Announcements

- Is your account working yet?
 - –Watch out for ^M and missing newlines
- Assignment 1 is due Friday at midnight
- Check the webpage and bboards for answers to questions about the assignment
- Questions on Assignment 1?

Transformations

Vectors, bases, and matrices Translation, rotation, scaling Postscript Examples Homogeneous coordinates 3D transformations 3D rotations Transforming normals Nonlinear deformations

Watt, Chapter 1.1-1.3

Chapter 5.1

Uses of Transformations

- Modeling transformations
 - build complex models by positioning simple components
 - transform from object coordinates to world coordinates
- Viewing transformations
 - placing the virtual camera in the world
 - i.e. specifying transformation from world coordinates to camera coordinates
- Animation

- vary transformations over time to create motion



General Transformations



$$Q = T(P)$$
 for points
 $V = R(u)$ for vectors

Rigid Body Transformations



Non-rigid Body Transformations



Background Math: Linear Combinations of Vectors

- Given two vectors, A and B, walk any distance you like in the A direction, then walk any distance you like in the B direction
- The set of all the places (vectors) you can get to this way is the set of *linear combinations* of A and B.
- A set of vectors is said to be *linearly independent* if none of them is a linear combination of the others.



$$\mathbf{V} = \mathbf{v}_1 \mathbf{A} + \mathbf{v}_2 \mathbf{B}, \ (\mathbf{v}_1, \mathbf{v}_2) \in \mathfrak{R}$$

Bases

- A basis is a linearly independent set of vectors whose combinations will get you anywhere within a space, i.e. span the space
- *n* vectors are required to span an *n*-dimensional space
- if the basis vectors are normalized and mutually orthogonal the basis is orthonormal
- there are *lots* of possible bases for a given vector space; there's nothing special about a particular basis—but our favorite is probably one of these.



Vectors Represented in a Basis

- Every vector has a unique representation in a given basis
 - -the multiples of the basis vectors are the vector's components or coordinates
 - -changing the basis changes the components, but not the vector

$$-V = v_1 E_1 + v_2 E_2 + \dots + v_n E_n$$

The vectors $\{E_1, E_2, ..., E_n\}$ are the *basis*

The scalars $(v_1, v_2, ..., v_n)$ are the *components* of V with respect to that basis

Rotation and Translation of a Basis



Linear and Affine Maps

B

• A function (or map, or transformation) F is *linear* if

F(A+B) = F(A) + F(B)F(kA) = k F(A)

for all vectors A and B, and all scalars k.

Any linear map is *completely specified* by its effect on a set of basis vectors:

 $V = v_1 E_1 + v_2 E_2 + v_3 E_3$ $F(V) = F(v_1 E_1 + v_2 E_2 + v_3 E_3)$ $= F(v_1 E_1) + F(v_2 E_2) + F(v_3 E_3)$ $= v_1 F(E_1) + v_2 F(E_2) + v_3 F(E_3)$

- A function F is *affine* if it is linear plus a translation
 - Thus the 1-D transformation y=mx+b is not linear, but affine
 - Similarly for a translation and rotation of a coordinate system
 - Affine transformations preserve lines

Transforming a Vector

• The coordinates of the transformed basis vector (in terms of the original basis vectors):

 $\begin{aligned} \mathbf{F}(\mathbf{E}_1) &= f_{11}\mathbf{E}_1 + f_{21}\mathbf{E}_2 + f_{31}\mathbf{E}_3 \\ \mathbf{F}(\mathbf{E}_2) &= f_{12}\mathbf{E}_1 + f_{22}\mathbf{E}_2 + f_{32}\mathbf{E}_3 \\ \mathbf{F}(\mathbf{E}_3) &= f_{13}\mathbf{E}_1 + f_{23}\mathbf{E}_2 + f_{33}\mathbf{E}_3 \end{aligned}$

