 $s := s + a[j];$
 $j := j + 1;$
 $\{N-j < v\}$

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 $\{0 \leq j \leq N \& s = (\sum_i | 0 \leq i < j \cdot a[i]) \& \& j < N \& \& N-j = v\}$
 $s := s + a[j];$
 $j := j + 1;$
 $\{N-j < v\}$
- To show: exit the loop once variant function reaches 0
 $(0 \leq j \leq N \& \& s = (\sum_i | 0 \leq i < j \cdot a[i]) \& \& N-j \leq 0)$
 $\Rightarrow j \geq N$

Additional Proof Obligations

- To show: variant function is decreasing
 $\{0 \leq j \leq N \&\& s = (\sum_i | 0 \leq i < j \cdot a[i]) \&\& j < N \&\& N-j = v\}$

$s := s + a[j];$

$j := j + 1;$
 $\{N-j < v\}$

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- To show: variant function is decreasing
 $\{0 \leq j \leq N \&& s = (\sum_i | 0 \leq i < j \cdot a[i]) \&& j < N \&& N-j = v\}$

```
s := s + a[j];  
  {N-(j+1) < v}    // by assignment  
j := j + 1;  
  {N-j < v}
```

Additional Proof Obligations

- To show: variant function is decreasing
 - $\{0 \leq j \leq N \ \&\& \ s = (\sum_i | 0 \leq i < j \cdot a[i]) \ \&\& \ j < N \ \&\& \ N-j = V\}$
 - $\{N-(j+1) < V\}$ // by assignment
 - $s := s + a[j];$
 - $\{N-(j+1) < V\}$ // by assignment
 - $j := j + 1;$
 - $\{N-j < V\}$

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- To show: variant function is decreasing
 $\{0 \leq j \leq N \ \&\& \ s = (\Sigma i \mid 0 \leq i < j \cdot a[i]) \ \&\& \ j < N \ \&\& \ N-j = V\}$
 $\{N-(j+1) < V\}$ // by assignment
 $s := s + a[j];$
 $\{N-(j+1) < V\}$ // by assignment
- Need to show:
 $(0 \leq j \leq N \ \&\& \ s = (\Sigma i \mid 0 \leq i < j \cdot a[i]) \ \&\& \ j < N \ \&\& \ N-j = V)$
 $\Rightarrow (N-(j+1) < V)$

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- To show: variant function is decreasing
 $\{0 \leq j \leq N \ \&\& \ s = (\sum_i \mid 0 \leq i < j \cdot a[i]) \ \&\& \ j < N \ \&\& \ N-j = V\}$
 $\{N-(j+1) < V\}$ // by assignment
 $s := s + a[j];$
 $\{N-(j+1) < V\}$ // by assignment
 $j := j + 1;$
 $\{N-j < V\}$
- Need to show:
 $(0 \leq j \leq N \ \&\& \ s = (\sum_i \mid 0 \leq i < j \cdot a[i]) \ \&\& \ j < N \ \&\& \ N-j = V)$
 $\Rightarrow (N-(j+1) < V)$
Assume $0 \leq j \leq N \ \&\& \ s = (\sum_i \mid 0 \leq i < j \cdot a[i]) \ \&\& \ j < N \ \&\& \ N-j = V$

Additional Proof Obligations

- To show: variant function is decreasing
 $\{0 \leq j \leq N \ \&\& \ s = (\sum_i \mid 0 \leq i < j \cdot a[i]) \ \&\& \ j < N \ \&\& \ N-j = V\}$
 $\{N-(j+1) < V\}$ // by assignment
 $s := s + a[j];$
 $\{N-(j+1) < V\}$ // by assignment
 $j := j + 1;$
 $\{N-j < V\}$
- Need to show:
 $(0 \leq j \leq N \ \&\& \ s = (\sum_i \mid 0 \leq i < j \cdot a[i]) \ \&\& \ j < N \ \&\& \ N-j = V)$
 $\Rightarrow (N-(j+1) < V)$
Assume $0 \leq j \leq N \ \&\& \ s = (\sum_i \mid 0 \leq i < j \cdot a[i]) \ \&\& \ j < N \ \&\& \ N-j = V$
By weakening we have $N-j = V$

