

# Neural Networks: What can a network represent

Deep Learning, Fall 2017

#### **Projects**

- Everyone must do a project
  - Teams of two
- Projects must
  - Use neural networks
  - Address a well-defined problem
  - Outcomes must be objectively or subjectively evaluateable
- Quality:
  - May simply revisit already published literature
    - E.g. obtain near-state-of-art on imagenet, or speech recognition
  - Existing solutions, new problems
    - MT for a new language
  - Propose new designs or learning methods
    - E.g. use LSTMs for image recognition
  - Be entirely novel
- Objective: Demonstrate ability to implement a complex solution using neural networks

### **Projects**

- Schedule:
  - Announce teams to TAs/myself by 15 Sep
  - Send project proposals by 21 Sep
  - Finalize project by 28 Sep

 Poster presentation: Between Dec 7 and Dec 10th

## Recap: Neural networks have taken over Al













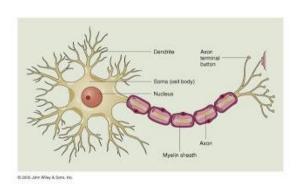
Tasks that are made possible by NNs, aka deep learning

#### Recap: NNets and the brain



 In their basic form, NNets mimic the networked structure in the brain

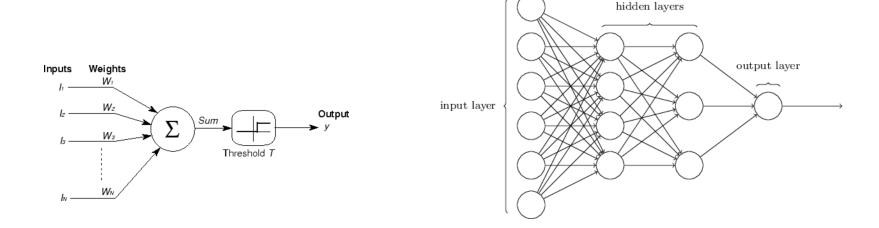
### **Recap: The brain**





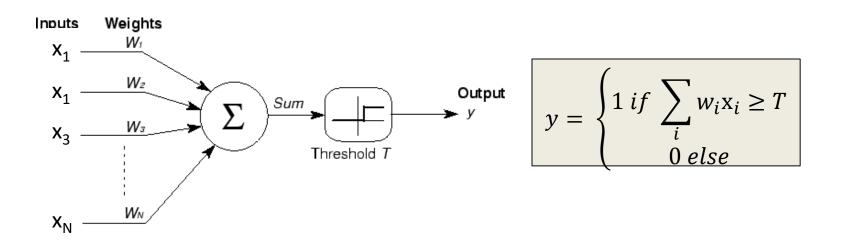
The Brain is composed of networks of neurons

#### Recap: Nnets and the brain



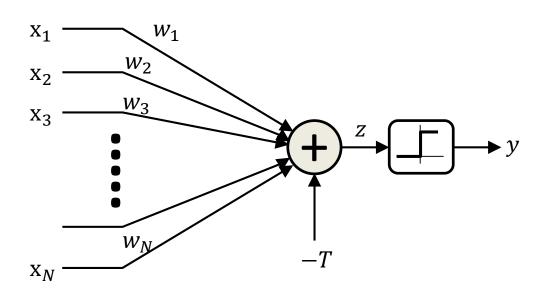
 Neural nets are composed of networks of computational models of neurons called perceptrons

### Recap: the perceptron



- A threshold unit
  - "Fires" if the weighted sum of inputs exceeds a threshold

#### A better figure

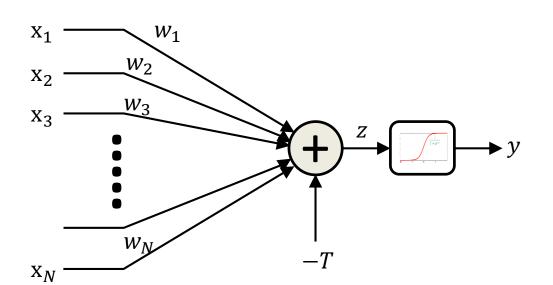


$$z = \sum_{i} w_{i} x_{i} - T$$

$$y = \begin{cases} 1 & \text{if } z \ge 0 \\ 0 & \text{else} \end{cases}$$

- A threshold unit
  - "Fires" if the weighted sum of inputs and the "bias" T is positive

### The "soft" perceptron

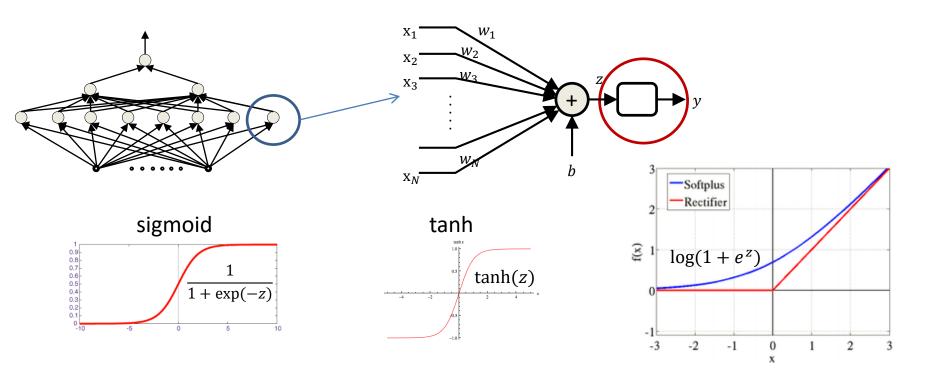


$$z = \sum_{i} w_{i} x_{i} - T$$

$$y = \frac{1}{1 + exp(-z)}$$

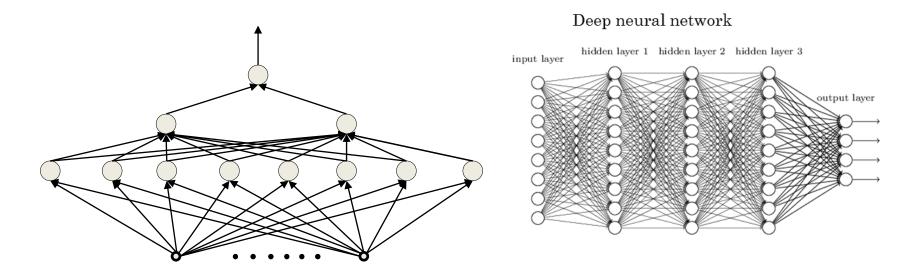
- A "squashing" function instead of a threshold at the output
  - The sigmoid "activation" replaces the threshold
    - Activation: The function that acts on the weighted combination of inputs (and threshold)

#### Other "activations"



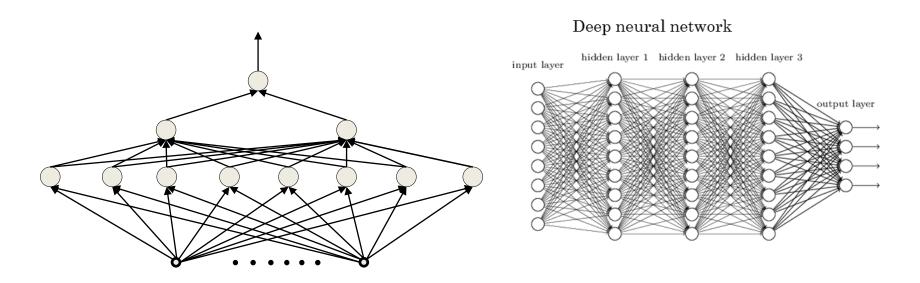
- Does not always have to be a squashing function
  - We will hear more about activations later
- We will continue to assume a "threshold" activation in this lecture

### Recap: the multi-layer perceptron



- A network of perceptrons
  - Generally "layered"

### Defining "depth"

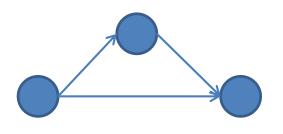


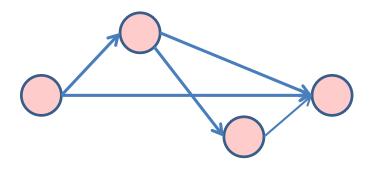
What is a "deep" network



#### **Deep Structures**

 In any directed network of computational elements with input source nodes and output sink nodes, "depth" is the length of the longest path from a source to a sink



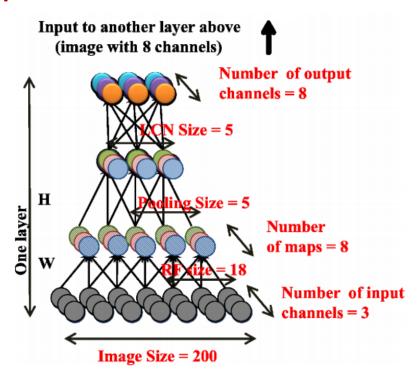


• Left: Depth = 2. Right: Depth = 3



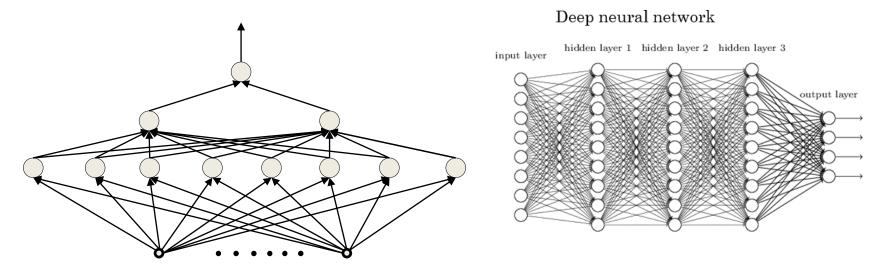
#### **Deep Structures**

• Layered deep structure



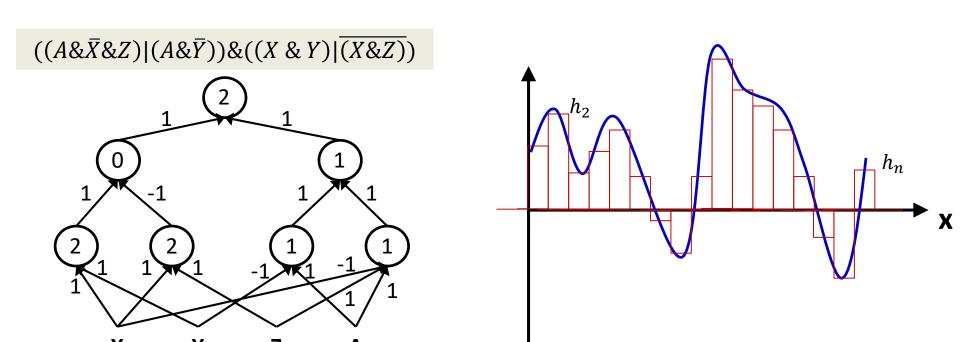
• "Deep" → Depth > 2

### The multi-layer perceptron



- Inputs are real or Boolean stimuli
- Outputs are real or Boolean values
  - Can have multiple outputs for a single input
- What can this network compute?
  - What kinds of input/output relationships can it model?

#### **MLPs** approximate functions



- MLPs can compose Boolean functions
- MLPs can compose real-valued functions
- What are the limitations?

### **Today**

- Multi-layer Perceptrons as universal Boolean functions
  - The need for depth
- MLPs as universal classifiers
  - The need for depth
- MLPs as universal approximators
- A discussion of optimal depth and width
- Brief segue: RBF networks

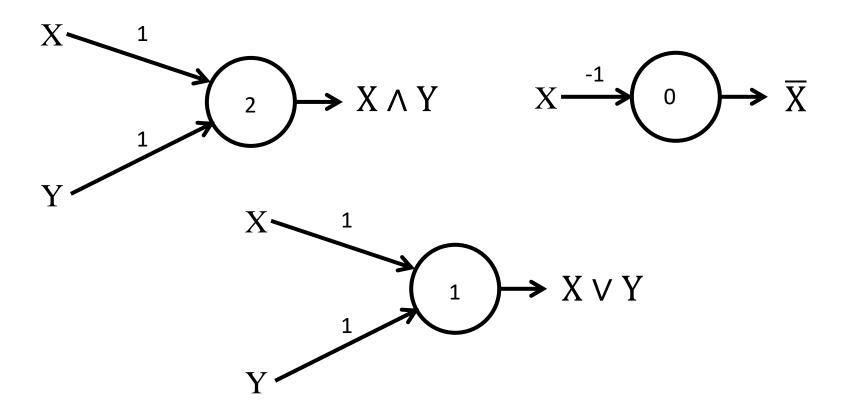
### **Today**

- Multi-layer Perceptrons as universal Boolean functions
  - The need for depth
- MLPs as universal classifiers
  - The need for depth
- MLPs as universal approximators
- A discussion of optimal depth and width
- Brief segue: RBF networks

#### The MLP as a Boolean function

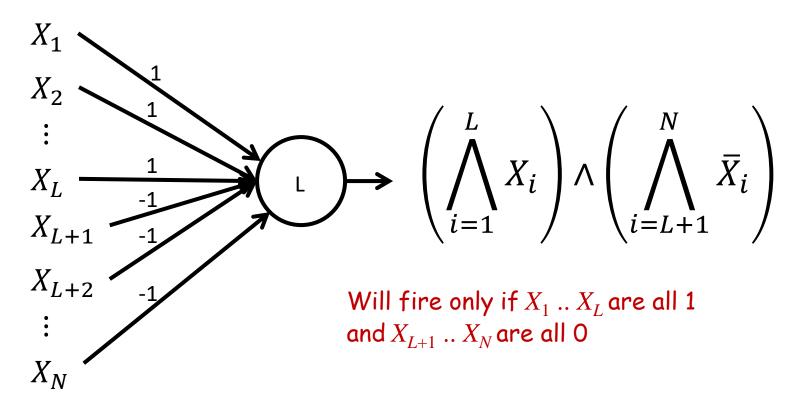
How well do MLPs model Boolean functions?

