

# Lecture Notes on Sums

15-814: Types and Programming Languages  
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## 1 Introduction

So far in this course we have introduced only basic constructs that exist in pretty much any programming language: functions, Booleans, and pairs. There may be details of syntax and maybe some small semantics differences such as call-by-value vs. call-by-name, but any such differences can be easily explained and debated within the framework set out so far.

At this point we have a choice between several different directions in which we can extend our inquiry into the nature of programming language.

**Precision of Types.** We can make types more or less precise in what they say about the program. For example, we might have type containing just true and another containing just false. At the end of this spectrum would be *dependent types* so precise that they can completely specify a function.

**Expressiveness of Types.** We can analyze which programs can not be typed and make the type system accept more programs, as long as it remains sound.

**Computational Mechanisms.** So far computation in our language is *value-oriented* in that evaluating an expression returns a value, but it cannot have any effect such as mutating a store, performing input or output, raising an exception, or execute concurrently.

**Level of Dynamics.** The rules for computation are at a very high level of abstraction and do not talk about, for example, where data might be

allocated in memory, or how functions are compiled. A language admits a range of different operational specifications at different levels of abstraction.

**Equality and Reasoning.** We have introduced typing rules, but no informal or formal system for reasoning about programs. This might include various definitions when we might consider programs to be equal, and rules for establishing equality. Or it might include a language for specifying programs and rules for establishing that they satisfy their specifications. Under this general heading we might also consider translations between different languages and showing their correctness.

All of these are interesting and the subject of ongoing research in programming languages. At the moment, we do not yet have enough infrastructure to make most of these questions rich and interesting. So in the next few lectures we will introduce additional types and corresponding expressions to make the language expressive enough to recover partial recursive functions over interesting forms of data such as natural numbers, lists, trees, etc.

## 2 Disjoint Sums

Type theory is an open-ended enterprise: we are always looking to capture types of data, modes of computation, properties of programs, etc. One important building block are *type constructors* that build more complicated types out of simpler ones. The function type constructor  $\tau_1 \rightarrow \tau_2$  is one example. Today we see another one: disjoint sums  $\tau_1 + \tau_2$ . A value of this type is either a value of type  $\tau_1$  or a value of type  $\tau_2$  *tagged with the information about which side of the sum it is*. This last part is critical and distinguishes it from the *union type* which is not tagged and much more difficult to integrate soundly into a programming language. We use  $\ell$  and  $r$  as *tags* or *labels* and write  $\ell \cdot e_1$  for the expression of type  $\tau_1 + \tau_2$  if  $e_1 : \tau_1$  and, analogously,  $r \cdot e_2$  if  $e_2 : \tau_2$ .

$$\frac{\Gamma \vdash e_1 : \tau_1}{\Gamma \vdash \ell \cdot e_1 : \tau_1 + \tau_2} \text{ sum/l} \qquad \frac{\Gamma \vdash e_2 : \tau_2}{\Gamma \vdash r \cdot e_2 : \tau_1 + \tau_2} \text{ sum/r}$$

These two forms of expressions allow us to form elements of the disjoint sum. To destruct such a sum we need a case construct that discriminates

based on whether element of the sum is injected on the left or on the right.

$$\frac{\Gamma \vdash e : \tau_1 + \tau_2 \quad \Gamma, x_1 : \tau_1 \vdash e_1 : \tau \quad \Gamma, x_2 : \tau_2 \vdash e_2 : \tau}{\Gamma \vdash \text{case } e (\ell \cdot x_1 \Rightarrow e_1 \mid r \cdot x_2 \Rightarrow e_2) : \tau} \text{ case/sum}$$

Let's talk through this rule. The subject of the case should have type  $\tau_1 + \tau_2$  since this is what we are discriminating. If the value of this type is  $\ell \cdot v_1$  then by the typing rule for the left injection,  $v_1$  must have type  $\tau_1$ . Since the variable  $x_1$  stands for  $v_1$  it should have type  $\tau_1$  in the first branch. Similarly,  $x_2$  should have type  $\tau_2$  in the second branch. Since we cannot tell until the program executes which branch will be taken, just like the conditional in the last lecture, we require that both branches have the same type  $\tau$ , which is also the type of the whole case.

