Lecture Notes on Chaining

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1 Introduction

There is a pleasing simplicity of the computation as proof search paradigm when based on inference rules. But how do we now implement it? One way would be to capture the rules as propositions so we can represent a program as a collection of propositions, which become the antecedents of a sequent. The query will then be the succedent, and we can hopefully use our usual tools of logical reasoning the obtain an operational semantics.

But what is the connection between rules and propositions, and can we reformulate the bottom-up search strategy using inference rules instead with antecedent propositions? This is the subject of today's lecture.

2 Rules as Propositions

Let's review a set of rules for increment in binary (omitting some fine points on standard representations of numbers).

$$\frac{1}{\mathsf{inc}(\mathsf{e},\mathsf{b1}(\mathsf{e}))}\;\mathsf{inc}_e \qquad \frac{\mathsf{inc}(M,N)}{\mathsf{inc}(\mathsf{b0}(M),\mathsf{b1}(M))}\;\mathsf{inc}_0 \qquad \frac{\mathsf{inc}(M,N)}{\mathsf{inc}(\mathsf{b1}(M),\mathsf{b0}(N))}\;\mathsf{inc}_1$$

The first one inc_e is trival to express as just an atomic proposition; the second (inc_0) requires a simple quantifier. The third one has a premise, in which case we can rewrite the inference rule as an implication. Let's write

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the resulting collection of antecedents as Γ_{inc} .

$$\begin{array}{ll} \Gamma_{\mathsf{inc}} & = & \mathsf{inc}(\mathsf{e}, \mathsf{b1}(\mathsf{e})), \\ & \forall m.\, \mathsf{inc}(\mathsf{b0}(m), \mathsf{b1}(m)), \\ & \forall m.\, \forall n.\, \mathsf{inc}(m,n) \supset \mathsf{inc}(\mathsf{b1}(m), \mathsf{b0}(n)) \end{array}$$

We don't yet have the tools to see in which way this translation is correct, but at least in an intuitive sense it should look plausible.

Now, solving a logic programming query such as inc(b1(e),b1(b0(e))) will be modeled as

$$\Gamma_{\mathsf{inc}} \xrightarrow{f} \mathsf{inc}(\mathsf{b1}(\mathsf{e}), \mathsf{b1}(\mathsf{b0}(\mathsf{e})))$$

where " $\stackrel{f}{\longrightarrow}$ " demands a sequent calculus we have yet to design. Here we use f to suggest *focusing*, although in this lecture we will just introduce *chaining*. Focusing, eventually, will turn out to be *inversion* + *chaining*. The particular form of chaining from this lecture is called *backward chaining*; in the next lecture we also discuss forward chaining.

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We notice first that all propositions in Γ_{inc} are *noninvertible*: neither atoms, nor universal quantification, nor implication have invertible rules as antecedents. So the inversion strategy will not be useful. The second thing we notice is that *if we still had rules*, we would know immediately that only the inc_1 , represented as the third antecedent, will actually help in the example at the end of the previous section. That is, we need to "look beyond" the quantifiers and to the right of the implication until we find an atomic formula and then decide if it would match our goal.

To formalize this, we *focus* on a particular proposition among the antecedents and then continue to apply rules *only to the proposition in focus* until we can determine whether it matches the succedent. We write [A] for the particular proposition A that is in focus. There can be at most one of those in a sequent.

The first rule picks a proposition from Γ (which, in our case, would be Γ_{inc}) and puts it into focus. For now, the succedent will always be an atom, so we wrote P.

$$\frac{A \in \Gamma \quad \Gamma, [A] \stackrel{f}{\longrightarrow} P}{\Gamma \stackrel{f}{\longrightarrow} P} \text{ focus} L$$

The second rule instantiates a universal quantifier. Of course, at this point we cannot know which term we might want to choose, so we instantiate it with a variable X, to be determined later.

$$\frac{\Gamma, [A(X)] \xrightarrow{f} P}{\Gamma, [\forall x. A(x)] \xrightarrow{f} P} \forall L$$

When we reach an implication $B \supset A$, we would like to "look past" it at A, but we also have to remember that we still have to prove B in case this A does match the succedent.

$$\frac{\Gamma, [A] \xrightarrow{f} P \quad \Gamma \xrightarrow{f} [B]}{\Gamma, [B \supset A] \xrightarrow{f} P} \supset L$$

Two remarks: (1) we put Γ , $A \to P$ as the first premise (reversing the order we have used so far for implication) because we would like to know if it matches the succedent before we solve B, and (2) the focus is inherited by both subformulas A and B.