#### The perceptron as a Boolean gate



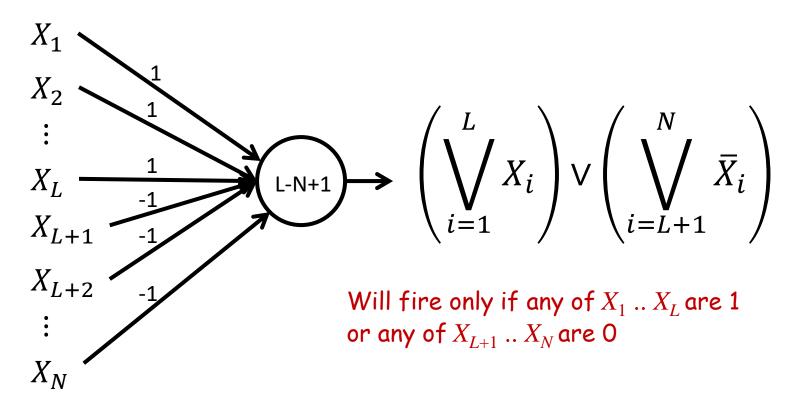
 A perceptron can model any simple binary Boolean gate

#### Perceptron as a Boolean gate



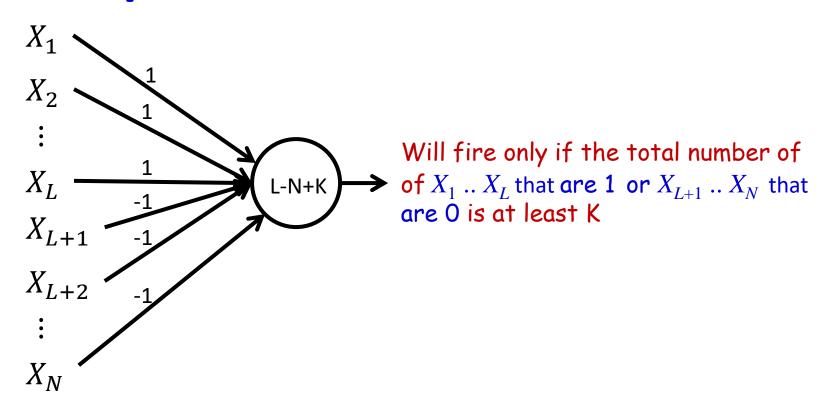
- The universal AND gate
  - AND any number of inputs
    - Any subset of who may be negated

#### Perceptron as a Boolean gate



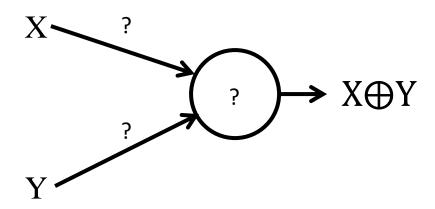
- The universal OR gate
  - OR any number of inputs
    - Any subset of who may be negated

#### Perceptron as a Boolean Gate



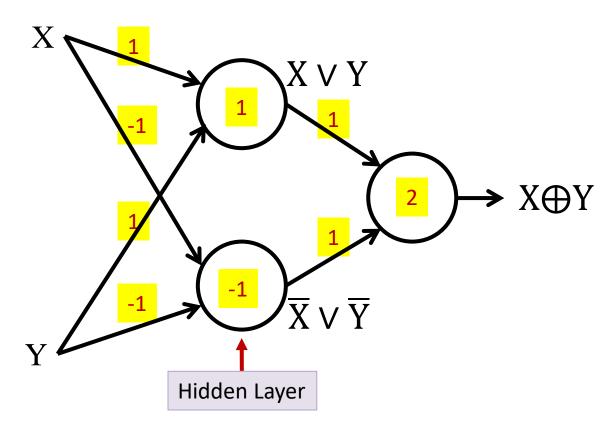
- Universal OR:
  - Fire if any K-subset of inputs is "ON"

#### The perceptron is not enough



Cannot compute an XOR

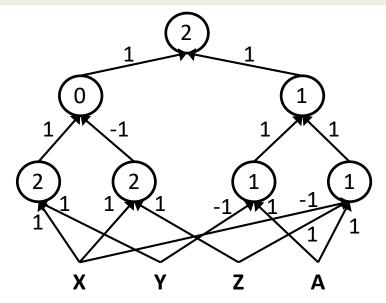
#### Multi-layer perceptron



MLPs can compute the XOR

#### Multi-layer perceptron

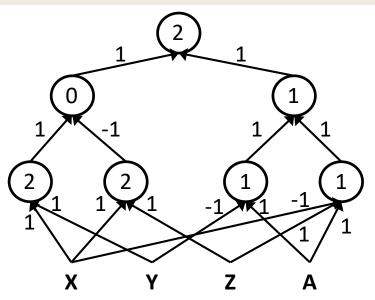
 $((A\&\bar{X}\&Z)|(A\&\bar{Y}))\&((X\&Y)|\overline{(X\&Z)})$ 

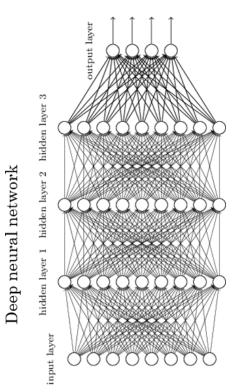


- MLPs can compute more complex Boolean functions
- MLPs can compute any Boolean function
  - Since they can emulate individual gates
- MLPs are universal Boolean functions

#### **MLP** as Boolean Functions

 $((A\&\bar{X}\&Z)|(A\&\bar{Y}))\&((X\&Y)|\overline{(X\&Z)})$ 





- MLPs are universal Boolean functions
  - Any function over any number of inputs and any number of outputs
- But how many "layers" will they need?

#### **Truth Table**

X <sub>1</sub>	X <sub>2</sub>	X <sub>3</sub>	X <sub>4</sub>	<b>X</b> <sub>5</sub>	Υ
0	0	1	1	0	1
0	1	0	1	1	1
0	1	1	0	0	1
1	0	0	0	1	1
1	0	1	1	1	1
1	1	0	0	1	1

Truth table shows all input combinations for which output is 1

#### **Truth Table**

X <sub>1</sub>	X <sub>2</sub>	<b>X</b> <sub>3</sub>	X <sub>4</sub>	<b>X</b> <sub>5</sub>	Y
0	0	1	1	0	1
0	1	0	1	1	1
0	1	1	0	0	1
1	0	0	0	1	1
1	0	1	1	1	1
1	1	0	0	1	1

Truth table shows all input combinations for which output is 1

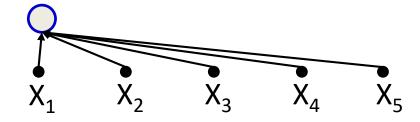
$$Y = \bar{X}_1 \bar{X}_2 X_3 X_4 \bar{X}_5 + \bar{X}_1 X_2 \bar{X}_3 X_4 X_5 + \bar{X}_1 X_2 X_3 \bar{X}_4 \bar{X}_5 + X_1 \bar{X}_2 \bar{X}_3 \bar{X}_4 X_5 + X_1 \bar{X}_2 \bar{X}_3 \bar{X}_4 X_5 + X_1 \bar{X}_2 \bar{X}_3 \bar{X}_4 X_5$$

#### **Truth Table**

X <sub>1</sub>	X <sub>2</sub>	X <sub>3</sub>	X <sub>4</sub>	<b>X</b> <sub>5</sub>	Υ
0	0	1	1	0	1
0	1	0	1	1	1
0	1	1	0	0	1
1	0	0	0	1	1
1	0	1	1	1	1
1	1	0	0	1	1

Truth table shows all input combinations for which output is 1

$$Y = \overline{X_1} \overline{X_2} X_3 X_4 \overline{X_3} + \overline{X_1} X_2 \overline{X_3} X_4 X_5 + \overline{X_1} X_2 X_3 \overline{X_4} \overline{X_5} + X_1 \overline{X_2} \overline{X_3} \overline{X_4} \overline{X_5}$$

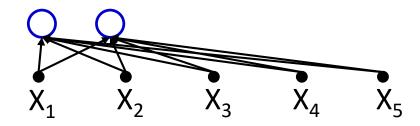


#### **Truth Table**

X <sub>1</sub>	X <sub>2</sub>	<b>X</b> <sub>3</sub>	X <sub>4</sub>	<b>X</b> <sub>5</sub>	Υ
0	0	1	1	0	1
0	1	0	1	1	1
0	1	1	0	0	1
1	0	0	0	1	1
1	0	1	1	1	1
1	1	0	0	1	1

Truth table shows all input combinations for which output is 1

$$Y = \bar{X}_1 \bar{X}_2 X_3 X_4 \bar{X}_5 + \bar{X}_1 X_2 \bar{X}_3 X_4 X_5 + \bar{X}_1 X_2 X_3 \bar{X}_4 \bar{X}_5 + X_1 \bar{X}_2 \bar{X}_3 \bar{X}_4 X_5 + X_1 \bar{X}_2 \bar{X}_3 \bar{X}_4 X_5 + X_1 \bar{X}_2 \bar{X}_3 \bar{X}_4 X_5$$

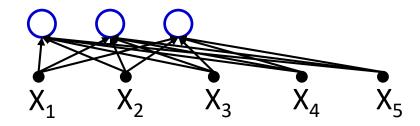


#### **Truth Table**

X <sub>1</sub>	X <sub>2</sub>	<b>X</b> <sub>3</sub>	X <sub>4</sub>	X <sub>5</sub>	Υ
0	0	1	1	0	1
0	1	0	1	1	1
0	1	1	0	0	1
1	0	0	0	1	1
1	0	1	1	1	1
1	1	0	0	1	1

Truth table shows all input combinations for which output is 1

$$Y = \bar{X}_1 \bar{X}_2 X_3 X_4 \bar{X}_5 + \bar{X}_1 X_2 \bar{X}_3 X_4 X_5 + \bar{X}_1 X_2 X_3 \bar{X}_4 \bar{X}_5 + X_1 \bar{X}_2 \bar{X}_3 \bar{X}_4 X_5 + X_1 \bar{X}_2 X_3 X_4 X_5 + X_1 \bar{X}_2 \bar{X}_3 \bar{X}_4 \bar{X}_5 + X_1 \bar{X}_2 \bar{X}_3 \bar{X}_4 \bar{X}_5$$

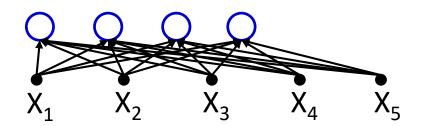


#### **Truth Table**

X <sub>1</sub>	X <sub>2</sub>	<b>X</b> <sub>3</sub>	X <sub>4</sub>	<b>X</b> <sub>5</sub>	Y
0	0	1	1	0	1
0	1	0	1	1	1
0	1	1	0	0	1
1	0	0	0	1	1
1	0	1	1	1	1
1	1	0	0	1	1

Truth table shows all input combinations for which output is 1

$$Y = \bar{X}_{1}\bar{X}_{2}X_{3}X_{4}\bar{X}_{5} + \bar{X}_{1}X_{2}\bar{X}_{3}X_{4}X_{5} + \bar{X}_{1}X_{2}X_{3}\bar{X}_{4}\bar{X}_{5} + X_{1}\bar{X}_{2}\bar{X}_{3}\bar{X}_{4}X_{5} + X_{1}\bar{X}_{2}\bar{X}_{3}\bar{X}_{4}X_{5} + X_{1}\bar{X}_{2}\bar{X}_{3}\bar{X}_{4}X_{5}$$

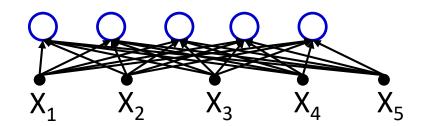


#### **Truth Table**

X <sub>1</sub>	X <sub>2</sub>	<b>X</b> <sub>3</sub>	X <sub>4</sub>	<b>X</b> <sub>5</sub>	Y
0	0	1	1	0	1
0	1	0	1	1	1
0	1	1	0	0	1
1	0	0	0	1	1
1	0	1	1	1	1
1	1	0	0	1	1

Truth table shows all input combinations for which output is 1

$$Y = \bar{X}_1 \bar{X}_2 X_3 X_4 \bar{X}_5 + \bar{X}_1 X_2 \bar{X}_3 X_4 X_5 + \bar{X}_1 X_2 X_3 \bar{X}_4 \bar{X}_5 + X_1 \bar{X}_2 \bar{X}_3 \bar{X}_4 X_5 + X_1 \bar{X}_2 \bar{X}_3 \bar{X}_4 X_5 + X_1 \bar{X}_2 \bar{X}_3 \bar{X}_4 X_5$$

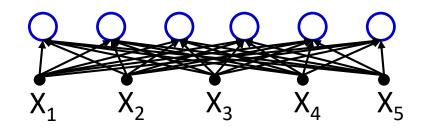


#### **Truth Table**

X <sub>1</sub>	X <sub>2</sub>	<b>X</b> <sub>3</sub>	X <sub>4</sub>	<b>X</b> <sub>5</sub>	Y
0	0	1	1	0	1
0	1	0	1	1	1
0	1	1	0	0	1
1	0	0	0	1	1
1	0	1	1	1	1
1	1	0	0	1	1

Truth table shows all input combinations for which output is 1

$$Y = \bar{X}_1 \bar{X}_2 X_3 X_4 \bar{X}_5 + \bar{X}_1 X_2 \bar{X}_3 X_4 X_5 + \bar{X}_1 X_2 X_3 \bar{X}_4 \bar{X}_5 + X_1 \bar{X}_2 \bar{X}_3 \bar{X}_4 X_5 + X_1 \bar{X}_2 \bar{X}_3 \bar{X}_4 X_5 + X_1 \bar{X}_2 \bar{X}_3 \bar{X}_4 X_5 + X_1 \bar{X}_2 \bar{X}_3 \bar{X}_4 \bar{X}_5$$



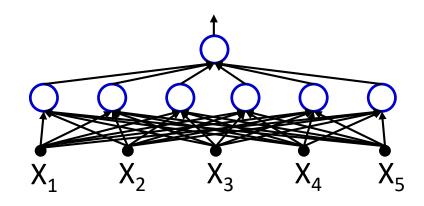
#### How many layers for a Boolean MLP?