• The transformed general vector V becomes:

$$F(V) = v_1 F(E_1) + v_2 F(E_2) + v_3 F(E_3)$$

= $(f_{11}E_1 + f_{21}E_2 + f_{31}E_3)v_1 + (f_{12}E_1 + f_{22}E_2 + f_{32}E_3)v_2 + (f_{13}E_1 + f_{23}E_2 + f_{33}E_3)v_3$
= $(f_{11}v_1 + f_{12}v_2 + f_{13}v_3)E_1 + (f_{21}v_1 + f_{22}v_2 + f_{23}v_3)E_2 + (f_{31}v_1 + f_{32}v_2 + f_{33}v_3)E_3$
and its *coordinates* (still w.r.t. E) are
 $\hat{v}_1 = (f_{11}v_1 + f_{12}v_2 + f_{13}v_3)$
 $\hat{v}_2 = (f_{21}v_1 + f_{22}v_2 + f_{23}v_3)$
 $\hat{v}_3 = (f_{31}v_1 + f_{32}v_2 + f_{33}v_3)$

or just $\hat{v}_i = \sum_j f_{ij} v_j$ The matrix multiplication formula!

Matrices to the Rescue

- An nxn matrix F represents a linear function in n dimensions
 - *i*-th column shows what the function does to the corresponding basis vector
- Transformation = linear combination of columns of F
 - first component of the input vector scales first column of the matrix
 - accumulate into output vector
 - repeat for each column and component
- Usually compute it a different way:
 - dot row *i* with input vector to get component *i* of output vector

 $\hat{v}_i = \sum_i f_{ij} v_j$

$$\left\{ \begin{array}{c} \hat{v}_{1} \\ \hat{v}_{2} \\ \hat{v}_{3} \end{array} \right\} = \left\{ \begin{array}{c} f_{11} f_{12} f_{13} \\ f_{21} f_{22} f_{23} \\ f_{31} f_{32} f_{33} \end{array} \right\} \left\{ \begin{array}{c} v_{1} \\ v_{2} \\ v_{3} \end{array} \right\}$$

Basic 2D Transformations

Translate

$$\begin{aligned} x' &= x + t_{x} \qquad \left\lceil x' \\ y' &= y + t_{y} \end{matrix} \right| = \left\lceil x \\ y' &= x + t \end{aligned} \qquad \mathbf{x'} = x + t \end{aligned}$$
Scale
$$\begin{aligned} x' &= s_{x}x \qquad \left\lceil x' \\ y' &= z \\ y' &= z \\ y' &= x \\ y' &= z \\ y$$

Parameters t, s, and θ are the "control knobs"

Compound Transformations

• Build *compound* transformations by stringing basic ones together, e.g.

"translate p to the origin, rotate, then translate back" can also be described as a rotation about p

• Any sequence of linear transformations can be collapsed into a single matrix formed by multiplying the individual matrices together

$$\hat{v}_{i} = \sum_{j} f_{ij} \left(\sum_{k} g_{jk} v_{k} \right)$$

$$= \sum_{k} \left(\sum_{j} f_{ij} g_{jk} \right) v_{k}$$

$$m_{ij} = \sum_{j} f_{ij} g_{jk}$$

• This is good: can apply a whole sequence of transformation at once



Translate to the origin, rotate, then translate back.

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Postscript (Interlude)

- Postscript is a language designed for
 - -Printed page description
 - -Electronic documents
- A full programming language, with variables, procedures, scope, looping, ...
 - -Stack based, i.e. instead of "1+2" you say "1 2 add"
 - Portable Document Format (PDF) is a semi-compiled version of it (straight line code)
- We'll briefly look at graphics in Postscript
 - –elegant handling of 2-D affine transformations and simple 2-D graphics

2D Transformations in Postscript, 1

0 0 moveto (test) show 1 0 translate 0 0 moveto (test) show 30 rotate 0 0 moveto (test) show







2D Transformations in Postscript, 2

1 2 scale 0 0 moveto (test) show 1 0 translate
 30 rotate
 0 0 moveto
 (test) show

30 rotate
1 0 translate
0 0 moveto
(test) show







2D Transformations in Postscript, 3

30 rotate1 2 scale0 0 moveto(test) show

1 2 scale
 30 rotate
 0 0 moveto
 (test) show

-1 1 scale 0 0 moveto (test) show



Homogeneous Coordinates

•Translation is not linear--how to represent as a matrix?

