

CMU 15-414

Bug Catching: Automated Program Verification and Testing

# Abstract Interpretation

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# Problem, Motivation, and Big Picture

“Software is Hard.”

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- Donald E. Knuth

Software Verification is Harder:

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“Any **non-trivial** property about the language recognized by a Turing machine is **undecidable**.”

- Rice's Theorem

- Large / unbounded base types: int, float, string
- User-defined types / classes
- Pointers/aliasing + unbounded #'s of heap allocated cells
- Procedure calls / recursion / calls through pointers / dynamic method lookup / overloading
- Concurrency + unbounded #'s of threads
- Templates / generics / include files
- Interrupts / exceptions / callbacks
- Use of secondary storage: files, databases
- Absent source code for: libraries, system calls, mobile code
- Esoteric features: continuations, self-modifying code
- Size (e.g., MS Word = 1.4 MLOC)

“In the development of the understanding of complex phenomena, the most powerful tool available to the human intellect is .”

- C. A. R. Hoare



“In the development of the understanding of complex phenomena, the most powerful tool available to the human intellect is **abstraction.**”

- C. A. R. Hoare

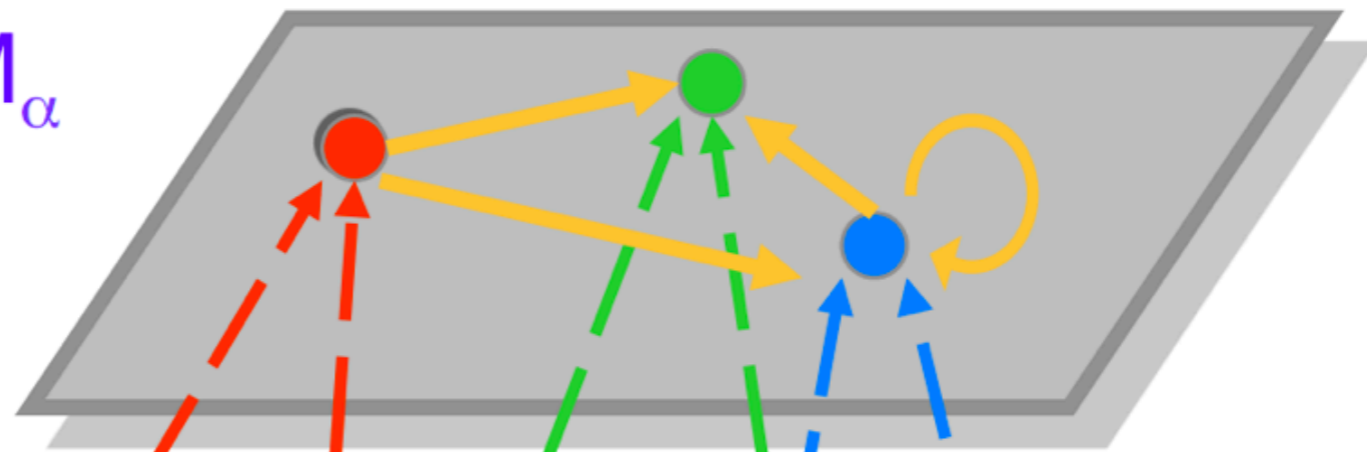
“The purpose of **abstraction** is not to be vague, but to create a new semantic level in which one can be absolutely precise.”

- Edsger W. Dijkstra

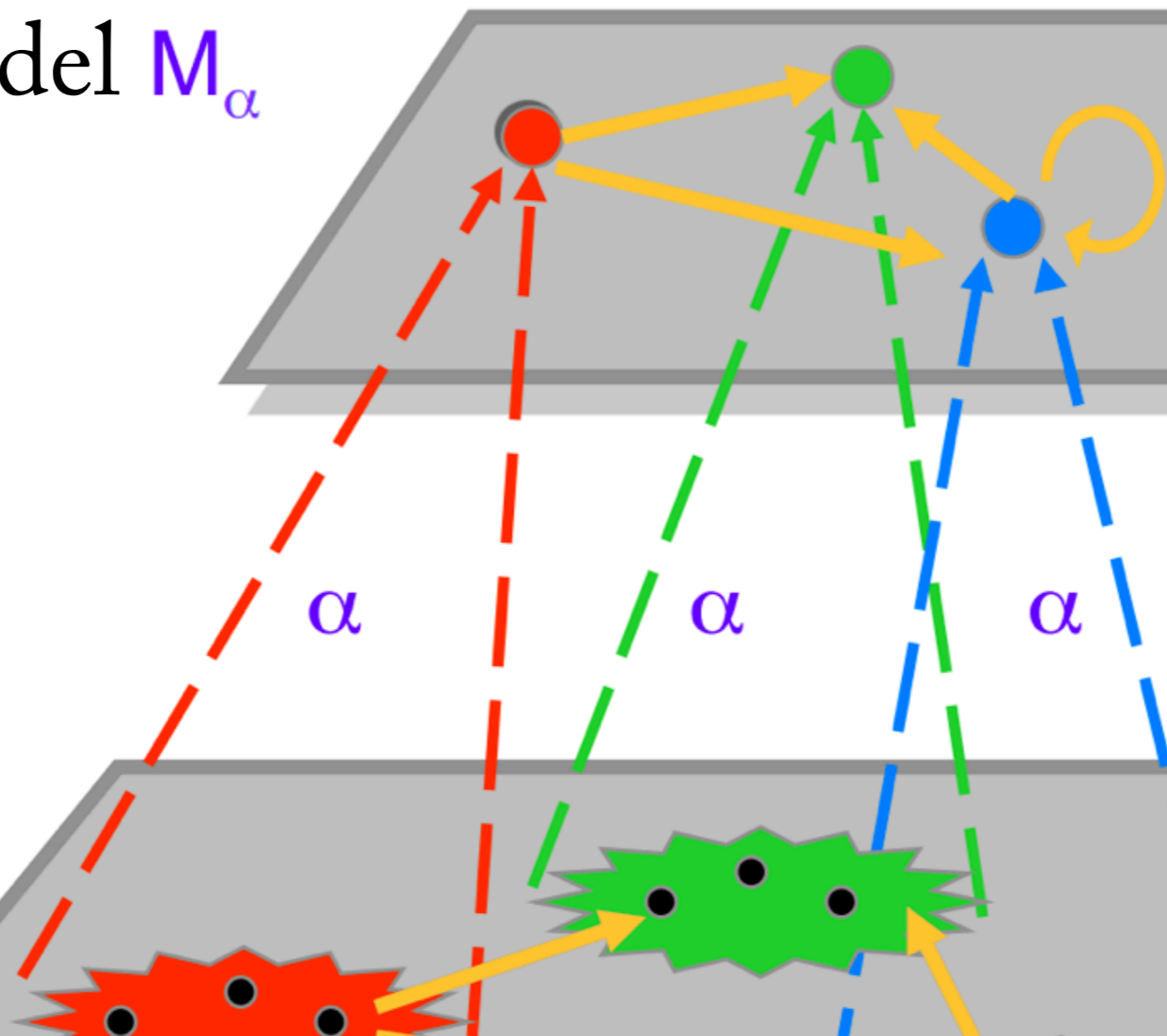
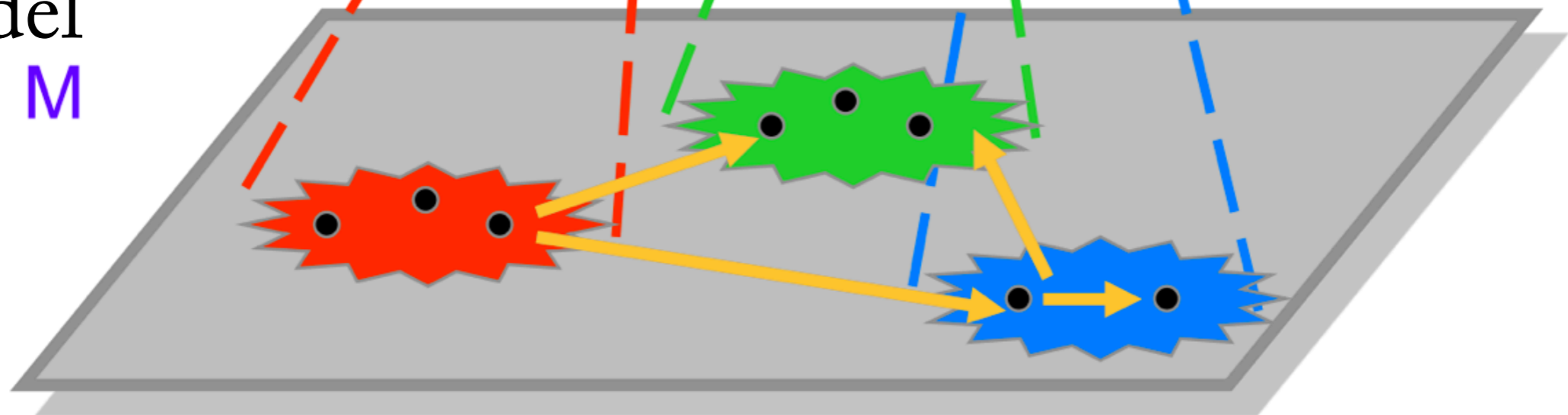
# What does Abstraction mean to Model Check Software?

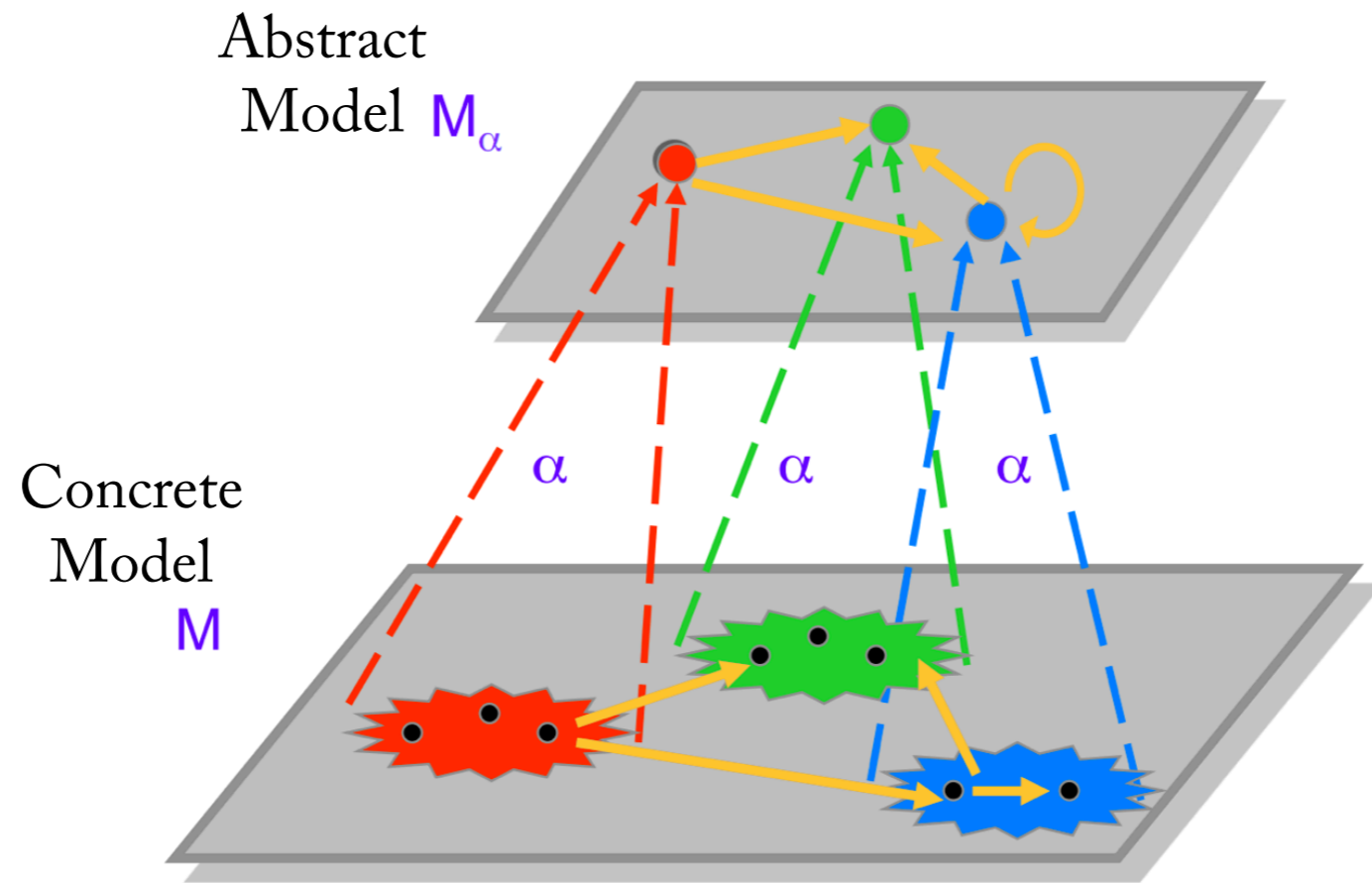
Abstract

Model  $M_\alpha$



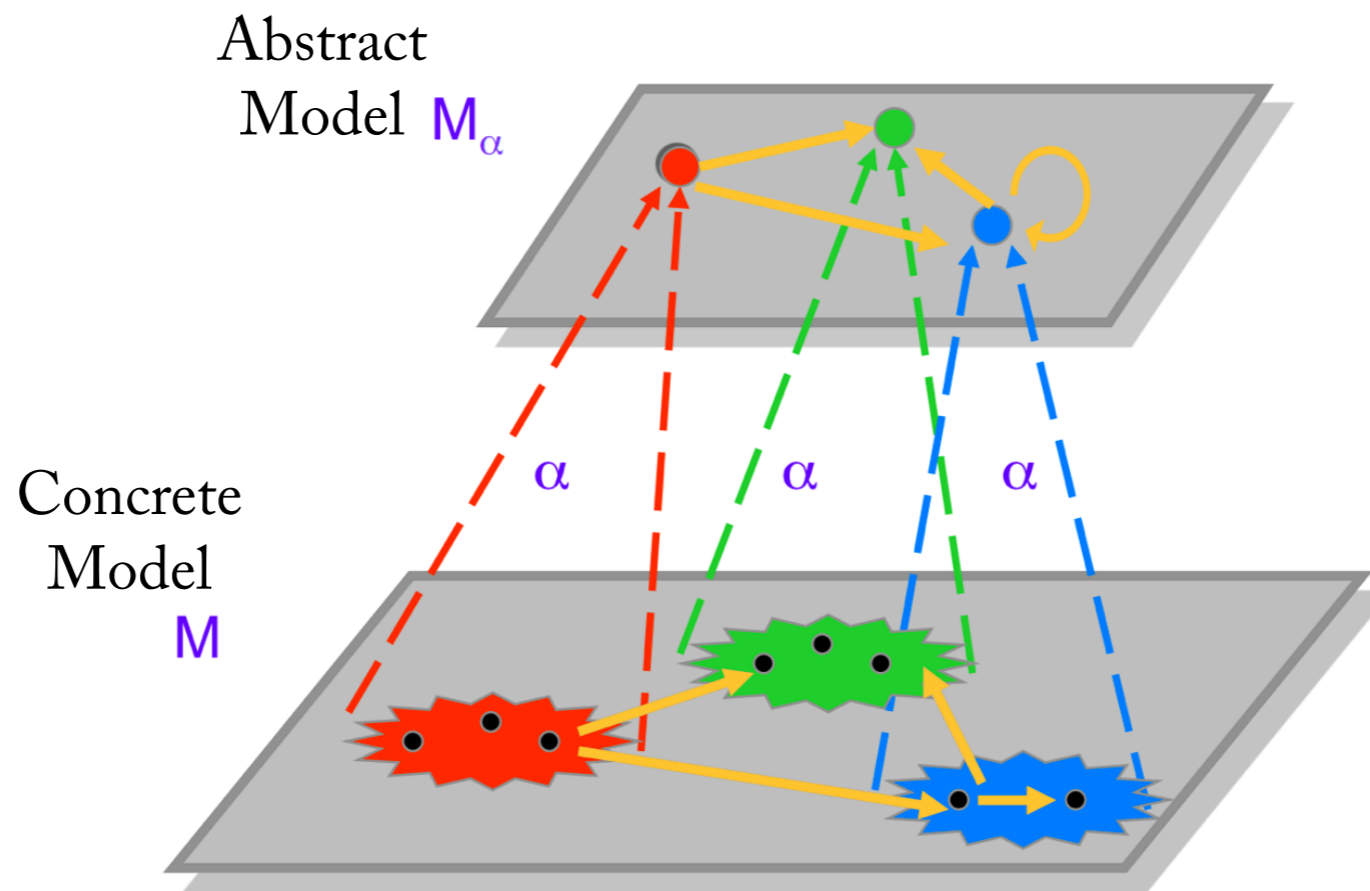
Concrete  
Model  
 $M$





What do we expect from Abstraction?

$$\hat{\mathcal{M}} \models \hat{\phi} \iff \mathcal{M} \models \phi$$

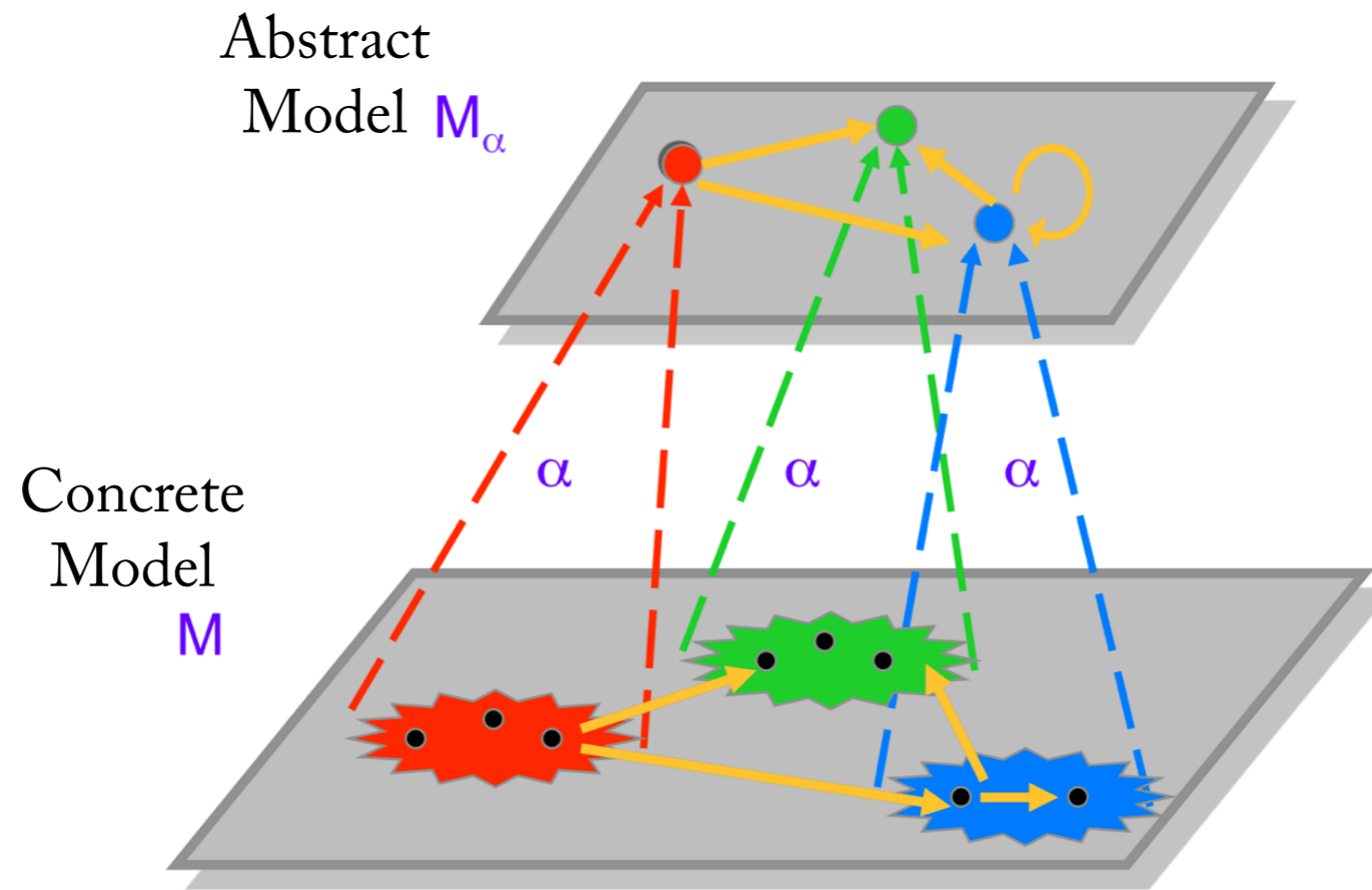


What do we expect from Abstraction?

$$\hat{\mathcal{M}} \models \hat{\phi} \implies \mathcal{M} \models \phi \quad \checkmark$$

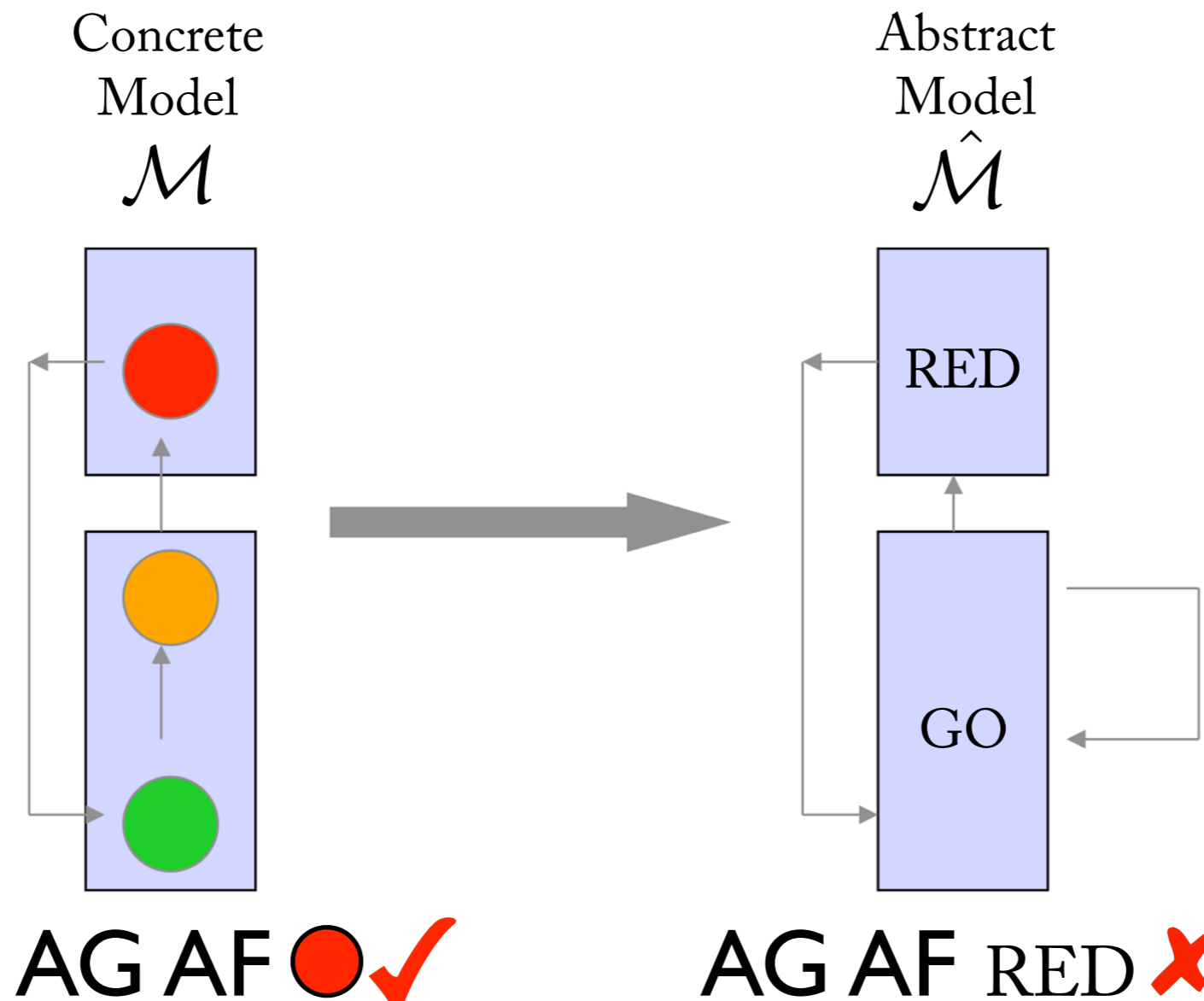
**Preservation Theorem** (Clarke, Grumberg, Long)

If property (ACTL\*) holds on abstract model, it holds on concrete model



What do we expect from Abstraction?