#### **Truth Table**

X <sub>1</sub>	X <sub>2</sub>	<b>X</b> <sub>3</sub>	X <sub>4</sub>	<b>X</b> <sub>5</sub>	Y
0	0	1	1	0	1
0	1	0	1	1	1
0	1	1	0	0	1
1	0	0	0	1	1
1	0	1	1	1	1
1	1	0	0	1	1

Truth table shows all input combinations for which output is 1

$$Y = \bar{X}_1 \bar{X}_2 X_3 X_4 \bar{X}_5 + \bar{X}_1 X_2 \bar{X}_3 X_4 X_5 + \bar{X}_1 X_2 X_3 \bar{X}_4 \bar{X}_5 + X_1 \bar{X}_2 \bar{X}_3 \bar{X}_4 X_5 + X_1 \bar{X}_2 \bar{X}_3 \bar{X}_4 X_5 + X_1 \bar{X}_2 \bar{X}_3 \bar{X}_4 X_5$$



Expressed in disjunctive normal form

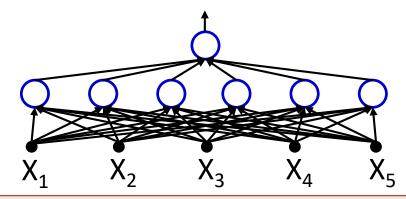
#### How many layers for a Boolean MLP?

#### **Truth Table**

X <sub>1</sub>	X <sub>2</sub>	<b>X</b> <sub>3</sub>	<b>X</b> <sub>4</sub>	<b>X</b> <sub>5</sub>	Υ
0	0	1	1	0	1
0	1	0	1	1	1
0	1	1	0	0	1
1	0	0	0	1	1
1	0	1	1	1	1
1	1	0	0	1	1

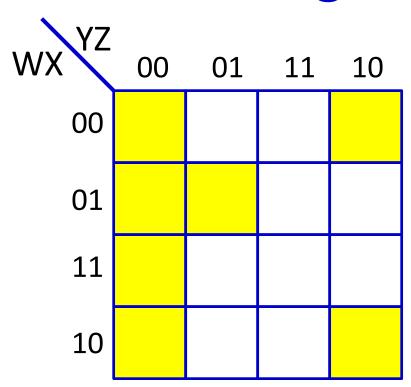
Truth table shows all input combinations for which output is 1

$$Y = \bar{X}_1 \bar{X}_2 X_3 X_4 \bar{X}_5 + \bar{X}_1 X_2 \bar{X}_3 X_4 X_5 + \bar{X}_1 X_2 X_3 \bar{X}_4 \bar{X}_5 + X_1 \bar{X}_2 \bar{X}_3 \bar{X}_4 X_5 + X_1 \bar{X}_2 \bar{X}_3 \bar{X}_4 X_5 + X_1 \bar{X}_2 \bar{X}_3 \bar{X}_4 X_5$$



- Any truth table can be expressed in this manner!
- A one-hidden-layer MLP is a Universal Boolean Function

But what is the largest number of perceptrons required in the single hidden layer for an N-input-variable function?

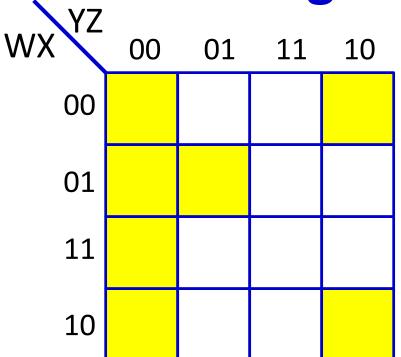


This is a "Karnaugh Map"

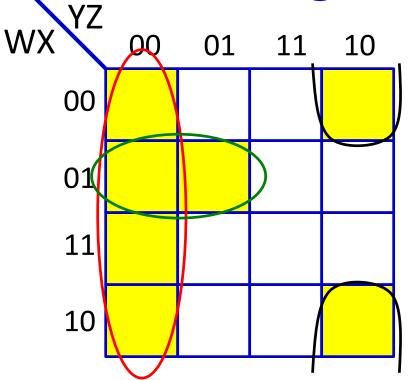
It represents a truth table as a grid Filled boxes represent input combinations for which output is 1; blank boxes have output 0

Adjacent boxes can be "grouped" to reduce the complexity of the DNF formula for the table

- DNF form:
  - Find groups
  - Express as reduced DNF

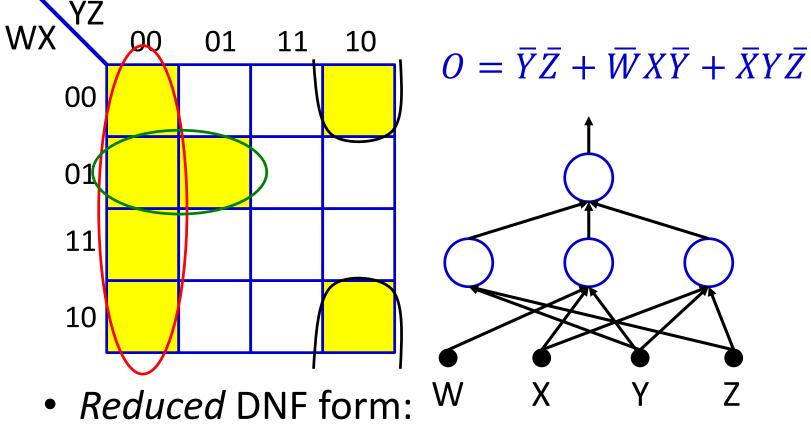


Basic DNF formula will require 7 terms



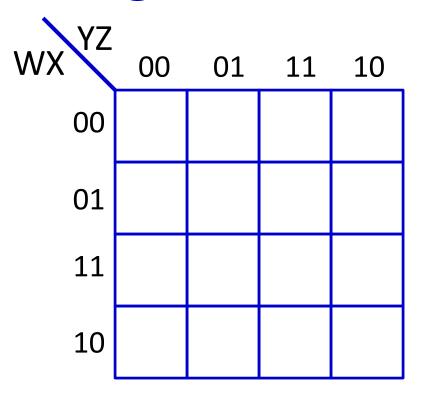
$$O = \bar{Y}\bar{Z} + \bar{W}X\bar{Y} + \bar{X}Y\bar{Z}$$

- Reduced DNF form:
  - Find groups
  - Express as reduced DNF



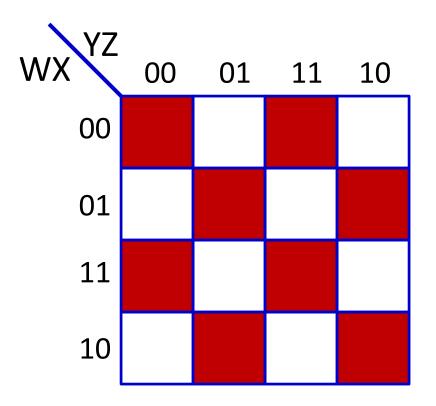
- - Find groups
  - Express as reduced DNF

# Largest irreducible DNF?



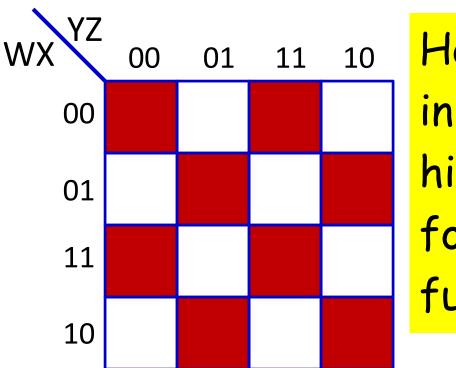
 What arrangement of ones and zeros simply cannot be reduced further?

#### Largest irreducible DNF?



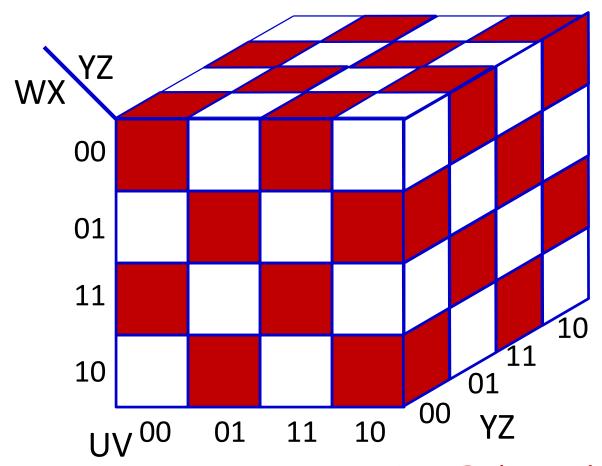
 What arrangement of ones and zeros simply cannot be reduced further?

# Largest irreducible DNF?

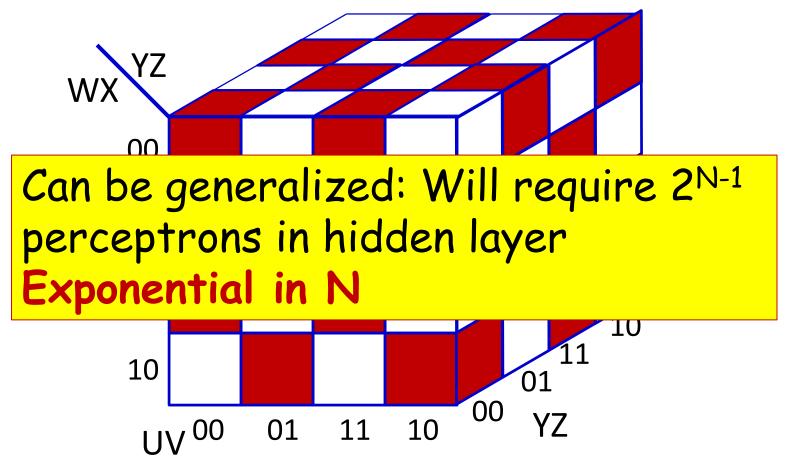


How many neurons in a DNF (one-hidden-layer) MLP for this Boolean function?

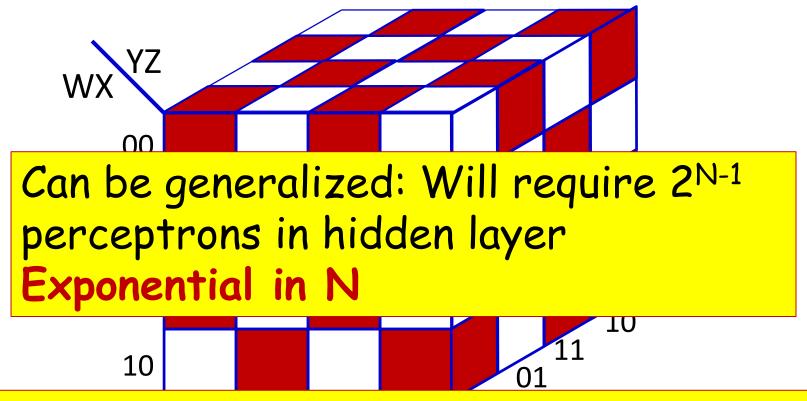
 What arrangement of ones and zeros simply cannot be reduced further?



 How many neurons in a DNF (one-hiddenlayer) MLP for this Boolean function of 6 variables?

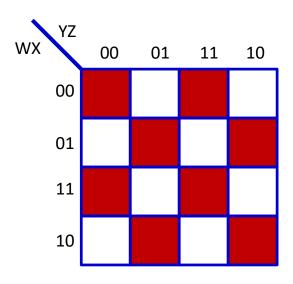


 How many neurons in a DNF (one-hiddenlayer) MLP for this Boolean function

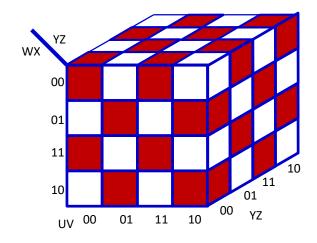


How many units if we use multiple layers?

