

# Great Ideas in Theoretical CS

Lecture 12:  
Graphs II: Basic Algorithms

Anil Ada  
Ariel Procaccia (this time)

---

---

---

---

---

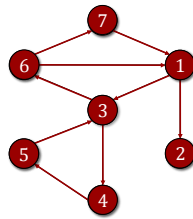
---

---

---

## DEPTH-FIRST SEARCH

- For each unexplored  $u \in V$ 
  - $\text{DFS}(G, u)$
- $\text{DFS}(\text{graph } G, u \in V)$
- mark  $u$  as explored
- for each  $\{u, v\} \in E$ 
  - if  $v$  is unexplored then  $\text{DFS}(G, v)$



Running time  
 $O(m + n)$



15251 Fall 2017: Lecture 12

Carnegie Mellon University 2

---

---

---

---

---

---

---

---

## GRAPH SEARCH PROBLEMS

- Given a graph  $G$ 
  - Check if there is a path between two given vertices  $s$  and  $t$
  - Decide if  $G$  is connected
  - Identify the connected components of  $G$
- All these problems can be solved directly using any kind of vertex traversal, including DFS



15251 Fall 2017: Lecture 12

Carnegie Mellon University 3

---

---

---

---

---

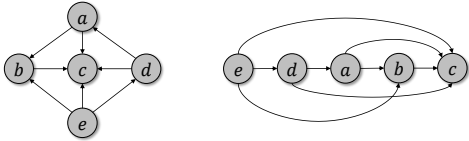
---

---

---

## TOPOLOGICAL SORTING

- A **topological order** of a **directed graph**  $G$  is a bijection  $f: V \rightarrow \{1, \dots, n\}$  such that if  $(u, v) \in E$  then  $f(u) < f(v)$




---

---

---

---

---

---

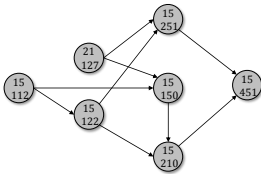
---

---



15251 Fall 2017: Lecture 12 Carnegie Mellon University 4

## TOPOLOGICAL SORTING




---

---

---

---

---

---

---

---



15251 Fall 2017: Lecture 12 Carnegie Mellon University 5

## TOPOLOGICAL SORTING

- An undirected graph is a **clique** iff for all distinct  $u, v \in V$ ,  $\{u, v\} \in E$
- Poll 1:** Which of the following undirected graphs can have an orientation that does not admit a topological sorting?
  - Tree
  - Clique
  - Both
  - Neither

---

---

---

---

---

---

---

---



15251 Fall 2017: Lecture 12 Carnegie Mellon University 6

## TOPOLOGICAL SORTING

- Clearly if a graph has a cycle then it does not have a topological order
- We will give an algorithm that finds a topological order given any directed acyclic graph
- A **sink vertex** is a vertex with no outgoing edges
- Lemma:** Every directed acyclic graph has a sink vertex



15251 Fall 2017: Lecture 12

Carnegie Mellon University 7

---

---

---

---

---

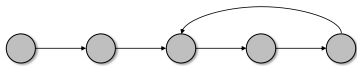
---

---

---

## PROOF OF LEMMA

- Suppose for contradiction that every vertex has an outgoing edge
- By following the outgoing edges, after at most  $n$  steps we must revisit a vertex we've already seen, leading to a cycle! ■



15251 Fall 2017: Lecture 12

Carnegie Mellon University 8

---

---

---

---

---

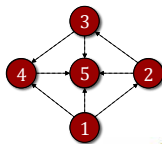
---

---

---

## NAÏVE ALGORITHM

- $p \leftarrow n$
- while**  $p \geq 1$ 
  - If the graph doesn't have a sink **then** return "not acyclic"
  - else** find a sink  $v$  and remove it from  $G$
  - $f(v) \leftarrow p$
  - $p \leftarrow p - 1$



Running time?



15251 Fall 2017: Lecture 12

Carnegie Mellon University 9

---

---

---

---

---

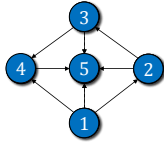
---

---

---

## BETTER ALGORITHM VIA DFS

- $p \leftarrow n$
  - For each unexplored  $u \in V$ ,  
DFS( $G, u$ )
- DFS(graph  $G, u \in V$ )
- mark  $u$  as explored
  - for each  $\{u, v\} \in E$ , if  $v$  is unexplored then DFS( $G, v$ )
  - $f(u) \leftarrow p$
  - $p \leftarrow p - 1$