With this process, we may finally come upon an atom Q, in which case it has to unify with the succedent P.

$$\frac{Q=P}{\Gamma, \textcolor{red}{[Q]} \overset{f}{\longrightarrow} P} \text{ id}$$

Here, we imagine that Q=P is a unification which instantiates the free variables like X we have introduced into the proof. Note that the substitution for such variables has to be global throughout the partial proof tree. If we wanted to be more formal about this (although I don't believe it really helps understanding), we would "thread through" a substitution or a set of constraints through all the rules.

The following observation is critical: if Q and P do not unify, then no rule applies here.

no rule if
$$Q \neq P$$

$$\Gamma, [Q] \xrightarrow{f} P$$

Along the way we have introduced a second judgment form, $\Gamma \xrightarrow{f} [A]$, where the focus on the right-hand side. For the example so far, we only

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need one rule, where we lose focus.

$$\frac{\Gamma \xrightarrow{f} P}{\Gamma \xrightarrow{f} [P]} \text{ blur}$$

Here is a summary of the rules so far:

$$\frac{A \in \Gamma \quad \Gamma, [A] \xrightarrow{f} P}{\Gamma \xrightarrow{f} P} \text{ focus} L$$

$$\frac{\Gamma, [A(X)] \xrightarrow{f} P}{\Gamma, [\forall x. A(x)] \xrightarrow{f} P} \forall L \qquad \frac{\Gamma, [A] \xrightarrow{f} P \quad \Gamma \xrightarrow{f} [B]}{\Gamma, [B \supset A] \xrightarrow{f} P} \supset L$$

$$\frac{Q = P}{\Gamma, [Q] \xrightarrow{f} P} \text{ id} \qquad \text{no rule if } Q \neq P$$

$$\Gamma, [Q] \xrightarrow{f} P$$

$$\frac{\Gamma \xrightarrow{f} P}{\Gamma \xrightarrow{f} [P]} \text{ blur}$$

4 1+1=2

Now we apply the rules to check the result incrementing 1 in binary form. Recall the encoding of the rules

$$\Gamma_{\mathsf{inc}} = \mathsf{inc}(\mathsf{e}, \mathsf{b1}(\mathsf{e})),$$
 $\forall m. \mathsf{inc}(\mathsf{b0}(m), \mathsf{b1}(m)),$
 $\forall m. \forall n. \mathsf{inc}(m, n) \supset \mathsf{inc}(\mathsf{b1}(m), \mathsf{b0}(n))$

and the goal sequent, as yet without proof

$$\Gamma_{\mathsf{inc}} \xrightarrow{f} \mathsf{inc}(\mathsf{b1}(\mathsf{e}),\mathsf{b0}(\mathsf{b1}(\mathsf{e})))$$

First we try inc(e, b1(e)):

$$\begin{split} & \overset{:}{\frac{\Gamma_{\mathsf{inc}}, [\mathsf{inc}(\mathsf{e}, \mathsf{b1}(\mathsf{e}))] \stackrel{f}{\longrightarrow} \mathsf{inc}(\mathsf{b1}(\mathsf{e}), \mathsf{b0}(\mathsf{b1}(\mathsf{e})))}{\Gamma_{\mathsf{inc}} \stackrel{f}{\longrightarrow} \mathsf{inc}(\mathsf{b1}(\mathsf{e}), \mathsf{b0}(\mathsf{b1}(\mathsf{e})))}} \; \mathsf{focus} L \end{split}$$

We fail immediately, because we are in the situation of the form Γ , $[Q] \xrightarrow{f} P$ where $Q \neq P$.