 How many neurons in a DNF (one-hiddenlayer) MLP for this Boolean function

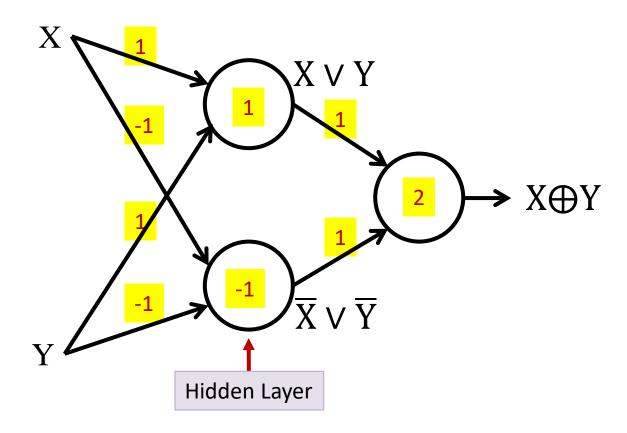


$$O = W \oplus X \oplus Y \oplus Z$$

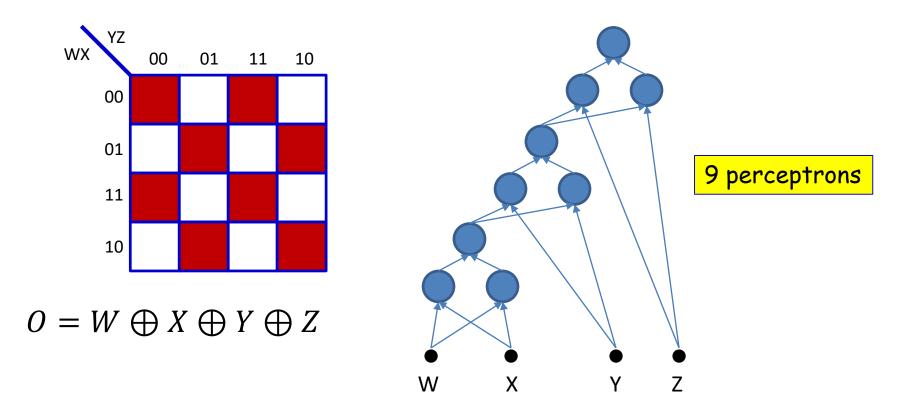


$$O = U \oplus V \oplus W \oplus X \oplus Y \oplus Z$$

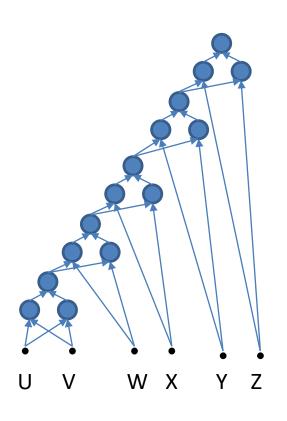
# Multi-layer perceptron XOR

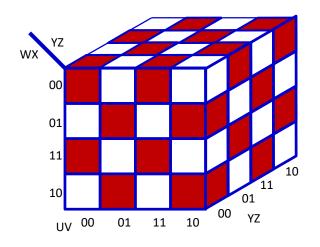


An XOR takes three perceptrons



- An XOR needs 3 perceptrons
- This network will require 3x3 = 9 perceptrons

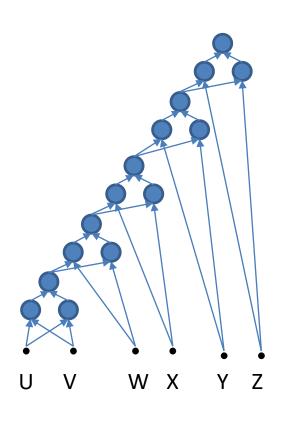


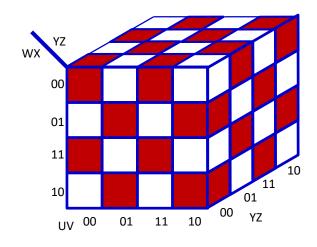


$$O = U \oplus V \oplus W \oplus X \oplus Y \oplus Z$$

15 perceptrons

- An XOR needs 3 perceptrons
- This network will require 3x5 = 15 perceptrons

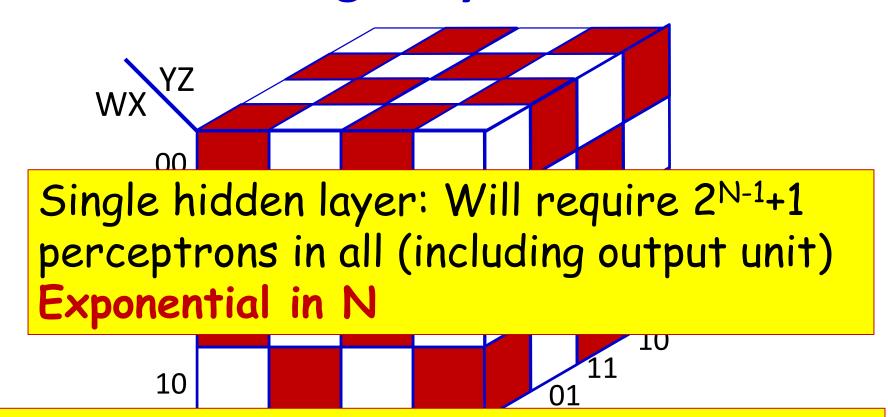




$$O = U \oplus V \oplus W \oplus X \oplus Y \oplus Z$$

More generally, the XOR of N variables will require 3(N-1) perceptrons!!

- An XOR needs 3 perceptrons
- This network will require 3x5 = 15 perceptrons

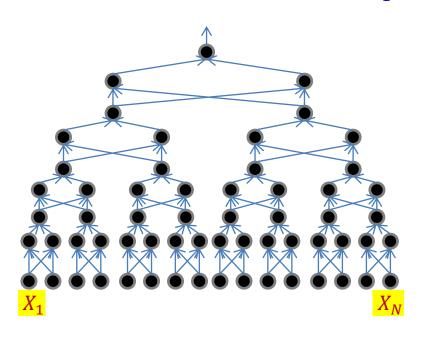


Will require 3(N-1) perceptrons in a deep network

Linear in N!!!

Can be arranged in only  $2\log_2(N)$  layers

#### A better representation

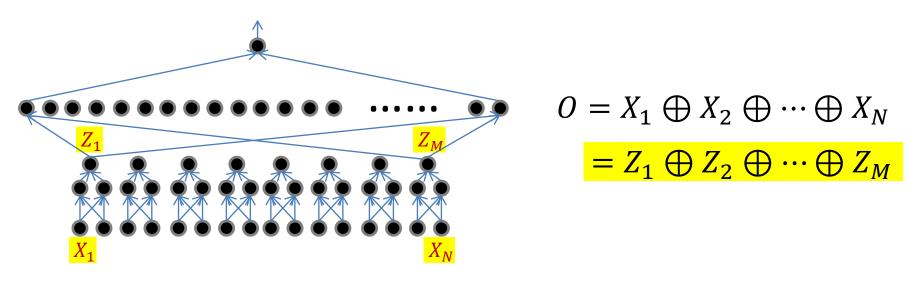


$$O = X_1 \oplus X_2 \oplus \cdots \oplus X_N$$

- Only 2 log<sub>2</sub> N layers
  - By pairing terms
  - 2 layers per XOR

$$O = (((((X_1 \oplus X_2) \oplus (X_1 \oplus X_2)) \oplus ((X_5 \oplus X_6) \oplus (X_7 \oplus X_8))) \oplus (((...$$

# The challenge of depth



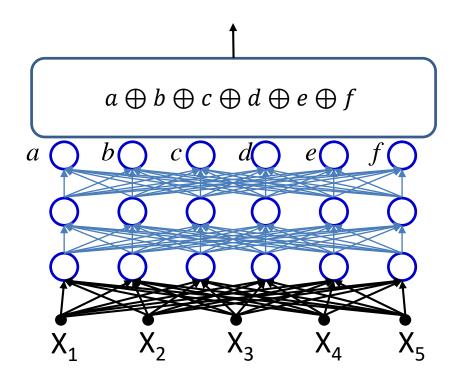
- Using only K hidden layers will require O(2<sup>(N-K/2)</sup>) neurons in the Kth layer
  - Because the output can be shown to be the XOR of all the outputs of the K-1th hidden layer
  - I.e. reducing the number of layers below the minimum will result in an exponentially sized network to express the function fully
  - A network with fewer than the required number of neurons cannot model the function

# Recap: The need for depth

- Deep Boolean MLPs that scale linearly with the number of inputs ...
- ... can become exponentially large if recast using only one layer

• It gets worse..

# The need for depth



- The wide function can happen at any layer
- Having a few extra layers can greatly reduce network size

# **Network size: summary**

- An MLP is a universal Boolean function
- But can represent a given function only if
  - It is sufficiently wide
  - It is sufficiently deep
  - Depth can be traded off for (sometimes) exponential growth of the width of the network
- Optimal width and depth depend on the number of variables and the complexity of the Boolean function
  - Complexity: minimal number of terms in DNF formula to represent it

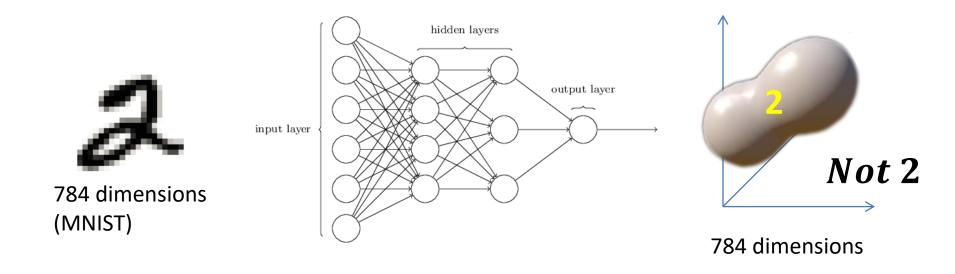
# Story so far

- Multi-layer perceptrons are Universal Boolean Machines
- Even a network with a single hidden layer is a universal Boolean machine
  - But a single-layer network may require an exponentially large number of perceptrons
- Deeper networks may require far fewer neurons than shallower networks to express the same function
  - Could be exponentially smaller

# **Today**

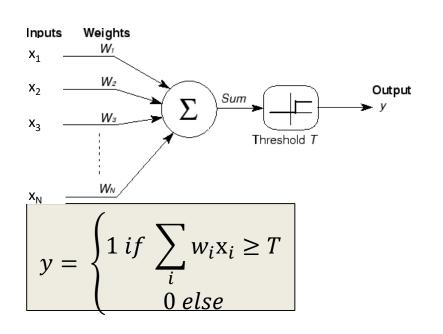
- Multi-layer Perceptrons as universal Boolean functions
  - The need for depth
- MLPs as universal classifiers
  - The need for depth
- MLPs as universal approximators
- A discussion of optimal depth and width
- Brief segue: RBF networks

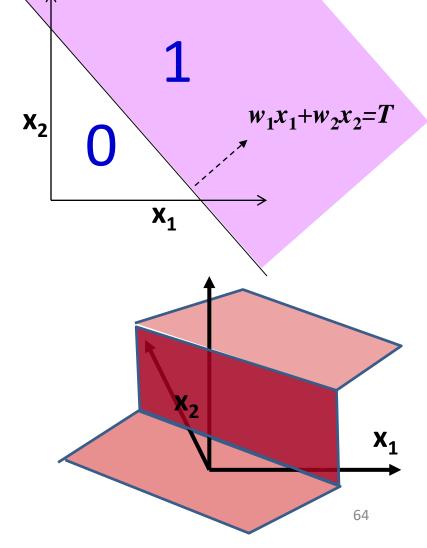
#### The MLP as a classifier



- MLP as a function over real inputs
- MLP as a function that finds a complex "decision boundary" over a space of reals

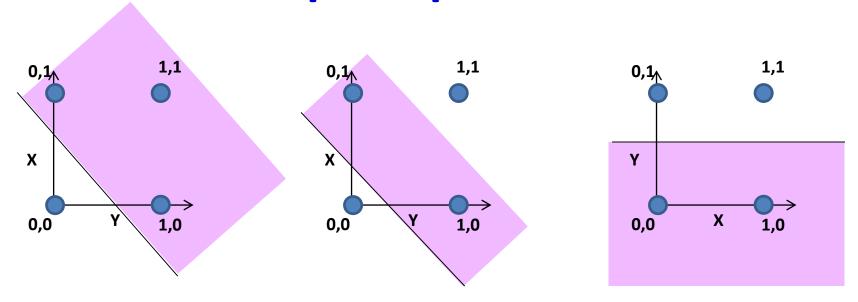
# A Perceptron on Reals





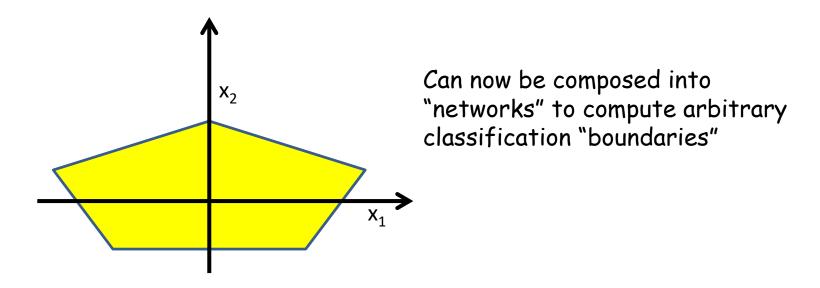
- A perceptron operates on real-valued vectors
  - This is a linear classifier

# Boolean functions with a real perceptron

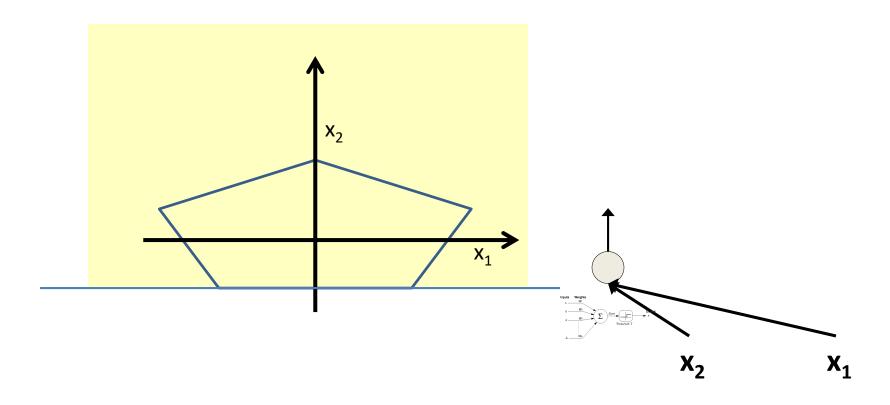


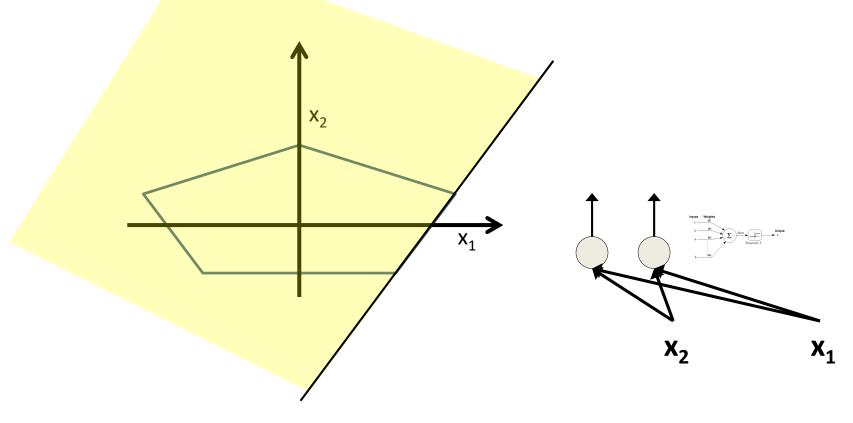
- Boolean perceptrons are also linear classifiers
  - Purple regions are 1

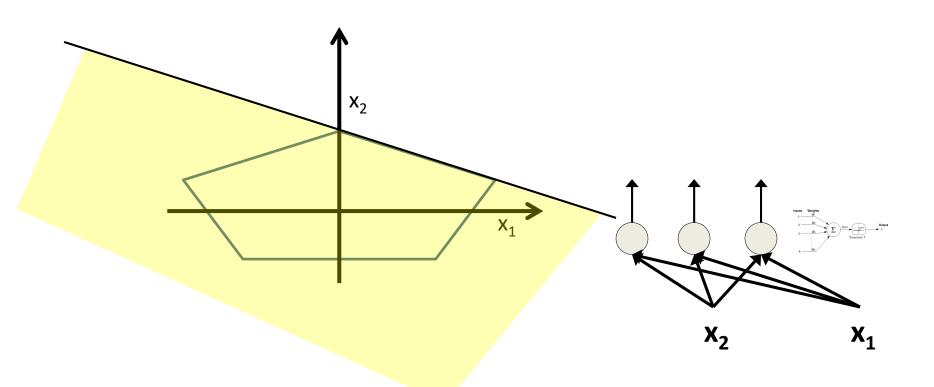
# Composing complicated "decision" boundaries