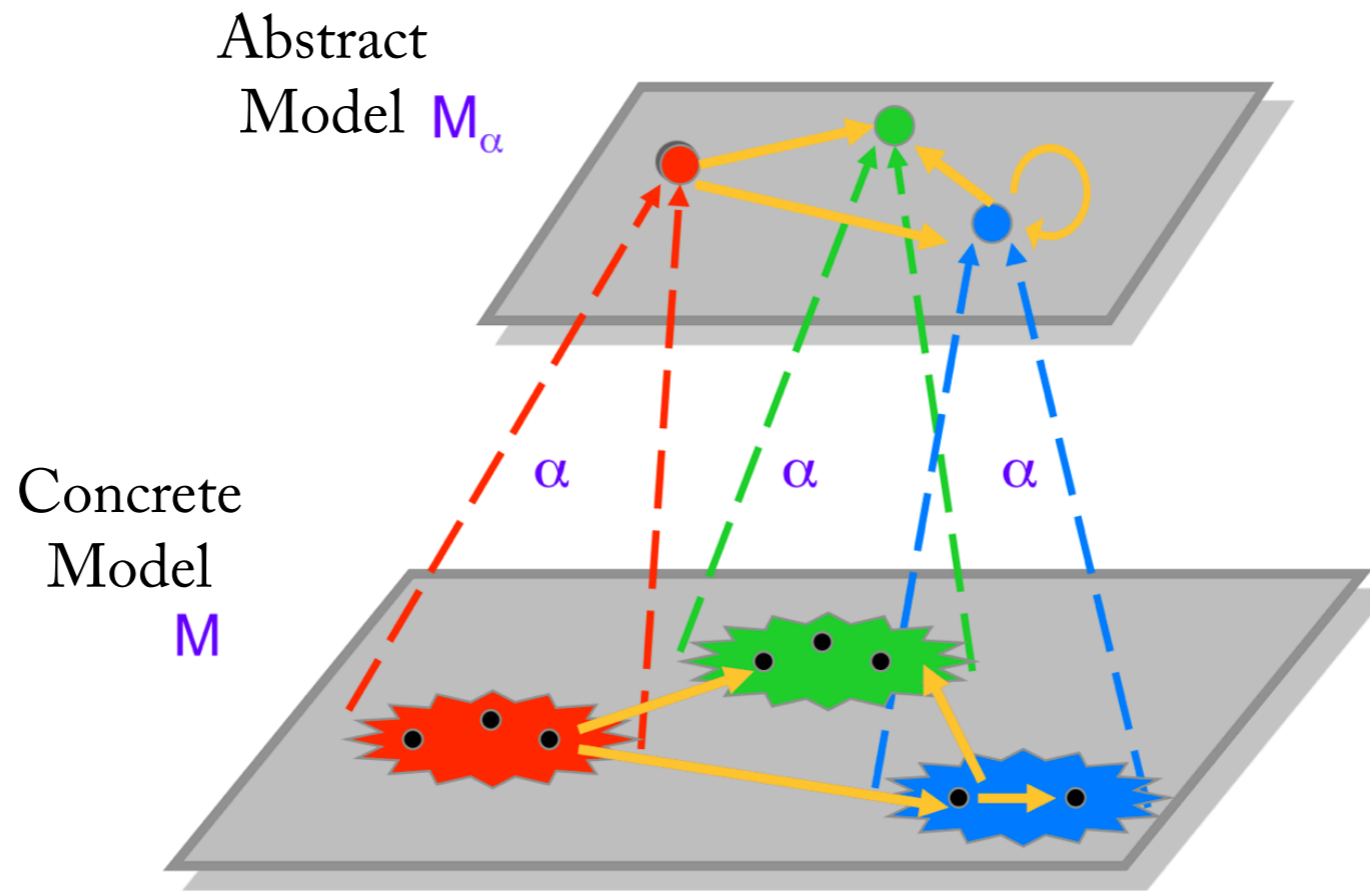
$$\hat{\mathcal{M}} \models \hat{\phi} \implies \mathcal{M} \models \phi \quad \times$$



What do we expect from Abstraction?

$$\hat{\mathcal{M}} \models \hat{\phi} \implies \mathcal{M} \models \phi \quad \times$$

Spurious Counterexample:  $\langle RED \rangle \langle GO \rangle \langle GO \rangle \langle GO \rangle \dots$   
 Artifact of the abstraction!



What we should expect from Abstraction:

$$\hat{\mathcal{M}} \models \hat{\phi} \implies \mathcal{M} \models \phi \quad \checkmark$$

$$\hat{\mathcal{M}} \not\models \hat{\phi} \implies \mathcal{M} \not\models \phi \quad \times$$



Informal Introduction to  
Abstract Interpretation with Examples

$$371 * 285 * 124 * 890 * 212 * 489 * 721 \overset{?}{=} \text{even number}$$

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$$371 * 285 * 124 * 890 * 212 * 489 * 721 \xrightarrow{\mathcal{F}} 872188680940768800$$

Concrete Domain  $\mathbb{Z}$

$$371 * 285 * 124 * 890 * 212 * 489 * 721 \stackrel{?}{=} \text{even number}$$

Abstract Domain  $\{O, E\}$

Even!

$\alpha$

$$371 * 285 * 124 * 890 * 212 * 489 * 721 \xrightarrow{\mathcal{F}} 872188680940768800$$

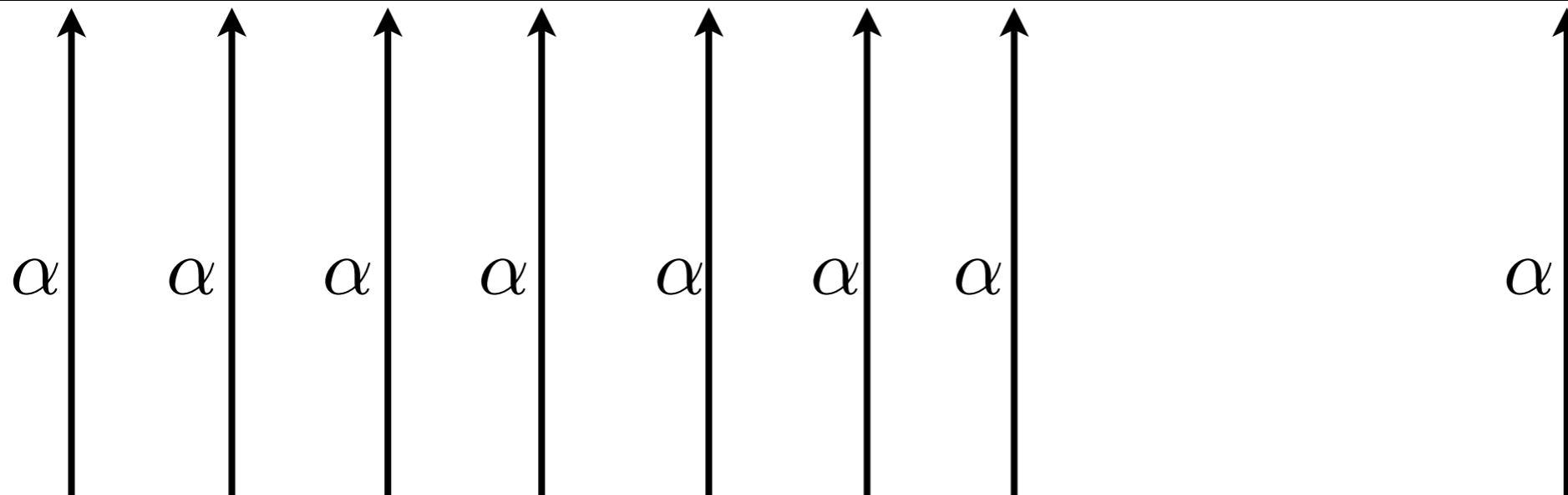
Concrete Domain  $\mathbb{Z}$

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Abstract Domain  $\{O, E\}$

O \* O \* E \* E \* E \* O \* O

Even!



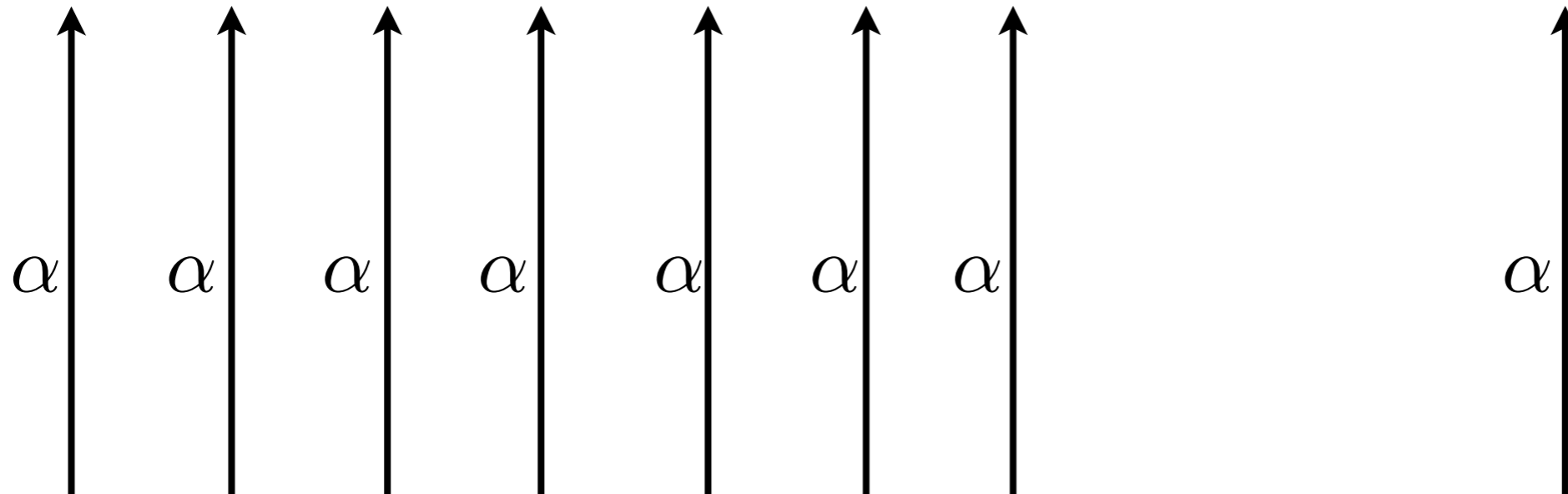
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Abstract Domain  $\{O, E\}$

$$O * O * E * E * E * O * O \xrightarrow{\hat{\mathcal{F}}} \text{Even!}$$



$$371 * 285 * 124 * 890 * 212 * 489 * 721 \xrightarrow{\mathcal{F}} 872188680940768800$$

Concrete Domain  $\mathbb{Z}$

$$35 * 24 * 31 * 89 * 21 * 48 * 71 \overset{?}{=} 6n$$

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Divided by 6!



$\alpha$

$$35 * 24 * 31 * 89 * 21 * 48 * 71 \xrightarrow{\mathcal{F}} 165863134080$$

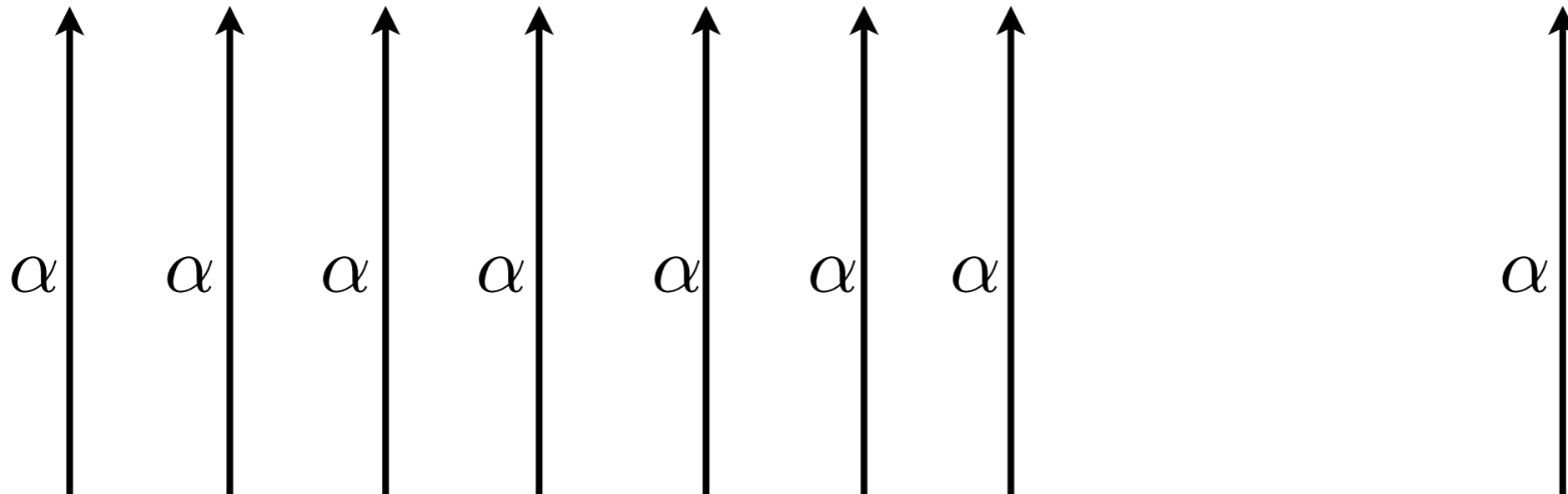
Concrete Domain  $\mathbb{Z}$



$$35 * 24 * 31 * 89 * 21 * 48 * 71 \overset{?}{=} 6n$$

Abstract Domain  $\{6, ?\}$

$$? * 6 * ? * ? * ? * 6 * ? \xrightarrow{\hat{\mathcal{F}}} 6 = \text{Divided by } 6!$$



$$35 * 24 * 31 * 89 * 21 * 48 * 71 \xrightarrow{\mathcal{F}} 165863134080$$

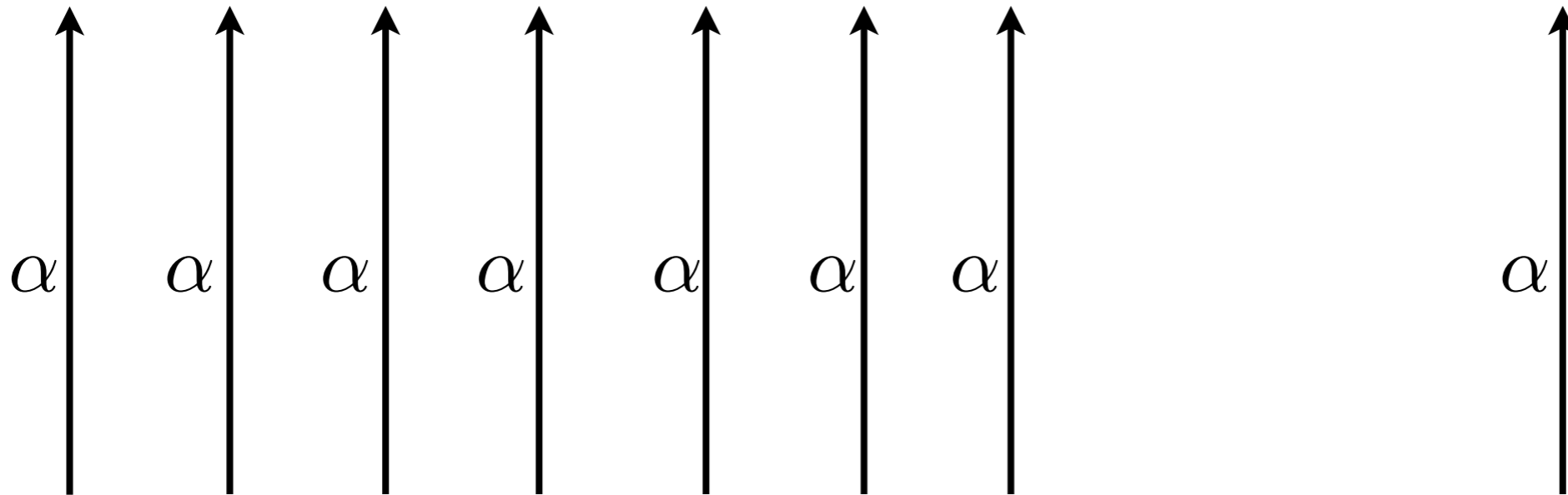
Concrete Domain  $\mathbb{Z}$

35 \* 24 \* 31 \* 89 \* 21 \* 4

Result of Abstract execution "6" and  
Concrete execution "6" coincide!

Abstract Domain {6, ?}

? \* 6 \* ? \* ? \* ? \* 6 \* ?  $\xrightarrow{\hat{\mathcal{F}}}$  6 = Divided by 6!



35 \* 24 \* 31 \* 89 \* 21 \* 48 \* 71  $\xrightarrow{\mathcal{F}}$  165863134080

Concrete Domain  $\mathbb{Z}$

$$371 * 285 * 124 * 890 * 212 * 489 * 721 \stackrel{?}{=} 6n$$

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6!

$\alpha$

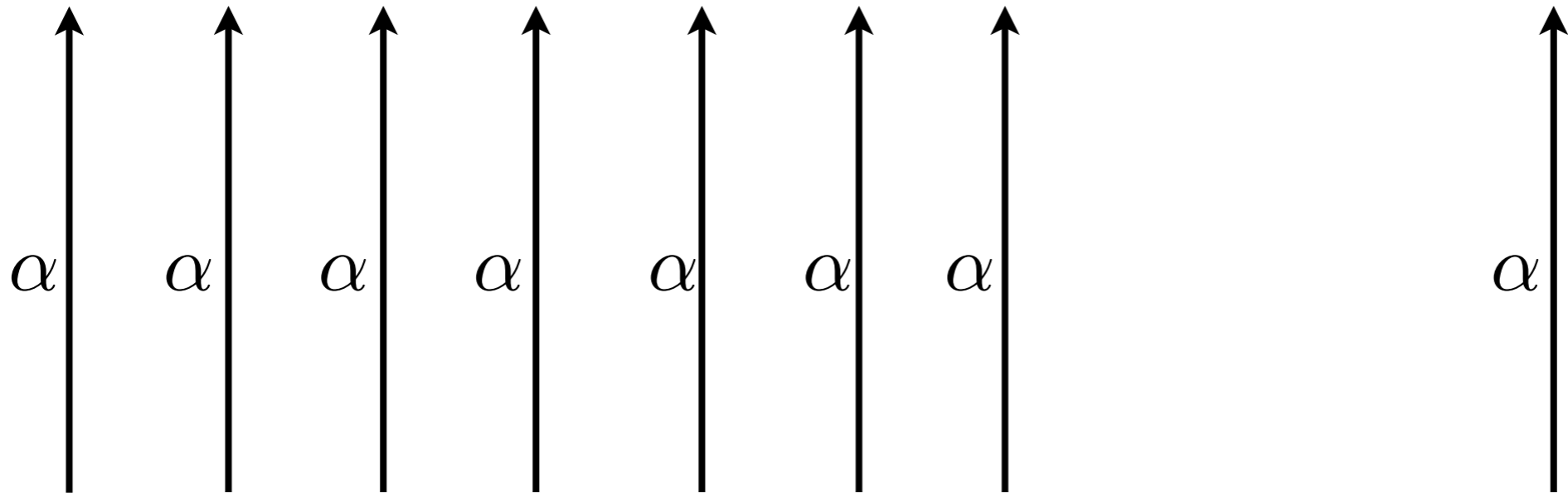
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Concrete Domain  $\mathbb{Z}$

$$371 * 285 * 124 * 890 * 212 * 489 * 721 = 6n \quad ?$$

Abstract Domain  $\{6, ?\}$

$$? * ? * ? * ? * ? * ? * ? \xrightarrow{\hat{\mathcal{F}}} ? \langle \rangle 6!$$



$$371 * 285 * 124 * 890 * 212 * 489 * 721 \xrightarrow{\mathcal{F}} 872188680940768800$$

Concrete Domain  $\mathbb{Z}$

371 \* 285 \* 124 \* 890 \* 212 \* 48

Abstract Domain {6, ?}

Result of Abstract execution “?” and Concrete execution “6” does **not** coincide!

? \* ? \* ? \* ? \* ? \* ? \* ? \* ? \* ? \* ? \* ? \* ?  $\xrightarrow{\hat{\mathcal{F}}}$  ? <> 6!

$\alpha$     $\alpha$     $\alpha$     $\alpha$     $\alpha$     $\alpha$     $\alpha$     $\alpha$     $\alpha$

371 \* 285 \* 124 \* 890 \* 212 \* 489 \* 721  $\xrightarrow{\mathcal{F}}$  872188680940768800

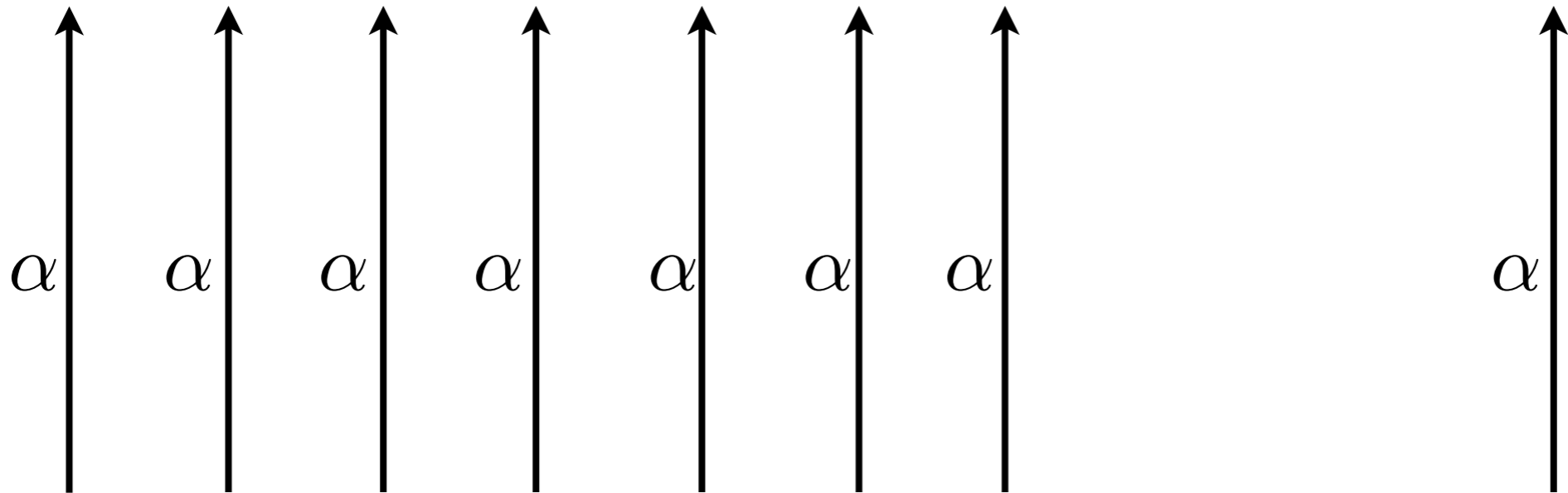
Concrete Domain  $\mathbb{Z}$

371 \* 285 \* 124 \* 890 \* 212 \* 48

Abstract Domain {6, ?}

It's OK!  
Because '?' means "WE DON'T KNOW"!  
Our abstract execution is still sound,  
but not precise enough!

? \* ? \* ? \* ? \* ? \* ? \* ? \* ? \* ? \* ? \* ?  $\xrightarrow{\hat{\mathcal{F}}}$  ? <> 6!



