

# Indexable Compressed Bitvectors

02-714

Slides by Carl Kingsford

Ramen, Ramen, Rao. Succinct Indexable Dictionaries with Applications to Encoding  
k-ary Trees, Prefix Sums and Multisets, SODA 2002: 233-242

# Operations on bit vectors

- $\text{rank}_1(S, i) :=$  the number of 1 bits at or before position  $i$  in  $S$ .
- $\text{select}_1(S, j) :=$  the position of the  $j^{\text{th}}$  1 bit in  $S$ .
- $\text{rank}_0(S, i)$  and  $\text{select}_0(S, j)$  are defined analogously.

$$S[i] = \text{“access bit } i\text{”} = \text{rank}_1(S, i) - \text{rank}_1(S, i - 1)$$

Note:  $\text{rank}_1(S, \text{select}_1(S, j)) = j$ , so rank and select are inverses of each other.

**Goal:** rank and select in  $O(1)$  time while using small space.

# RRR

Ramen, Ramen, Rao, FOCS 2002

blocks of size  $u$  bits

010101001111010101010101010101110101110101010

$w_1$   $w_2$   $w_3$   $w_4$   $w_5$  ...  
 $s_1$   $s_2$   $s_3$   $s_4$   $s_5$  ...  
 $p_1$   $p_2$   $p_3$   $p_4$   $p_5$  ...

$w_i$  = number of 1s in block  $i$   
 $s_i$  = space to represent  $p_i$   
 $p_i$  = index into tables of bit patterns

$w = 0$   $\text{rank}_1(i)$

000	000
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$w = 1$   $\text{rank}_1(i)$