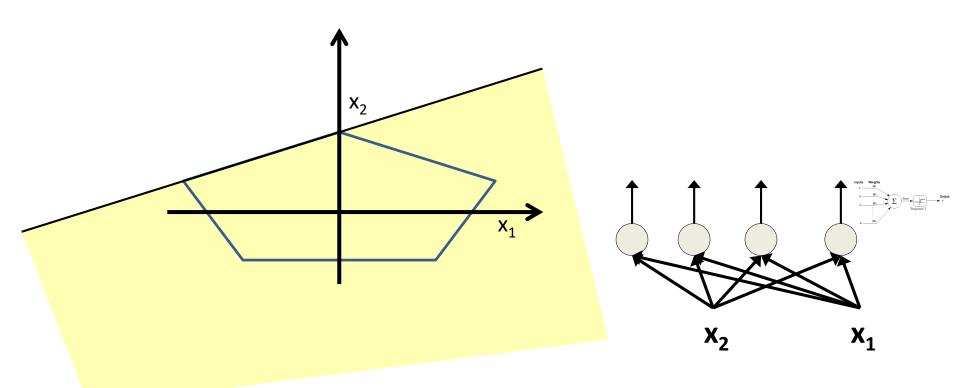


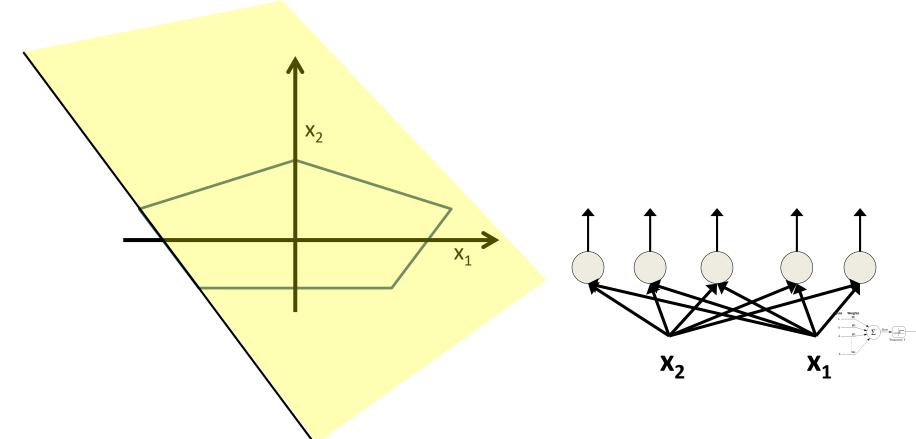
 Build a network of units with a single output that fires if the input is in the coloured area

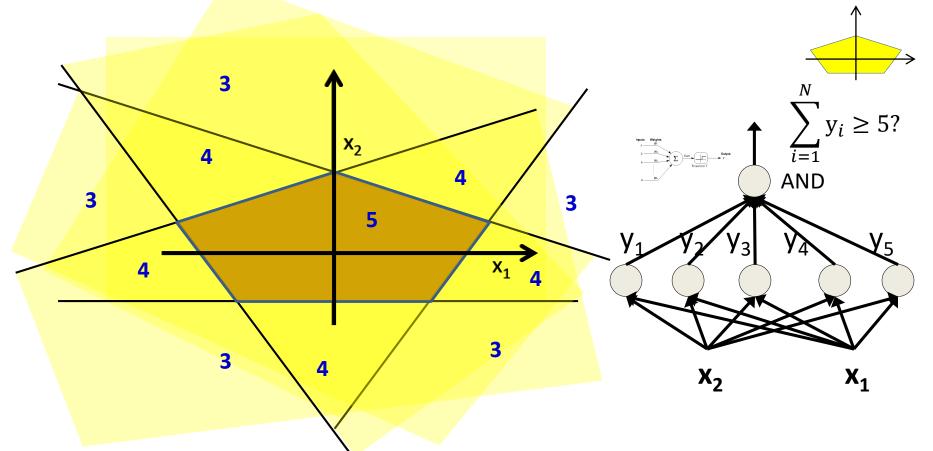




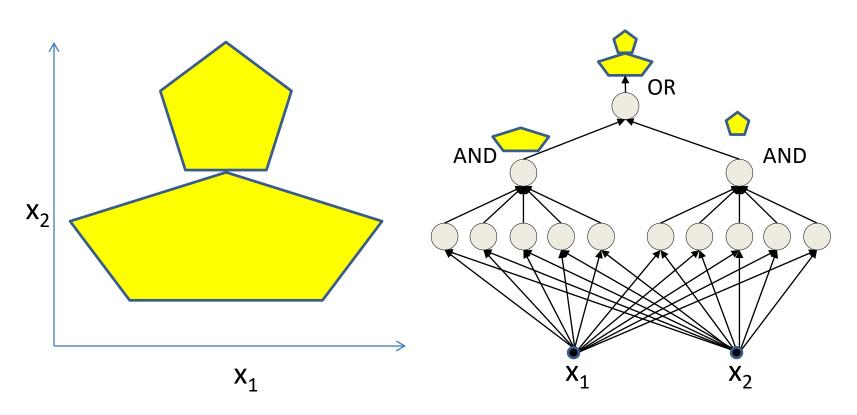






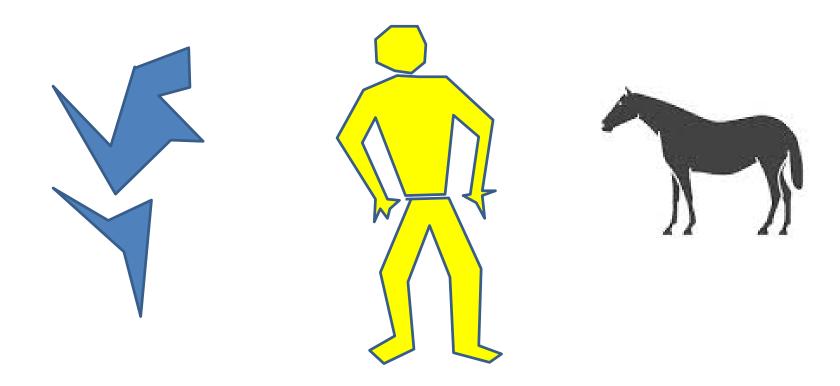


#### More complex decision boundaries



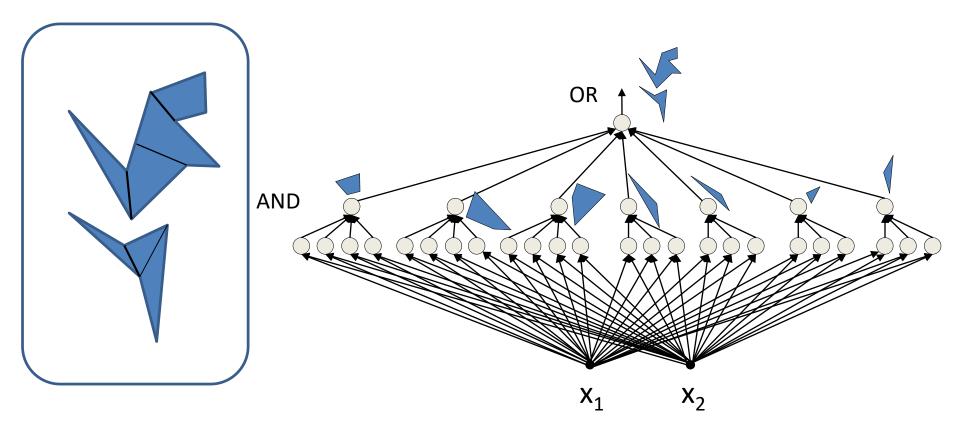
- Network to fire if the input is in the yellow area
  - "OR" two polygons
  - A third layer is required

#### **Complex decision boundaries**



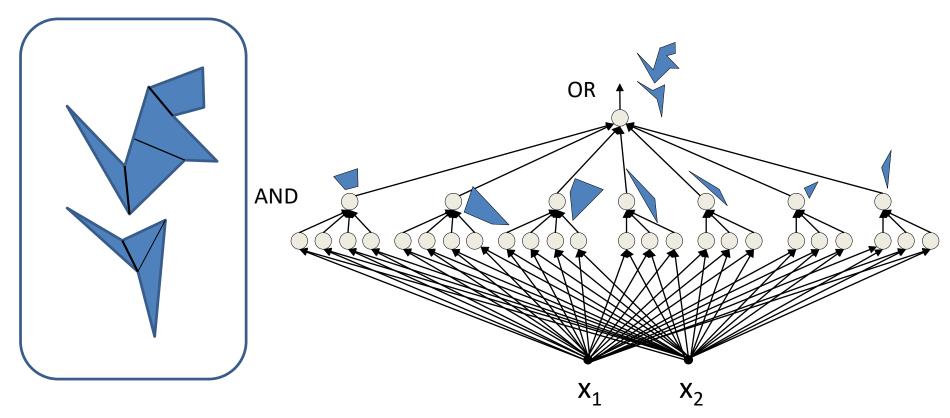
Can compose arbitrarily complex decision boundaries

#### **Complex decision boundaries**



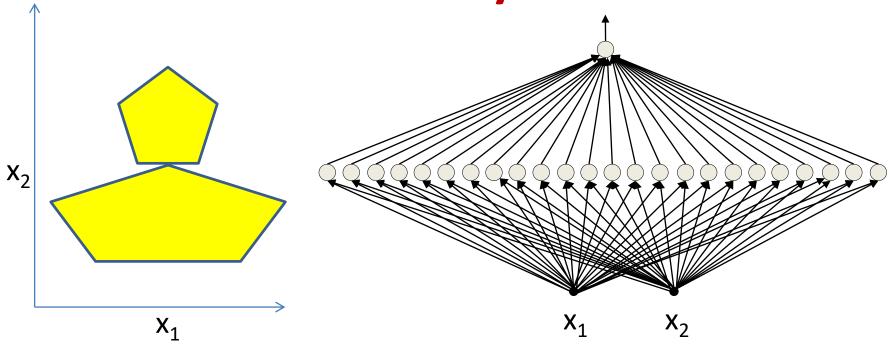
Can compose arbitrarily complex decision boundaries

# **Complex decision boundaries**



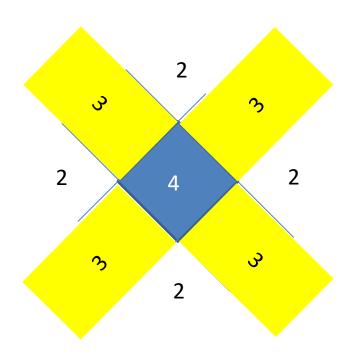
- Can compose *arbitrarily* complex decision boundaries
  - With only one hidden layer!
  - How?

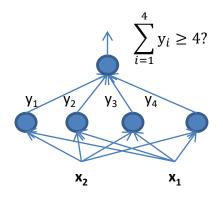
# Exercise: compose this with one hidden layer



 How would you compose the decision boundary to the left with only one hidden layer?

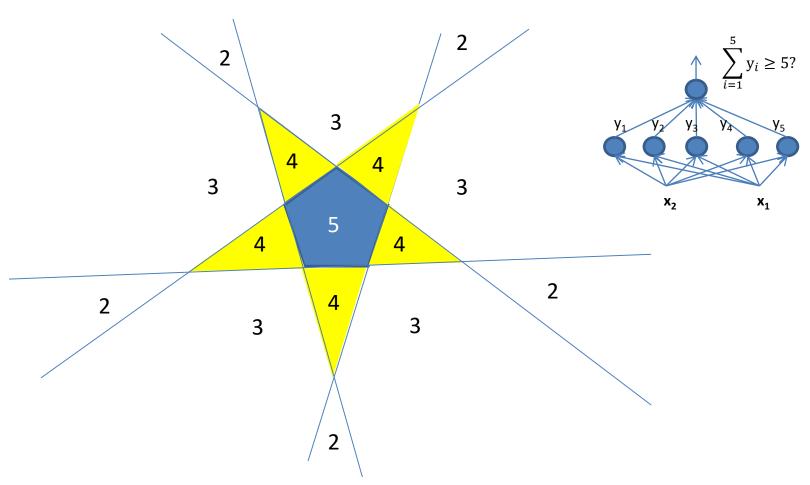
# Composing a Square decision boundary





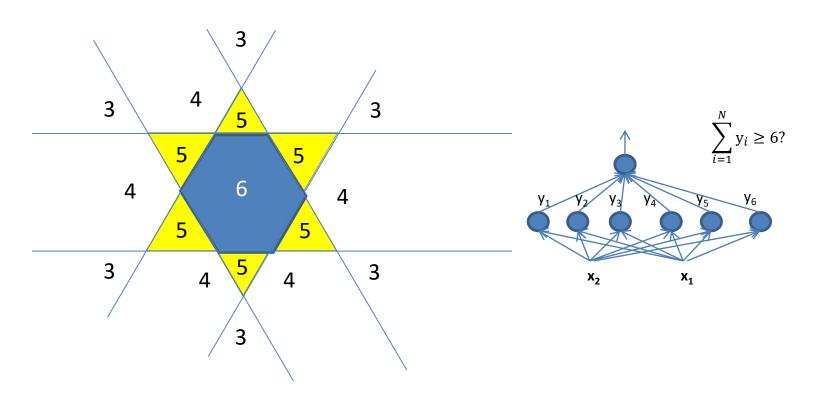
The polygon net

### **Composing a pentagon**



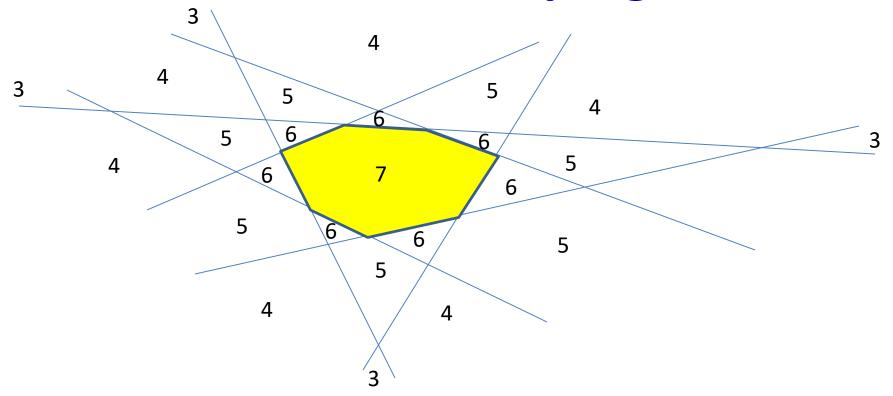
• The polygon net

#### Composing a hexagon



• The polygon net

#### How about a heptagon



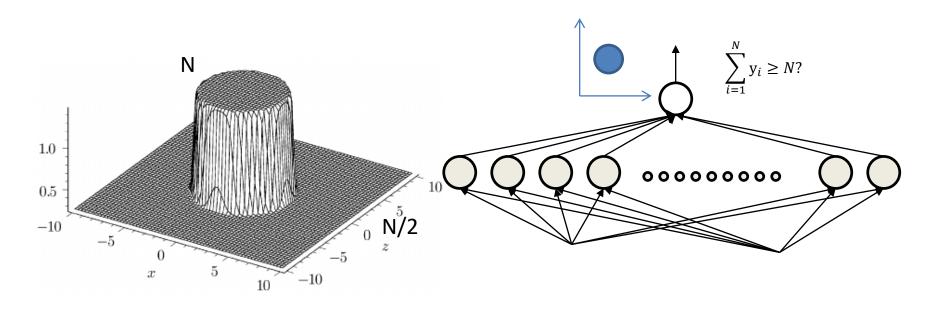
- What are the sums in the different regions?
  - A pattern emerges as we consider N > 6..