From this, we can also deduce the value and stepping judgments for the new constructs.

$$\frac{e \text{ val}}{l \cdot e \text{ val}} \text{ val/l} \qquad \frac{e \text{ val}}{r \cdot e \text{ val}} \text{ val/r}$$

$$\frac{e \mapsto e'}{l \cdot e \mapsto l \cdot e'} \text{ step/l} \qquad \frac{e \mapsto e'}{r \cdot e \mapsto r \cdot e'} \text{ step/r}$$

$$\frac{e_0 \mapsto e'_0}{\text{case } e_0 (\dots \mid \dots) \mapsto \text{case } e'_0 (\dots \mid \dots)} \text{ step/case/sum}_0$$

$$\frac{v_1 \text{ val}}{\text{case } (\ell \cdot v_1) (\ell \cdot x_1 \Rightarrow e_1 \mid \dots) \mapsto [v_1/x_1]e_1} \text{ step/case/sum/l}$$

$$\frac{v_2 \text{ val}}{\text{case } (r \cdot v_2) (\dots \mid r \cdot x_2 \Rightarrow e_2) \mapsto [v_2/x_2]e_2} \text{ step/case/sum/r}$$

We have carefully constructed our rules so that the new cases in the preservation and progress theorems should be straightforward.

### Theorem 1 (Preservation)

If  $\cdot \vdash e : \tau$  and  $e \mapsto e'$  then  $\cdot \vdash e' : \tau$

**Proof:** Before we dive into the new case, a remark on the rule. We can see that the type of an expression  $\ell \cdot e_1$  is inherently ambiguous, even if we know that  $e_1 : \tau_1$ . In fact, it will have the type  $\tau_1 + \tau_2$  for *every* type  $\tau_2$ . This is acceptable because we either use bidirectional type checking, in which

case both  $\tau_1 + \tau_2$  and  $\ell \cdot e_1$  are given to use, or we use some form of type inference that will determine the most general type for an expression.

In any case, these considerations do not affect type preservation. There, we just need to show that *any* type  $\tau$  that  $e$  possesses will also be a type of  $e'$  if  $e \mapsto e'$ . Now, it is completely possible that  $e'$  will have *more* types than  $e$ , but that doesn't contradict the theorem.<sup>1</sup>

The proof of preservation proceeds as usual, by rule on induction on the step  $e \mapsto e'$ , applying inversion of the typing of  $e$ . We show only the new cases, because the cases for all other constructs remain exactly as before. We assume that the substitution property carries over.

**Case:**

$$\frac{e_1 \mapsto e'_1}{\ell \cdot e_1 \mapsto \ell \cdot e'_1} \text{ step/l}$$

where  $e = \ell \cdot e_1$  and  $e' = \ell \cdot e'_1$

$\cdot \vdash \ell \cdot e_1 : \tau_1 + \tau_2$	Assumption
$\cdot \vdash e_1 : \tau_1$	By inversion
$\cdot \vdash e'_1 : \tau_1$	By ind.hyp.
$\cdot \vdash \ell \cdot e'_1 : \tau_1 + \tau_2$	By rule

**Case:** Rule step/r: analogous to step/l.

**Case:** Rule step/case/sum<sub>0</sub>: similar to the previous two cases.

**Case:**

$$\frac{v_1 \text{ val}}{\text{case } (\ell \cdot v_1) (\ell \cdot x_1 \Rightarrow e_1 \mid \dots) \mapsto [v_1/x_1]e_1} \text{ step/case/sum/l}$$

where  $e = \text{case } (\ell \cdot v_1) (\ell \cdot x_1 \Rightarrow e_1 \mid \dots)$  and  $e' = [v_1/x_1]e_1$ .