•Trick: add extra coordinate to each vector

$$\begin{bmatrix} x' & 1 & 0 & t_x & x \\ y' & = & 0 & 1 & t_y & y \\ 1 & 0 & 0 & 1 & 1 \end{bmatrix}$$

•This extra coordinate is the *homogeneous* coordinate, or *w*

•When extra coordinate is used, vector is said to be represented in *homogeneous coordinates*

- •Drop extra coordinate after transformation (project to w=1)
- •We call these matrices *Homogeneous* Transformations

W!? Where did that come from?

- Practical answer:
 - -W is a clever algebraic trick.
 - -Don't worry about it too much. The w value will be 1.0 for the time being.
 - If w is not 1.0, divide all coordinates by w to make it so.
- Clever Academic Answer:
 - -(x,y,w) coordinates form a 3D *projective space*.
 - All nonzero scalar multiples of (x,y,1) form an equivalence class of points that project to the same 2D Cartesian point (x,y).
 - -For 3-D graphics, the 4D projective space point (x,y,z,w) maps to the 3D point (x,y,z) in the same way.

Homogeneous 2D Transformations

The basic 2D transformations become *Translate: Scale: Rotate:*

1	0	t_x	$\int s_x = 0$	0	$\int \cos\theta - \sin\theta$	0
0	1	t_{y}	$0 s_y$	0	$\sin\theta$ $\cos\theta$	0
0	0	1	0 0	1		1

Any affine transformation can be expressed as a combination of these.

We can combine homogeneous transforms by multiplication.

Now *any* sequence of translate/scale/rotate operations can be collapsed into a single homogeneous matrix!

Postscript and OpenGL Transformations

Postscript

Equivalent OpenGL



$$\begin{bmatrix} x' \\ y' \\ 1 \end{bmatrix} = \begin{bmatrix} \cos\theta & -\sin\theta & 0 \\ \sin\theta & \cos\theta & 0 \\ 0 & 0 & 1 \end{bmatrix} \begin{bmatrix} 1 & 0 & t_x \\ 0 & 1 & t_y \\ 0 & 0 & 1 \end{bmatrix} \begin{bmatrix} x \\ y \\ y \\ 1 \end{bmatrix}$$

in this case $\theta = 30^\circ$, $t_x = 1$, $t_y = 0$

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Sequences of Transformations



- Often the same transformations are applied to many points
- Calculation time for the matrices and combination is negligible compared to that of transforming the points
- Reduce the sequence to a single matrix, then transform

Collapsing a Chain of Matrices.

- Consider the composite function ABCD, i.e. p' = ABCDp
- Matrix multiplication isn't commutative the order is important
- But matrix multiplication is associative, so can calculate from right to left or left to right: ABCD = (((AB) C) D) = (A (B (CD))).
- Iteratively replace *either* the leading or the trailing pair by its product



Implementing Transformation Sequences

- Calculate the matrices and cumulatively multiply them into a global *Current Transformation Matrix*
- Postmultiplication is more convenient in hierarchies -- multiplication is computed in the opposite order of function application
- The calculation of the transformation matrix, M,
 - initialize M to the identity
 - in reverse order compute a basic transformation matrix, T
 - post-multiply T into the global matrix M, M \leftarrow MT
- Example to rotate by θ around [x,y]:

```
glLoadIdentity() /* initialize M to identity mat.*/
glTranslatef(x, y, 0) /* LAST: undo translation */
glRotatef(theta,0,0,1) /* rotate about z axis */
glTranslatef(-x, -y, 0) /* FIRST: move [x,y] to origin. */
```

- Remember the last T calculated is the first applied to the points
 - calculate the matrices in reverse order