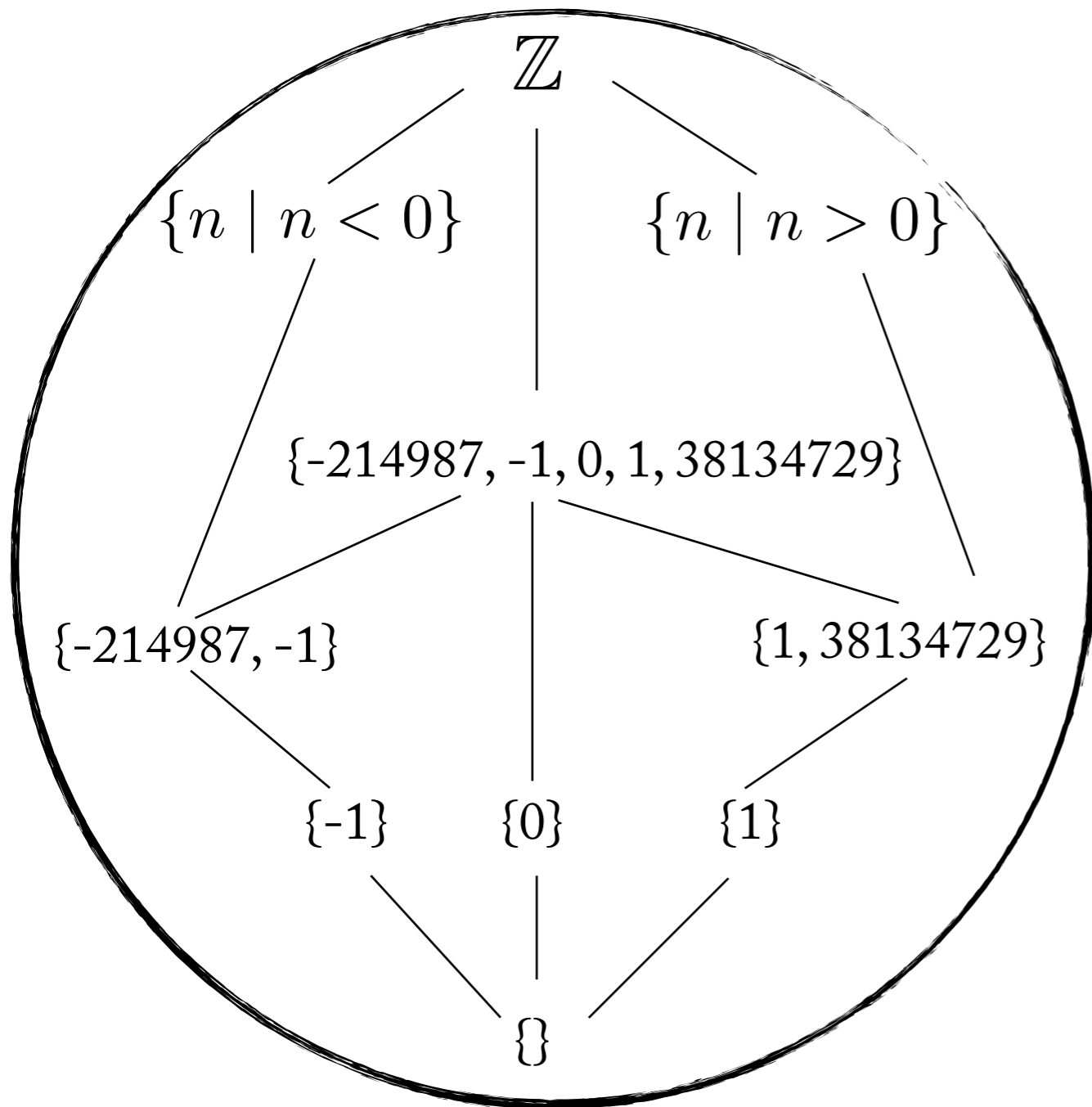
371 \* 285 \* 124 \* 890 \* 212 \* 489 \* 721  $\xrightarrow{\mathcal{F}}$  872188680940768800

Concrete Domain  $\mathbb{Z}$

Formal Introduction to  
Abstract Interpretation with Examples

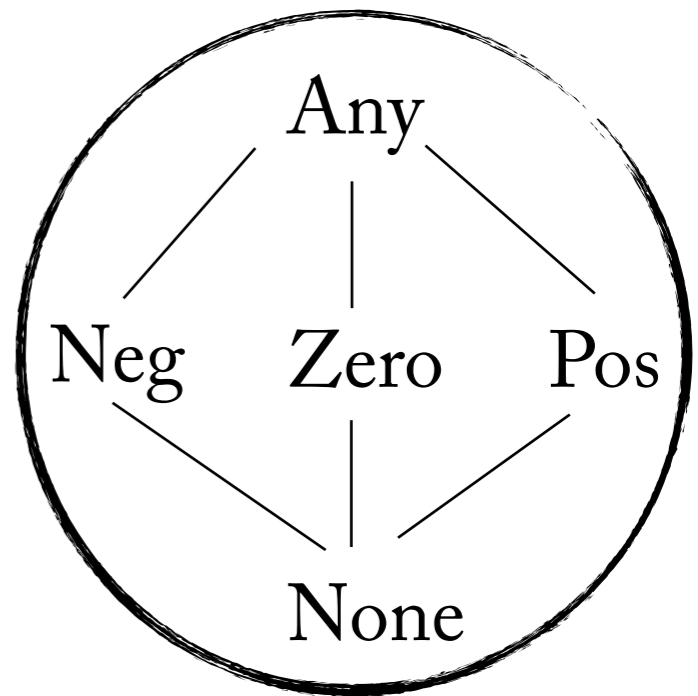


$(2^{\mathbb{Z}}, \subseteq)$



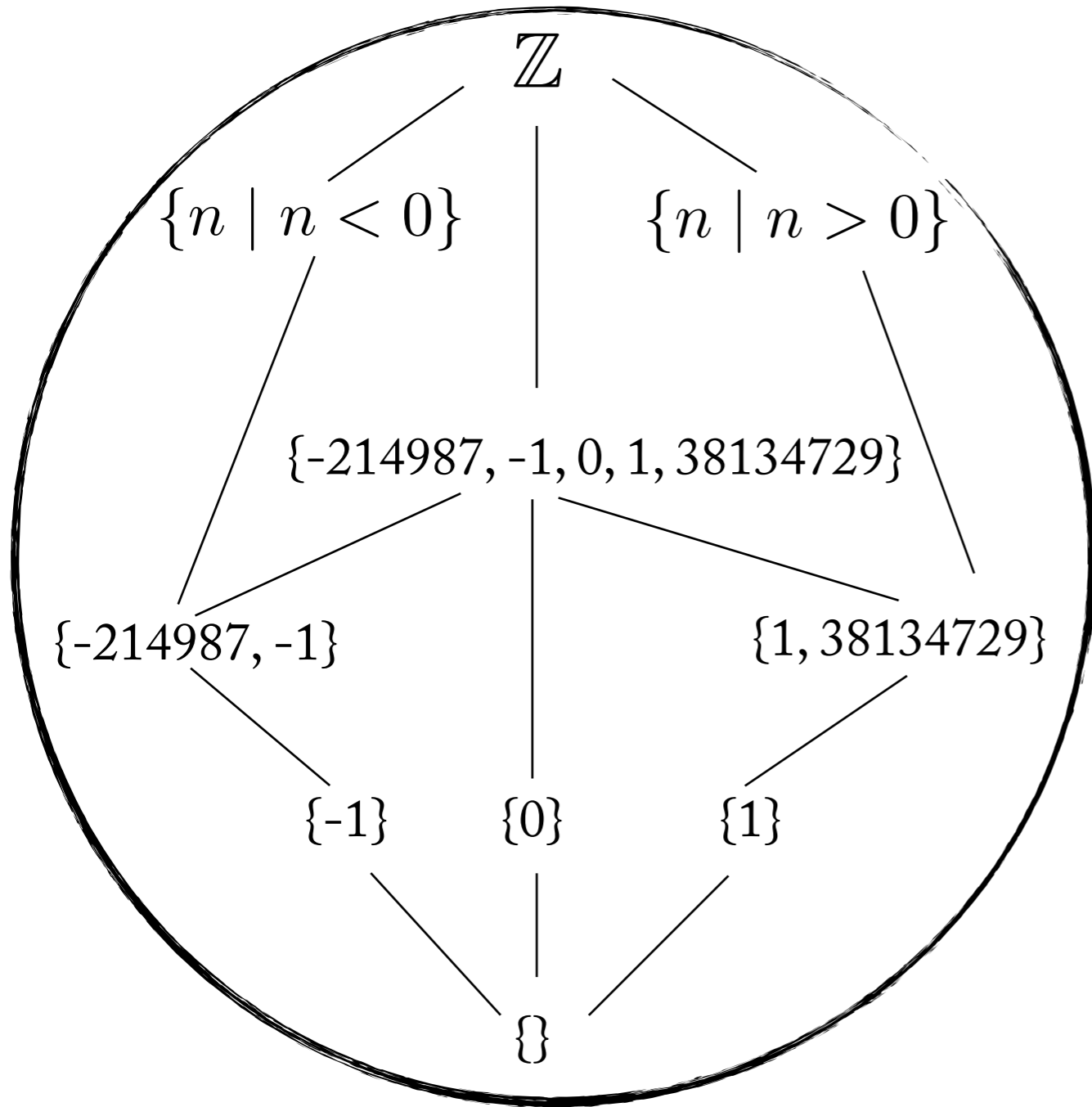
Concrete Domain :  $D$

$(Sign, \sqsubseteq)$



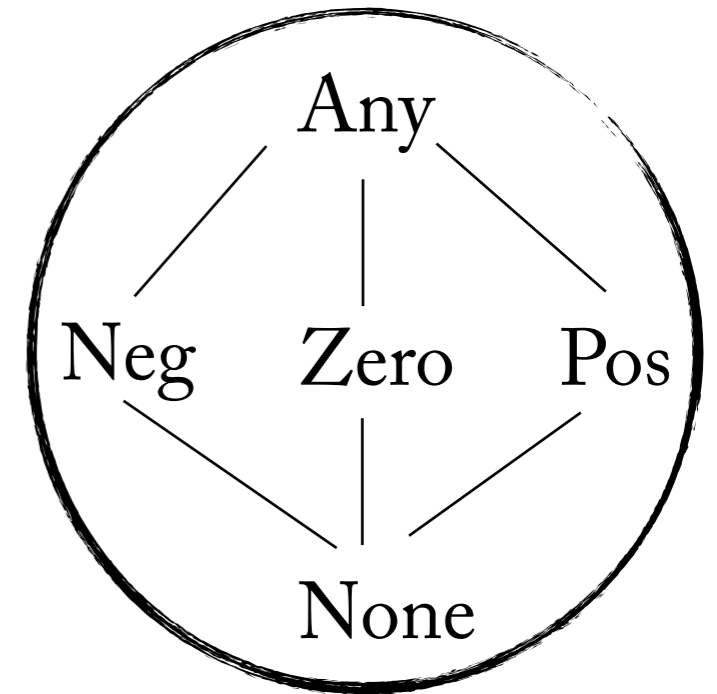
Abstract Domain :  $\hat{D}$

$(2^{\mathbb{Z}}, \subseteq)$



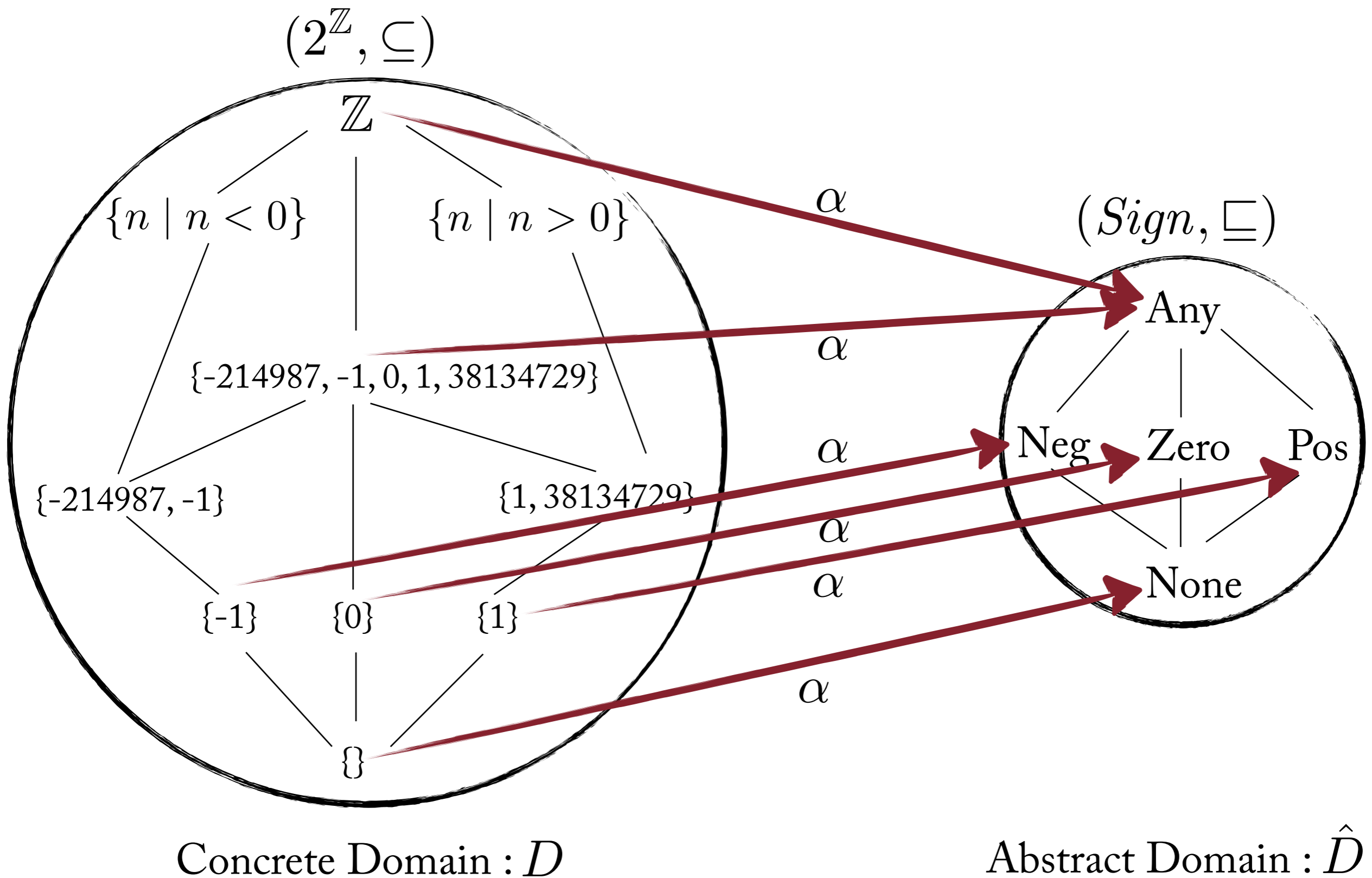
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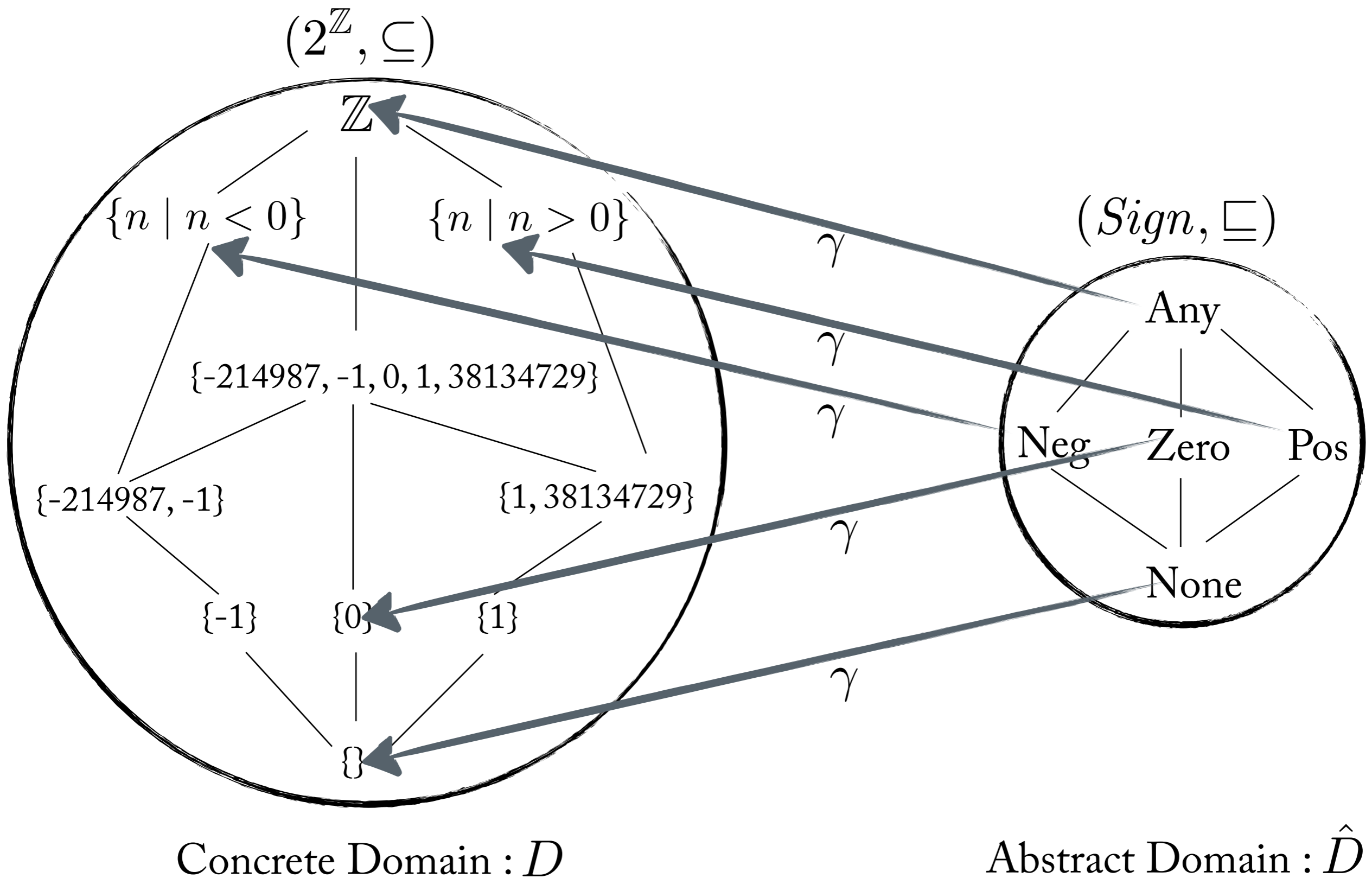


Abstract Domain :  $\hat{D}$

Need to have abstraction function ( $\alpha$ ) and concretization function ( $\gamma$ ) to give a meaning to an Abstract Domain  $\hat{D}$



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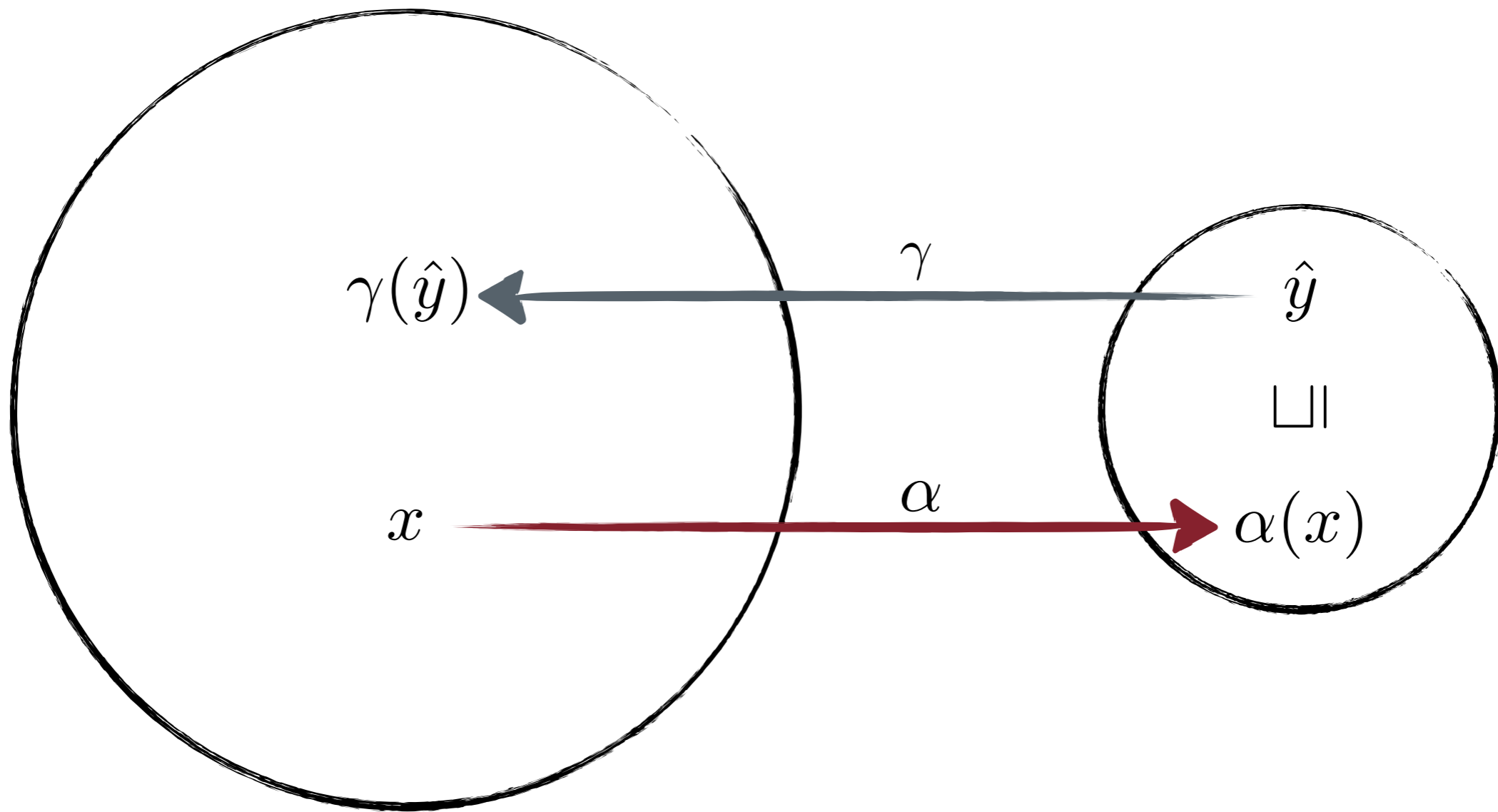




What is the general condition that  $\alpha$  and  $\gamma$  should satisfy?  
~ **Galois Connection.**

$$(D, \leq) \begin{array}{c} \xleftarrow{\gamma} \\ \xrightarrow{\alpha} \end{array} (\hat{D}, \sqsubseteq)$$

$$\forall x \in D, \hat{y} \in \hat{D} : \alpha(x) \sqsubseteq \hat{y} \iff x \leq \gamma(\hat{y})$$