$\cdot \vdash \text{case } (\ell \cdot v_1) (\ell \cdot x_1 \Rightarrow e_1 \mid r \cdot x_2 \Rightarrow e_2) : \tau$	Assumption
$\cdot \vdash \ell \cdot v_1 : \tau_1 + \tau_2$ and	
$x_1 : \tau_1 \vdash e_1 : \tau$ , and $x_2 : \tau_2 \vdash e_2 : \tau$ for some $\tau_1$ and $\tau_2$	By inversion
$\cdot \vdash v_1 : \tau_1$	By inversion
$[v_1/x_1]e_1 : \tau$	By the substitution property

<sup>1</sup>It is an instructive exercise to construct a well-typed closed term  $e$  with  $e \mapsto e'$  such that  $e'$  has more types than  $e$ .

**Case:** Rule step/case/sum/r: analogous to the previous case. □

The progress theorem proceeds by induction on the typing derivation, as usual, analyzing the possible cases. Before we do that, it is always helpful to call out the canonical forms theorem that characterizes well-typed values. New here is part (v).

**Theorem 2 (Canonical Forms)** *Assume  $v$  val.*

- (i) *If  $\cdot \vdash v : \tau_1 \rightarrow \tau_2$  then  $v = \lambda x_1. e_2$  for some  $x_1$  and  $e_2$ .*
- (ii) *If  $\cdot \vdash v : \text{bool}$  then  $v = \text{true}$  or  $v = \text{false}$ .*
- (iii) *If  $\cdot \vdash v : \tau_1 \times \tau_2$  then  $v = \langle v_1, v_2 \rangle$  for some  $v_1$  val and  $v_2$  val.*
- (iv) *If  $\cdot \vdash v : 1$  then  $v = \langle \rangle$ .*
- (v) *If  $\cdot \vdash v : \tau_1 + \tau_2$  then  $v = \ell \cdot v_1$  for some  $v_1$  val or  $v = r \cdot v_2$  for some  $v_2$  val.*

**Proof sketch:** For each part, analyzing all the possible cases for the value and typing judgments. □

**Theorem 3 (Progress)**

*If  $\cdot \vdash e : \tau$  then either  $e \mapsto e'$  for some  $e'$  or  $e$  val.*

**Proof:** By rule induction on the given typing derivation.

**Cases:** For constructs pertaining to types  $\tau_1 \rightarrow \tau_2$ ,  $\text{bool}$ ,  $\tau_1 \times \tau_2$ , and  $1$  just as before since we did not change their rules.

**Case:**

$$\frac{\cdot \vdash e_1 : \tau_1}{\cdot \vdash \ell \cdot e_1 : \tau_1 + \tau_2} \text{sum/l}$$

where  $e = \ell \cdot e_1$ .

Either  $e_1 \mapsto e'_1$  for some  $e'_1$  or  $e_1$  val

By ind.hyp.

$$\begin{array}{l} e_1 \mapsto e'_1 \\ \ell \cdot e_1 \mapsto \ell \cdot e'_1 \end{array}$$

Subcase  
By rule step/l

$$\begin{array}{l} e_1 \text{ val} \\ \ell \cdot e_1 \text{ val} \end{array}$$

Subcase  
By rule val/l

**Case:** Typing of  $r \cdot e_2$ : analogous to previous case.

**Case:**

$$\frac{\cdot \vdash e_0 : \tau_1 + \tau_2 \quad \cdot, x_1 : \tau_1 \vdash e_1 : \tau \quad \cdot, x_2 : \tau_2 \vdash e_2 : \tau}{\cdot \vdash \text{case } e_0 (\ell \cdot x_1 \Rightarrow e_1 \mid r \cdot x_2 \Rightarrow e_2) : \tau} \text{ case/sum}$$

where  $e = \text{case } e_0 (\ell \cdot x_1 \Rightarrow e_1 \mid r \cdot x_2 \Rightarrow e_2)$ .