Composing a polygon 

The polygon net

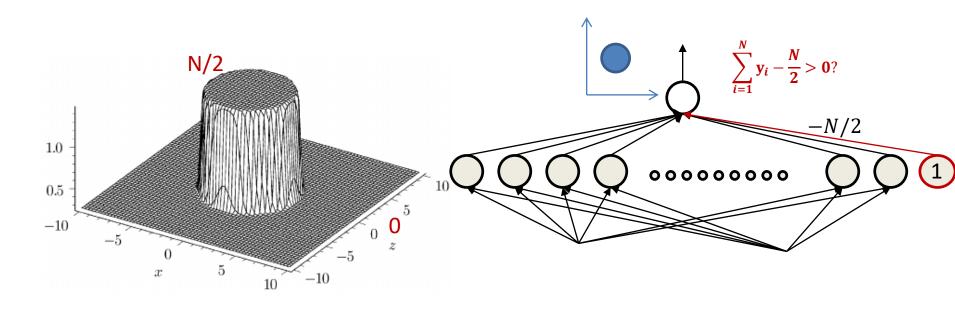
 Increasing the number of sides reduces the area outside the polygon that have N/2 < Sum < N</li>

# Composing a circle

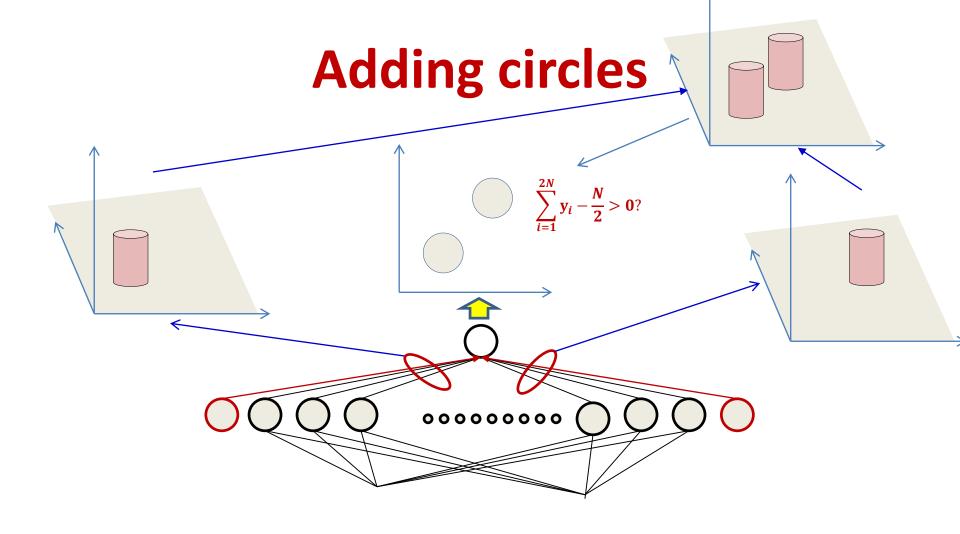


- The circle net
  - Very large number of neurons
  - Sum is N inside the circle, N/2 outside everywhere
  - Circle can be of arbitrary diameter, at any location

# Composing a circle

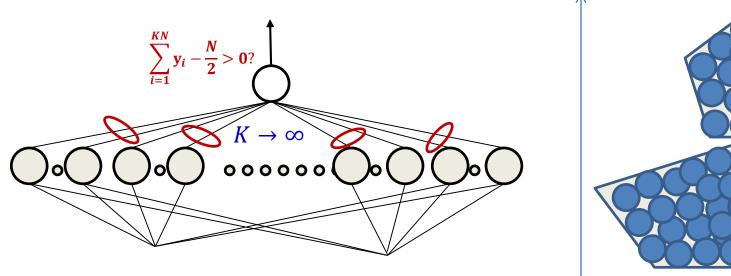


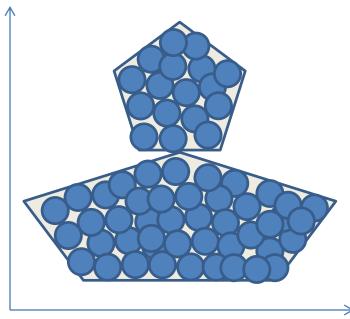
- The circle net
  - Very large number of neurons
  - Sum is N/2 inside the circle, 0 outside everywhere
  - Circle can be of arbitrary diameter, at any location.



The "sum" of two circles sub nets is exactly N/2 inside either circle, and 0 outside

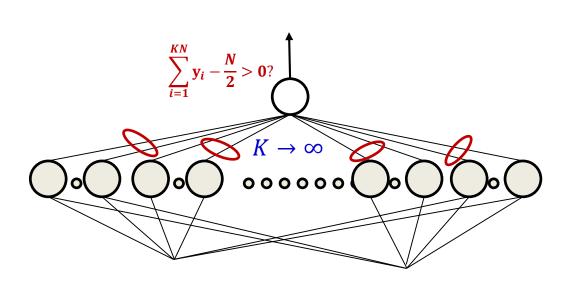
#### Composing an arbitrary figure

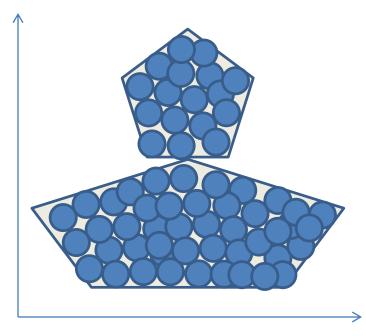




- Just fit in an arbitrary number of circles
  - More accurate approximation with greater number of smaller circles
  - Can achieve arbitrary precision

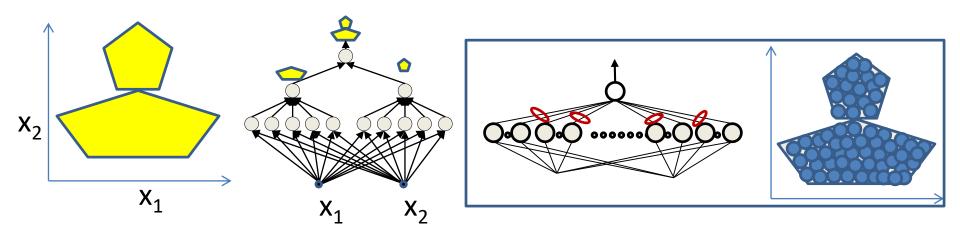
#### MLP: Universal classifier





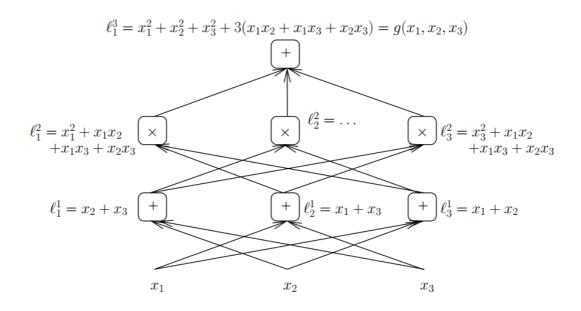
- MLPs can capture any classification boundary
- A one-layer MLP can model any classification boundary
- MLPs are universal classifiers

### Depth and the universal classifier



Deeper networks can require far fewer neurons

#### **Special case: Sum-product nets**



- "Shallow vs deep sum-product networks," Oliver
   Dellaleau and Yoshua Bengio
  - For networks where layers alternately perform either sums or products, a deep network may require an exponentially fewer number of layers than a shallow one

#### Depth in sum-product networks

#### Theorem 5

A certain class of functions  $\mathcal{F}$  of n inputs can be represented using a deep network with  $\mathcal{O}(n)$  units, whereas it would require  $\mathcal{O}(2^{\sqrt{n}})$  units for a shallow network.

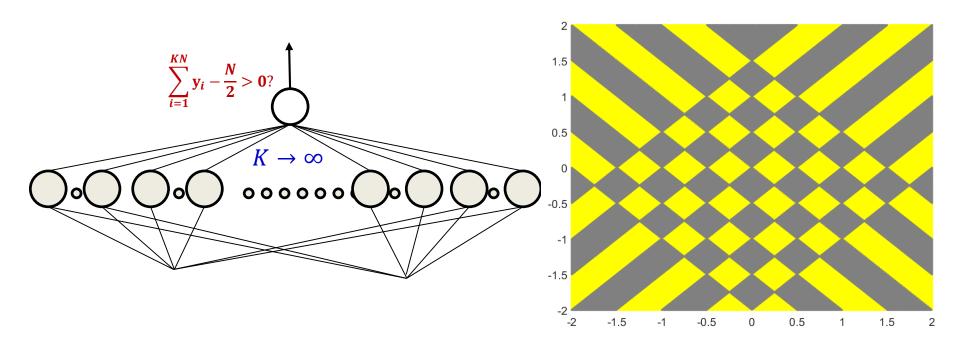
#### Theorem 6

For a certain class of functions G of n inputs, the deep sum-product network with depth k can be represented with O(nk) units, whereas it would require  $O((n-1)^k)$  units for a shallow network.

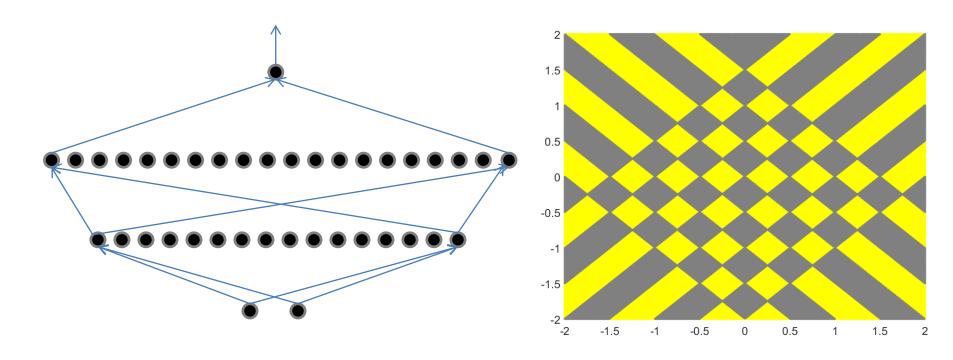
#### Optimal depth in generic nets

- We look at a different pattern:
  - "worst case" decision boundaries

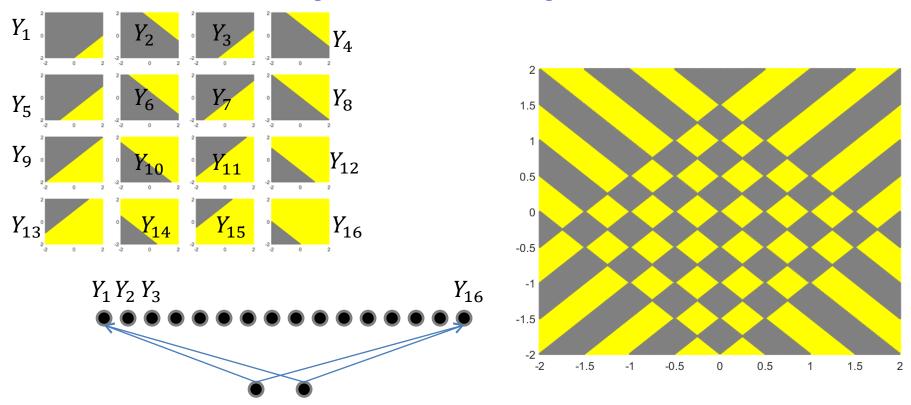
- For threshold-activation networks
  - Generalizes to other nets



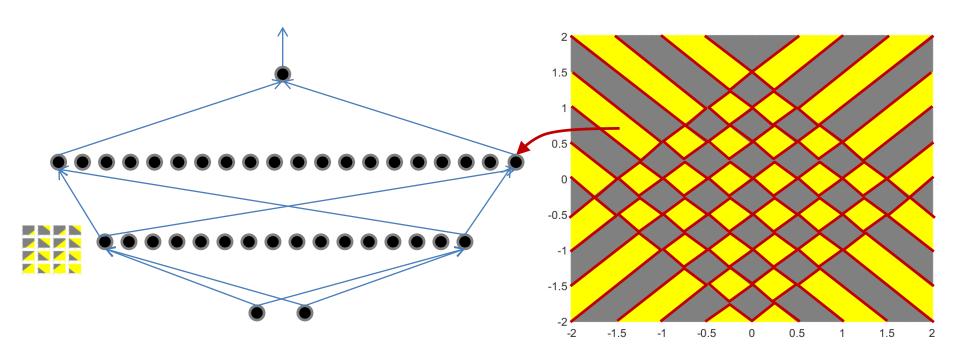
 A one-hidden-layer neural network will required infinite hidden neurons