---

---

---

---

---

---

---

---



15251 Fall 2017: Lecture 12 Carnegie Mellon University 10

## CORRECTNESS

- **Theorem:** If  $G$  is acyclic and  $(u, v) \in E$  then  $f(u) < f(v)$
- **Proof:** We consider two cases
  - **Case 1:**  $u$  is discovered before  $v$ , then because  $(u, v) \in E$ ,  $v$  will be explored before DFS( $G, u$ ) returns
  - **Case 2:**  $v$  is discovered before  $u$ , then we cannot discover  $u$  from DFS( $G, v$ ) because that would imply a cycle, so DFS( $G, u$ ) is run after DFS( $G, v$ ) terminates ■

---

---

---


---

---

---

---

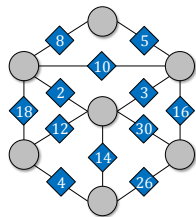
---



15251 Fall 2017: Lecture 12 Carnegie Mellon University 11

## WEIGHTED GRAPHS

- It is often useful to consider graphs with
  - weights
  - lengths
  - distances
  - costs
 associated to their edges
- Model as a **cost function**  
 $c: E \rightarrow \mathbb{R}^+$




---

---

---

---

---

---

---

---



15251 Fall 2017: Lecture 12 Carnegie Mellon University 12

## MINIMUM SPANNING TREE

The year: 1926  
 The place: Brno, Moravia  
 Our hero: **Otakar Borůvka**



Borůvka's had a pal called Jindřich Saxel who worked for Západoslovácké elektrárny (the West Moravian Power Plant company). Saxel asked him how to figure out the most efficient way to electrify southwest Moravia.

---

---

---

---

---

---

---

---

---

---




---

---

---

---

---

---

---

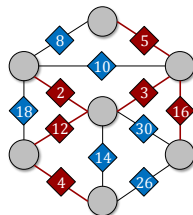
---

---

---

## MINIMUM SPANNING TREE

- MST problem:
  - Input: Graph  $G$ , cost function  $c: E \rightarrow \mathbb{R}^+$
  - Output:  $E' \subseteq E$  such that  $(V, E')$  is connected and  $\sum_{e \in E'} c(e)$  is minimized
- Example: The MST has cost 42




---

---

---

---

---

---

---

---

---

---



Obviously the optimal solution forms a tree!




---

---

---

---

---

---

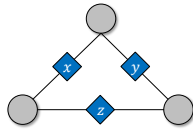
---

---

### NUMBER OF MSTs

- **Assumption** (for convenience): Edges have distinct weights
- **Poll 2:** What is the max #MSTs that a 3-clique can have?

- 1
- 2
- 3
- 4




---

---

---

---

---

---

---

---

Under the assumption, the MST is unique! This will follow as a corollary from the next proof




---

---

---

---

---

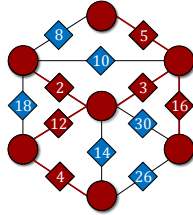
---

---

---

## PRIM'S ALGORITHM

- $V' \leftarrow$  arbitrary  $\{u\}$ ,  $E' \leftarrow \emptyset$
- **While**  $V' \neq V$ 
  - Let  $(u, v)$  be a minimum cost edge such that  $u \in V'$ ,  $v \notin V'$
  - $E' \leftarrow E' \cup \{(u, v)\}$
  - $V' \leftarrow V' \cup \{v\}$



15251 Fall 2017: Lecture 12

Carnegie Mellon University 19

Running time? It's clearly polynomial, and that's surprising!



15251 Fall 2017: Lecture 12

Carnegie Mellon University 20

## PROOF OF CORRECTNESS

- Fix an MST  $T$ ; we will show that for every  $0 \leq k \leq n$ , the first  $k$  edges added by the alg are in  $T$
- The proof is by induction
- Base case ( $k = 0$ ) is vacuously true
- Induction step: Suppose the algorithm has added  $k$  edges so far that are in the MST; show that next edge is also in the MST

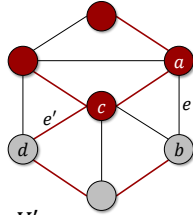


15251 Fall 2017: Lecture 12

Carnegie Mellon University 21

### PROOF OF CORRECTNESS

- Consider the current  $V'$
- Let  $e = \{a, b\}$  be the next edge added by the alg
- Suppose  $e$  is not in the MST  $T$  (shown in red)
- $T$  has a path  $a \rightarrow b$
- Let  $e' = (c, d)$  be the first edge on the path that exits  $V'$