Concrete Domain :  $D$

Abstract Domain :  $\hat{D}$



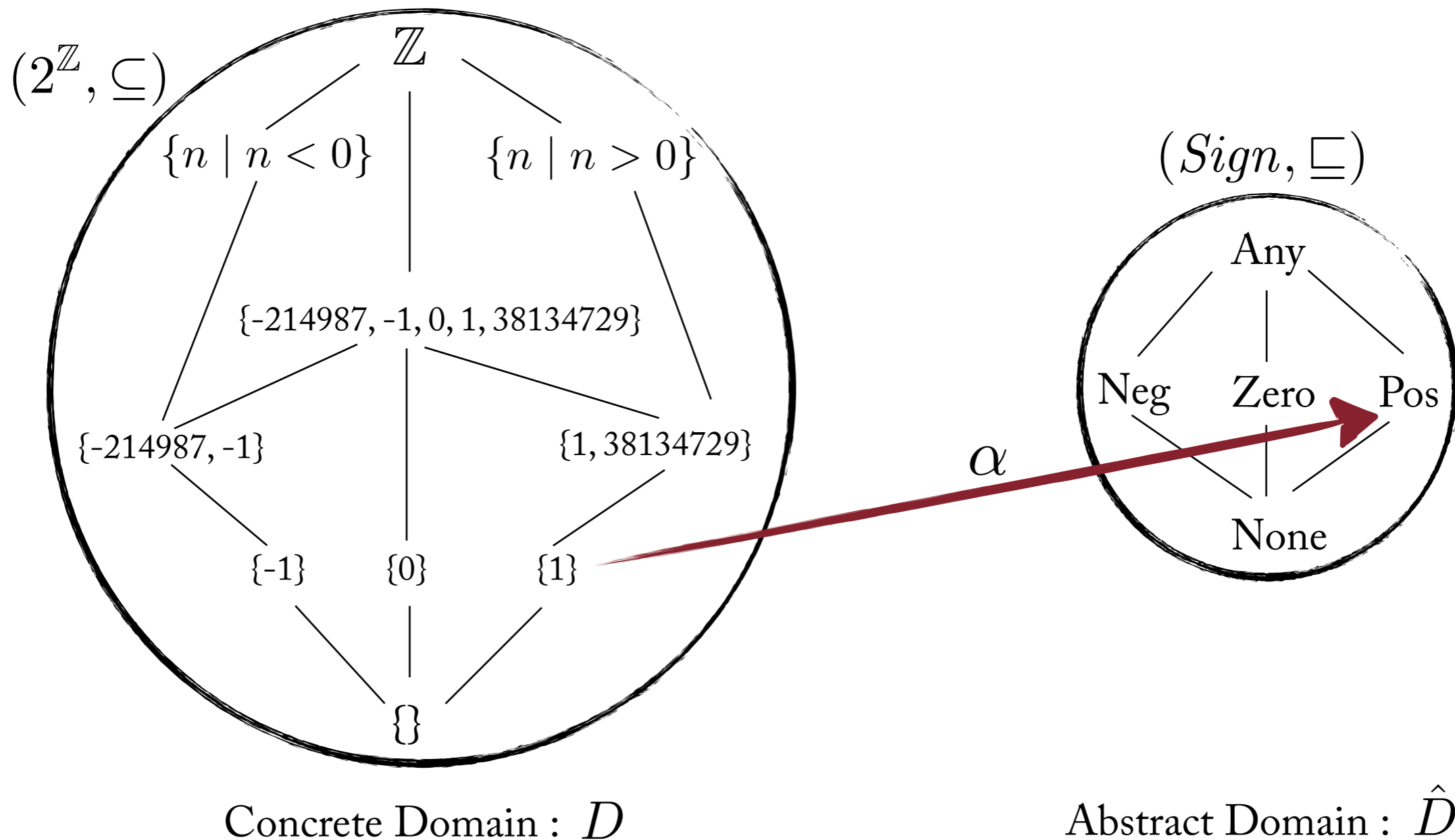


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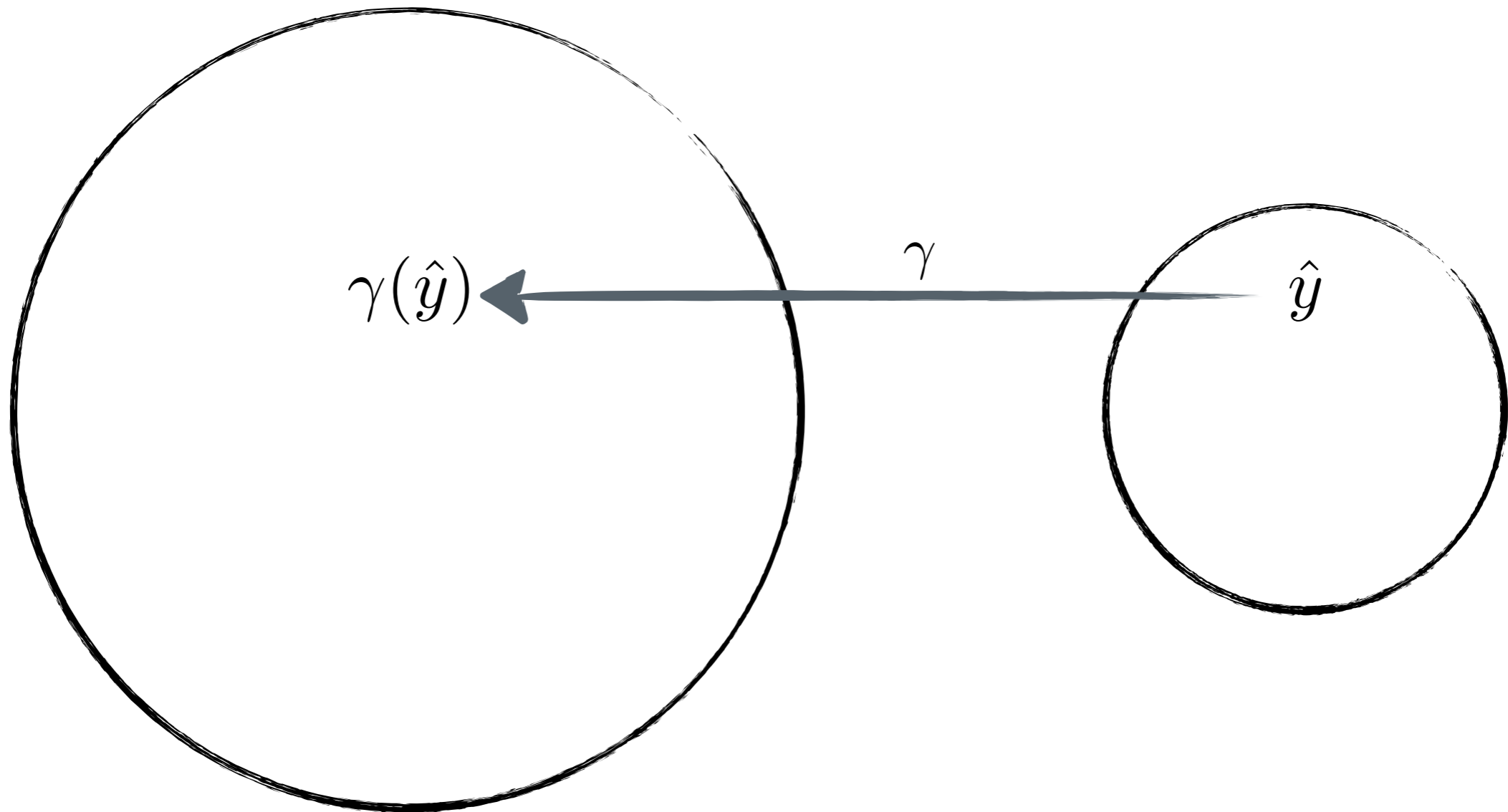


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Concrete Domain :  $D$

Abstract Domain :  $\hat{D}$

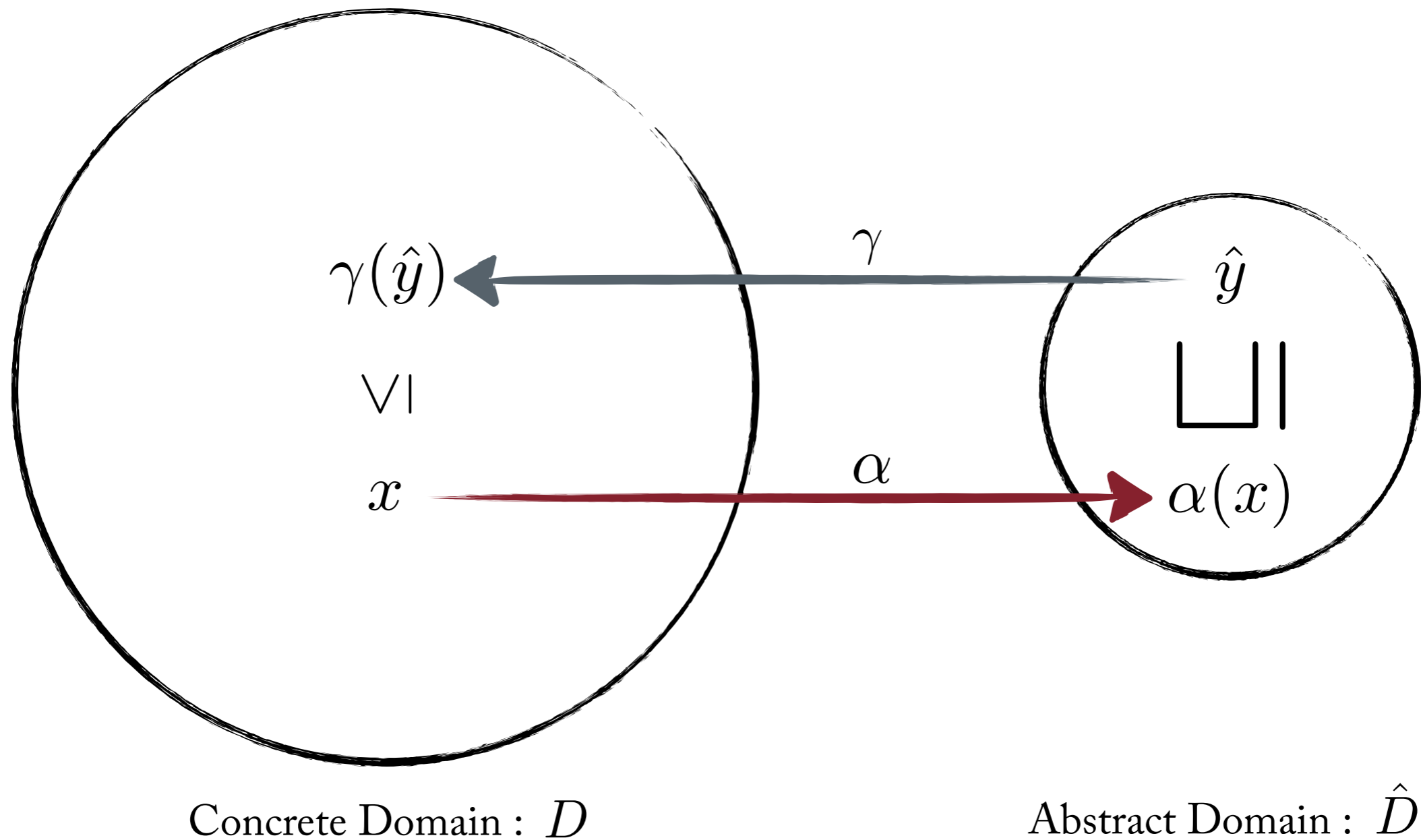




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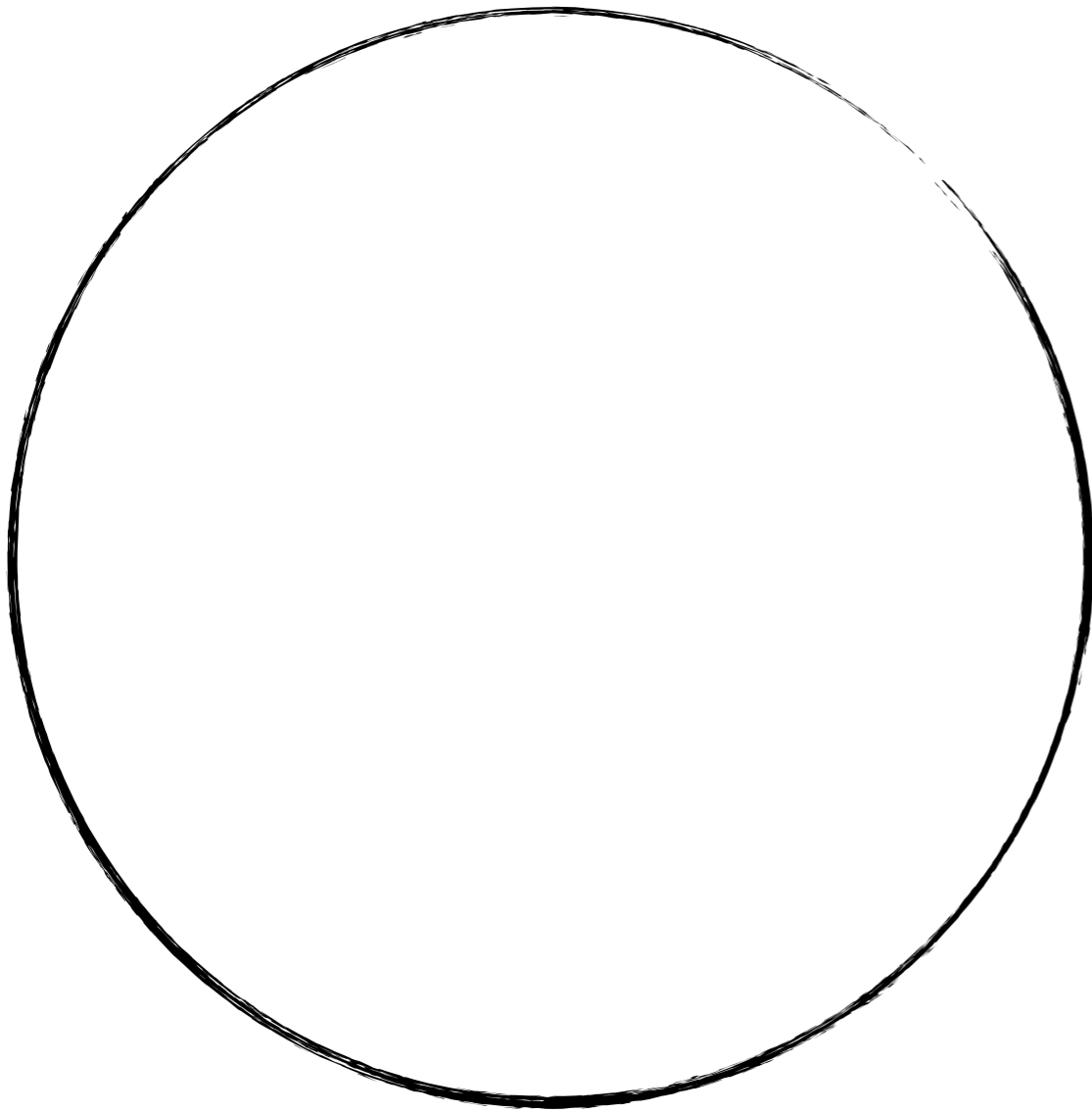










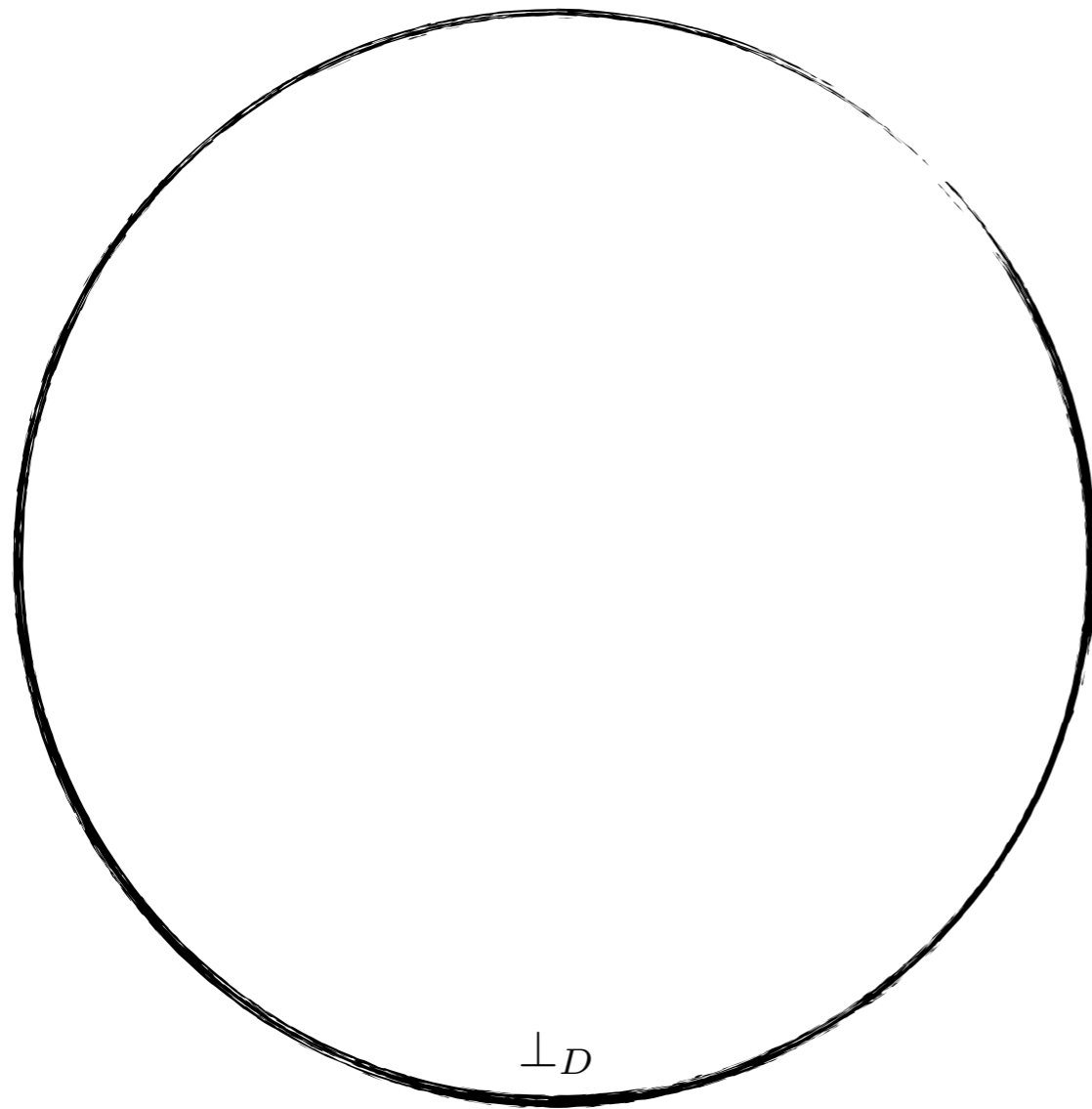


$$(D, \leq)$$

Concrete Domain

$F : D \rightarrow D$   
Concrete Semantic Function

$$\text{lfp } F = \bigcup_{i \in \mathbb{N}} F^i(\perp_D)$$



$\perp_D$

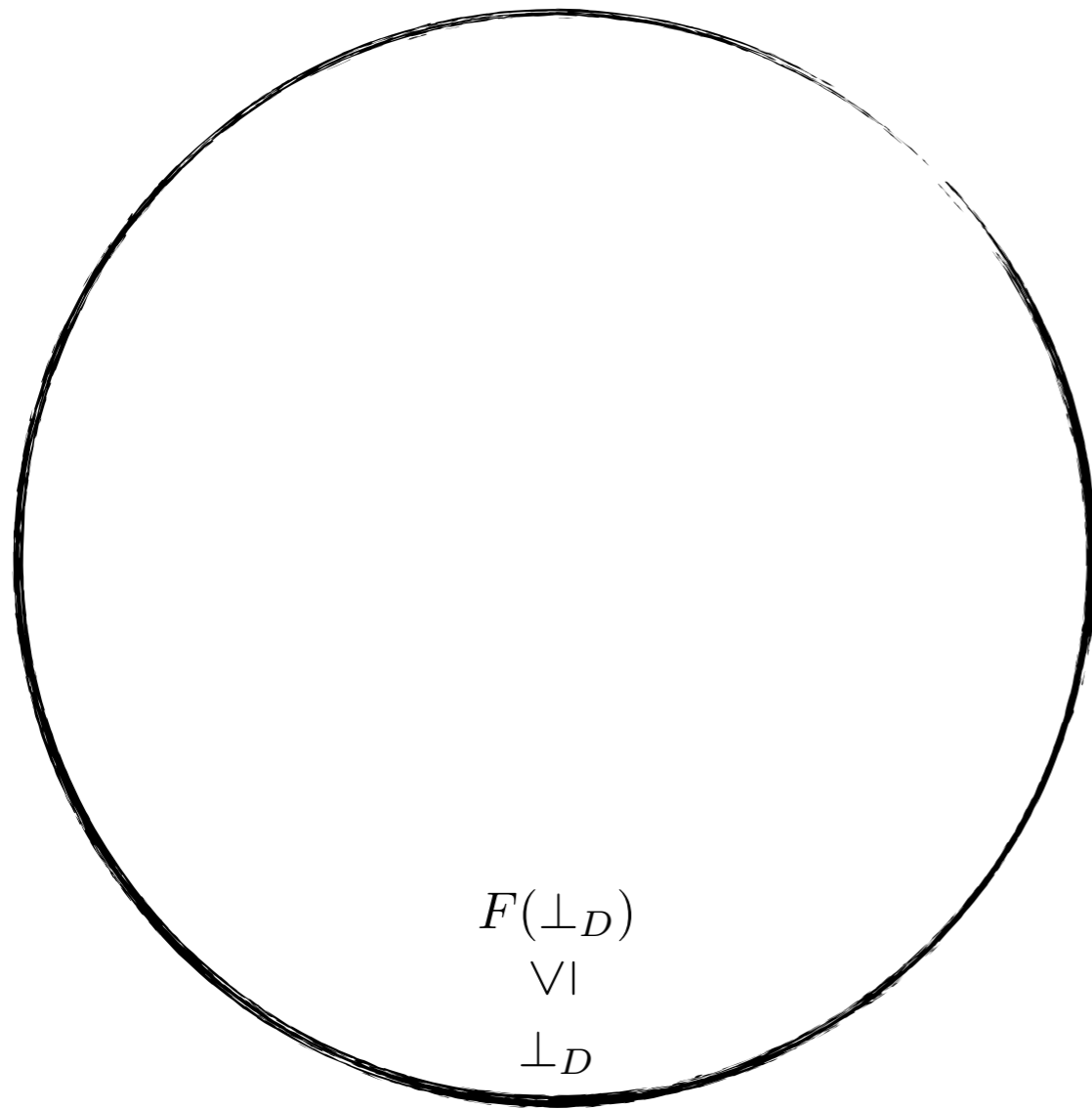
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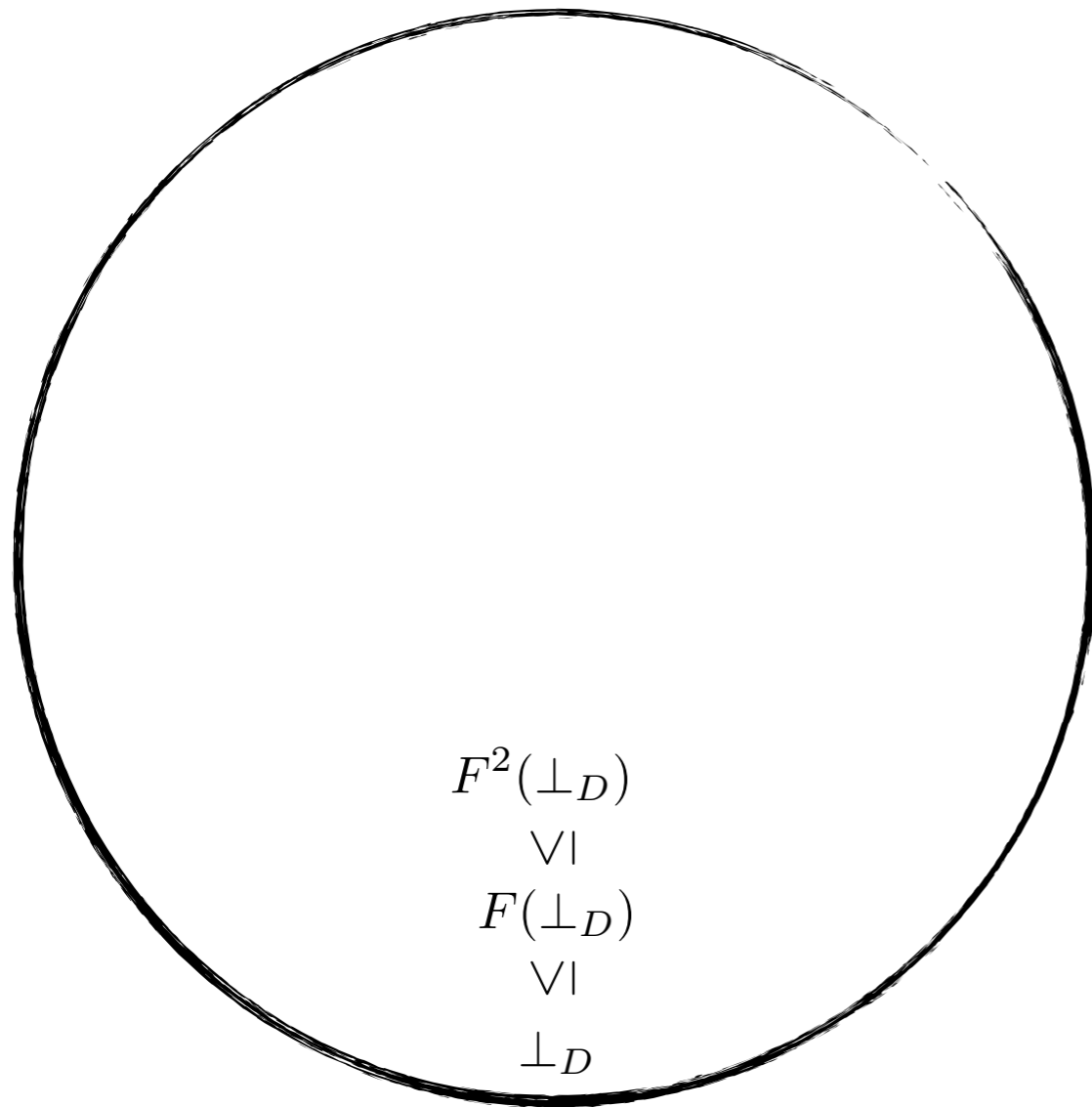