001	001
010	011
100	111

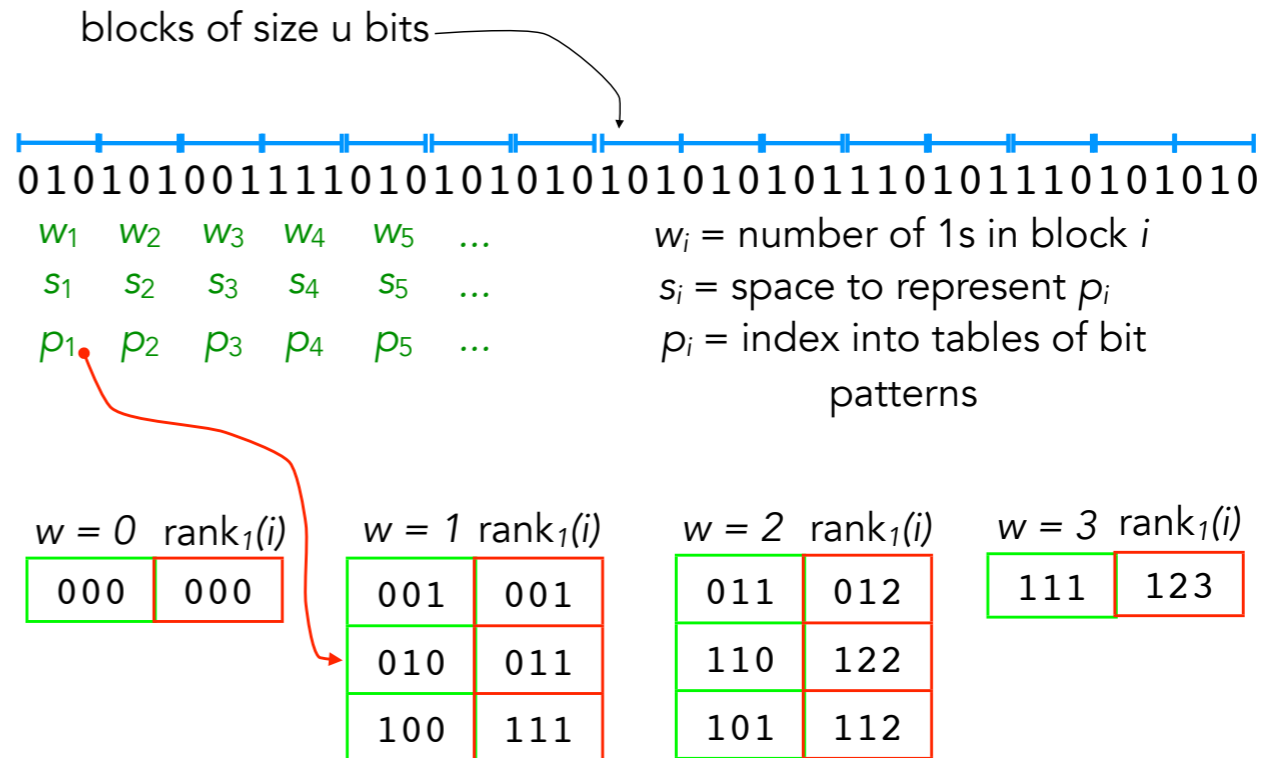
$w = 2$   $\text{rank}_1(i)$

011	012
110	122
101	112

$w = 3$   $\text{rank}_1(i)$

111	123
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# RRR Space So Far



Each  $w_i$  is  $\leq u$ , so can be represented in  $\lceil \log u \rceil$  bits.

Each  $p_i$  is an index into a table with  $\binom{u}{w_i}$  entries, so can be

represented with  $\lceil \log \binom{u}{w_i} \rceil$  bits.

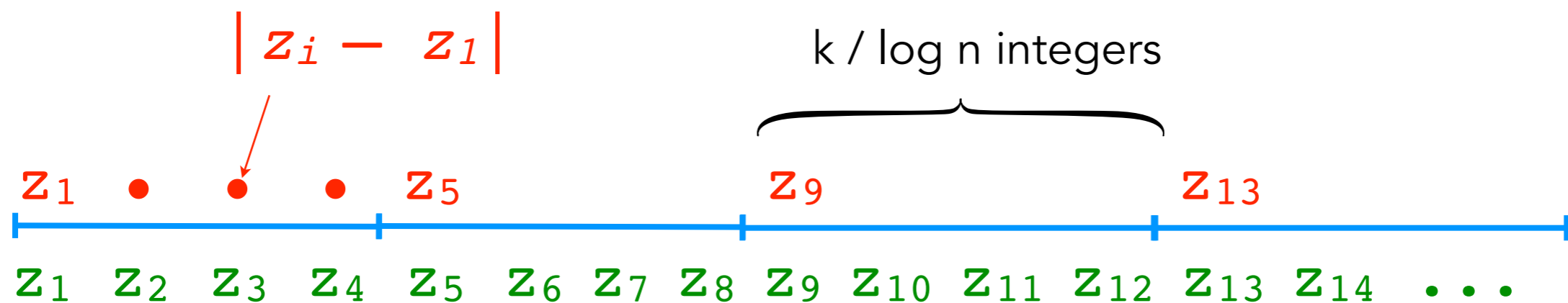
Each  $s_i$  is  $\leq u$ , so can be represented in  $\lceil \log u \rceil$  bits.

Tables contain  $2^u$  entries. The rank vectors in table  $w = k$  are of size  $u \log k$

# Prefix Sum Data Structure

**Thm** (Tarjan & Yao; Pagh; simplified). Let  $z_1, \dots, z_k$  be integers such that  $|z_i| = n^{O(1)}$  and  $|z_i - z_{i-1}| = O(\log n)$ , then the list  $z_1, \dots, z_k$  can be represented in  $O(k \log \log n)$  bits allowing for **constant access**.

*Proof.* Use the following representation:




The "key frame" integers take at most  $O\left(\frac{k}{\log n} \log n\right) = O(k)$  bits.

The  $\approx k$  delta integers take total  $O(k \log \log n)$  bits because each takes  $O(\log \log n)$  bits.

$\implies O(k + k \log \log n) = O(k \log \log n)$  bits total.  $\square$

# Prefix Sum Data Structure, 2

**Thm** (Tarjan & Yao; Pagh; simplified). Let  $z_1, \dots, z_k$  be integers such that  $|z_i| = n^{O(1)}$  and  $|z_i - z_{i-1}| = O(\log n)$ , then the list  $z_1, \dots, z_k$  can be represented in  $O(k \log \log n)$  bits allowing for constant access.



0101010011110101010101010101110101110101010

$f_1$   $f_2$   $f_3$   $f_4$   $f_5$  ...