Next we try the second proposition in Γ_{inc} :

$$\frac{\Gamma_{\mathsf{inc}}, \left[\forall m. \, \mathsf{inc}(\mathsf{b0}(m), \mathsf{b1}(m)) \right] \stackrel{f}{\longrightarrow} \mathsf{inc}(\mathsf{b1}(\mathsf{e}), \mathsf{b0}(\mathsf{b1}(\mathsf{e})))}{\Gamma_{\mathsf{inc}} \stackrel{f}{\longrightarrow} \mathsf{inc}(\mathsf{b1}(\mathsf{e}), \mathsf{b0}(\mathsf{b1}(\mathsf{e})))} \text{ focus} L$$

At this point we use a variable M for m, postpone a choice until some unification constraints help us determine a good instantiation of the quantifier.

$$\begin{array}{c} \vdots \\ \frac{\Gamma_{\mathsf{inc}}, [\mathsf{inc}(\mathsf{b0}(M), \mathsf{b1}(M))] \stackrel{f}{\longrightarrow} \mathsf{inc}(\mathsf{b1}(\mathsf{e}), \mathsf{b0}(\mathsf{b1}(\mathsf{e})))}{\Gamma_{\mathsf{inc}}, [\forall m.\, \mathsf{inc}(\mathsf{b0}(m), \mathsf{b1}(m))] \stackrel{f}{\longrightarrow} \mathsf{inc}(\mathsf{b1}(\mathsf{e}), \mathsf{b0}(\mathsf{b1}(\mathsf{e})))} } \ \mathsf{focus} L \\ \frac{\Gamma_{\mathsf{inc}} \stackrel{f}{\longrightarrow} \mathsf{inc}(\mathsf{b1}(\mathsf{e}), \mathsf{b0}(\mathsf{b1}(\mathsf{e})))}{\Gamma_{\mathsf{inc}} \stackrel{f}{\longrightarrow} \mathsf{inc}(\mathsf{b1}(\mathsf{e}), \mathsf{b0}(\mathsf{b1}(\mathsf{e})))} \end{array}$$

Again we fail, this time because b0(M) does not unify with b1(e). Third time's a charm:

$$\frac{\Gamma_{\mathsf{inc}}, \left[\forall m.\, \forall n.\, \mathsf{inc}(m,n) \supset \mathsf{inc}(\mathsf{b1}(m),\mathsf{b0}(n))\right] \stackrel{f}{\longrightarrow} \mathsf{inc}(\mathsf{b1}(\mathsf{e}),\mathsf{b0}(\mathsf{b1}(\mathsf{e})))}{\Gamma_{\mathsf{inc}} \stackrel{f}{\longrightarrow} \mathsf{inc}(\mathsf{b1}(\mathsf{e}),\mathsf{b0}(\mathsf{b1}(\mathsf{e})))} \text{ focus} L$$

Combining two consecutive $\forall L$ rules, we arrive at

$$\frac{\Gamma_{\mathsf{inc}}, [\mathsf{inc}(M,N) \supset \mathsf{inc}(\mathsf{b1}(M),\mathsf{b0}(N))] \stackrel{f}{\longrightarrow} \mathsf{inc}(\mathsf{b1}(\mathsf{e}),\mathsf{b0}(\mathsf{b1}(\mathsf{e})))}{\Gamma_{\mathsf{inc}}, [\forall m. \, \forall n. \, \mathsf{inc}(m,n) \supset \mathsf{inc}(\mathsf{b1}(m),\mathsf{b0}(n))] \stackrel{f}{\longrightarrow} \mathsf{inc}(\mathsf{b1}(\mathsf{e}),\mathsf{b0}(\mathsf{b1}(\mathsf{e})))}{\Gamma_{\mathsf{inc}} \stackrel{f}{\longrightarrow} \mathsf{inc}(\mathsf{b1}(\mathsf{e}),\mathsf{b0}(\mathsf{b1}(\mathsf{e})))} } \ \, \forall L \times 2$$