Either  $e_0 \mapsto e'_0$  for some  $e'_0$  or  $e_0 \text{ val}$  By ind.hyp.

$$\begin{array}{ll} e_0 \mapsto e'_0 & \text{Subcase} \\ e = \text{case } e_0 (\ell \cdot x_1 \Rightarrow e_1 \mid r \cdot x_2 \Rightarrow e_2) & \\ \mapsto \text{case } e'_0 (\ell \cdot x_1 \Rightarrow e_1 \mid r \cdot x_2 \Rightarrow e_2) & \text{By rule step/case/sum}_0 \end{array}$$

$$\begin{array}{ll} e_0 \text{ val} & \text{Subcase} \\ e_0 = \ell \cdot e'_0 \text{ for some } e'_0 \text{ val} & \\ \text{or } e_0 = r \cdot e'_0 \text{ for some } e'_0 \text{ val} & \text{By canonical forms (Theorem 2)} \end{array}$$

$$\begin{array}{ll} e_0 = \ell \cdot e'_0 \text{ and } e'_0 \text{ val} & \text{Sub}^2\text{case} \\ e = \text{case } (\ell \cdot e'_0) (\ell \cdot x_1 \Rightarrow e_1 \mid \dots) \mapsto [e'_0/x_1]e_1 & \\ & \text{By rule step/case/sum/l} \end{array}$$

$$\begin{array}{ll} e_0 = r \cdot e'_0 \text{ and } e'_0 \text{ val} & \text{Sub}^2\text{case} \\ e = \text{case } (r \cdot e'_0) (\dots \mid r \cdot x_2 \Rightarrow e_2) \mapsto [e'_0/x_2]e_2 & \\ & \text{By rule step/case/sum/r} \end{array}$$

□

### 3 Sums and Unit

Once we have sums and the unit type from the previous lecture, we can now *define* the Boolean type.

$$\begin{array}{ll} \text{bool} & \triangleq 1 + 1 \\ \text{true} & \triangleq \ell \cdot \langle \rangle \\ \text{false} & \triangleq r \cdot \langle \rangle \\ \text{if } e_0 \text{ } e_1 \text{ } e_2 & \triangleq \text{case } e_0 (\ell \cdot x_1 \Rightarrow e_1 \mid r \cdot x_2 \Rightarrow e_2) \\ & \text{(provided } x_1 \notin \text{FV}(e_1) \text{ and } x_2 \notin \text{FV}(e_2)) \end{array}$$

The provisos on the last definition are important because we don't want to accidentally capture a free variable in  $e_1$  or  $e_2$  during the translation.

Using 1 we can define other types. For example

$$\text{option } \tau = \tau + 1$$

represents an optional value of type  $\tau$ . Its values are  $\ell \cdot v$  for  $v : \tau$  (we have a value) or  $r \cdot \langle \rangle$  (we have not value of type  $\tau$ ).

A more interesting examples would be the natural numbers:

$$\begin{aligned} \text{nat} &= 1 + (1 + (1 + \dots)) \\ \bar{0} &= \ell \cdot \langle \rangle \\ \bar{1} &= r \cdot (\ell \cdot \langle \rangle) \\ \bar{2} &= r \cdot (r \cdot (\ell \cdot \langle \rangle)) \\ \text{succ} &= \lambda n. r \cdot n \end{aligned}$$

Unfortunately, “ $\dots$ ” is not really permitted in the definition of types. We could define it *recursively* as

$$\text{nat} = 1 + \text{nat}$$

but supporting this style of recursive type definition is not straightforward. So natural numbers, if we want to build them up from simpler components rather than as a primitive, require a unit type, sums, and recursive types.

## 4 The Empty Type

We have the singleton type 1, a type with two elements,  $1 + 1$ , so can we also have a type with no elements? Yes! We’ll call it 0 because it will satisfy that  $0 + \tau \cong \tau$ . There are no constructors and no values of this type, so the *e val* judgment is not extended.