$f_i$  = number of 1s up through the end of block  $i$

Condition 1:  $f_i \leq n$

Condition 2:  $|f_{i+1} - f_i| = O(\log n)$  if  $u = O(\log n)$

$\implies k = n / u = n / \log n$

$\implies$  prefix sums can be represented in  $(n / \log n) \log \log n$  bits.

# Summary: Prefix Sum Data Structure

**Thm.** The prefix-sum data structure used in RRR takes  $O((n / \log n) \log \log n)$  space. It can answer prefix-sum queries in constant time.

*Proof:* To answer a `prefixSum(x)` query:

1. find the  $z_i$  that is just before index  $x$ .
2. return  $z_i$  + the  $z_x - z_i$  that is stored at position  $x$ .

Each step takes  $O(1)$  time.

# (Nearly) Complete RRR Data Structure

blocks of size  $u = O(\log n)$  bits

0101010011110101010101010101110101110101010

$w_1$   $w_2$   $w_3$   $w_4$   $w_5$  ...  
 $s_1$   $s_2$   $s_3$   $s_4$   $s_5$  ...  
 $p_1$   $p_2$   $p_3$   $p_4$   $p_5$  ...  
 $f_1$   $f_2$   $f_3$   $f_4$   $f_5$  ...  
 $q_1$   $q_2$   $q_3$   $q_4$   $q_5$  ...

$w_i$  = number of 1s in block  $i$   
 $s_i$  = space to represent  $p_i$   
 $p_i$  = index into tables of bit patterns  
 Prefix sums of  $w_i$  as in previous slides  
 Prefix sums of  $s_i$  as in previous slides

$w = 0$   $\text{rank}_1(i)$

000	000
-----	-----

$w = 1$   $\text{rank}_1(i)$

001	001
010	011
100	111

$w = 2$   $\text{rank}_1(i)$

011	012
110	122
101	112

$w = 3$   $\text{rank}_1(i)$

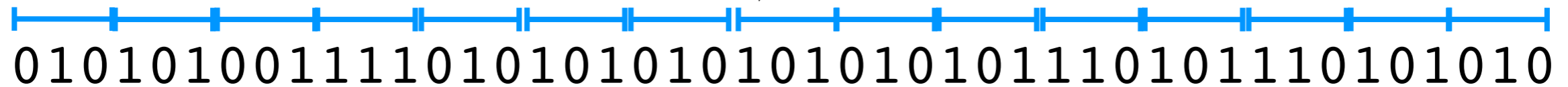
111	123
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# Space Usage, 1

blocks of size  $u =$   
 $O(\log n)$  bits

$n / \log n$  blocks



$w_1$	$w_2$	$w_3$	$w_4$	$w_5$	...	$w_i < u \Rightarrow (n/\log n) \log \log n$
$s_1$	$s_2$	$s_3$	$s_4$	$s_5$	...	$s_i < u \Rightarrow (n/\log n) \log \log n$
$p_1$	$p_2$	$p_3$	$p_4$	$p_5$	...	$p_i = \text{index into tables of bit patterns}$
$f_1$	$f_2$	$f_3$	$f_4$	$f_5$	...	$(n / \log n) \log \log n$
$q_1$	$q_2$	$q_3$	$q_4$	$q_5$	...	$(n / \log n) \log \log n$

$w_i < u$  because there are at most  $u$  1s in a block of size  $u$ .

Let  $B(w_i, u) = \left\lceil \log_2 \binom{u}{w_i} \right\rceil = \#$  of bits needed to select a subset of  $w_i$  elements from a universe of  $u$  elements.

$s_i = B(w_i, u) < u$  b/c the plain  $u$ -long bit vector could store the subset.

# Space Usage, 2

$p_1$   $p_2$   $p_3$   $p_4$   $p_5$  ...  $p_i = \text{index into tables of bit patterns}$

$$\sum_{i=1}^s \left\lfloor \log_2 \binom{u}{w_i} \right\rfloor < s + \sum_{i=1}^s \log_2 \binom{u}{w_i} \leq s + \log_2 \left( \frac{\sum_{i=1}^s u}{\sum_{i=1}^s w_i} \right) \leq s + \left\lceil \log_2 \binom{n}{w} \right\rceil$$

the floor

the sums

# Space Usage, 2

$p_1$   $p_2$   $p_3$   $p_4$   $p_5$  ...  $p_i = \text{index into tables of bit patterns}$