Additional Proof Obligations

- To show: variant function is decreasing
 $\{0 \leq j \leq N \ \&\& \ s = (\sum_i \mid 0 \leq i < j \cdot a[i]) \ \&\& \ j < N \ \&\& \ N-j = V\}$
 $\{N-(j+1) < V\}$ // by assignment
 $s := s + a[j];$
 $\{N-(j+1) < V\}$ // by assignment
 $j := j + 1;$
 $\{N-j < V\}$
- Need to show:
 $(0 \leq j \leq N \ \&\& \ s = (\sum_i \mid 0 \leq i < j \cdot a[i]) \ \&\& \ j < N \ \&\& \ N-j = V)$
 $\Rightarrow (N-(j+1) < V)$
Assume $0 \leq j \leq N \ \&\& \ s = (\sum_i \mid 0 \leq i < j \cdot a[i]) \ \&\& \ j < N \ \&\& \ N-j = V$
By weakening we have $N-j = V$
Therefore $N-j-1 < V$

Additional Proof Obligations

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 $\{0 \leq j \leq N \ \&\& \ s = (\sum_i \mid 0 \leq i < j \cdot a[i]) \ \&\& \ j < N \ \&\& \ N-j = V\}$
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 $\Rightarrow (N-(j+1) < V)$
Assume $0 \leq j \leq N \ \&\& \ s = (\sum_i \mid 0 \leq i < j \cdot a[i]) \ \&\& \ j < N \ \&\& \ N-j = V$
By weakening we have $N-j = V$
Therefore $N-j-1 < V$
But this is equivalent to $N-(j+1) < V$, so we are done.

Additional Proof Obligations

- To show: exit the loop once variant function reaches 0
 $(0 \leq j \leq N \ \&\& \ s = (\sum_i | 0 \leq i < j \bullet a[i]) \ \&\& \ N - j \leq 0)$
 $\Rightarrow j \geq N$

Additional Proof Obligations

- To show: exit the loop once variant function reaches 0
 $(0 \leq j \leq N \ \&\& \ s = (\Sigma i \mid 0 \leq i < j \bullet a[i]) \ \&\& \ N - j \leq 0)$
 $\Rightarrow j \geq N$
 $(0 \leq j \leq N \ \&\& \ s = (\Sigma i \mid 0 \leq i < j \bullet a[i]) \ \&\& \ N \leq j)$
 $\Rightarrow j \geq N \quad // \text{added } j \text{ to both sides}$

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- To show: exit the loop once variant function reaches 0
 $(0 \leq j \leq N \ \&\& \ s = (\sum_i \mid 0 \leq i < j \bullet a[i]) \ \&\& \ N - j \leq 0)$
 $\Rightarrow j \geq N$
 $(0 \leq j \leq N \ \&\& \ s = (\sum_i \mid 0 \leq i < j \bullet a[i]) \ \&\& \ N \leq j)$
 $\Rightarrow j \geq N \quad // \ added \ j \ to \ both \ sides$
= **true** // $(N \leq j) = (j \geq N), P \ \&\& \ Q \Rightarrow P$

Quick Quiz

For each of the following loops, is the given variant function correct? If not, why not?

A) Loop: $n := 256;$

$\text{while } (n > 1) \text{ do}$

$n := n / 2$

Variant Function: $\log_2 n$

B) Loop: $n := 100;$

$\text{while } (n > 0) \text{ do}$

$\text{if } (\text{random}())$

$n := n + 1;$

$\text{else } n := n - 1;$

Variant Function: n

C) Loop: $n := 0;$

$\text{while } (n < 10) \text{ do}$

$n := n + 1;$

Variant Function: $-n$

Session Summary

- While testing can find bugs, formal verification can assure their absence
- Hoare Logic is a mechanical approach for verifying software
 - Creativity is required in finding loop invariants, however

Further Reading

- C.A.R. Hoare. **An Axiomatic Basis for Computer Programming.** *Communications of the ACM* 12(10):576-580, October 1969.