If we think of 0 as a nullary sum, we expect there still to be a destructor. But instead of two branches it has zero branches!

$$\frac{\Gamma \vdash e_0 : 0}{\Gamma \vdash \text{case } e_0 () : \tau}$$

Computation also makes some sense with a congruence rule reducing the subject, but the case can never be reduced.

$$\frac{e_0 \mapsto e'_0}{\text{case } e_0 () \mapsto \text{case } e'_0 ()}$$

Progress and preservation extend somewhat easily, and the canonical forms property is extended with

(vi) If  $\cdot \vdash v : 0$  then we have a contradiction.

The empty type has somewhat limited uses precisely because there is no value of this type. However, there may still be expression  $e$  such that  $\cdot \vdash e : 0$  if we have explicitly nonterminating expressions. Such terms can appear the subject of a case where they reduce forever by the only rule. We can also ask, for example, what would be functions from  $0 \rightarrow 0$ . We find:

$$\begin{aligned} \lambda x. x & : 0 \rightarrow 0 \\ \lambda x. \text{case } x \text{ } () & : 0 \rightarrow 0 \\ \lambda x. \perp & : 0 \rightarrow 0 \end{aligned}$$

where  $\perp$  is introduced in Exercise L6.1.

## 5 More Isomorphisms

One of the properties that is easy to check is that  $\tau + \sigma \cong \sigma + \tau$ . But is 0 the unit of +? We want to check that  $\tau + 0 \cong \tau$ .

$$\begin{aligned} \text{Forth} & = \lambda s. \text{case } s \text{ } (\ell \cdot x \Rightarrow x \mid r \cdot y \Rightarrow \text{case } y \text{ } ()) \\ \text{Back} & = \lambda x. \ell \cdot x \end{aligned}$$

We have two properties to check. The first is that for all  $v : \tau + 0$  we have  $\text{Back} (\text{Forth } v) = v$ . By the canonical forms theorem, either  $v = \ell \cdot v_1$  for a value  $v_1 : \tau$ , or  $v = r \cdot v_2$  for  $v_2 : 0$ . But the latter is impossible, so we only have to check the first case.

$$\begin{aligned} & \text{Back} (\text{Forth } v) \\ = & \text{Back} (\text{Forth } (\ell \cdot v_1)) \\ = & \text{Back} ((\lambda s. \text{case } s \text{ } (\ell \cdot x \Rightarrow x \mid r \cdot y \Rightarrow \text{case } y \text{ } ())) (\ell \cdot v_1)) \\ \mapsto & \text{Back} (\text{case } (\ell \cdot v_1) \text{ } (\ell \cdot x \Rightarrow x \mid \dots)) \\ \mapsto & \text{Back } v_1 \\ = & (\lambda x. \ell \cdot x) v_1 \\ \mapsto & \ell \cdot v_1 \\ = & v \end{aligned}$$

In other other direction, assume we have  $v : \tau$ . We reason

$$\begin{aligned} & \text{Forth} (\text{Back } v) \\ = & \text{Forth} ((\lambda x. \ell \cdot x) v) \\ \mapsto & \text{Forth} (\ell \cdot v) \\ = & (\lambda s. \text{case } s \text{ } (\ell \cdot x \Rightarrow x \mid r \cdot y \Rightarrow \text{case } y \text{ } ())) (\ell \cdot v) \\ \mapsto & \text{case } (\ell \cdot v) \text{ } (\ell \cdot x \Rightarrow x \mid \dots) \\ \mapsto & v \end{aligned}$$



An example we considered in lecture was  $\tau \times 0 \cong 0$ .

$$\begin{aligned} \text{Forth} &= \lambda p. \text{case } (\langle x, y \rangle \Rightarrow y) \\ \text{Back} &= \lambda z. \text{case } z \text{ } () \end{aligned}$$

First, we want to check that  $\text{Back} \circ \text{Forth} = \lambda p. p$ , which means that for every value  $v : \tau \times 0$  we have  $\text{Back} (\text{Forth } v) = v$ . But, by the canonical forms theorem applied twice, there is no value of type  $\tau \times 0$  so this equation holds vacuously. And similarly for the other direction. In essence  $\tau \times 0 \cong 0$  because both types are empty (and we have well-typed functions going between them).