$$\sum_{i=1}^s \left\lfloor \log_2 \binom{u}{w_i} \right\rfloor < s + \sum_{i=1}^s \log_2 \binom{u}{w_i} \leq s + \log_2 \binom{\sum_{i=1}^s u}{\sum_{i=1}^s w_i} \leq s + \left\lceil \log_2 \binom{n}{w} \right\rceil$$

the floor

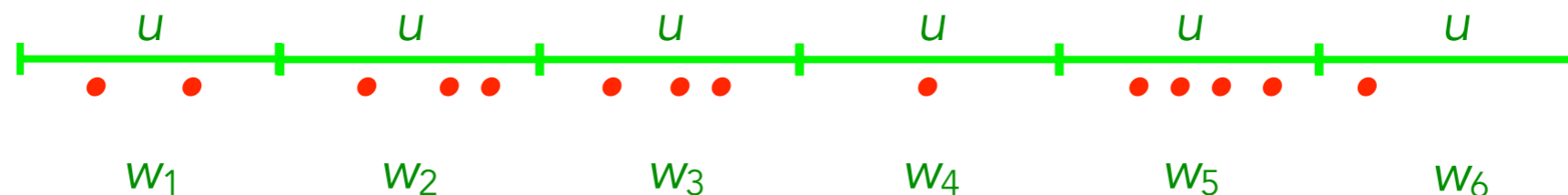
$$\sum_{i=1}^s \log \binom{u}{w_i} = \log \prod_{i=1}^s \binom{u}{w_i}$$

the sums

$$\square \leq \square$$

$\square$  = # of ways to pick  $\sum w_i$  objects from this line

$\square$  = # of ways to pick  $\sum w_i$  objects from this line where we take  $w_i$  from segment  $i$



# Space Usage, 3

$p_1$   $p_2$   $p_3$   $p_4$   $p_5$  ...  $p_i = \text{index into tables of bit patterns}$

$$\sum_{i=1}^s \left\lceil \log_2 \binom{u}{w_i} \right\rceil < s + \sum_{i=1}^s \log_2 \binom{u}{w_i} \leq s + \log_2 \binom{\sum_{i=1}^s u}{\sum_{i=1}^s w_i} \leq s + \left\lceil \log_2 \binom{n}{w} \right\rceil$$

space to  
store  $p$  array

$$s = m/u = m/\log m$$

minimum space  
to select set of  $w$   
1 bits out of  $n$ .

So the total space for the  $p$  array is:  $B(w, n) + m/\log m$

# Space Usage, 4: The Tables

$w = 0$	$\text{rank}_1(i)$
000	000

$w = 1$	$\text{rank}_1(i)$
001	001
010	011
100	111

$w = 2$	$\text{rank}_1(i)$
011	012
110	122
101	112

$w = 3$	$\text{rank}_1(i)$
111	123

The tables are tiny:

The rank vector is  $u$ -long, and each entry is  $O(\log w)$

$$\sum_{w=0}^u \binom{u}{w} u \log w \leq u \sum_{w=0}^u \binom{u}{w} \log u$$

for every weight, we this have many entries

$$= u \log u \sum_{w=0}^u \binom{u}{w}$$

$$= u 2^u \log u$$

$$= O((\log n)(\log \log n)(\log n))$$

$$= O(\log^2 n \log \log n)$$

# Summary: Space Usage

**Thm.** The RRR data structure takes  $O(B(w,n) + m \log \log m / \log m)$  space.

So: how do we solve  $\text{rank}_1(S, i)$ ?

# rank<sub>1</sub>(S, i)

1. Find the block  $x = \lfloor i/u \rfloor$  that  $i$  is in.

$$2. \text{rank}_1(S, i) = \text{prefixSum}(x) + T[w_x][i - xu]$$

compute in constant  
time

The rank table  
for weight  $w_x$

The  
appropriate bit  
in the  $x^{\text{th}}$  block.

Each step takes constant time, so the entire rank computation takes  $O(1)$  time.

# Summary

- Can store bit vector in minimum space  $(B(w,m)) + O(m \log \log m / \log m)$
- Despite using asymptotically less space than the naive representation, you can answer:
  - rank
  - select
  - accessqueries in constant time