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Now we are forced into the $\supset L$ rule. The incomplete proof state is now

$$\begin{array}{c} \vdots \\ \\ \underline{\Gamma_{\mathsf{inc}}, [\mathsf{inc}(\mathsf{b1}(M), \mathsf{b0}(N))] \overset{f}{\longrightarrow} \mathsf{inc}(\mathsf{b1}(\mathsf{e}), \mathsf{b0}(\mathsf{b1}(\mathsf{e})))} \quad \Gamma_{\mathsf{inc}} \overset{f}{\longrightarrow} [\mathsf{inc}(M, N)]} \supset L \\ \\ \underline{\Gamma_{\mathsf{inc}}, [\mathsf{inc}(M, N) \supset \mathsf{inc}(\mathsf{b1}(M), \mathsf{b0}(N))] \overset{f}{\longrightarrow} \mathsf{inc}(\mathsf{b1}(\mathsf{e}), \mathsf{b0}(\mathsf{b1}(\mathsf{e})))} \quad \forall L \times 2} \\ \underline{\Gamma_{\mathsf{inc}}, [\forall m. \, \forall n. \, \mathsf{inc}(m, n) \supset \mathsf{inc}(\mathsf{b1}(m), \mathsf{b0}(n))] \overset{f}{\longrightarrow} \mathsf{inc}(\mathsf{b1}(\mathsf{e}), \mathsf{b0}(\mathsf{b1}(\mathsf{e})))} \quad \forall L \times 2} \\ \Gamma_{\mathsf{inc}} \overset{f}{\longrightarrow} \mathsf{inc}(\mathsf{b1}(\mathsf{e}), \mathsf{b0}(\mathsf{b1}(\mathsf{e}))) \end{array}$$

At this point, the first branch succeeds with unification, with $M={\sf e}$ and $N={\sf b1(e)}.$

$$\frac{\operatorname{inc}(\operatorname{b1}(M),\operatorname{b0}(N)) = \operatorname{inc}(\operatorname{b1}(\operatorname{e}),\operatorname{b0}(\operatorname{b1}(\operatorname{e})))}{\Gamma_{\operatorname{inc}},\operatorname{inc}(\operatorname{b1}(M),\operatorname{b0}(N)) \xrightarrow{f} \operatorname{inc}(\operatorname{b1}(\operatorname{e}),\operatorname{b0}(\operatorname{b1}(\operatorname{e})))} \operatorname{id} \xrightarrow{\Gamma_{\operatorname{inc}} \xrightarrow{f} [\operatorname{inc}(M,N)]} \supset L} \\ \frac{\Gamma_{\operatorname{inc}},[\operatorname{inc}(M,N) \supset \operatorname{inc}(\operatorname{b1}(M),\operatorname{b0}(N))] \xrightarrow{f} \operatorname{inc}(\operatorname{b1}(\operatorname{e}),\operatorname{b0}(\operatorname{b1}(\operatorname{e})))}{\Gamma_{\operatorname{inc}},[\forall m.\ \forall n.\ \operatorname{inc}(m,n) \supset \operatorname{inc}(\operatorname{b1}(m),\operatorname{b0}(n))] \xrightarrow{f} \operatorname{inc}(\operatorname{b1}(\operatorname{e}),\operatorname{b0}(\operatorname{b1}(\operatorname{e})))}}_{\Gamma_{\operatorname{inc}} \xrightarrow{f} \operatorname{inc}(\operatorname{b1}(\operatorname{e}),\operatorname{b0}(\operatorname{b1}(\operatorname{e})))}} \operatorname{focus} L}$$