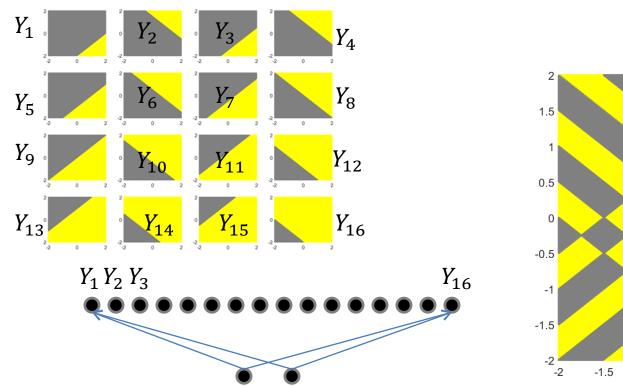
Two layer network: 56 hidden neurons

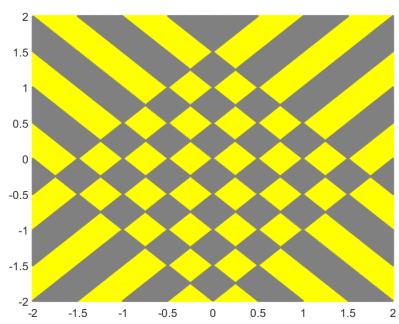


- Two layer network: 56 hidden neurons
  - 16 neurons in hidden layer 1

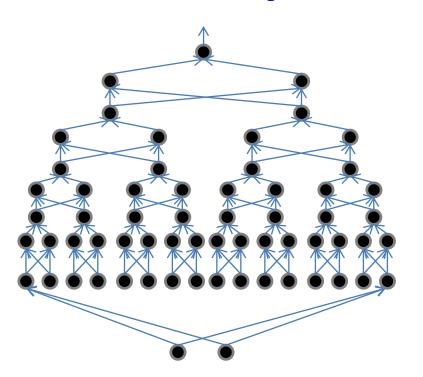


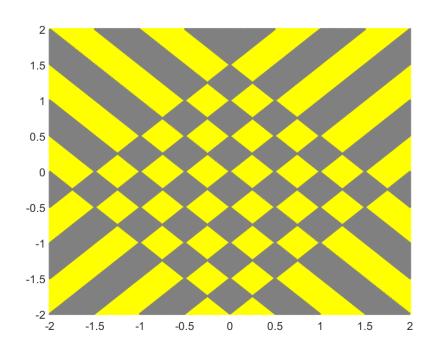
- Two-layer network: 56 hidden neurons
  - 16 in hidden layer 1
  - 40 in hidden layer 2
  - 57 total neurons, including output neuron



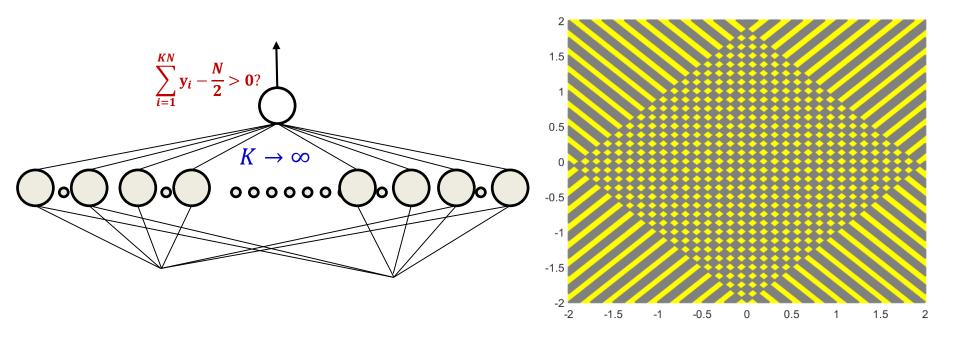


• But this is just  $Y_1 \oplus Y_2 \oplus \cdots \oplus Y_{16}$ 



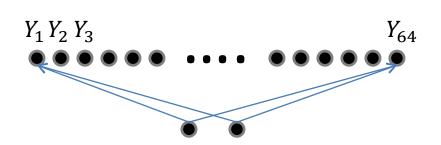


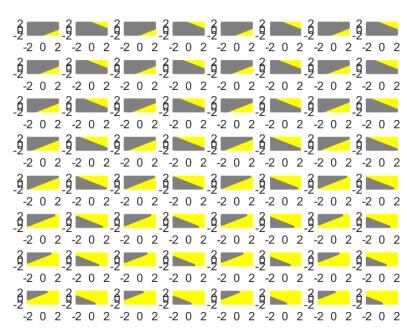
- But this is just  $Y_1 \oplus Y_2 \oplus \cdots \oplus Y_{16}$ 
  - The XOR net will require 16 + 15x3 = 61 neurons
    - Greater than the 2-layer network with only 52 neurons



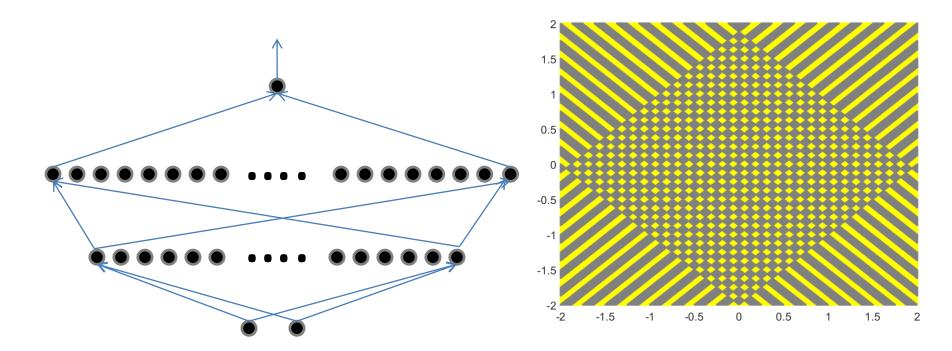
 A one-hidden-layer neural network will required infinite hidden neurons

#### **Actual linear units**

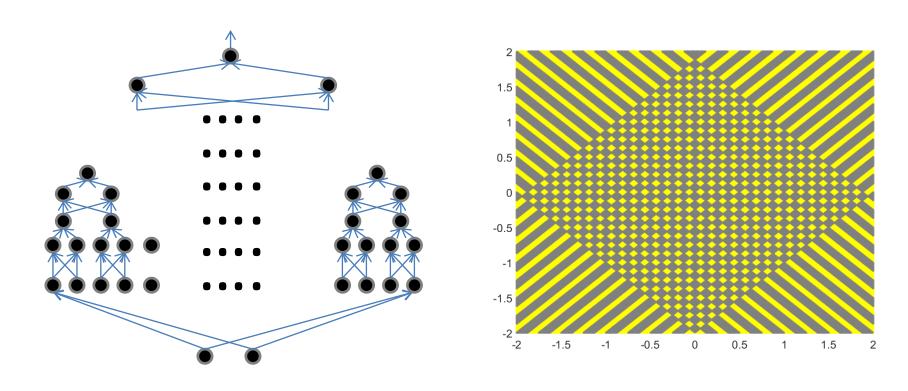




64 basic linear feature detectors



- Two hidden layers: 608 hidden neurons
  - 64 in layer 1
  - 544 in layer 2
- 609 total neurons (including output neuron)



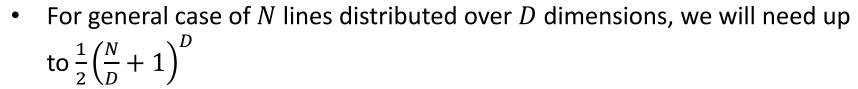
- XOR network (12 hidden layers): 253 neurons
- The difference in size between the deeper optimal (XOR) net and shallower nets increases with increasing pattern complexity

#### **Network size?**

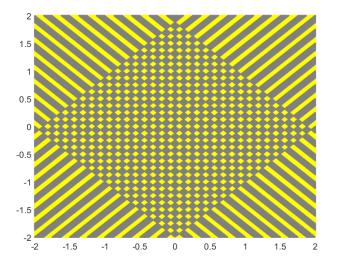
- In this problem the 2-layer net was quadratic in the number of lines
  - $-\lfloor (N+2)^2/8 \rfloor$  neurons in 2<sup>nd</sup> hidden layer
  - Not exponential
  - Even though the pattern is an XOR
  - Why?



- Only two fully independent features
- The pattern is exponential in the dimension of the input (two)!



- Increasing input dimensions can increase the worst-case size of the shallower network exponentially, but not the XOR net
  - The size of the XOR net depends only on the number of first-level linear detectors (N)



#### **Depth: Summary**

- The number of neurons required in a shallow network is
  - Polynomial in the number of basic patterns
  - Exponential in the dimensionality input
    - (this is the worst case)
    - Alternately, exponential in the number of statistically independent features

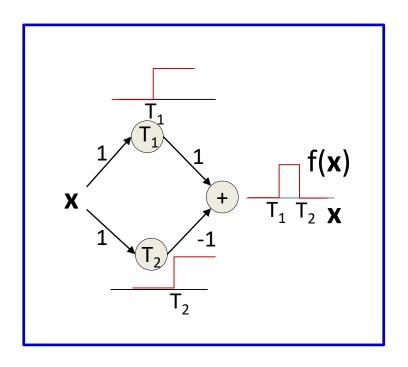
#### Story so far

- Multi-layer perceptrons are Universal Boolean Machines
  - Even a network with a single hidden layer is a universal Boolean machine
- Multi-layer perceptrons are Universal Classification Functions
  - Even a network with a single hidden layer is a universal classifier
- But a single-layer network may require an exponentially large number of perceptrons than a deep one
- Deeper networks may require exponentially fewer neurons than shallower networks to express the same function
  - Could be exponentially smaller
  - Deeper networks are more expressive

### **Today**

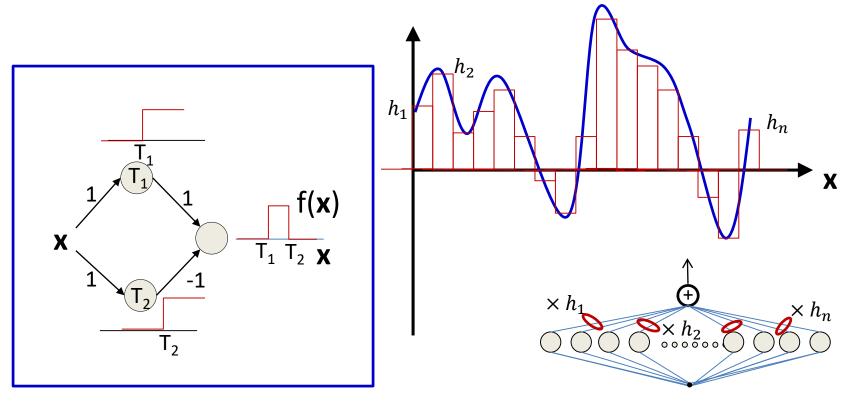
- Multi-layer Perceptrons as universal Boolean functions
  - The need for depth
- MLPs as universal classifiers
  - The need for depth
- MLPs as universal approximators
- A discussion of optimal depth and width
- Brief segue: RBF networks

#### MLP as a continuous-valued regression



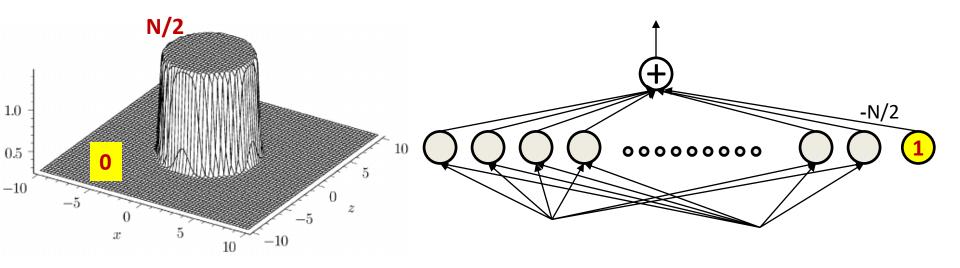
- A simple 3-unit MLP with a "summing" output unit can generate a "square pulse" over an input
  - Output is 1 only if the input lies between T<sub>1</sub> and T<sub>2</sub>
  - T<sub>1</sub> and T<sub>2</sub> can be arbitrarily specified

#### MLP as a continuous-valued regression



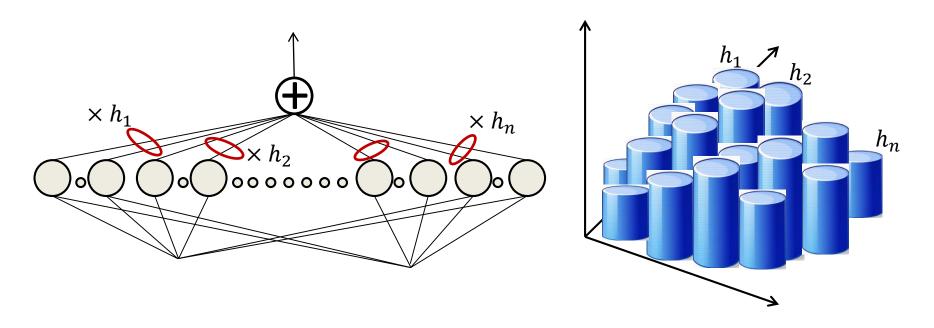
- A simple 3-unit MLP can generate a "square pulse" over an input
- An MLP with many units can model an arbitrary function over an input
  - To arbitrary precision
    - Simply make the individual pulses narrower
- A one-layer MLP can model an arbitrary function of a single input

#### For higher dimensions



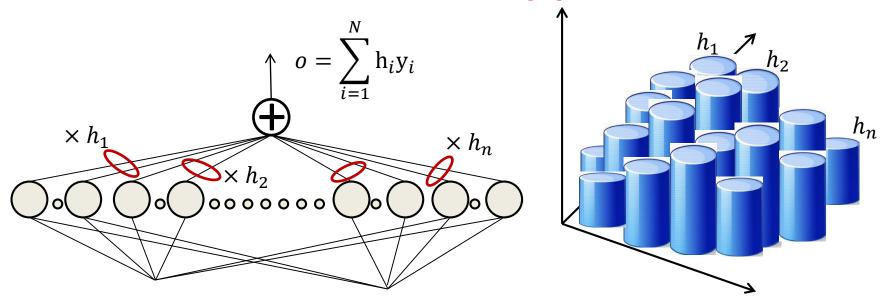
- An MLP can compose a cylinder
  - -N/2 in the circle, 0 outside

#### MLP as a continuous-valued function



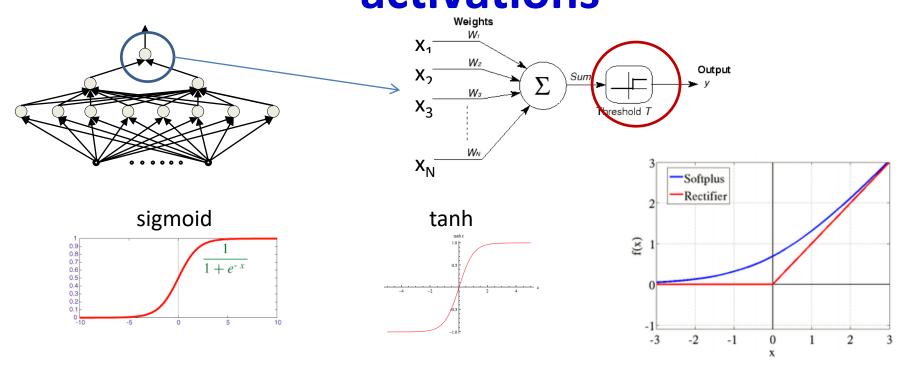
- MLPs can actually compose arbitrary functions in any number of dimensions!
  - Even with only one layer
    - As sums of scaled and shifted cylinders
  - To arbitrary precision
    - By making the cylinders thinner
  - The MLP is a universal approximator!