We can speculate some other isomorphism, based on an kind of arithmetic interpretation of the types. For example,  $\times$  might distribute over  $+$ :

$$\tau \times (\sigma + \rho) \stackrel{?}{\cong} (\tau \times \sigma) + (\tau \times \rho)$$

Some strange ones pop up if we think of  $\sigma \rightarrow \tau$  as  $\tau^\sigma$ . The reason to even conjecture this is because we have already checked that  $\rho \rightarrow (\sigma \rightarrow \tau) \cong (\rho \times \sigma) \rightarrow \tau$  which could be written as  $(\tau^\sigma)^\rho \cong \tau^{\sigma \times \rho}$ .

$$\begin{aligned} 2 \rightarrow \tau &\stackrel{?}{\cong} \tau \times \tau \\ 1 \rightarrow \tau &\stackrel{?}{\cong} \tau \\ 0 \rightarrow \tau &\stackrel{?}{\cong} 1 \end{aligned}$$

While odd, these are not ridiculous. Consider the first one, and recall that  $1 + 1 \cong \text{bool}$ . In one direction, we can apply the given function to true and false to obtain two values, in other direction we can set the given values as result of the function on true and false, respectively. Do these functions constitute an isomorphism?

A key aspect of considering these will be to more precise about the notion of equality between values and expressions.

## 6 Some Derived Notation

Once we know that the sum is associative and commutative with unit 0 we can introduce a derived notation that is useful for practical purposes: rather than just using labels  $\ell$  and  $r$  for a binary sum, we can allow a *finite set*  $L$  of labels (think of them as strings) and write

$$(\ell_1 : \tau_1) + \cdots + (\ell_n : \tau_n)$$

where each summand is marked with a distinct label. We also write this is as

$$\sum_{\ell \in L} (\ell : \tau_\ell)$$

The 0 arises from  $L = \emptyset$  and we might define

$$\begin{aligned} \text{bool} &= (\text{true} : 1) + (\text{false} : 1) \\ \text{option } \tau &= (\text{none} : 1) + (\text{some} : \tau) \\ \text{order} &= (\text{less} : 1) + (\text{equal} : 1) + (\text{greater} : 1) \\ \text{nat} &= (\text{zero} : 1) + (\text{succ} : \text{nat}) \\ \text{list } \tau &= (\text{nil} : 1) + (\text{cons} : \tau \times \text{list } \tau) \end{aligned}$$

Of course, to make sense of the last two we will need to introduce *recursive types*.

This generalized form of sum also comes with a generalized constructor (allowing any label of a sum) and case expression (requiring a branch for each label of a sum). We may introduce a more formal syntax at a future time.

## 7 Summary

We present a brief summary of the language of types and expressions we have defined so far.

$$\begin{array}{ll} \text{Types} & \tau ::= \alpha \mid \tau_1 \rightarrow \tau_2 \mid \tau_1 \times \tau_2 \mid 1 \mid \tau_1 + \tau_2 \mid 0 \\ \text{Expressions } e & ::= x \mid \lambda x. e \mid e_1 e_2 \quad (\rightarrow) \\ & \mid \langle e_1, e_2 \rangle \mid \text{case } e_0 (\langle x_1, x_2 \rangle \Rightarrow e') \quad (\times) \\ & \mid \langle \rangle \mid \text{case } e_0 (\langle \rangle \Rightarrow e') \quad (1) \\ & \mid \ell \cdot e \mid r \cdot e \mid \text{case } e_0 (\ell \cdot x_1 \Rightarrow e_1 \mid r \cdot x_2 \Rightarrow e_2) \quad (+) \\ & \mid \text{case } e_0 () \quad (0) \end{array}$$