This substitution has to be applied globally to the partial proof, which yields

$$\frac{L}{\frac{\Gamma_{\mathsf{inc}},\mathsf{inc}(\mathsf{b1}(\mathsf{e}),\mathsf{b0}(\mathsf{b1}(\mathsf{e}))) \overset{f}{\longrightarrow} \mathsf{inc}(\mathsf{b1}(\mathsf{e}),\mathsf{b0}(\mathsf{b1}(\mathsf{e})))}{\Gamma_{\mathsf{inc}} \overset{f}{\longrightarrow} [\mathsf{inc}(\mathsf{e},\mathsf{b1}(\mathsf{e}))]}} \supset L}} \supset L$$

$$\frac{\Gamma_{\mathsf{inc}},[\mathsf{inc}(\mathsf{e},\mathsf{b1}(\mathsf{e})) \supset \mathsf{inc}(\mathsf{b1}(\mathsf{e}),\mathsf{b0}(\mathsf{b1}(\mathsf{e})))] \overset{f}{\longrightarrow} \mathsf{inc}(\mathsf{b1}(\mathsf{e}),\mathsf{b0}(\mathsf{b1}(\mathsf{e})))}}{\Gamma_{\mathsf{inc}},[\forall m.\ \forall n.\ \mathsf{inc}(m,n) \supset \mathsf{inc}(\mathsf{b1}(m),\mathsf{b0}(n))] \overset{f}{\longrightarrow} \mathsf{inc}(\mathsf{b1}(\mathsf{e}),\mathsf{b0}(\mathsf{b1}(\mathsf{e})))}}{\Gamma_{\mathsf{inc}} \overset{f}{\longrightarrow} \mathsf{inc}(\mathsf{b1}(\mathsf{e}),\mathsf{b0}(\mathsf{b1}(\mathsf{e})))}} \text{ focus } L$$

Singling out the remaining subgoal, we can solve this now by focusing on the first of the propositions in Γ_{inc} . Trying to focus on any other one of the

antecedents will fail.

$$\begin{array}{c} \frac{}{\Gamma_{\mathsf{inc}}, [\mathsf{inc}(\mathsf{e}, \mathsf{b1}(\mathsf{e}))] \stackrel{f}{\longrightarrow} \mathsf{inc}(\mathsf{e}, \mathsf{b1}(\mathsf{e}))}}{\frac{\Gamma_{\mathsf{inc}} \stackrel{f}{\longrightarrow} \mathsf{inc}(\mathsf{e}, \mathsf{b1}(\mathsf{e}))}{\Gamma_{\mathsf{inc}} \stackrel{f}{\longrightarrow} [\mathsf{inc}(\mathsf{e}, \mathsf{b1}(\mathsf{e}))]}} \; \mathsf{blur} R}$$

Notice that in the example we could have added many many other propositions in the antecedent and it would not have changed the outcome as long as the eventual proposition at the end of the chain of quantifiers and implications is not of the form inc(_, _). In an implementation of a logic programming language such as Prolog we compile the programs (that is, the antecedents) so that we have direct access to those defining the particular predicate in the query (that is, the succedent).

5 Horn Clauses

For which fragment of the logic does this proof search strategy work? Answering this question will give us (a slight generalization) of *Horn clauses*, and those are the only ones allowed in backward chaining logic programming languages like Prolog.

A key seems to be that the antecedents all have noninvertible left rules that can be chained together. Similarly, we would like the succedent to have noninvertible right rules, again so we can chain them (not visible in this example). Remembering polarities: those propositions with invertible right rules are *negative* and have noninvertible left rules, while those with invertible left rules have noninvertible right rules are *positive*. We write D^- for the negative propositions in the antecedent¹, and G^+ for the positive proposition in the succedent. We concentrate on the core propositions in red and leave out some additional propositions, which the theory would predict are compatible with backward chaining.

```
\begin{array}{lll} \text{Program formulas} & D^{-} & ::= & P^{-} \mid G^{+} \supset D^{-} \mid \forall x. \, D^{-}(x) \mid D_{1}^{-} \land D_{2}^{-} \mid \top \\ \text{Programs} & \Gamma^{-} & ::= & \cdot \mid \Gamma^{-}, D^{-} \\ \text{Goal formulas} & G^{+} & ::= & \downarrow P^{-} \mid G_{1}^{+} \land G_{2}^{+} \mid \top \mid \exists x. \, G^{+}(x) \mid G_{1}^{+} \lor G_{2}^{+} \mid \bot \\ \end{array}
```

¹D stands for "definitive clauses" from the early days of Prolog.