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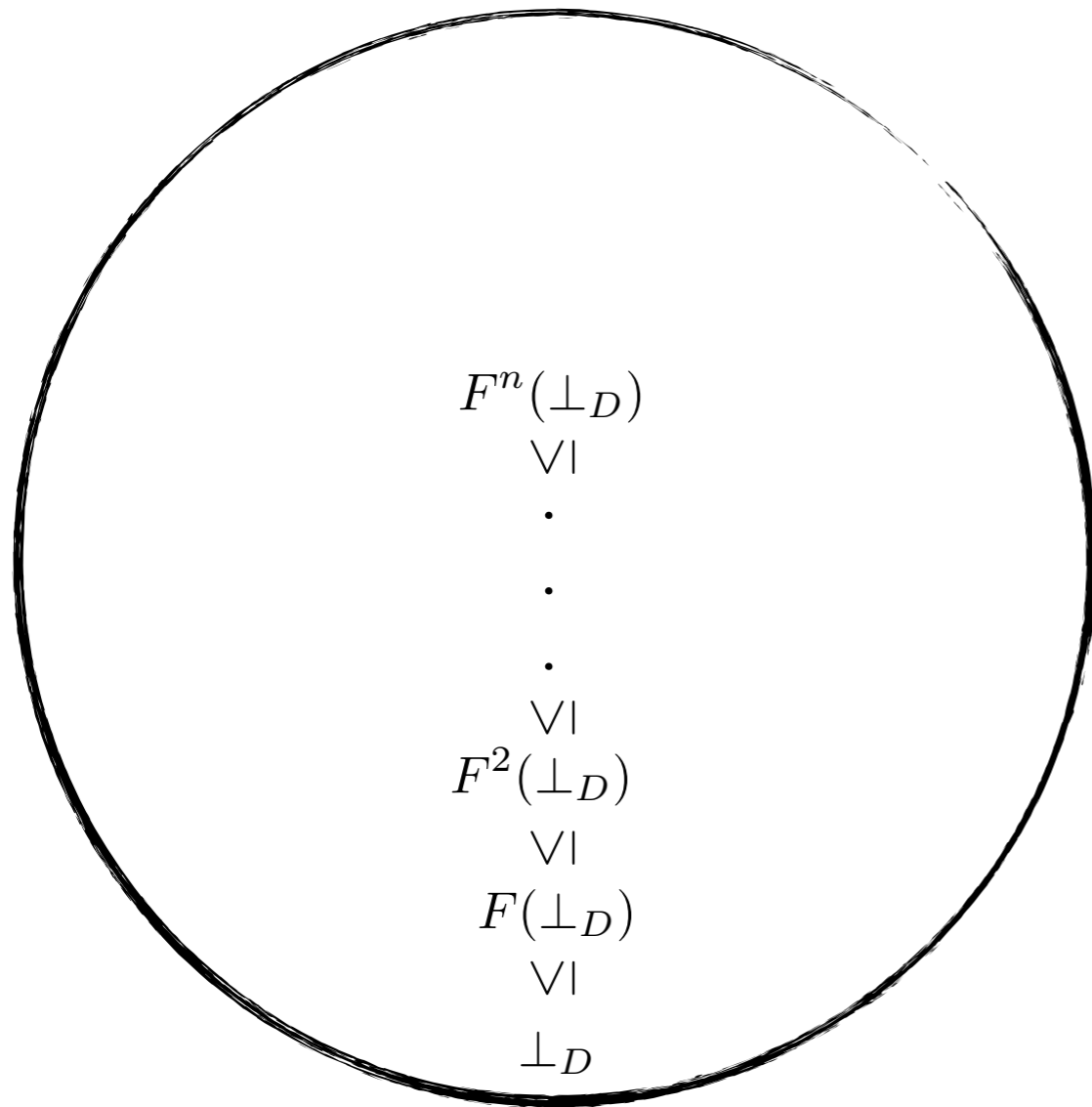
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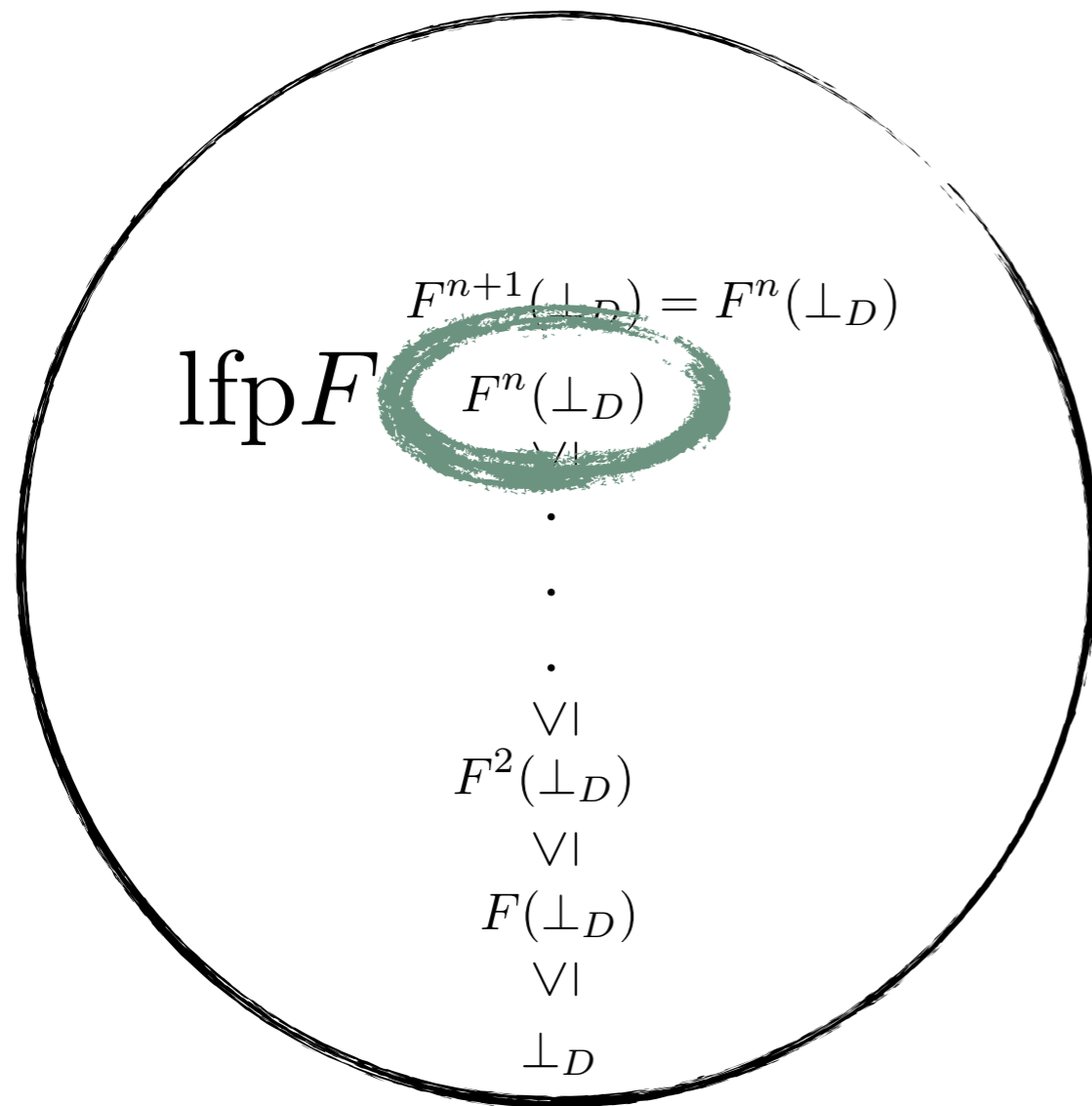


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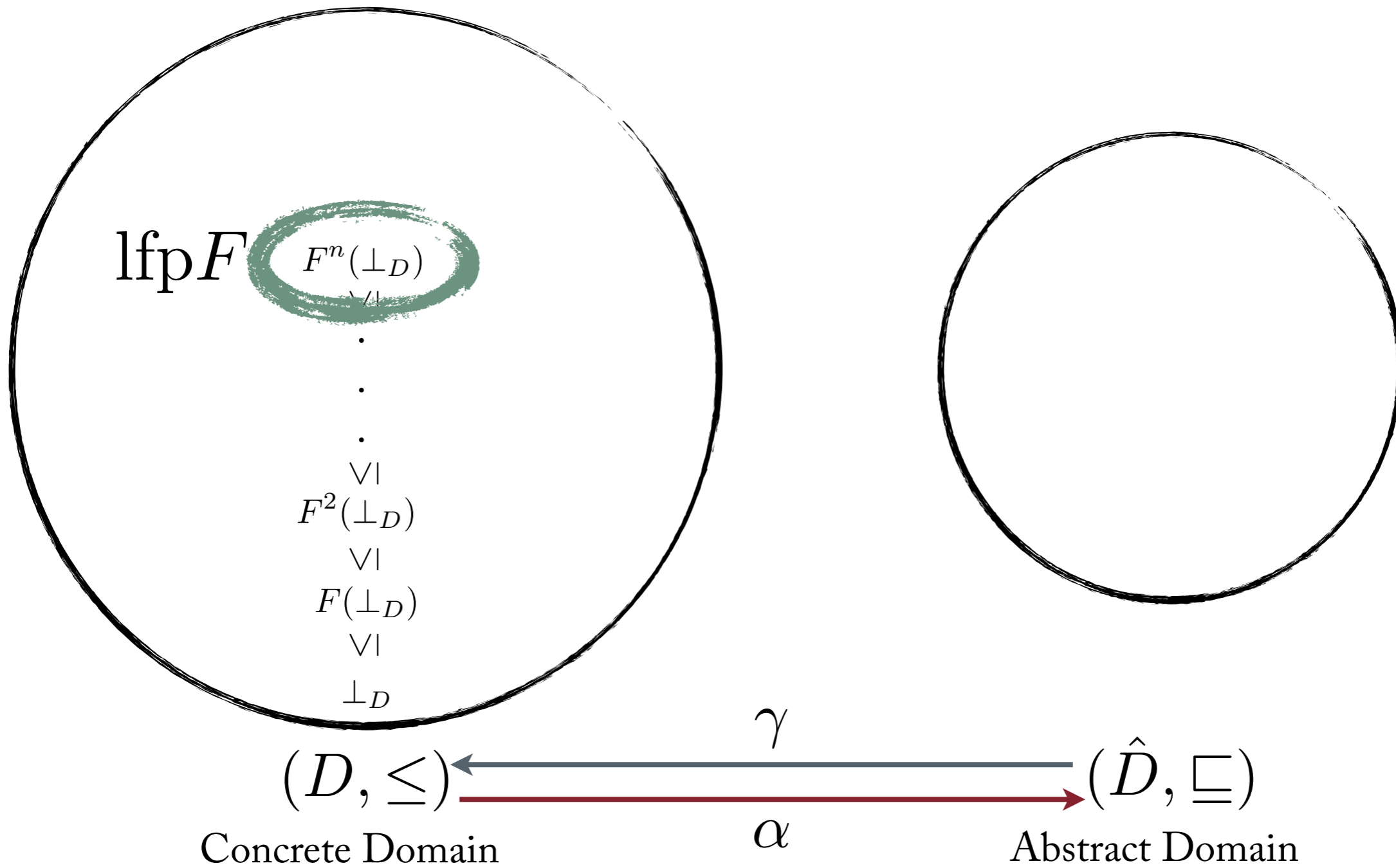


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$\text{lfp } F$

$F^n(\perp_D)$

$\vee$

$\cdot$

$\cdot$

$\cdot$

$\vee$

$F^2(\perp_D)$

$\vee$

$F(\perp_D)$

$\vee$

$\perp_D$

$\gamma$

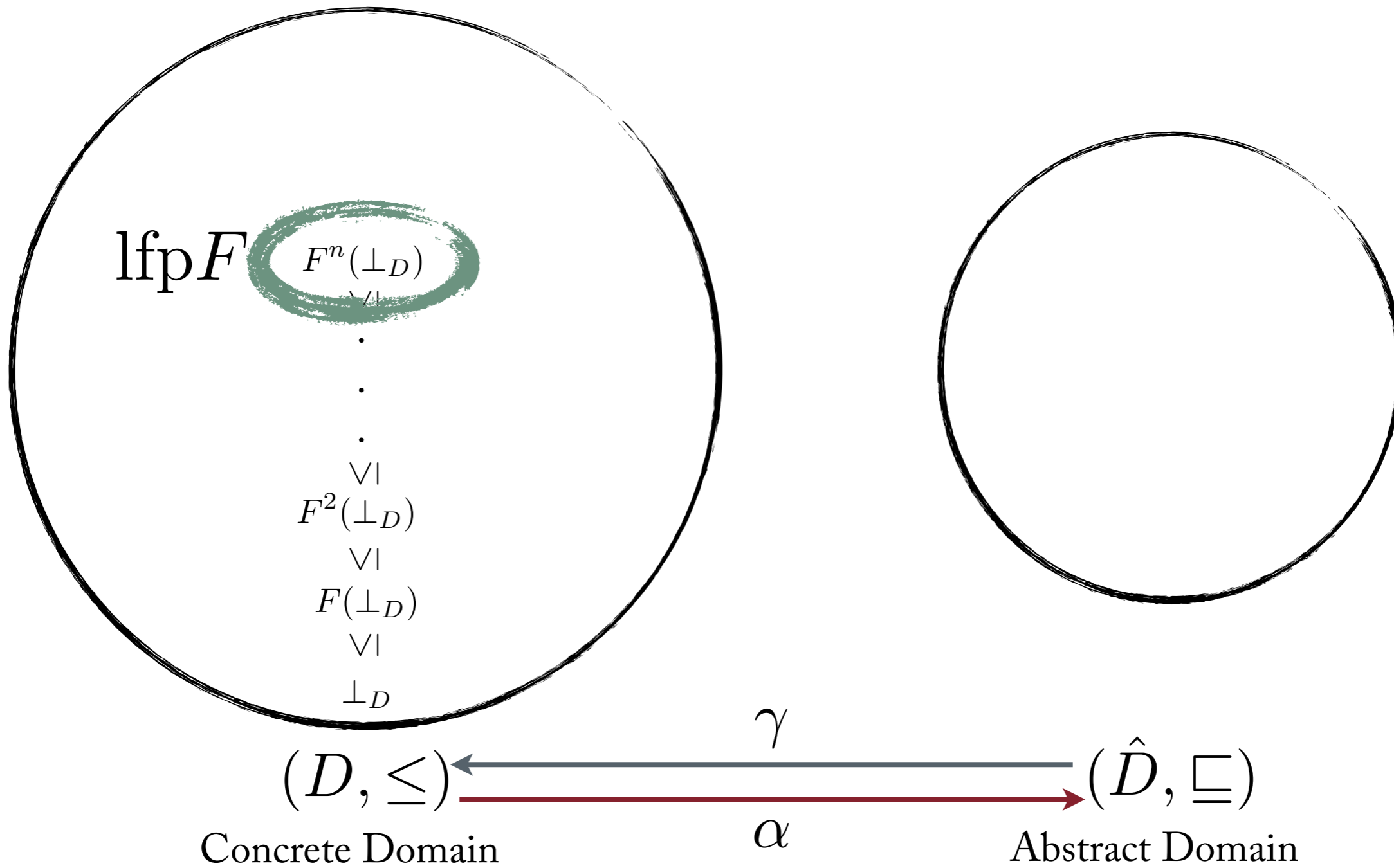
$(\hat{D}, \sqsubseteq)$

$\alpha$

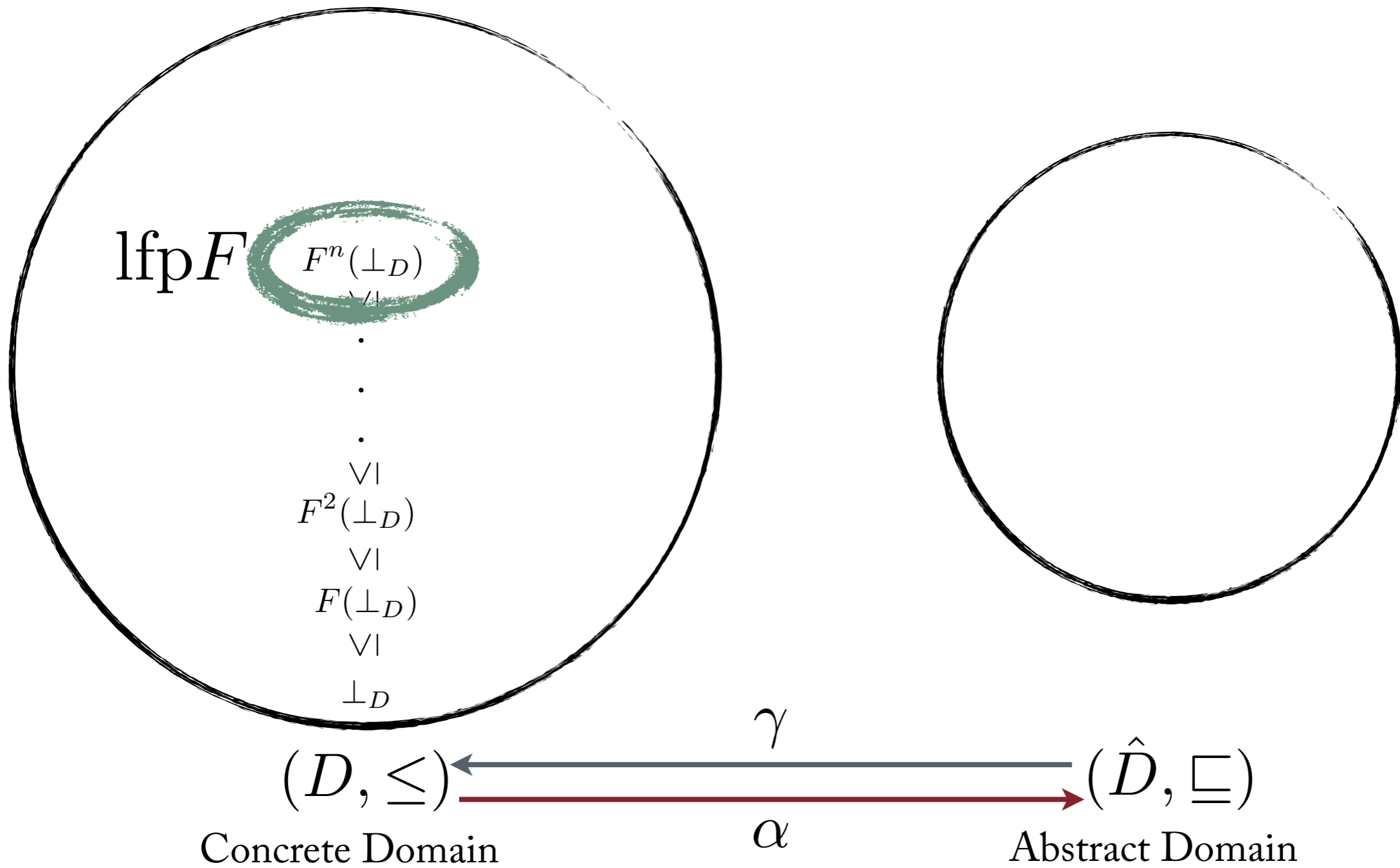
$(D, \leq)$

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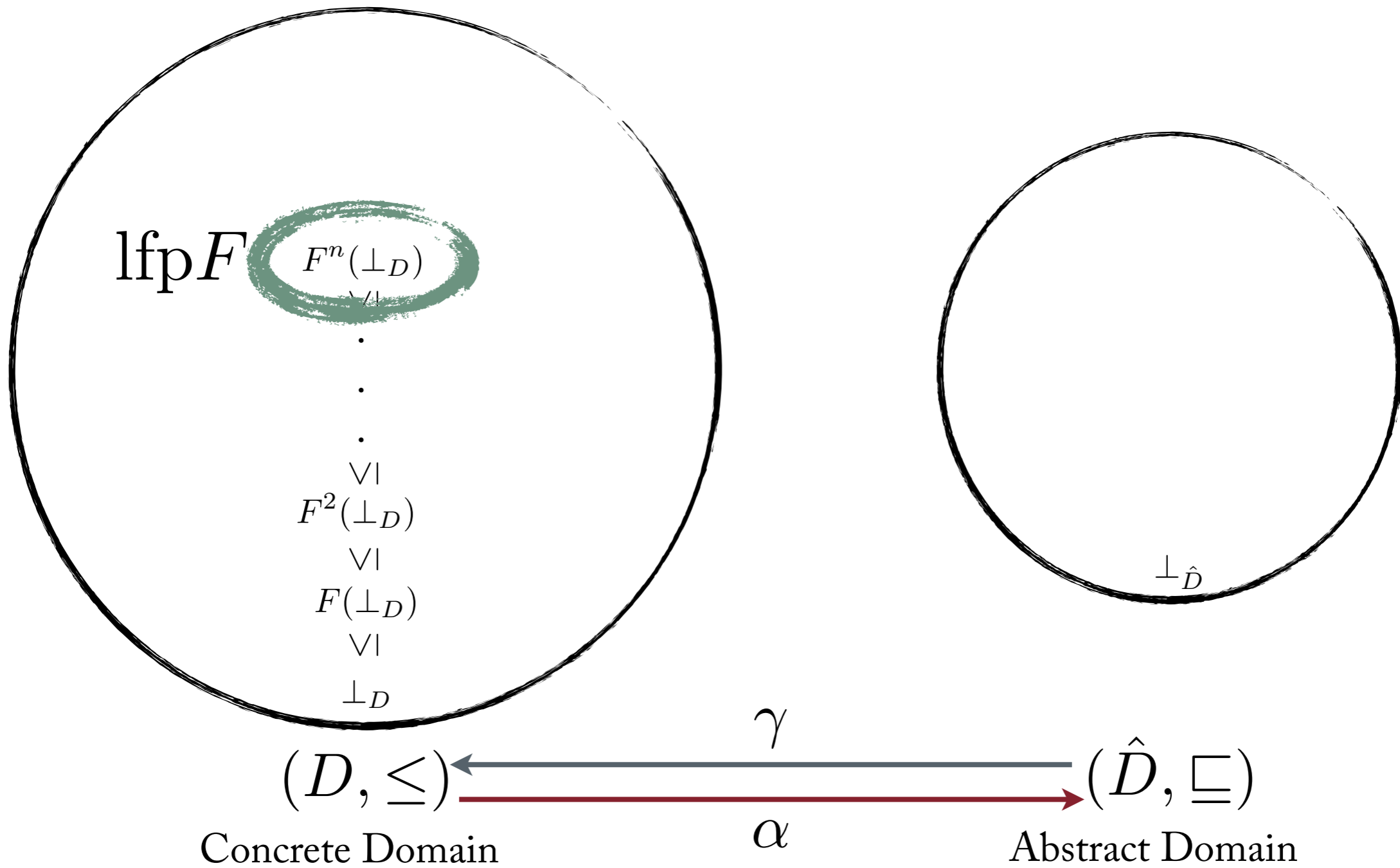


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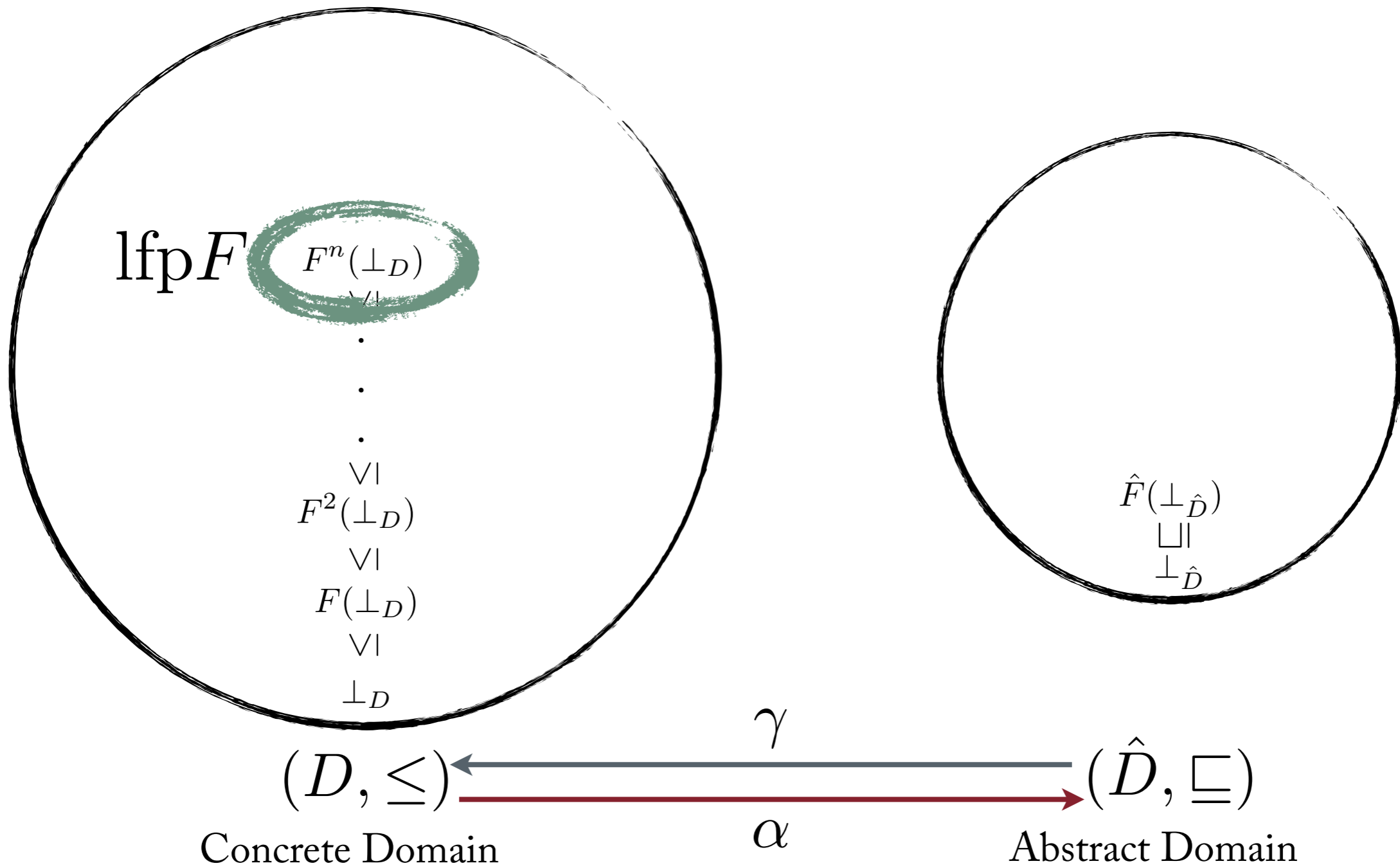


