### **Training Tied-State Models**

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### Recap and Lookahead

- Covered so far:
  - String Matching based Recognition
  - Introduction to HMMs
  - Recognizing Isolated Words
  - Learning word models from continuous recordings
  - Building word models from phoneme models
  - Context-independent and context-dependent models
  - Building decision trees
  - Exercise: Training phoneme models
  - Exercise: Training context-dependent models
  - Exercise: Building decision trees
- □ Training tied-state acoustic models

## Training Acoustic Models

□ The goal of training is to train HMMs for all sound units

- Models for triphones to represent spoken sounds
- Models for other types of sounds
- □ What we really train is an *acoustic model*
- An acoustic model is a collection of *component parts* from which we can compose models that we require

#### What follows:

- Modelling spoken sounds: How triphone models are built
  Including a quick recap of parameter sharing and state tying
- Issues relating to triphone models
- Modelling non-speech sounds
- Forced alignment
- And an exercise

# Recap: What is Parameter Tying



- □ HMMs have many parameters
  - Transition matrices
  - HMM state-output distribution parameters
- □ A parameter is said to be tied in the HMMs of two sound units if it is identical for both of them
  - E.g. if transition probabilities are assumed to be identical for both, the transition probabilities for both are "tied"
  - Tying affects training
  - The data from both sounds are pooled for computing the tied parameters

# More on Parameter Tying

- Parameter tying can occur at any level
- Entire state output distributions for two units may be tied
- Only the variances of the Gaussians for the two may be tied
  - Means stay different
- Individual Gaussians in state output distributions may be tied







Parameter tying may be different for different components

- E.g. the state output distributions for the first state of HMMs for sound1 and sound2 are tied
- But the state output distribution of the second state of the HMMs for sound1 and sound3 are tied
- □ This too affects the training accordingly
  - Data from the first states of sound1 and sound2 are pooled to compute state output distributions
  - Data from the second states of sound1 and sound3 are pooled

### And yet more on parameter tying

- Parameters may even be tied within a single HMM
- E.g. the variances of all Gaussians in the state output distributions of all states may be tied
- The variances of all Gaussians within a state may be tied
  - But different states have different variances
- The variances of *some* Gaussians within a state may be tied
- □ All of these are not unusual.



differ



same

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same



- State-tying is a form of parameter sharing where the state output distributions of different HMMs are the same
- All state-of-art speech recognition systems employ statetying at some level or the other
- □ The most common technique uses decision trees



- Decision trees categorize triphone states into a tree based on linguistic questions
  - Optimal questions at any level of the tree are determined from data
  - All triphones that end up at the same leaf of the tree for a particular state have their states tied
  - Decision trees are phoneme and state specific

## **Building Decision Trees**

- □ For each phoneme (AX, AH, AY, ... IY,..., S, ... ZH)
- **\Box** For each state (0,1,2..)
  - Gather all the data for that state for all triphones of that phoneme together
  - Build a decision tree
  - The distributions of that state for each of the triphones will be tied according to the composed decision tree
- Assumption: All triphones of a phoneme have the same number of states and topology
- If the HMM for all triphones of a phoneme has K states, we have K decision trees for the phoneme
- □ For N phonemes, we will learn N\*K decision trees

### The Triphone Models

- □ We never actually train triphone HMMs!
- □ We only learn all constituent parts
  - The transition matrix, which is common to all triphones of a phoneme
  - The distributions for all tied states
- □ Triphones models are *composed* as necessary
  - If a specific triphone is required for a word or word sequence, we identify the necessary tied states
    - Either directly from the decision trees or a pre-computed lookup table
  - We identify the necessary transition matrix
  - We combine the two to compose the triphone HMM
- □ Triphone HMMs by themselves are not explicitly stored



- Select all decision trees associated with the primary phoneme for the triphone
  - E.g. for AX (B, T), select decision trees for AX
  - There will be one decision tree for each state of the triphone
  - Each leaf represents a tied state and is associated with the corresponding state output distribution



- Pass each state of the triphone down its corresponding tree
- Select the state output distribution associated with the leaf it ends up at
- Finally select the transition matrix of the underlying base (context independent) phoneme
  - E.g. AX(B,T) uses the transition matrix of AX



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- It is not necessary to identify the correct tied state using decision trees every time
- Decision tree leaves can be indexed
- The index of the leaves for each state of a triphone can be precomputed and stored in a table
- □ In the sphinx this table is called the "Model Definition File" (Mdef)
- The state output distribution to use with any state is identified by the index

### How many tied states

- □ The total number of tied states is fixed
- I.e the total number of leaves on all the decision trees must be prespecified
- □ Tradeoff: More tied states result in better models
  - But only if they all have sufficient data
- The actual no. of tied states depends on the amount of training data
  - ~100 hours: 4000, ~2000 hours: 10000-20000
- Definition: the "tied" state output distributions are referred to as "senones" in the sphinx
- There are as many senones as the total no. of leaves in all pruned decision trees