# Caution: MLPs with additive output units are universal approximators



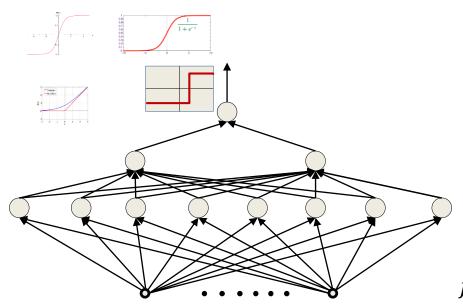
- MLPs can actually compose arbitrary functions
- But explanation so far only holds if the output unit only performs summation
  - i.e. does not have an additional "activation"

# "Proper" networks: Outputs with activations



- Output neuron may have actual "activation"
  - Threshold, sigmoid, tanh, softplus, rectifier, etc.
- What is the property of such networks?

#### The network as a function



$$f: \{0,1\}^N \to \{0,1\}$$
 Boolean

$$f: \mathbb{R}^N \to \{0,1\}$$
 Threshold

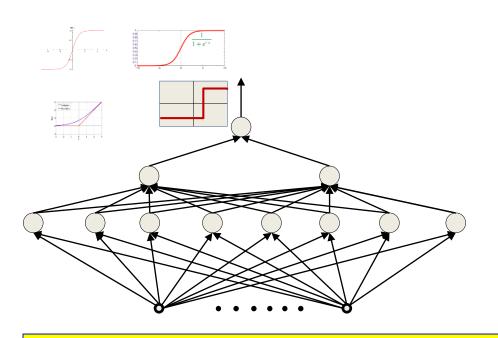
$$f: \mathbb{R}^N \to (0,1)$$
 Sigmoid

$$f: \mathbb{R}^N \to (-1,1)$$
  $Tanh$ 

$$f: \mathbb{R}^N \to (0, \infty)$$
 Softrectifier, Rectifier

- Output unit with activation function
  - Threshold or Sigmoid, or any other
- The network is actually a map from the set of all possible input values to all possible output values
  - All values the activation function of the output neuron

#### The network as a function



$$f: \{0,1\}^N \to \{0,1\}$$
 Boolean

$$f: \mathbb{R}^N \to \{0,1\}$$
 Threshold

$$f: \mathbb{R}^N \to (0,1)$$
 Sigmoid

$$f: \mathbb{R}^N \to (-1,1)$$
 Tanh

$$f: \mathbb{R}^N \to (0, \infty)$$
 Softmax, Rectifier

The MLP is a *Universal Approximator* for the entire *class* of functions (maps) it represents!

<del>Output aint with activation junction</del>

- Threshold or Sigmoid, or any other
- The network is actually a map from the set of all possible input values to all possible output values
  - All values the activation function of the output neuron

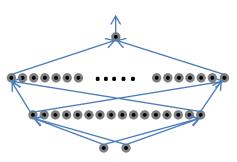
### **Today**

- Multi-layer Perceptrons as universal Boolean functions
  - The need for depth
- MLPs as universal classifiers
  - The need for depth
- MLPs as universal approximators
- A discussion of optimal depth and width
- Brief segue: RBF networks

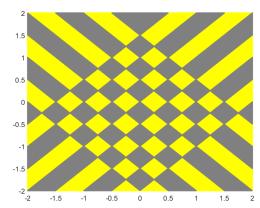
#### The issue of depth

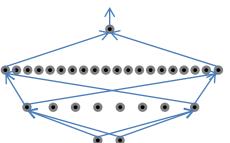
- Previous discussion showed that a single-layer MLP is a universal function approximator
  - Can approximate any function to arbitrary precision
  - But may require infinite neurons in the layer
- More generally, deeper networks will require far fewer neurons for the same approximation error
  - The network is a generic map
    - The same principles that apply for Boolean networks apply here
  - Can be exponentially fewer than the 1-layer network

### Sufficiency of architecture



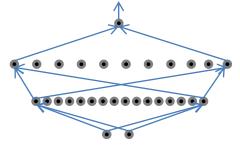
A network with 16 or more neurons in the first layer is capable of representing the figure to the right perfectly





A network with less than 16 neurons in the first layer cannot represent this pattern exactly

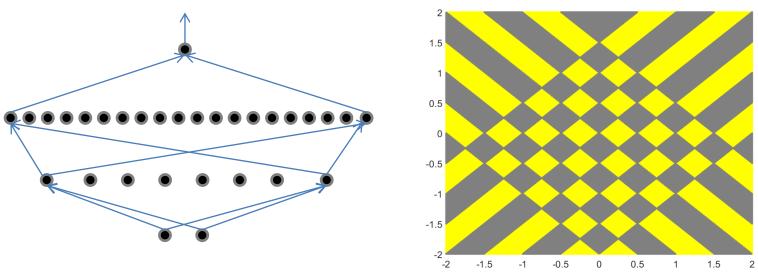
With caveats



A 2-layer network with 16 neurons in the first layer cannot represent the pattern with less than 41 neurons in the second layer

- A neural network can represent any function provided it has sufficient capacity
  - I.e. sufficiently broad and deep to represent the function
- Not all architectures can represent any function

## Sufficiency of architecture



- The capacity of a network has various definitions
  - Information or Storage capacity: how many patterns can it remember
  - VC dimension
    - bounded by the square of the number of weights in the network
  - From our perspective: largest number of disconnected convex regions it can represent
- A network with insufficient capacity cannot exactly model a function that requires
  a greater minimal number of convex hulls than the capacity of the network
  - But can approximate it with error

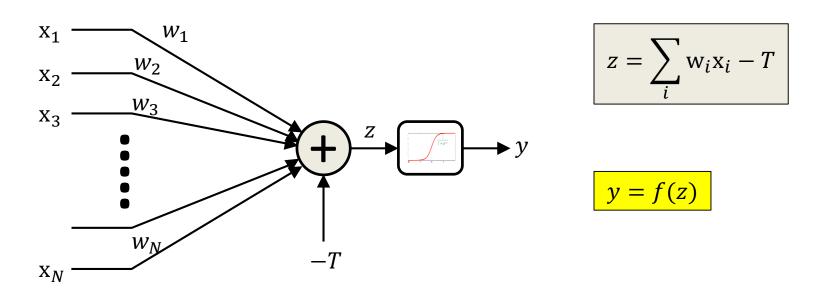
### The "capacity" of a network

- VC dimension
- A separate lecture
  - Koiran and Sontag (1998): For "linear" or threshold units, VC dimension is proportional to the number of weights
    - For units with piecewise linear activation it is proportional to the square of the number of weights
  - Harvey, Liaw, Mehrabian "Nearly-tight VC-dimension bounds for piecewise linear neural networks" (2017):
    - For any W, L s.t.  $W > CL > C^2$ , there exisits a RELU network with  $\leq L$  layers,  $\leq W$  weights with VC dimension  $\geq \frac{WL}{C} \log_2(\frac{W}{L})$
  - Friedland, Krell, "A Capacity Scaling Law for Artificial Neural Networks" (2017):
    - VC dimension of a linear/threshold net is  $\mathcal{O}(MK)$ , M is the overall number of hidden neurons, K is the weights per neuron

### **Today**

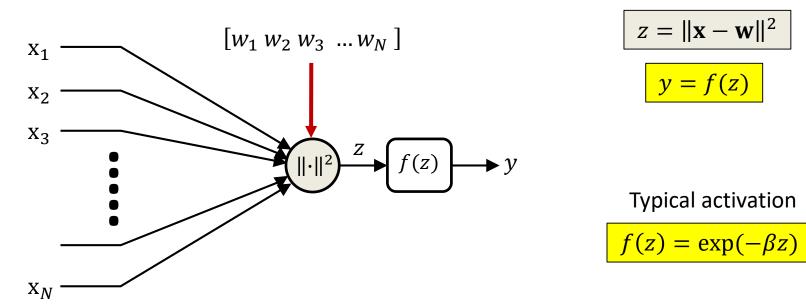
- Multi-layer Perceptrons as universal Boolean functions
  - The need for depth
- MLPs as universal classifiers
  - The need for depth
- MLPs as universal approximators
- A discussion of optimal depth and width
- Brief segue: RBF networks

#### Perceptrons so far



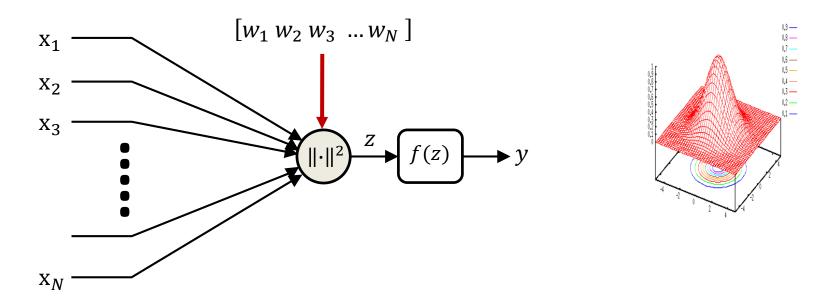
 The output of the neuron is a function of a linear combination of the inputs and a bias

## An alternate type of neural unit: Radial Basis Functions



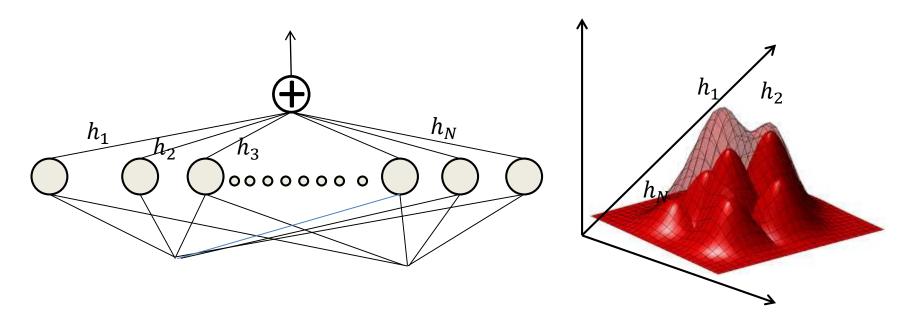
- The output is a function of the distance of the input from a "center"
  - The "center" w is the parameter specifying the unit
  - The most common activation is the exponent
    - $\beta$  is a "bandwidth" parameter
  - But other similar activations may also be used
    - Key aspect is radial symmetry, instead of linear symmetry

#### An alternate type of neural unit: Radial Basis Functions



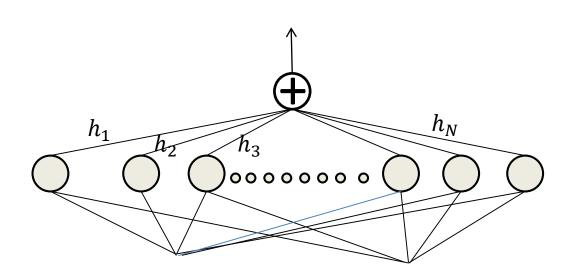
- Radial basis functions can compose cylinder-like outputs with just a single unit with appropriate choice of bandwidth (or activation function)
  - As opposed to  $N \rightarrow \infty$  units for the linear perceptron

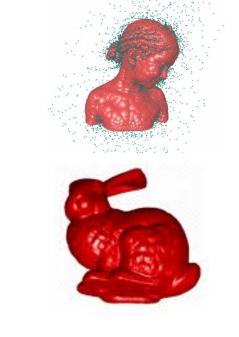
# RBF networks as universal approximators



- RBF networks are more effective approximators of continuous-valued functions
  - A one-hidden-layer net only requires one unit per "cylinder"

# RBF networks as universal approximators





- RBF networks are more effective approximators of continuous-valued functions
  - A one-hidden-layer net only requires one unit per "cylinder"

#### **RBF** networks

 More effective than conventional linear perceptron networks in some problems

We will revisit this topic, time permitting

#### **Lessons today**

- MLPs are universal Boolean function
- MLPs are universal classifiers
- MLPs are universal function approximators
- A single-layer MLP can approximate anything to arbitrary precision
  - But could be exponentially or even infinitely wide in its inputs size
- Deeper MLPs can achieve the same precision with far fewer neurons
  - Deeper networks are more expressive
- RBFs are good, now lets get back to linear perceptrons... ©

#### **Next up**

- We know MLPs can emulate any function
- But how do we make them emulate a specific desired function
  - E.g. a function that takes an image as input and outputs the labels of all objects in it
  - E.g. a function that takes speech input and outputs the labels of all phonemes in it
  - Etc...
- Training an MLP