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$\text{lfp } F$

$F^n(\perp_D)$

$\vee$

$\cdot$

$\cdot$

$\cdot$

$\vee$

$F^2(\perp_D)$

$\vee$

$F(\perp_D)$

$\vee$

$\perp_D$

$\gamma$

$(D, \leq)$

Concrete Domain

$(\hat{D}, \sqsubseteq)$

Abstract Domain

$\alpha$

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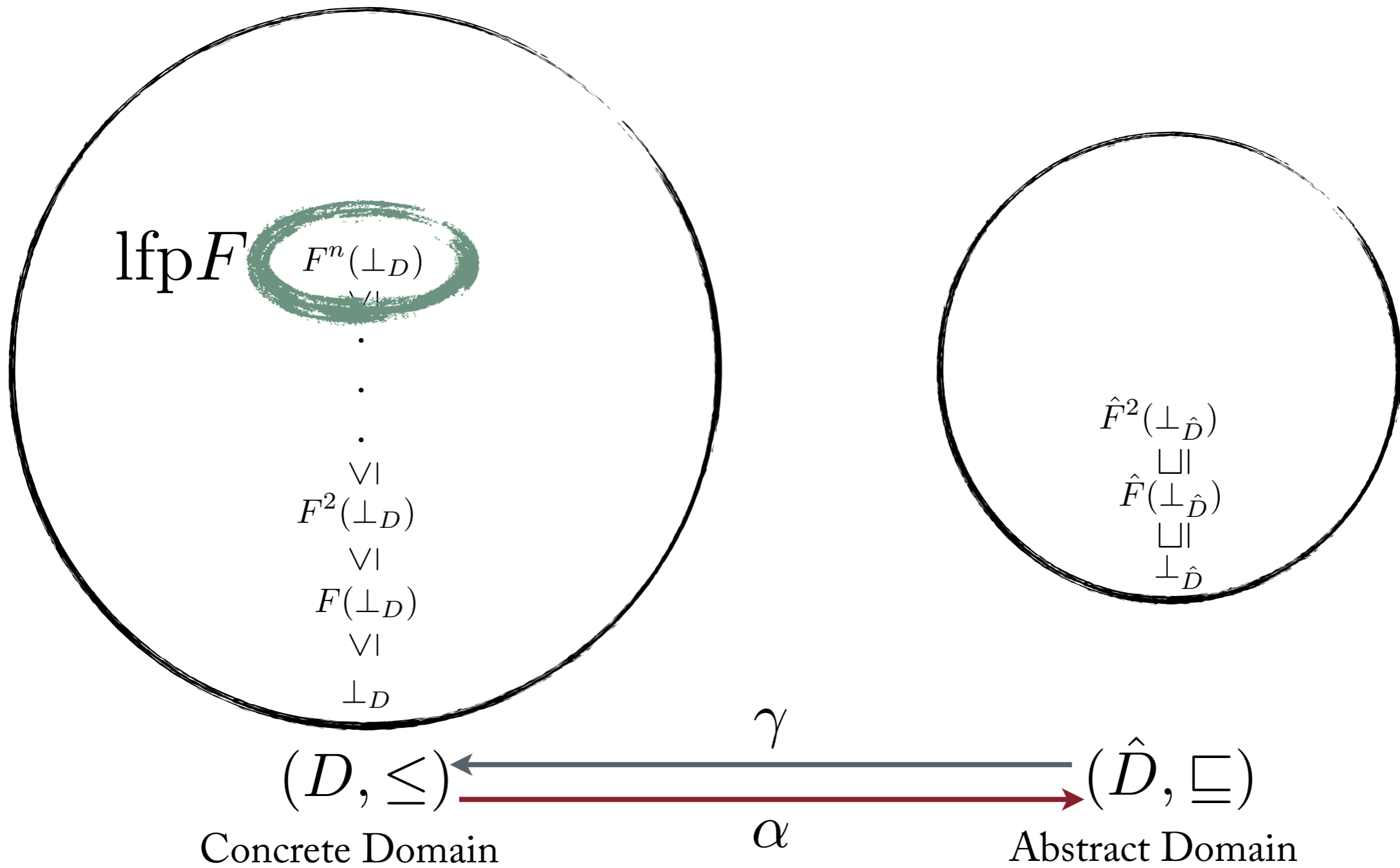
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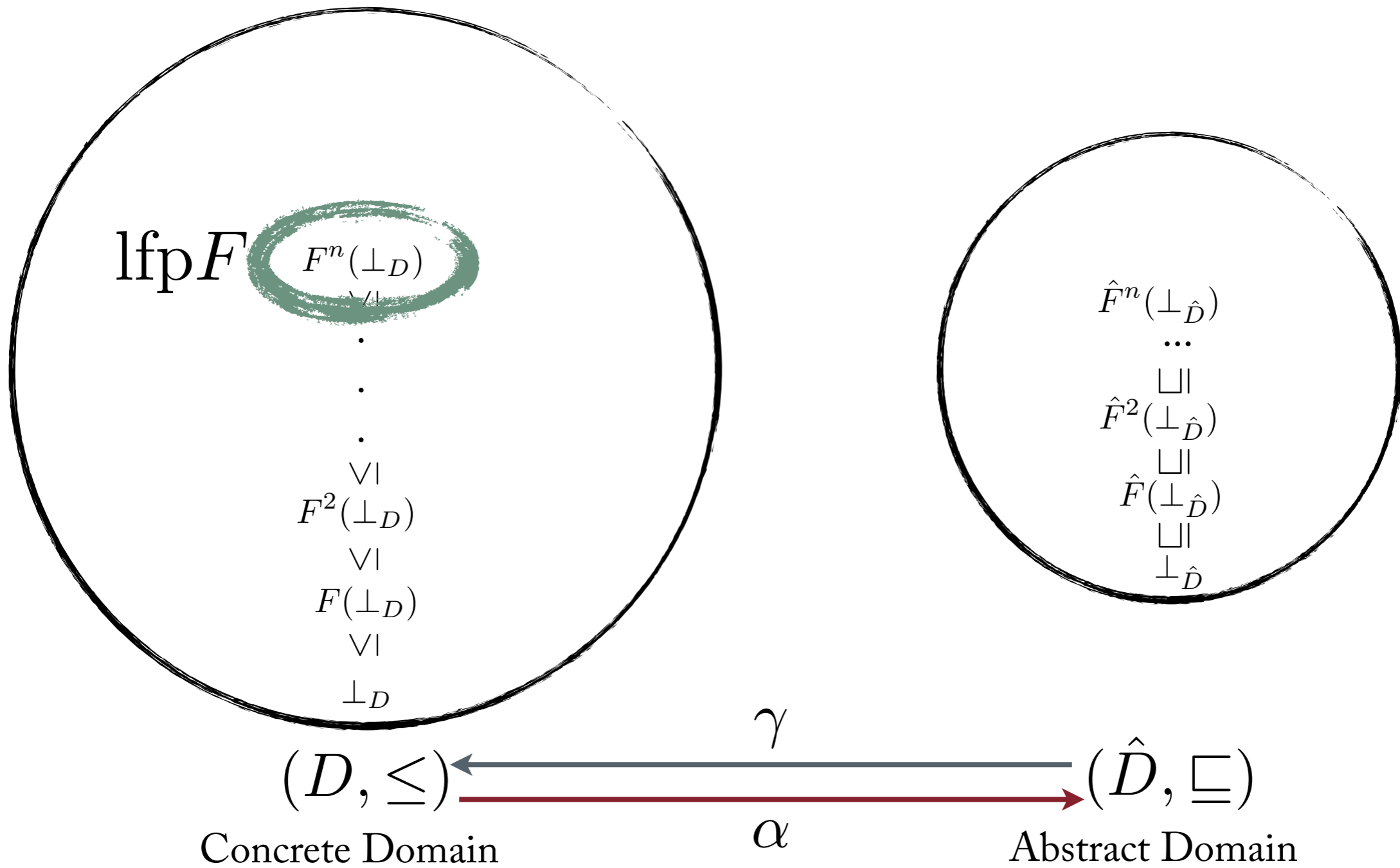
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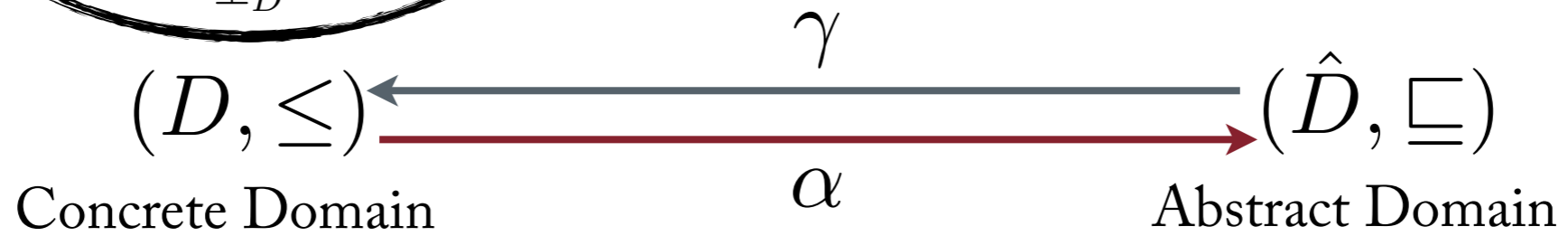
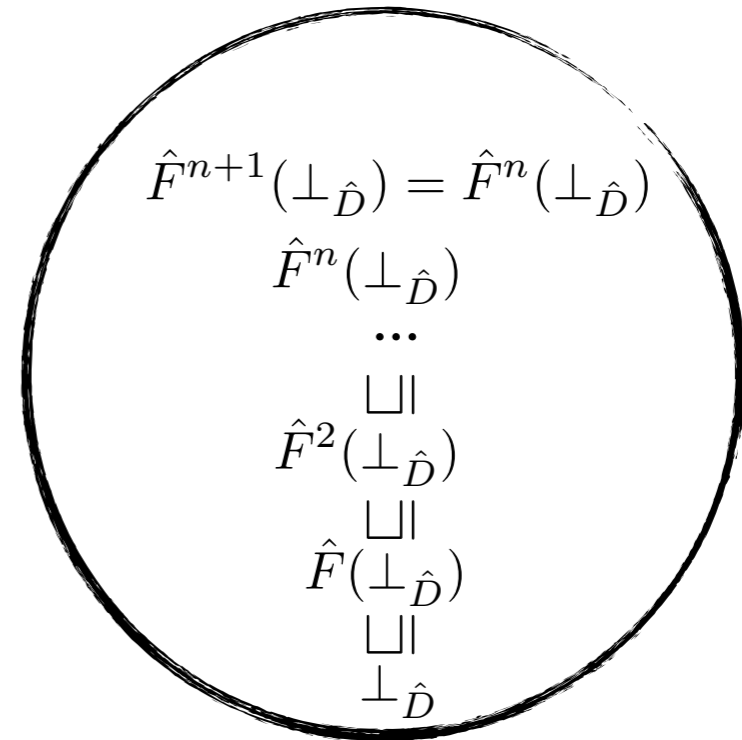
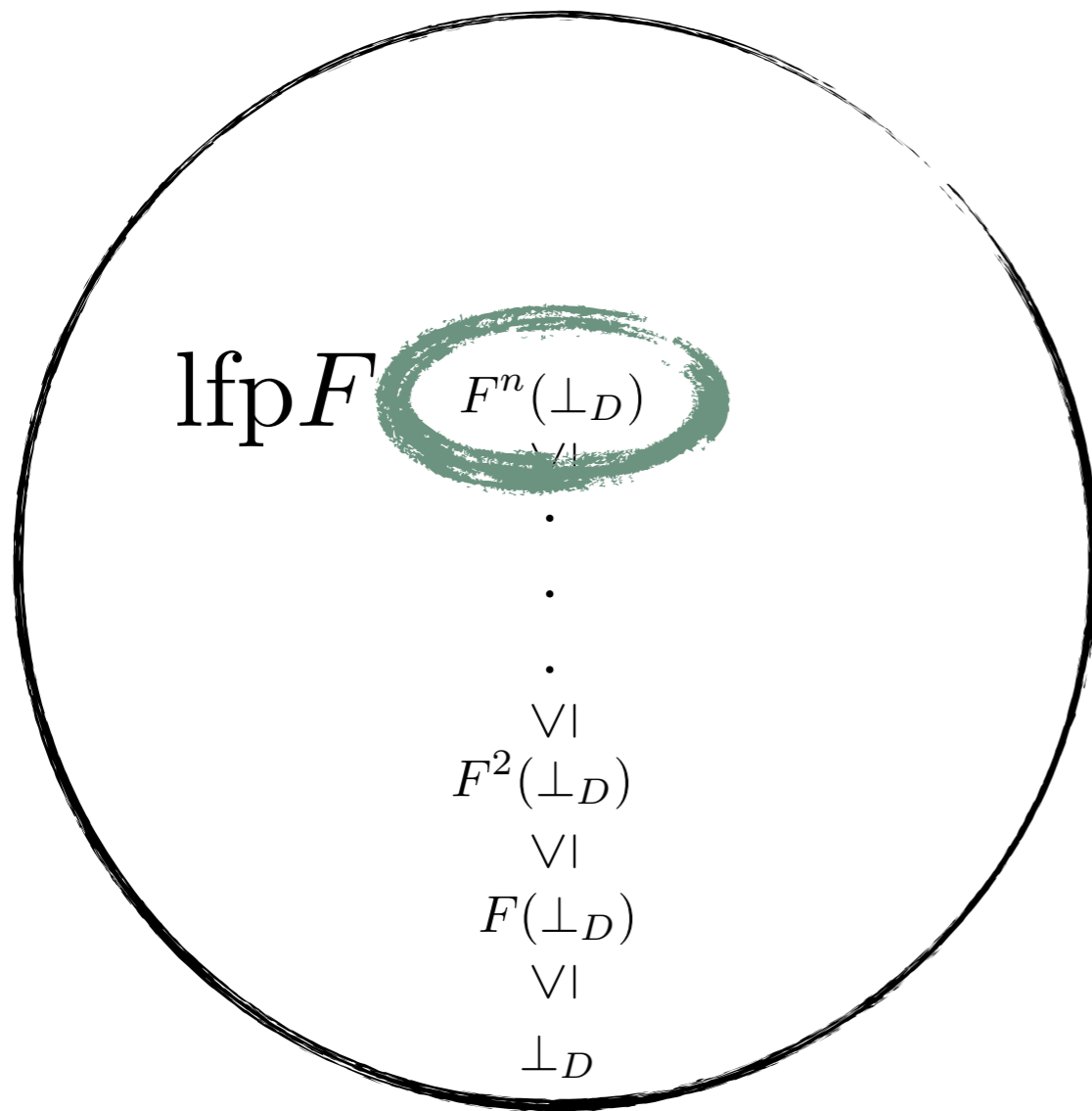


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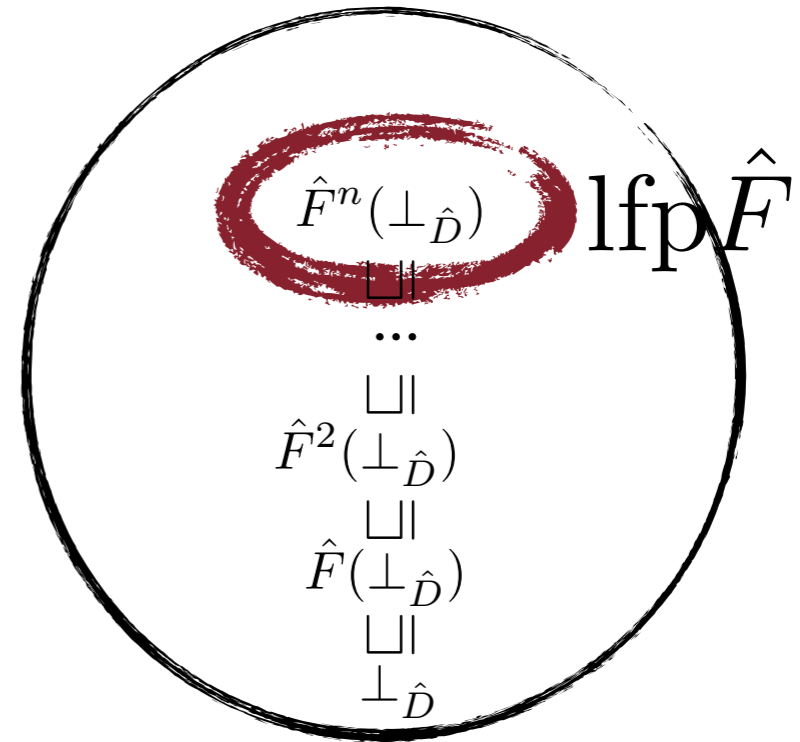
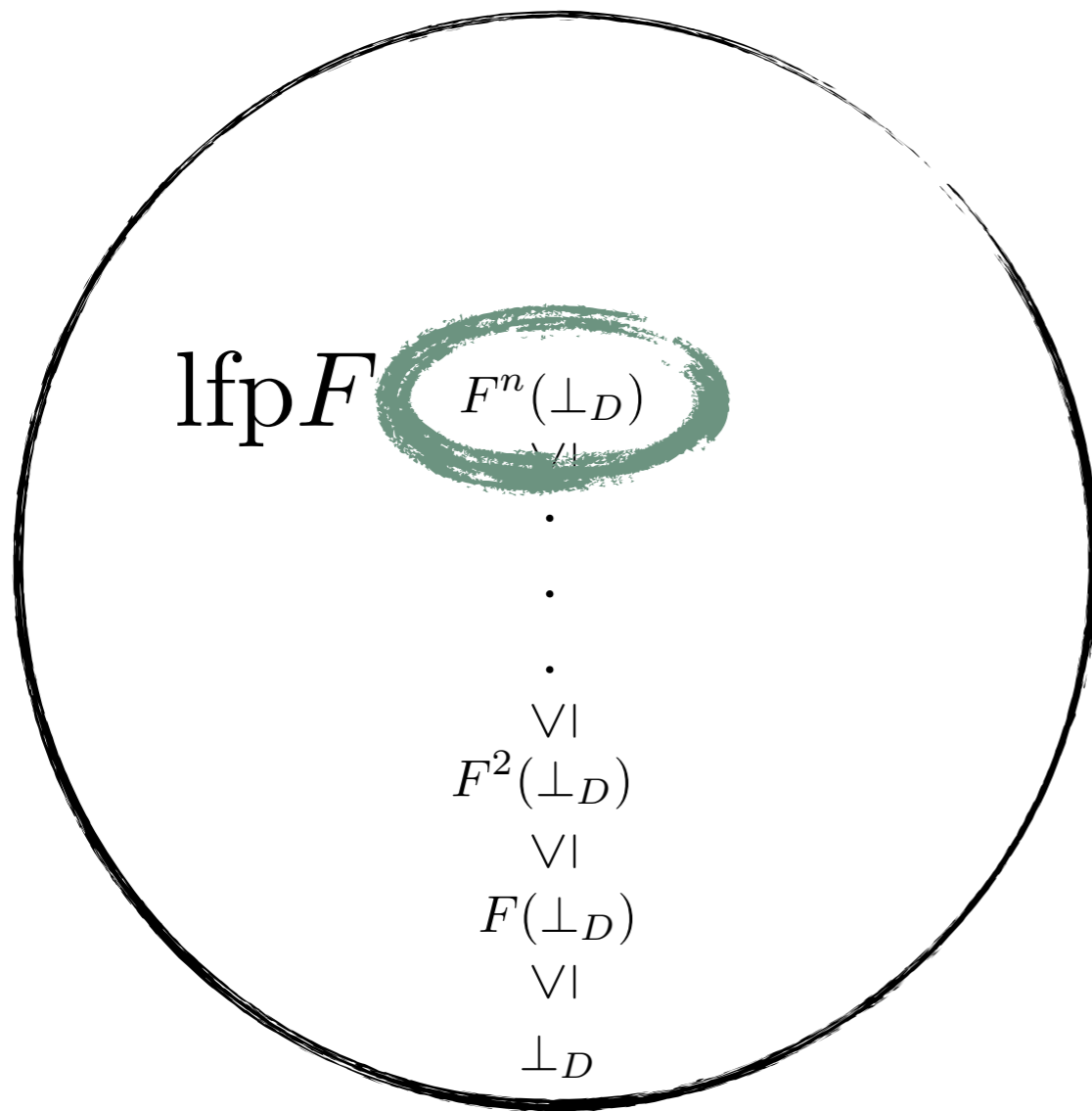


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Concrete Domain

Abstract Domain

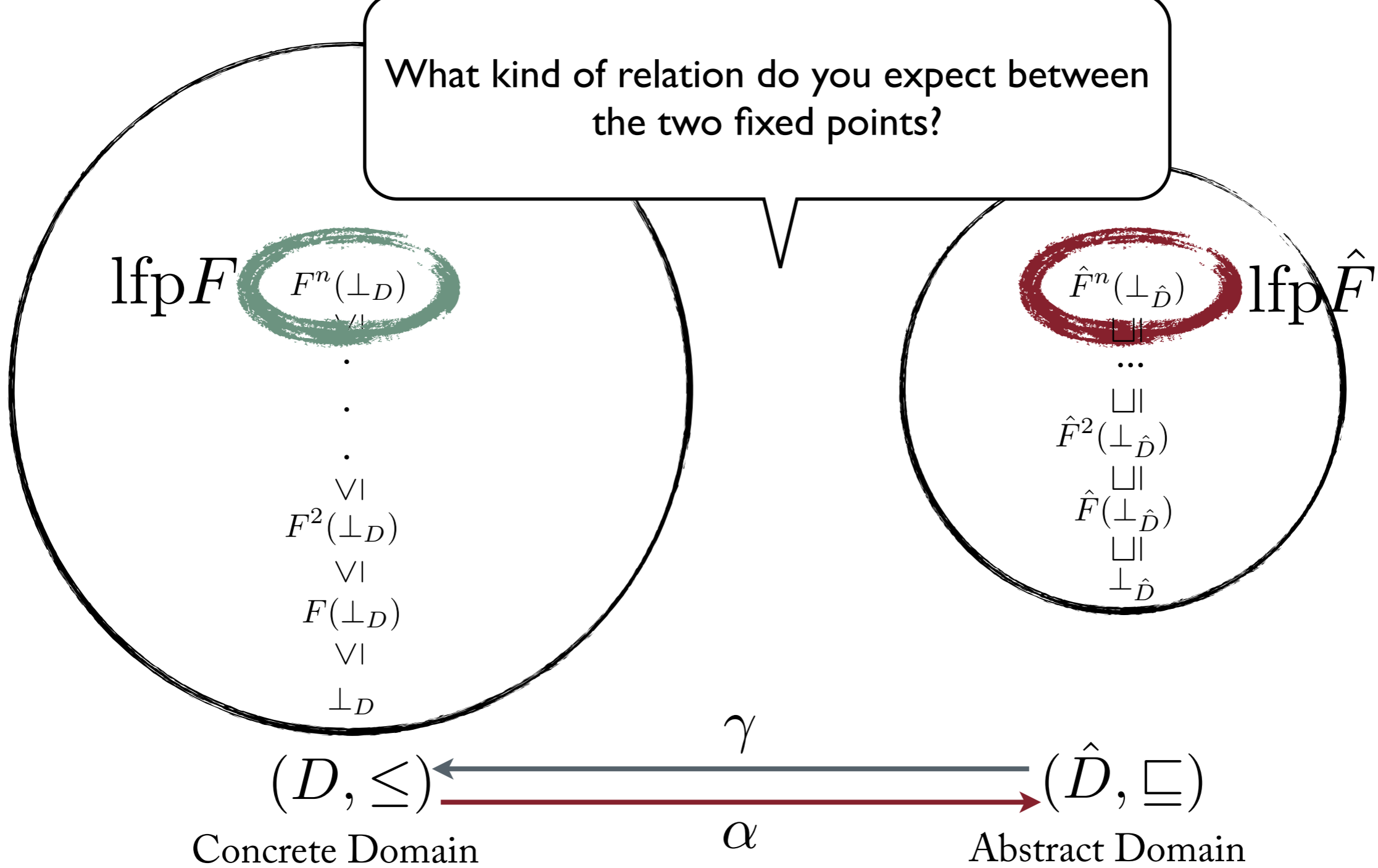
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What kind of relation do you expect between the two fixed points?