---

---

---


---

---

---

---

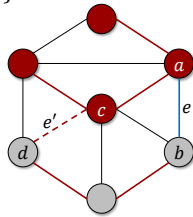
---



15251 Fall 2017: Lecture 12 Carnegie Mellon University 22

### PROOF OF CORRECTNESS

- Consider  $T' = T \cup \{e\} \setminus \{e'\}$ 
  - Its cost is lower than  $T$
  - It has  $n - 1$  edges
- $T'$  is connected because any path  $u \rightarrow c \rightarrow d \rightarrow v$  that uses  $e'$  is replaced by  $u \rightarrow c \rightarrow a \rightarrow b \rightarrow d \rightarrow v$
- So  $T$  is not an MST! ■




---

---

---


---

---

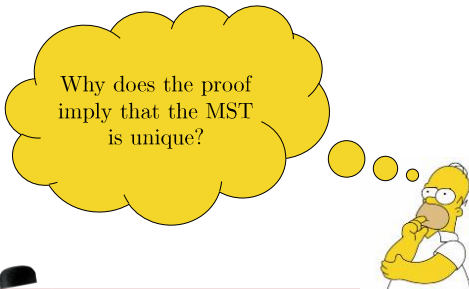
---

---

---



15251 Fall 2017: Lecture 12 Carnegie Mellon University 23




---

---

---

---

---

---

---

---



15251 Fall 2017: Lecture 12 Carnegie Mellon University 24



Hmm, did we use  
the assumption that  
the edges in  $E'$  are  
in the MST?



15251 Fall 2017: Lecture 12

Carnegie Mellon University 25

---

---

---

---

---

---

---

---

## THE MST CUT PROPERTY

- A similar proof shows: Let  $G$  and  $V' \subseteq V$ , and let  $e$  be the cheapest edge between  $V'$  and  $V \setminus V'$ , then  $e$  is in the MST
- Using this it is not hard to show that any natural greedy algorithm works, e.g.,
- **Kruskal's Algorithm:**
  - Go through edges from cheapest to most expensive
  - Add the next edge if it doesn't create a cycle



15251 Fall 2017: Lecture 12

Carnegie Mellon University 26

---

---

---

---

---

---

---

---

## RUN-TIME RACE FOR MST

- A naïve implementation of Kruskal and Prim runs in time  $O(m^2)$
- A better implementation runs in time  $O(m \log m)$
- That's very good!
- In practice, these algorithms are great
- Nevertheless, algorithms and data structures wizards tried to do better



15251 Fall 2017: Lecture 12

Carnegie Mellon University 27

---

---

---

---

---

---

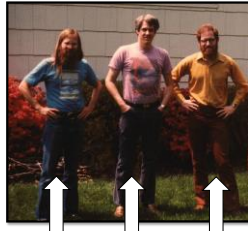
---

---

### RUN TIME RACE FOR MST

1984: Fredman and Tarjan invent the Fibonacci heap data structure

$$O(m \log m) \rightarrow O(m \log^* m)$$



Tarjan      Not Fredman      Also not Fredman

---

---

---

---

---

---

---

---

---

---



### RUN TIME RACE FOR MST

1986: Gabow, Galil, Spencer, and Tarjan improved the algorithm

$$O(m \log^* m) \rightarrow O(m \log(\log^* m))$$



Gabow



Galil



Tarjan & Not-Spencer

---

---

---

---

---

---

---

---

---

---



### RUN TIME RACE FOR MST

2000: Chazelle invents the soft heap data structure

$$O(m \log(\log^* m)) \rightarrow O(m \cdot \alpha(m))$$



What is  $\alpha(\cdot)$ ?

---

---

---

---

---

---

---

---

---

---



## DETOUR: $\alpha(\cdot)$

- $\log^*(m)$  = #times you need to do  $\log$  to get down to 1
- $\log^{**}(m)$  = #times you need to do  $\log^*$  to get down to 1
- $\log^{***}(m)$  = #times you need to do  $\log^{**}$  to get down to 1
- ...
- $\alpha(m)$  = #stars you need to do so that  $\log^{****}(m) \leq 2$

It is **incomprehensibly** slow growing!

---

---

---

---

---

---

---

---

---

---



15251 Fall 2017: Lecture 12 Carnegie Mellon University 31

## RUN TIME RACE FOR MST

- 2002: Pettie and Ramachandran give an **optimal** MST algorithm
- But... nobody knows what its running time is!



Pettie



Ramachandran

---

---

---

---

---

---

---

---

---

---



15251 Fall 2017: Lecture 12 Carnegie Mellon University 32

## SUMMARY

- Terminology:
  - Topological order
  - Weighted graph
  - Minimum spanning tree
- Algorithms:
  - DFS
  - Topological sort via DFS
  - Prim's Algorithm
- Theorems:
  - MST Cut property




---

---

---

---

---

---

---

---

---

---



15251 Fall 2017: Lecture 12 Carnegie Mellon University 33