# How many Gaussians per tied state

- The number of Gaussians per tied state also depends on the amount of training data
- □ More Gaussians is better, but only if we have enough data.
  - 200 hours of training: 4000-6000 tied states with 16-32 Gaussians/state
  - 2000 hours: 10000-20000 tied states with 32-64 Gaussians per state
- More Gaussians or more tied states?
  - Both increasing the number of Gaussians and increasing the no. of tied states needs more data
  - Tradeoff: for a given amount of data we could have either more Gaussians or more tied states
  - Having fewer tied states and more Gaussians per tied state has its advantages



- When training models, we directly compute tied-state (senone) distributions from the data
  - Senone distributions and context-independent phoneme transition matrices are used to compose the HMM for the utterance
  - Contributions of data from the HMM states go directly to updating senone distributions without referring to an intermediate triphone model

### **Overall Process of Training Senone Models**

- The overall process is required to go through a sequence of steps:
- 1. Train CI models
- 2. Train "untied" CD models
  - Initialized by CI models
- 3. Train and prune decision trees
  - Build State-tying Tables
- 4. Train Senone Distributions
  - Initialized by the corresponding state output distributions of the CI phoneme

# Initialization and Gaussian Splitting

- □ All senone distributions begin as Gaussians
  - These are initialized with the Gaussian state output distributions of the corresponding state of the corresponding phoneme
  - E.g. The distributions of all tied states from the decision tree for the first state of "AA" are initialized with the distribution of the first state of "AA"
- Training is performed over all training data until all senone distributions (and transition matrices) have converged
- If the senone distributions do not have the desired number of Gaussians yet, split one or more Gaussian and return to previous step
  - At splitting we are effectively re-initializing the training for models with N+K Gaussians
    - N = no. of Gaussians in senone distribution before splitting; K = no. of Gaussians split

### Not all models share states

- □ All triphones utilize tied-state distributions
- The trainer also simultaneously trains context-independent phonemes
  - These do not use tied-states each state of each phoneme has its own unique distribution
- The speech recognizer also includes models for silences and other noises that may be transcribed in the training data
- □ The spectra for these do not vary with context
  - Silence looks like silence regardless of what precedes or follows it
- For these sounds, only context-independent models are trained
  - States are not tied

### Silence as a context

- Although silence itself does not change with the adjacent sounds (i.e. it is not "context-dependent"), it can *affect* adjacent sounds
- A phoneme that begins after a silence has initial spectral trajectories that are different from trajectories observed in other contexts
- □ As a result silences form valid triphonetic contexts
  - E.g. Triphones such as DH(SIL, AX) are distinctly marked
    E.g. the word "THE" at the beginning of a sentence following a pause
- □ It is not *silence* per-se that is the context; it is the fact that the sound was the first one uttered
  - And the "SIL" context represents the effect of the articulatory effort in starting off with that sound
- As a result, any time speech begins, the first phoneme is marked as having SIL as a left context
  - Regardless of whether the background is really silent or not
- SIL also similarly forms the right context of the final triphone before a pause

### Pauses, Silences, Pronunciation Markers

- Pauses and silences are usually not marked on transcriptions
  - Especially short pauses
- Pauses must be introduced automatically.
- □ Words may be pronounced in different ways
  - Read: R IY D or R EH D?
- The specific pronunciation is usually not indicated on the transcripts
  - Must be deduced automatically
- Pauses and identity of pronunciation variants can be discovered through "forced alignment"

### Forced Alignment



HMM for "Park Your Car" with optional silences between words Rectangles actually represent entire HMMs (simplified illustration) Note: "Park" and "Car" are pronounced differently in Boston than elsewhere

- For each sentence in the training data, compose an HMM as shown
  - "Optional" silences between words
  - All pronunciations for a word are included as parallel paths

### A short(er) hand illustration



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# Forced Alignment



### Forced Alignment Requires Existing Models

- □ In order to perform forced alignment to identify pauses and pronunciation tags, we need existing acoustic models
  - Which we will not have at the outset
- **Solution**:
  - Train a preliminary set of models with no pauses or pronunciation tags marked in the transcript
  - We will however need some initial guess to the location of silences
    - Or we will not be able to train models for them
  - A good guess: There is typically silence at the beginning and end of utterances
  - Mark silences at the beginning and end of utterances when training preliminary models
    - □ E.g. <SIL> A GOOD CIGAR IS A SMOKE <SIL>
  - Forced align with preliminary models for updated tagged transcripts
  - Retrain acoustic models with modified transcripts

### Building tied-state Models

- **Sphinxtrain exercise**
- Note Sphinx restriction: No. of Gaussians per state same for all states