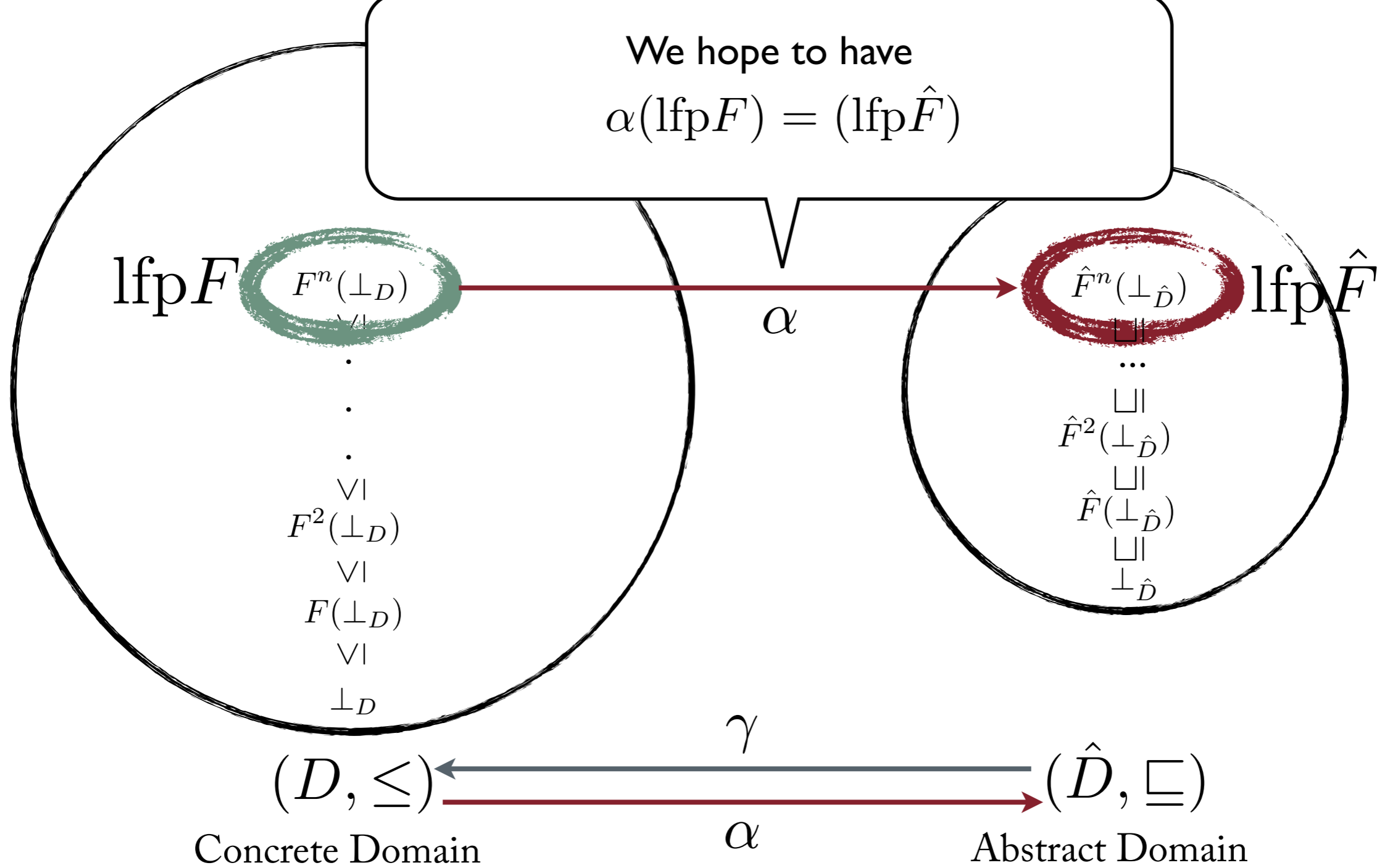
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$$\text{lfp } \hat{F} = \bigcup_{i \in \mathbb{N}} \hat{F}^i(\perp_{\hat{D}})$$

We hope to have  
 $\alpha(\text{lfp}F) = (\text{lfp}\hat{F})$



$F : D \rightarrow D$   
 Concrete Semantic Function

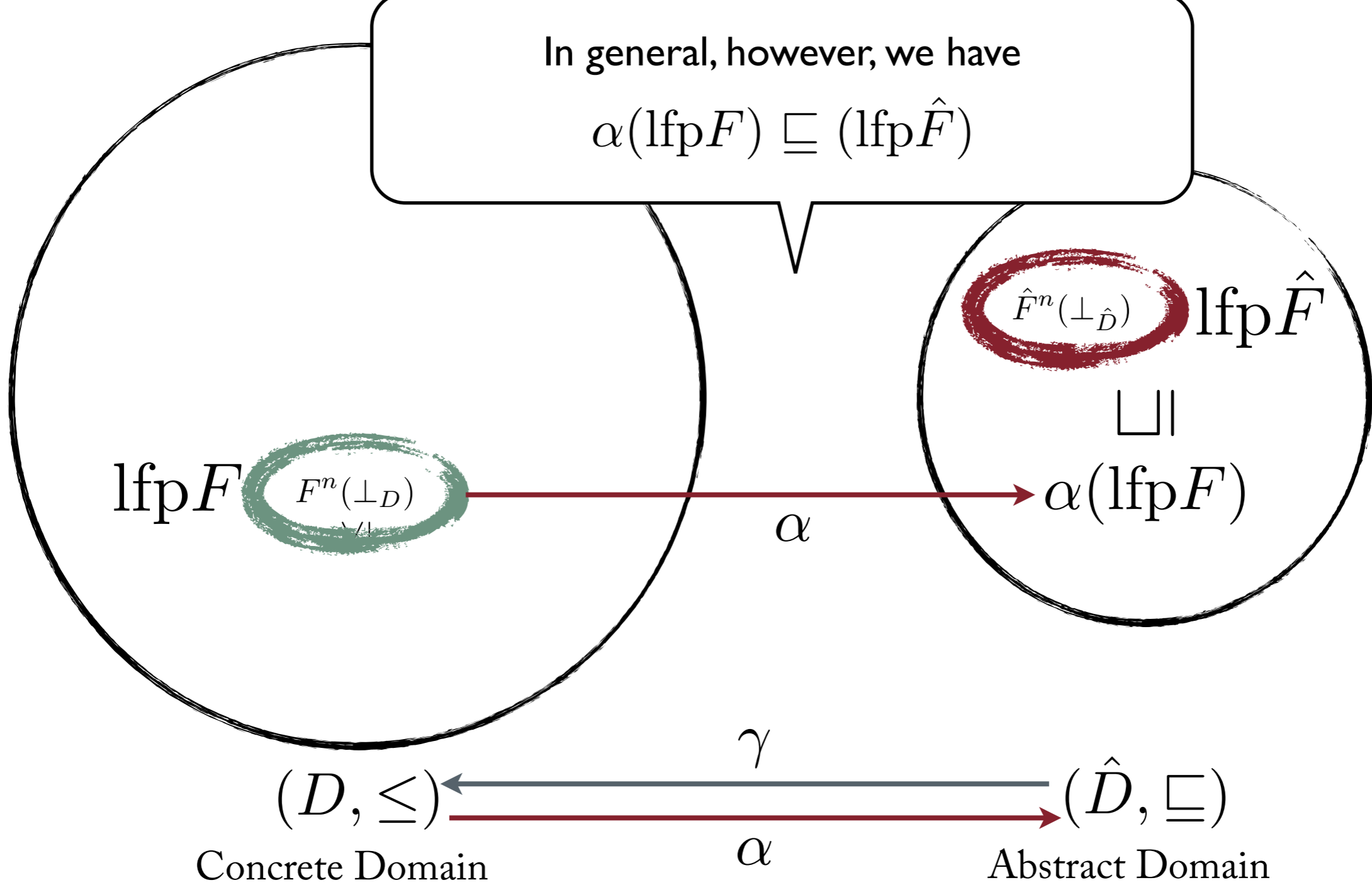
$\hat{F} : \hat{D} \rightarrow \hat{D}$   
 Abstract Semantic Function

$$\text{lfp } F = \bigcup_{i \in \mathbb{N}} F^i(\perp_D)$$

$$\text{lfp } \hat{F} = \bigcup_{i \in \mathbb{N}} \hat{F}^i(\perp_{\hat{D}})$$

In general, however, we have

$$\alpha(\text{lfp} F) \sqsubseteq (\text{lfp} \hat{F})$$



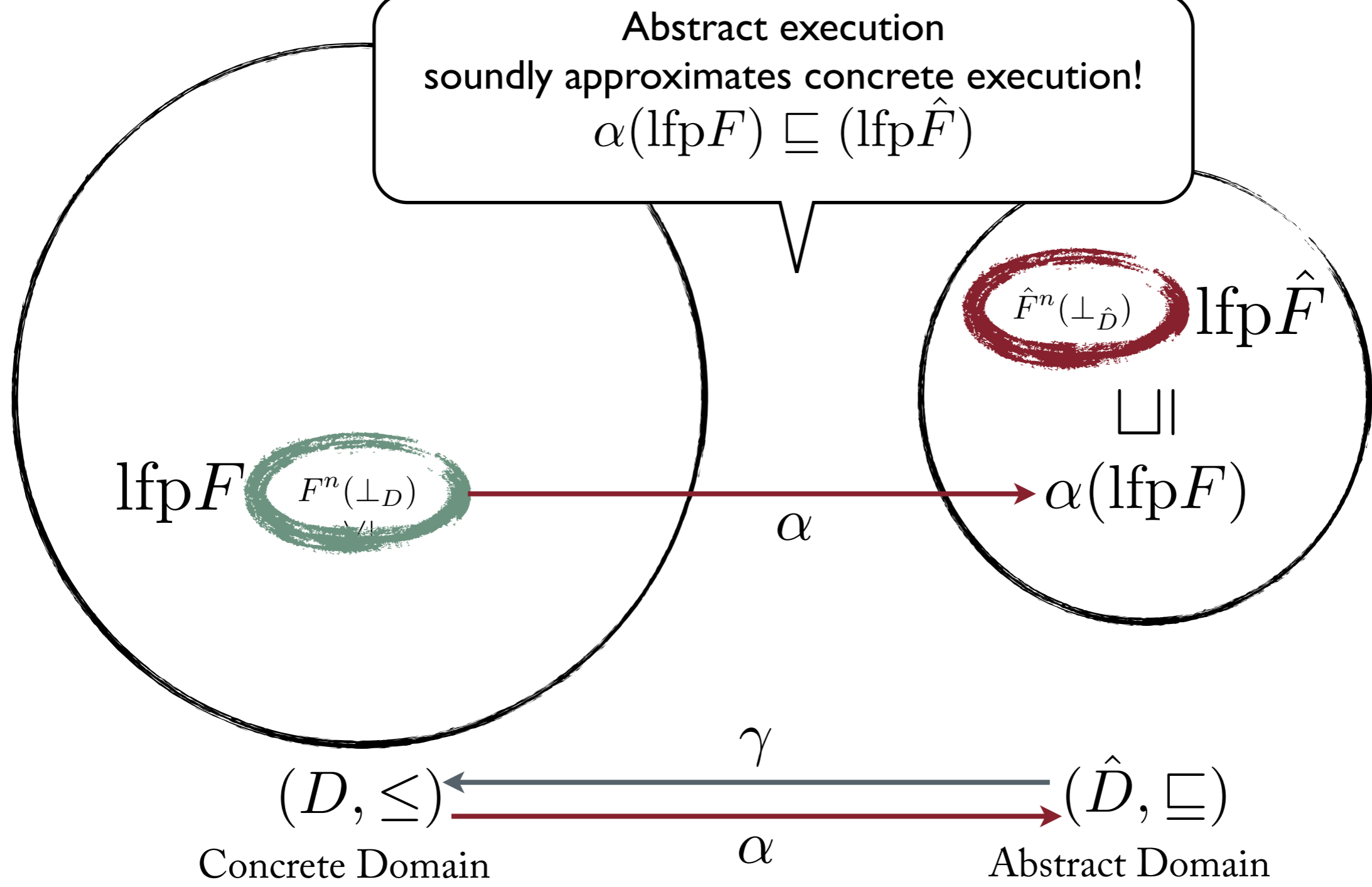
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Abstract execution  
 soundly approximates concrete execution!  
 $\alpha(\text{lfp}F) \sqsubseteq (\text{lfp}\hat{F})$



$\text{lfp} F$   $F^n(\perp_D)$

$\hat{F}^n(\perp_{\hat{D}})$   $\text{lfp} \hat{F}$

$\alpha(\text{lfp} F)$

$(D, \leq)$

$(\hat{D}, \sqsubseteq)$

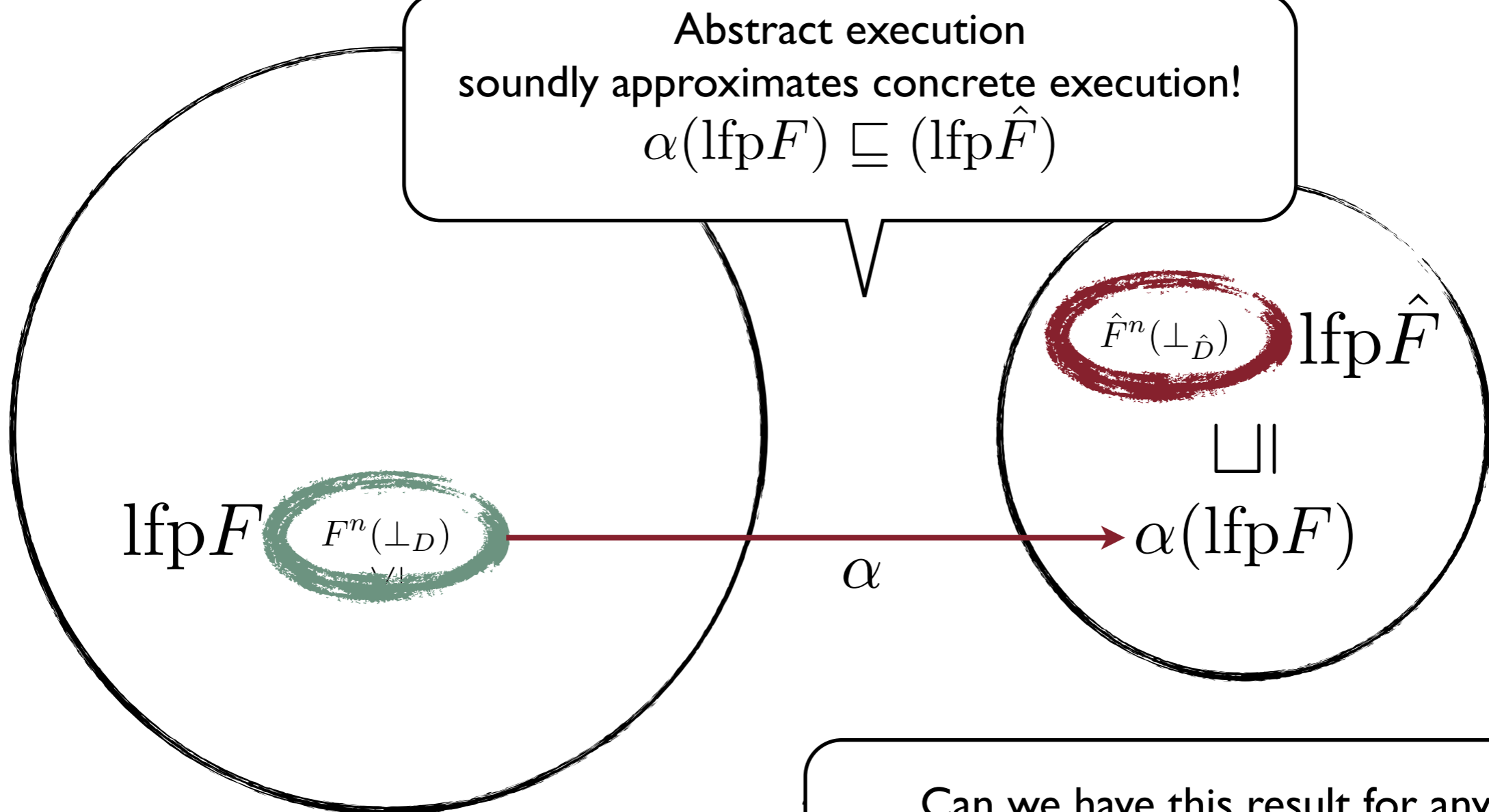
$F : D \rightarrow D$   
 Concrete Semantic Function

$\hat{F} : \hat{D} \rightarrow \hat{D}$   
 Abstract Semantic Function

$\text{lfp} F = \bigcup_{i \in \mathbb{N}} F^i(\perp_D)$

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Abstract execution  
 soundly approximates concrete execution!  
 $\alpha(\text{lfp}F) \sqsubseteq (\text{lfp}\hat{F})$



Can we have this result for any  $\hat{F}$  ?

$(D, \leq)$   
 Concrete Domain

$F : D \rightarrow D$   
 Concrete Semantic Function

$$\text{lfp } F = \bigcup_{i \in \mathbb{N}} F^i(\perp_D)$$

$\hat{F} : \hat{D} \rightarrow \hat{D}$   
 Abstract Semantic Function

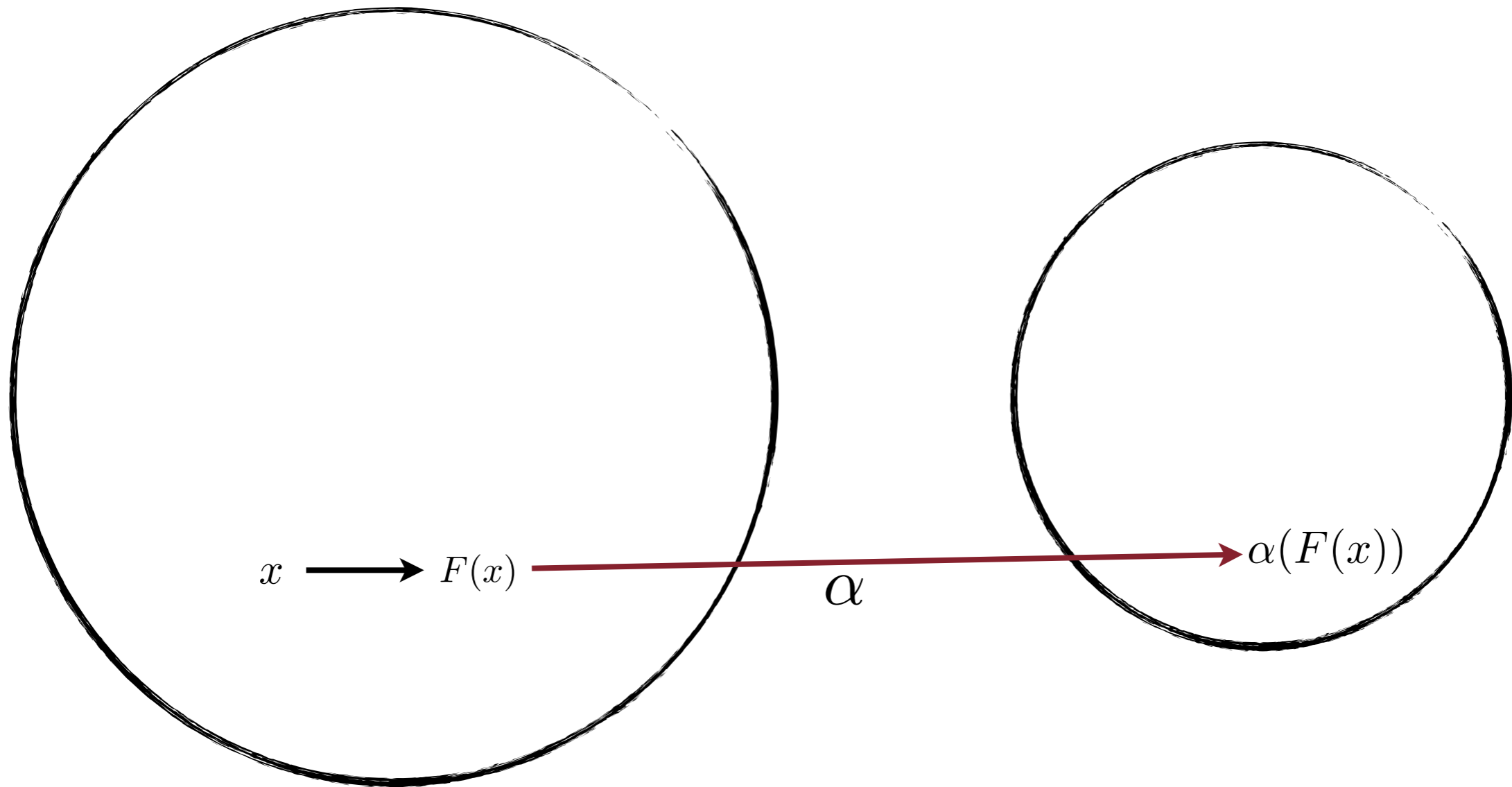
$$\text{lfp } \hat{F} = \bigcup_{i \in \mathbb{N}} \hat{F}^i(\perp_{\hat{D}})$$



A condition for  $\hat{F} : \hat{D} \rightarrow \hat{D}$  to have  $\alpha(\text{lfp}F) \sqsubseteq (\text{lfp}\hat{F})$

1) monotone function.

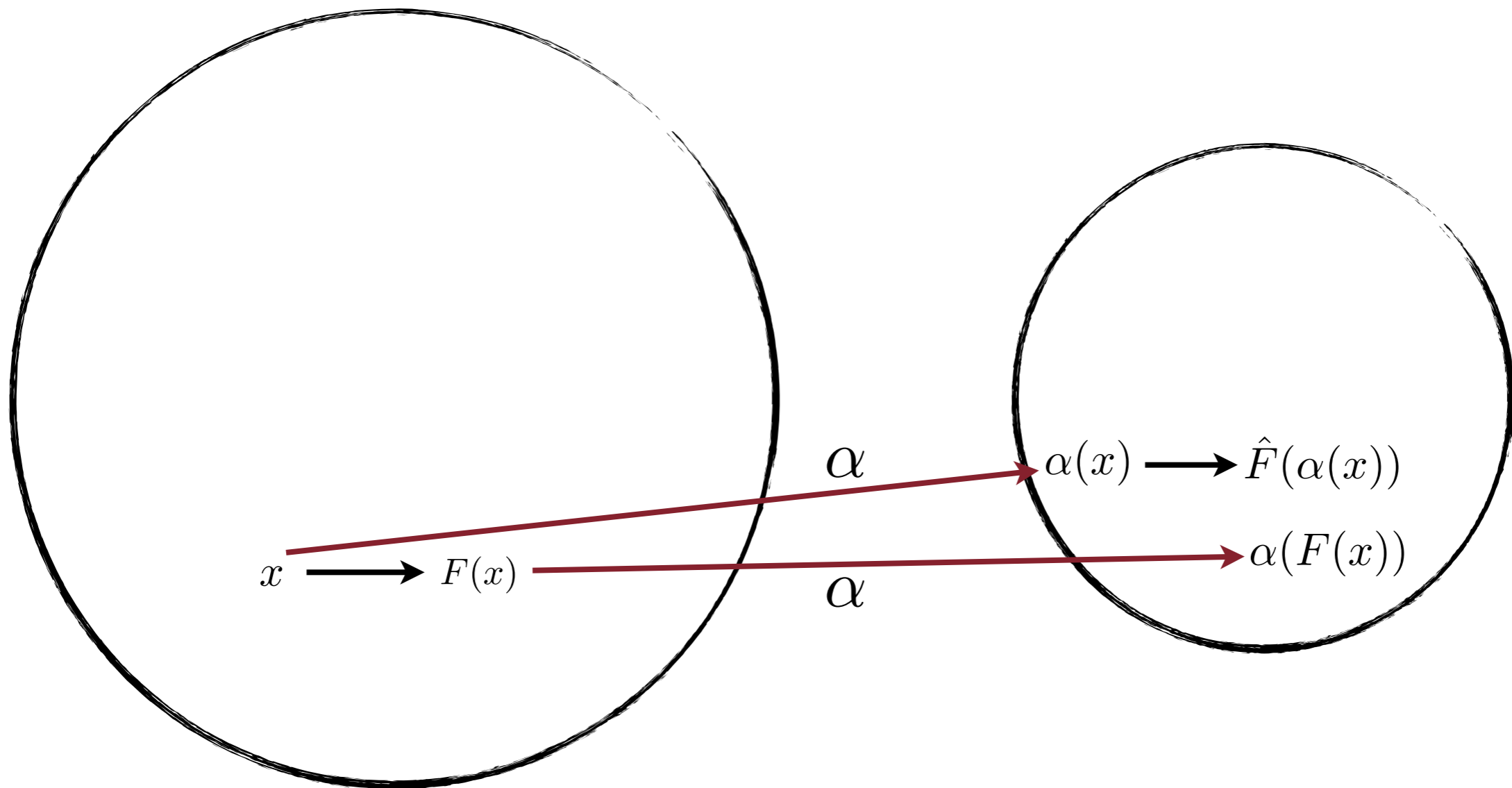
2)  $\forall x \in D : \alpha \circ F(x) \sqsubseteq \hat{F} \circ \alpha(x)$



Condition for  $\hat{F} : \hat{D} \rightarrow \hat{D}$  to have  $\alpha(\text{lfp}F) \sqsubseteq (\text{lfp}\hat{F})$

1) monotone function.