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There may be a couple of surprises here. One is that conjunction is both positive and negative. This is because there two forms of left rules for conjunction, one that decomposes $A \wedge B$ to A, B (which is invertible, and therefore positive) and one that extracts either A or B (which is noninvertible and therefore negative). We have three judgment forms

$$\begin{array}{ll} \Gamma^- \stackrel{f}{\longrightarrow} P^- & \text{stable sequent} \\ \Gamma^-, [{\color{red} D^-}] \stackrel{f}{\longrightarrow} P^- & \text{left focus} \\ \Gamma^- \stackrel{f}{\longrightarrow} [{\color{red} G^+}] & \text{right focus} \end{array}$$

It is also important to consider that we *left out*, which is (syntactically) very little: program formulas D^- cannot be of the form $\uparrow G^+$, and goal formulas G^+ can neither be positive atoms P^+ nor of the form $\downarrow D^-$ (except $\downarrow P^-$).

Program formulas
$$D^- ::= \cdots | \uparrow G^-$$

Goal formulas $G^+ ::= \cdots | P^{\pm} | \downarrow D^-$

By forcing goals to be almost entirely positive and programs to be entirely negative, no inversion will ever be applied. The fact that we omitted positive atoms means chaining is always backwards (see next lecture). Essentially, backward chaining logic programming arises from a pure backward chaining interpretation of intuitionistic logic.

Another interesting aspect is that Horn clauses are so restricted that classical and intuitionistic logic coincide on them. That is, a goal P^- is provable from a program Γ^- in classical logic if and only if the sequent is provable in intuitionistic logic. So at least in logic programming, there shouldn't be any arguments between intuitionists and classical logicians.

We now restate the rules for core Horn clauses, using our new notation. Also, for existential goals, we introduce the $\exists R$ rule. For both $\exists R^*$ and $\forall L^*$ we need a globally fresh variable X; the superscript * is supposed to be a reminder of this condition.

$$\frac{D^- \in \Gamma^- \quad \Gamma, [D^-] \stackrel{f}{\longrightarrow} P^-}{\Gamma^- \stackrel{f}{\longrightarrow} P^-} \text{ focus } L$$

$$\frac{\Gamma^-, [D^-(X)] \stackrel{f}{\longrightarrow} P^-}{\Gamma^-, [V^-, [V^-] \stackrel{f}{\longrightarrow} P^-]} \forall L^* \qquad \frac{\Gamma^-, [D^-] \stackrel{f}{\longrightarrow} P^- \quad \Gamma^- \stackrel{f}{\longrightarrow} [G^+]}{\Gamma^-, [G^+ \supset D^-] \stackrel{f}{\longrightarrow} P^-} \supset L$$

$$\frac{Q^- = P^-}{\Gamma^-, [Q^-] \stackrel{f}{\longrightarrow} P^-} \text{ id} \qquad \text{no rule if } Q^- \neq P^-$$

$$\frac{\Gamma^- \stackrel{f}{\longrightarrow} [G^+_1] \quad \Gamma^- \stackrel{f}{\longrightarrow} [G^+_2]}{\Gamma^-, [Q^-] \stackrel{f}{\longrightarrow} P^-} \land R$$

$$\frac{\Gamma^- \stackrel{f}{\longrightarrow} [G^+_1 \land G^+_2]}{\Gamma^- \stackrel{f}{\longrightarrow} [G^+_1]} \land R \qquad \frac{\Gamma^- \stackrel{f}{\longrightarrow} P^-}{\Gamma^- \stackrel{f}{\longrightarrow} [I^-]} \text{ blur }$$

$$\frac{\Gamma^- \stackrel{f}{\longrightarrow} [I^-, I^-] \stackrel{f}{\longrightarrow} I^-}{\Gamma^- \stackrel{f}{\longrightarrow} I^-} \text{ blur }$$

$$\frac{\Gamma^- \stackrel{f}{\longrightarrow} [I^-, I^-] \stackrel{f}{\longrightarrow} I^-}{\Gamma^- \stackrel{f}{\longrightarrow} I^-} \text{ blur }$$

6 Writing a Meta-Interpreter

Now that we have rewritten the foundation of logic programming in propositional form, can we take advantage of this for an implementation? An ideal language for such an implementation would already support unification and backtracking, since both of these are at the heart of the computation-as-proof-search paradigm. We don't have to look far: let's use Prolog! This means we are writing what is called a *meta-circuluar interpreter*: we provide the semantics of a language in itself. There can be some arguments whether this counts as "definitional" since, for example, the meta-interpreter will not explain the details of unification because they are just inherited from the metalanguage. Nevertheless, we can modify the semantics of chaining by modifying the meta-interpreter, and we will play through an example of this.