### Functions.

$$\frac{\Gamma, x_2 : \tau_2 \vdash e_1 : \tau_1}{\Gamma \vdash \lambda x_2. e_1 : \tau_2 \rightarrow \tau_1} \text{ lam} \quad \frac{x : \tau \in \Gamma}{\Gamma \vdash x : \tau} \text{ var}$$

$$\frac{\Gamma \vdash e_1 : \tau_2 \rightarrow \tau_1 \quad \Gamma \vdash e_2 : \tau_2}{\Gamma \vdash e_1 e_2 : \tau_1} \text{ app}$$

$$\begin{array}{c}
\frac{}{\lambda x. e \text{ val}} \text{ val/lam} \\
\frac{e_1 \mapsto e'_1}{e_1 e_2 \mapsto e'_1 e_2} \text{ step/app}_1 \quad \frac{v_1 \text{ val} \quad e_2 \mapsto e'_2}{v_1 e_2 \mapsto v_1 e'_2} \text{ step/app}_2 \\
\frac{v_2 \text{ val}}{(\lambda x. e_1) v_2 \mapsto [v_2/x]e_1} \text{ beta}
\end{array}$$

**Products.**

$$\begin{array}{c}
\frac{\Gamma \vdash e_1 : \tau_1 \quad \Gamma \vdash e_2 : \tau_2}{\Gamma \vdash \langle e_1, e_2 \rangle : \tau_1 \times \tau_2} \text{ pair} \\
\frac{\Gamma \vdash e : \tau_1 \times \tau_2 \quad \Gamma, x_1 : \tau_1, x_2 : \tau_2 \vdash e' : \tau'}{\Gamma \vdash \text{case } e \langle \langle x_1, x_2 \rangle \Rightarrow e' \rangle : \tau'} \text{ case/pair}
\end{array}$$

$$\begin{array}{c}
\frac{e_1 \text{ val} \quad e_2 \text{ val}}{\langle e_1, e_2 \rangle \text{ val}} \text{ val/pair} \\
\frac{e_1 \mapsto e'_1}{\langle e_1, e_2 \rangle \mapsto \langle e'_1, e_2 \rangle} \text{ step/pair}_1 \quad \frac{e_1 \text{ val} \quad e_2 \mapsto e'_2}{\langle e_1, e_2 \rangle \mapsto \langle e_1, e'_2 \rangle} \text{ step/pair}_2 \\
\frac{e_0 \mapsto e'_0}{\text{case } e_0 \langle \langle x_1, x_2 \rangle \Rightarrow e_3 \rangle \mapsto \text{case } e'_0 \langle \langle x_1, x_2 \rangle \Rightarrow e_3 \rangle} \text{ step/case/pair}_0 \\
\frac{v_1 \text{ val} \quad v_2 \text{ val}}{\text{case } \langle v_1, v_2 \rangle \langle \langle x_1, x_2 \rangle \Rightarrow e_3 \rangle \mapsto [v_1/x_2][v_2/x_2]e_3} \text{ step/case/pair}
\end{array}$$

**Unit.**

$$\begin{array}{c}
\frac{}{\Gamma \vdash \langle \rangle : 1} \text{ unit} \quad \frac{\Gamma \vdash e_0 : 1 \quad \Gamma \vdash e' : \tau}{\Gamma \vdash \text{case } e_0 \langle \langle \rangle \Rightarrow e' \rangle : \tau} \text{ case/unit}
\end{array}$$

$$\frac{}{\langle \rangle \text{ val}} \text{ val/unit}$$

$$\frac{e_0 \mapsto e'_0}{\text{case } e_0 (\langle \rangle \Rightarrow e_1) \mapsto \text{case } e'_0 (\langle \rangle \Rightarrow e_1)} \text{ step/case/unit}_0$$

$$\frac{}{\text{case } \langle \rangle (\langle \rangle \Rightarrow e_1) \mapsto e_1} \text{ step/case/unit}$$