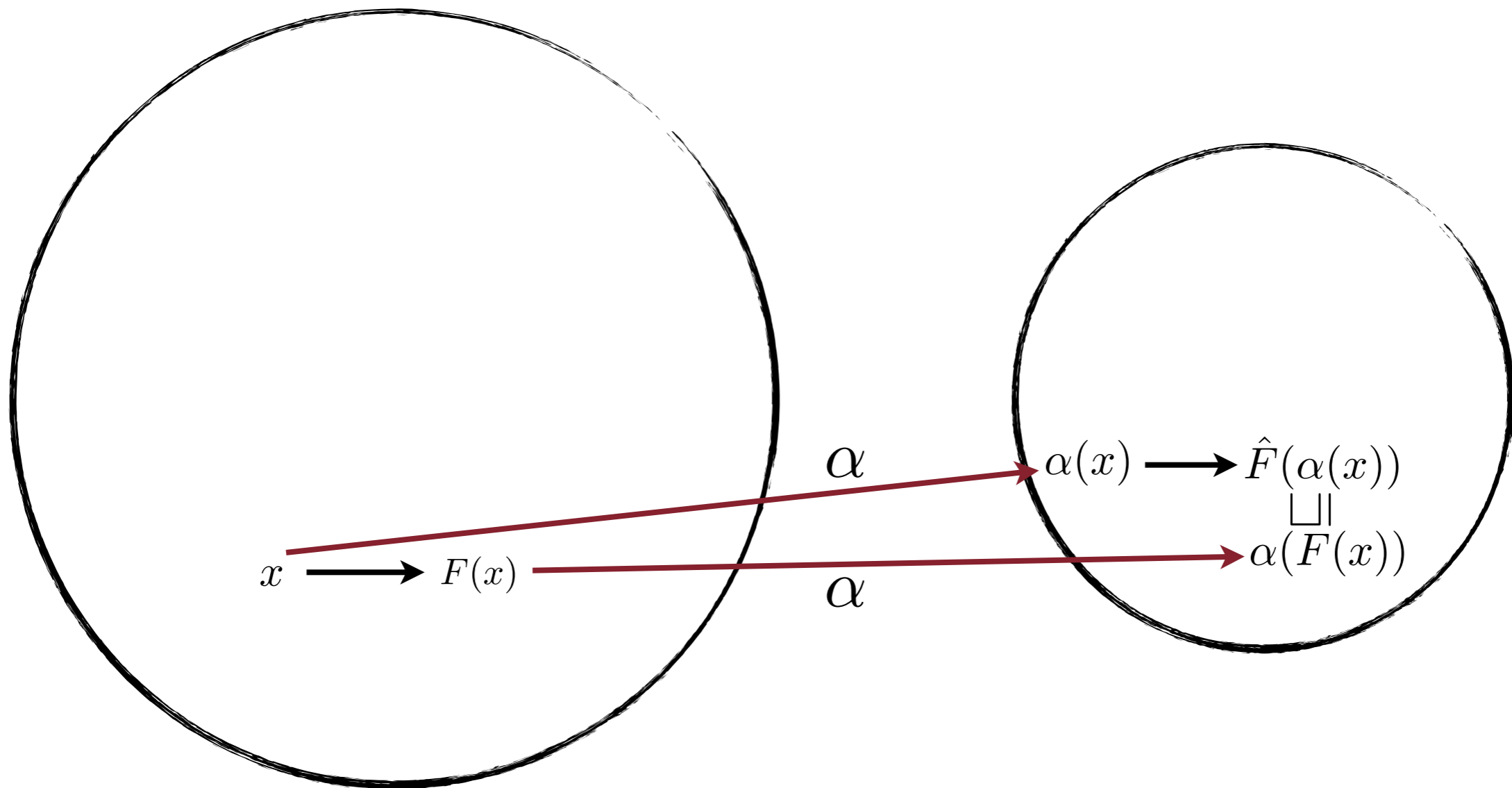
2)  $\forall x \in D : \alpha \circ F(x) \sqsubseteq \hat{F} \circ \alpha(x)$



Condition for  $\hat{F} : \hat{D} \rightarrow \hat{D}$  to have  $\alpha(\text{lfp}F) \sqsubseteq (\text{lfp}\hat{F})$

1) monotone function.

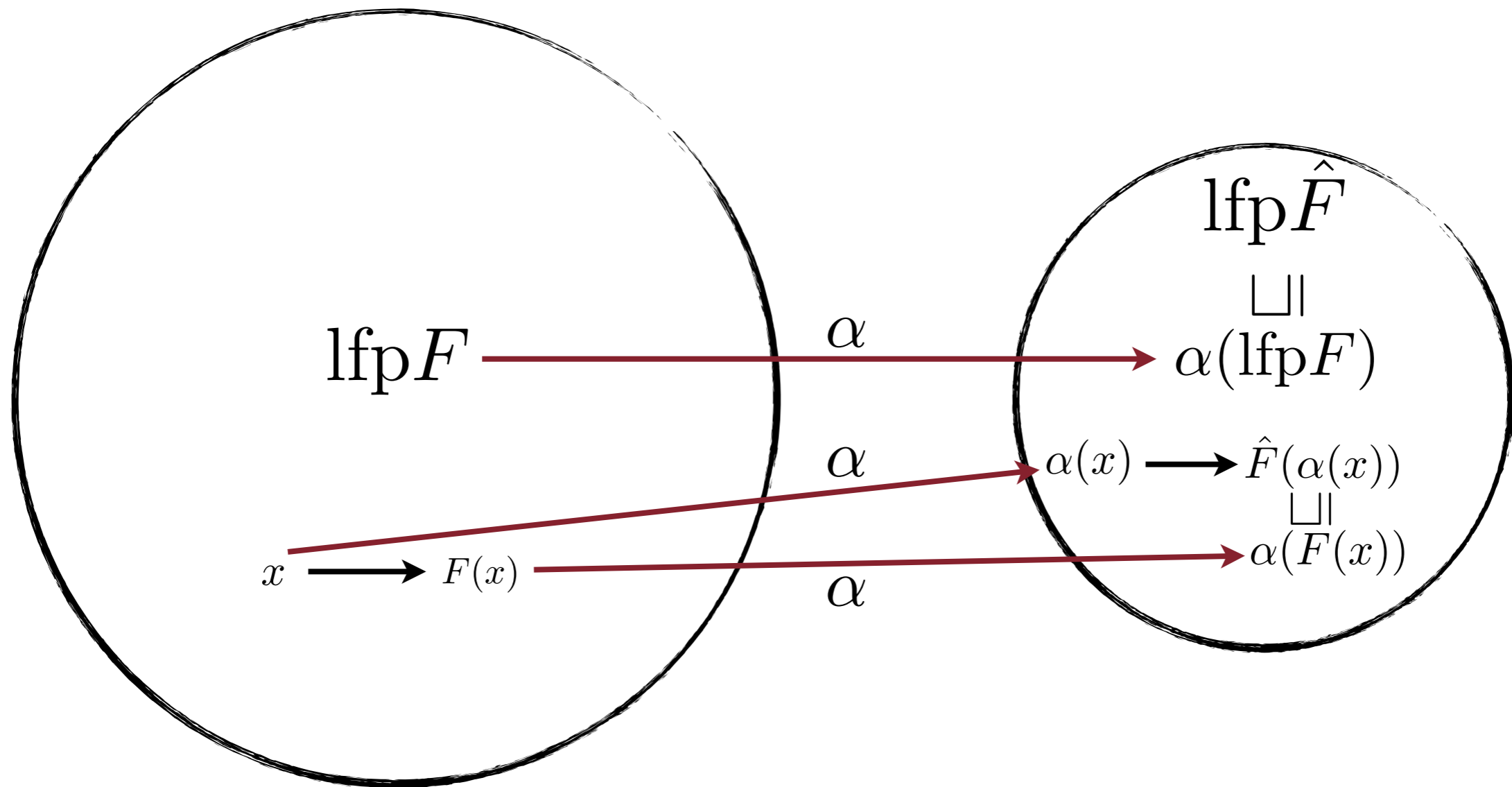
2)  $\forall x \in D : \alpha \circ F(x) \sqsubseteq \hat{F} \circ \alpha(x)$



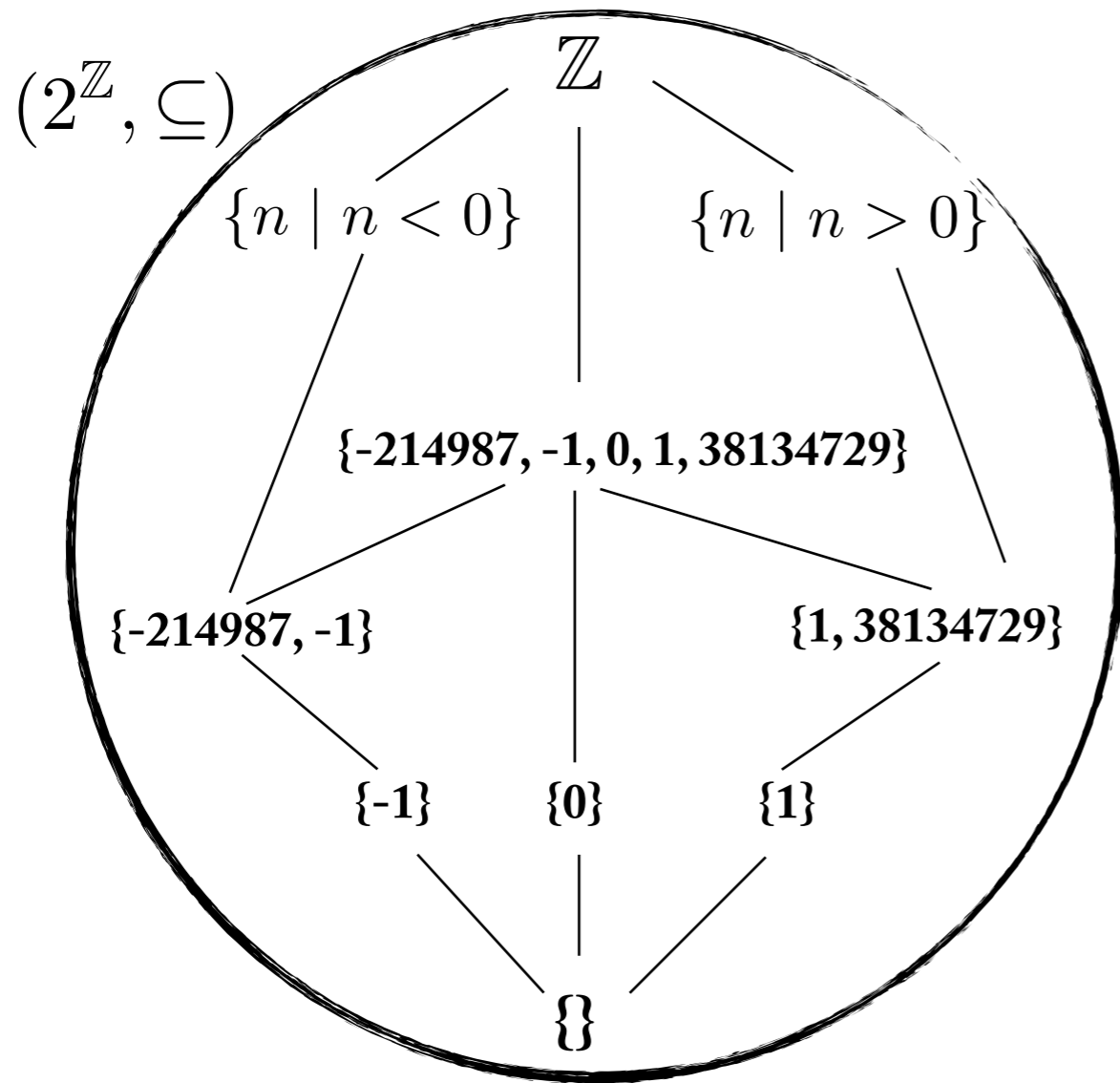
Condition for  $\hat{F} : \hat{D} \rightarrow \hat{D}$  to have  $\alpha(\text{lfp}F) \sqsubseteq (\text{lfp}\hat{F})$

1) monotone function.

2)  $\forall x \in D : \alpha \circ F(x) \sqsubseteq \hat{F} \circ \alpha(x)$

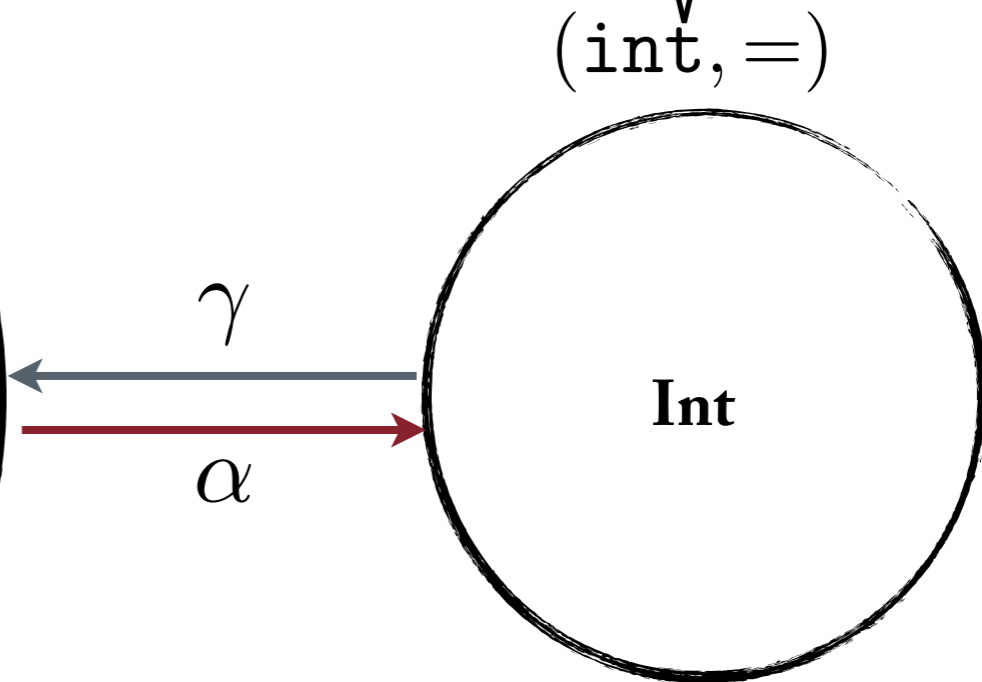


# Abstraction, Abstraction, and Abstraction Refinement

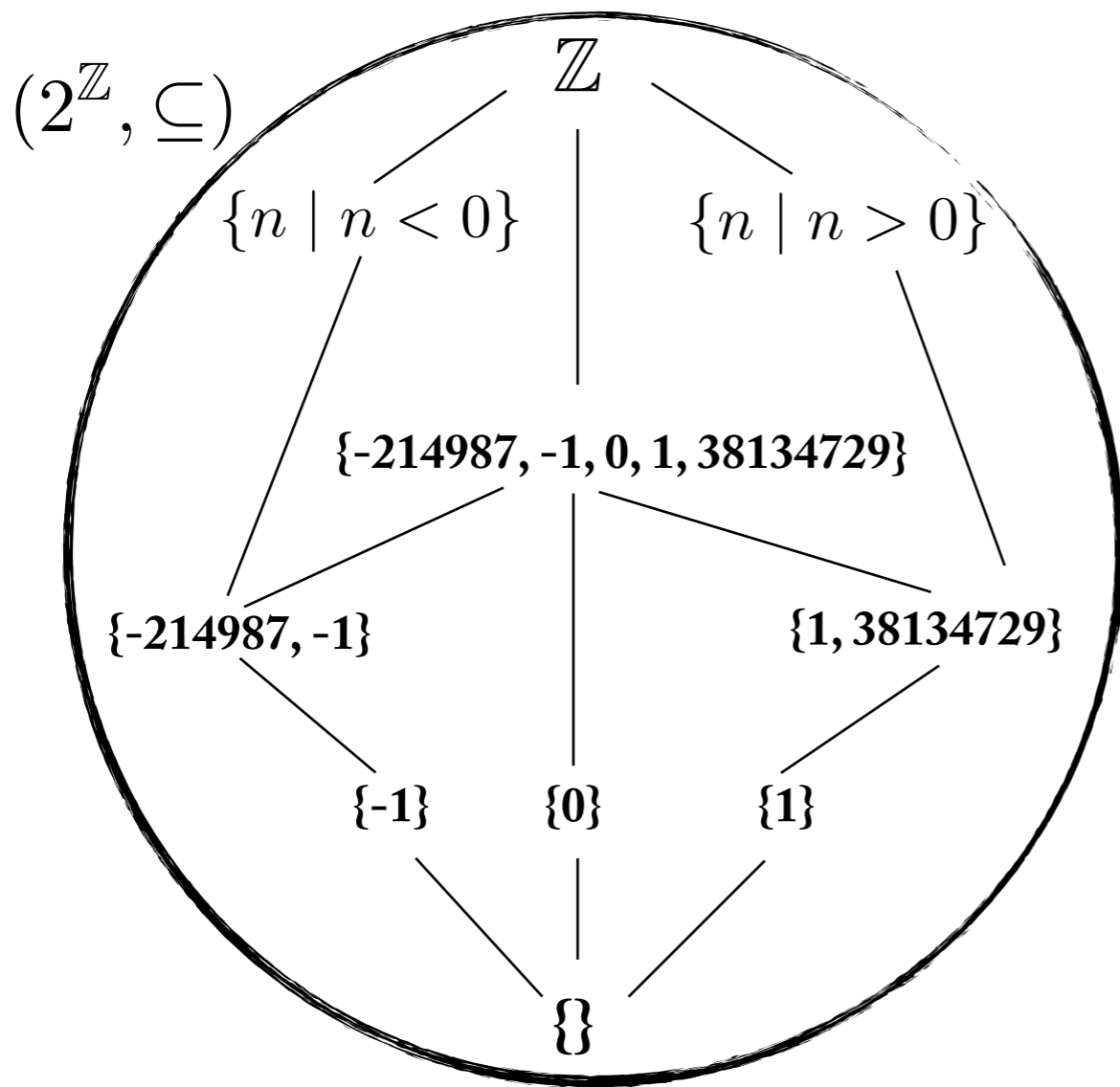


Concrete Domain :  $D$

Sound...  
But too imprecise!

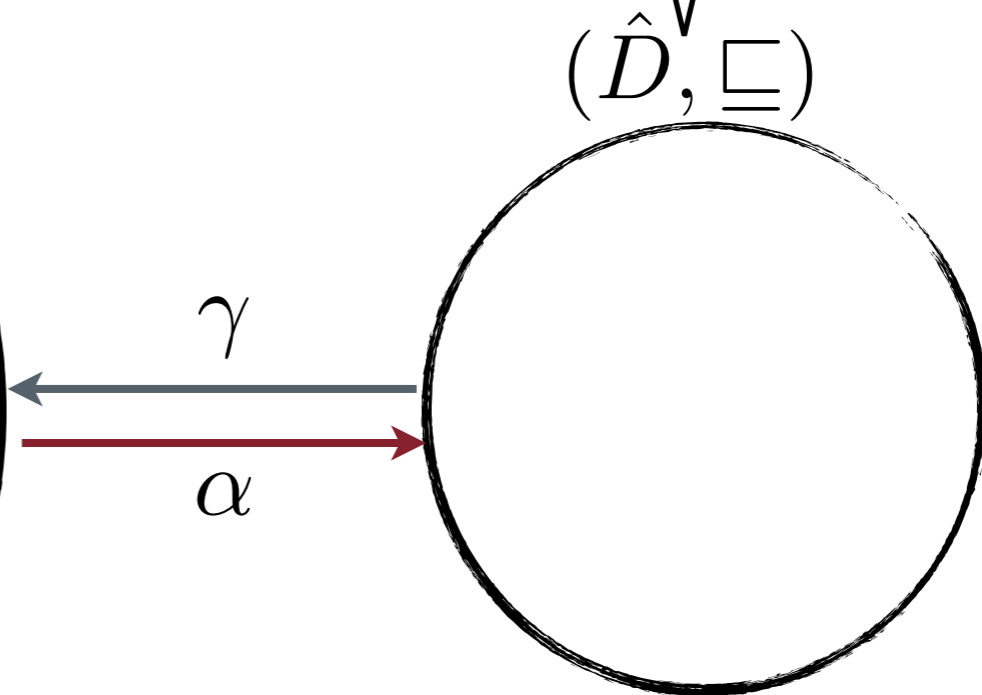


Abstract Domain :  $\hat{D}$



Concrete Domain :  $D$

But if I provide very precise abstract domain, then it would take long time to verify...



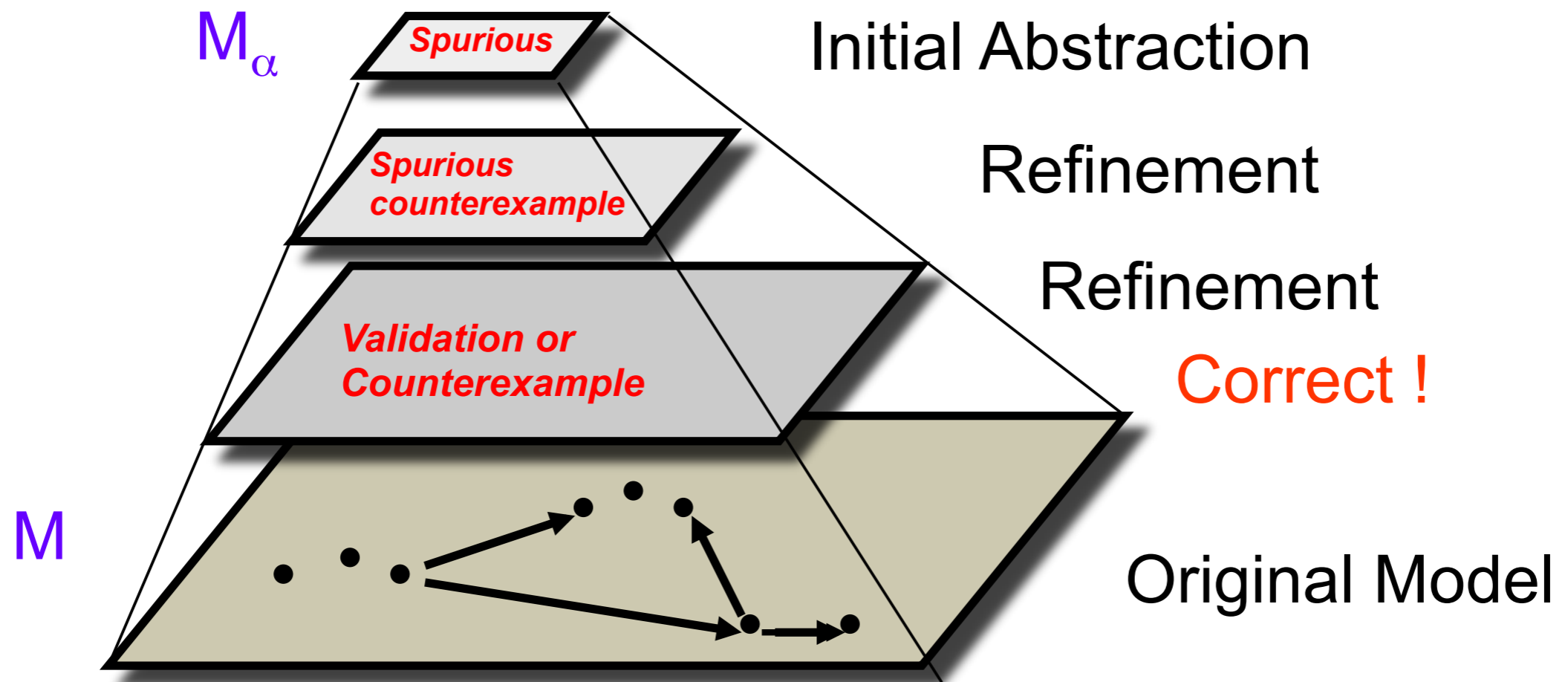
Abstract Domain :  $\hat{D}$

“The purpose of **abstraction** is not to be vague, but to create a new semantic level in which one can be absolutely precise.”

- Edsger W. Dijkstra



# Automatic Abstraction Refinement



Thank you