Now consider a program Γ^- and a query P^- , which should proceed by searching for a proof of $\Gamma^- \xrightarrow{f} P^-$. In the remainder of this section we will just omit the polarity annotations, remembering the program clauses D and atoms P,Q are negative goals G are positive.

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We begin with program representation, that is, the representation of Γ . The idea is that every element D of Γ is represented in Prolog as a fact prog (D). For example,

```
prog(inc(e,b1(e))).
```

This clause contains no variables. For the next one, $\forall m.\operatorname{inc}(b0(m),b1(m))$, we have to determine how to represent the quantifier. Unfortunately, this is difficult in Prolog because it leaves quantifiers implicit and considers free variables in the program to be universally quantified. We exploit this, by instantiating m with a new free variable M and stating

```
prog(inc(b0(M),b1(M))).
```

For Horn clauses as we have defined them, it is always possible to move the universal quantifier in program formulas D to the outside.

Finally, the last proposition in Γ_{inc}

```
\forall m. \forall n. \mathsf{inc}(m, n) \supset \mathsf{inc}(\mathsf{b1}(m), \mathsf{b0}(n))
```

requires an implication. We write this in prefix form, $G \supset D$ as imp(G, D), so we don't get confused between the language we are interpreting imp(G, D) and the language in which we implement it in, whose implication would be written as D: G.

```
prog(imp(inc(M,N),inc(b1(M),b0(N)))).
```

Again, the quantified variables become free variables.

A priori, we could think the proof search would be through a predicate solve (Gamma, G). But the program Γ never changes during search in the Horn fragment, so it is sufficient to consider just solve (G). The first two clauses should be clear: they implement $\wedge R$ and $\top R$:

```
solve(true). solve(G1, G2)) :- solve(G1), solve(G2).
```

The third possibility is an atom. We did not complicate the syntax with an explicit atom constructor (perhaps we should have ...), so we have a predicate atm/1 that recognizes atoms. In our example:

```
atm(inc(\_,\_)).
```

After we have recognized that we are trying to prove an atom, we have to nondeterministically select a program clause D and then see if Γ , $D \to P$. Selecting D takes place by calling $\operatorname{prog}(D)$, and the Γ , $D \to P$ will be implemented by $\operatorname{focus}(D, P)$. Γ can remain implicit, because it is represented as facts in Prolog as explained before.

```
solve(P) := atm(P), prog(D), focus(D, P).
```

The focus/2 predicate now distinguishes the cases for its first argument. Since quantifiers are implicit, at this point this only pertains to implications and atoms. For atoms, we unify them and make sure no clause applies if they don't unify.

```
focus (Q, P) :- atm(Q), Q = P.
```

For implications, we continue to focus on D first, and if that eventually succeeds, we solve the subgoal.

```
focus (imp(G,D),P):- focus (D,P), solve (G).
```

At this point, we already have a complete meta-interpreter for the Horn clause fragment with prefixed quantifiers. Here is the summary.

```
solve(true).
solve(and(G1,G2)) :- solve(G1), solve(G2).
solve(P) :- atm(P), prog(D), focus(D, P).
focus(Q,P) :- atm(Q), Q = P.
focus(imp(G,D),P) :- focus(D,P), solve(G).
```

Our example of binary addition:

```
atm(inc(_,_)).
prog(inc(e,b1(e))).
prog(inc(b0(M),b1(M))).
prog(imp(inc(M,N),inc(b1(M),b0(N)))).
```

We can now exercise the meta-interpreter, again using free variables in the query to model existentially quantified variables in the query.

```
| ?- solve(inc(b1(e),N)).
N = b0(b1(e)) ? ;
```

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```
(1 ms) no
| ?- solve(inc(M,bl(e))).