**Sums.**

$$\frac{\Gamma \vdash e_1 : \tau_1}{\Gamma \vdash l \cdot e_1 : \tau_1 + \tau_2} \text{ sum/l} \quad \frac{\Gamma \vdash e_2 : \tau_2}{\Gamma \vdash r \cdot e_2 : \tau_1 + \tau_2} \text{ sum/r}$$

$$\frac{\Gamma \vdash e_0 : \tau_1 + \tau_2 \quad \Gamma, x_1 : \tau_1 \vdash e_1 : \tau \quad \Gamma, x_2 : \tau_2 \vdash e_2 : \tau}{\Gamma \vdash \text{case } e_0 (l \cdot x_1 \Rightarrow e_1 \mid r \cdot x_2 \Rightarrow e_2) : \tau} \text{ case/sum}$$

$$\frac{\frac{e \text{ val}}{l \cdot e \text{ val}} \text{ val/l}}{\frac{e \mapsto e'}{l \cdot e \mapsto l \cdot e'} \text{ step/l}} \text{ val/l} \quad \frac{\frac{e \text{ val}}{r \cdot e \text{ val}} \text{ val/r}}{\frac{e \mapsto e'}{r \cdot e \mapsto r \cdot e'} \text{ step/r}} \text{ val/r}$$

$$\frac{e_0 \mapsto e'_0}{\text{case } e_0 (\dots \mid \dots) \mapsto \text{case } e'_0 (\dots \mid \dots)} \text{ step/case/sum}_0$$

$$\frac{v_1 \text{ val}}{\text{case } (l \cdot v_1) (l \cdot x_1 \Rightarrow e_1 \mid \dots) \mapsto [v_1/x_1]e_1} \text{ step/case/sum/l}$$

$$\frac{v_2 \text{ val}}{\text{case } (r \cdot v_2) (\dots \mid r \cdot x_2 \Rightarrow e_2) \mapsto [v_2/x_2]e_2} \text{ step/case/sum/r}$$

**Zero.**

$$\frac{\Gamma \vdash e_0 : 0}{\Gamma \vdash \text{case } e_0 () : \tau} \text{ case/zero}$$

$$\frac{e_0 \mapsto e'_0}{\text{case } e_0 () \mapsto \text{case } e'_0 ()} \text{ step/case/zero}_0$$

## Exercises

**Exercise 1** Intuitively it should be clear that  $1 \not\cong 1 + 1$  because 1 has one element and  $1 + 1$  has two. Prove that they are not isomorphic according to our definition of isomorphism between types.

**Exercise 2** Exhibit the functions *Forth* and *Back* witnessing the following isomorphisms. You do not need to prove that they constitute an isomorphism, just show the functions. We remain here in the pure language of Section 7 where every function is terminating.

(i)  $\tau \times (\sigma + \rho) \cong (\tau \times \sigma) + (\tau \times \rho)$

(ii)  $2 \rightarrow \tau \cong \tau \times \tau$

(iii)  $1 \rightarrow \tau \cong \tau$

(iv)  $0 \rightarrow \tau \cong 1$

(v)  $(\sigma + \rho) \rightarrow \tau \cong (\sigma \rightarrow \tau) \times (\rho \rightarrow \tau)$

**Exercise 3** Many of the type isomorphisms follow arithmetic equalities, interpreting  $\tau + \sigma$  as addition,  $\tau \times \sigma$  as multiplication, and  $\tau \rightarrow \sigma$  as exponentiation  $\sigma^\tau$  (see Exercise 2).

But there are also differences. In arithmetic, we have an additive inverse  $-a$  such that  $a + (-a) = 0$ . Prove that there can be no general type constructor  $-\tau$  such that  $\tau + (-\tau) \cong 0$ .