M = e ?;

M = b0(e) ?;
```

With the second example we also see that Prolog backtracking implements backtracking in our small Horn clause language.

7 Repairing Unsound Unification

One unfortunate consequence of using Prolog as our implementation language is that we inherit its unsound unification. This means as a logic-based proof search engine, our meta-interpreter has a severe bug. Fortunately, Prolog also provides us with the means to fix it.

As an example, consider the query which should have no solution: there is no m such that $\operatorname{inc}(b0(m),b1(b0(m)))!$ But instead of failing, it uses the second clause and incorrectly succeeds in unifying M=b0(M), creating a circular term $M=b0(b0(b0(\ldots)))$

```
?- solve(inc(b0(M),b1(b0(M)))).
cannot display cyclic term for M ?;
no
```

If we want to make the program sound, we have to scour the metainterpreter for (a) explicit calls to unification, or (b) implicit unifications which arise from repeated variables in the heads of clauses. We see there are no repeated variables, and the only explicit call to unification is in the case of atoms. So we can just replace this with a call to a library predicate that implements sound unification.

```
solve(true).
solve(and(G1,G2)) :- solve(G1), solve(G2).
solve(P) :- atm(P), prog(D), focus(D, P).
% focus(Q,P) :- atm(Q), Q = P. % unsound unification
focus(Q,P) :- atm(Q), unify_with_occurs_check(Q,P).
focus(imp(G,D),P) :- focus(D,P), solve(G).
```

Now the previous query fails, as we had hoped.

```
?- solve(inc(b0(M),b1(b0(M)))).
no
```

8 Further Variants of the Semantics

We can now add the remaining connectives from our definitions of positive and negative propositions, leaving only the quantifiers implicit. Note that there are two clauses for disjunctive goals, and two clauses for conjunctive programs. We could also have implemented this using a goal ${\tt A}$; ${\tt B}$ in Prolog, but we prefer to keep the meta-language constructs simple.

Just to show what can be done with a metainterpreter, we also add a minimal tracing facility. We do through via a predicate <code>display/1</code> which outputs its argument, and <code>nl/0</code> which outputs a newline. Note that <code>fail</code> is necessary so that Prolog backtracks after it has printed the goal and uses the other clauses for <code>solve/1</code> to actually solve the goal. Other variations on this can be easily imagined.

```
% solve(G) succeeds if Gamma --> G
% focus(D,Q) succeeds if Gamma, [D] --> Q
solve(G) :- display(G), nl, fail.

solve(true).
solve(and(G1,G2)) :- solve(G1), solve(G2).
solve(or(G1,G2)) :- solve(G1).
solve(or(G1,G2)) :- solve(G2).
% no clause for solve(false)
solve(P) :- atm(P), prog(D), focus(D,P).

focus(imp(G,D),P) :- focus(D,P), solve(G).
focus(and(D1,D2),P) :- focus(D1, P).
focus(and(D1,D2),P) :- focus(D2, P).
focus(Q,P) :- atm(Q), unify_with_occurs_check(Q,P).
```

For example, the following query prints the three atomic goals it encounters in sequence (due to the carry bit), in each case with fresh internally named existential variables.

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```
?- solve(inc(b1(b1(e)),N)).
inc(b1(b1(e)),_283)
inc(b1(e),_302)
inc(e,_315)
N = b0(b0(b1(e))) ?;
no
```

As a final possibility, consider how you might instrument the interpreter to not just present an answer substitution, but a proof term. The $\verb|solve|/1|$ predicate would then be generalized to $\verb|solve|/2|$ and we would ask, for example

```
solve(M,inc(e,N))
```

for some goal which would not only show $\mathbb{N}=\mathfrak{bl}$ (e) but also a proof $M:\mathsf{inc}(\mathsf{e},\mathsf{bl}(\mathsf{e}))$ that was found by the interpreter.