Column Vector Convention

- The convention in the previous slides
 - -transformation is by matrix times vector, Mv
 - -textbook uses this convention, 90% of the world too

$$\begin{bmatrix} x' & m_{11} & m_{12} & m_{13} & x \\ y' & = & m_{21} & m_{22} & m_{23} & y \\ 1 & m_{31} & m_{32} & m_{33} & 1 \end{bmatrix}$$

 The composite function A(B(C(D(x)))) is the matrixvector product ABCDx

Beware: Row Vector Convention

• The transpose is also possible

$$\begin{bmatrix} x' & y' & 1 \end{bmatrix} = \begin{bmatrix} x & y & 1 \end{bmatrix} \begin{vmatrix} m_{11} & m_{21} & m_{31} \\ m_{12} & m_{22} & m_{32} \\ m_{13} & m_{23} & m_{33} \end{vmatrix}$$

- How does this change things?
 - -all transformation matrices must be transposed
 - ABCDx transposed is $x^TD^TC^TB^TA^T$
 - -pre- and post-multiply are reversed
- OpenGL uses transposed matrices!
 - -You only notice this if you pass matrices as arguments to OpenGL subroutines, e.g. glLoadMatrix.
 - -Most routines take only scalars or vectors as arguments.

Rigid Body Transformations

•A transformation matrix of the form

$$\begin{bmatrix} \mathbf{x}_{\mathbf{x}} \ \mathbf{x}_{\mathbf{y}} \ \mathbf{t}_{\mathbf{x}} \\ \mathbf{y}_{\mathbf{x}} \ \mathbf{y}_{\mathbf{y}} \ \mathbf{t}_{\mathbf{y}} \\ 0 \ 0 \ 1 \end{bmatrix}$$

where the upper 2x2 submatrix is a rotation matrix and column 3 is a translation vector, is a *rigid body transformation*.

•Any series of rotations and translations results in a rotation and translation of this form

Viewport Transformations

- A transformation maps the visible (model) world onto screen or window coordinates
- In OpenGL a viewport transformation, e.g. glOrtho(), defines what part of the world is mapped in standard "Normalized Device Coordinates" ((-1,-1) to (1,1))
- The viewpoint transformation maps NDC into actual window, pixel coordinates

- by default this fills the window

- otherwise use glViewport



3D Transformations

- 3-D transformations are very similar to the 2-D case
- Homogeneous coordinate transforms require 4x4 matrices
- Scaling and translation matrices are simply:

$$\mathbf{S} = \begin{bmatrix} \mathbf{s}_0 & 0 & 0 & 0 \\ 0 & \mathbf{s}_1 & 0 & 0 \\ 0 & 0 & \mathbf{s}_2 & 0 \\ 0 & 0 & 0 & 1 \end{bmatrix} \qquad \mathbf{T} = \begin{bmatrix} 1 & 0 & 0 & \mathbf{t}_0 \\ 0 & 1 & 0 & \mathbf{t}_1 \\ 0 & 0 & 1 & \mathbf{t}_2 \\ 0 & 0 & 0 & 1 \end{bmatrix}$$

- Rotation is a bit more complicated in 3-D
 - left- or right-handedness of coordinate system affects direction of rotation
 - different rotation axes

3-D Coordinate Systems



• Z-axis determined from X and Y by cross product: $Z=X\times Y$

$$\mathbf{Z} = \mathbf{X} \times \mathbf{Y} = \begin{vmatrix} X_2 Y_3 - X_3 Y_2 \\ X_3 Y_1 - X_1 Y_3 \\ X_1 Y_2 - X_2 Y_1 \end{vmatrix}$$

• Cross product follows right-hand rule in a right-handed coordinate system, and left-hand rule in left-handed system.

Aside: The *Dual* Matrix

•If v=[x,y,z] is a vector, the matrix

$$\mathbf{v}^* = \begin{bmatrix} 0 & -z & y \\ z & 0 & -x \\ -y & x & 0 \end{bmatrix}$$

is the *dual matrix* of v

•Cross-product as a matrix multiply: $v^*a = v \times a$

- •helps define rotation about an arbitrary axis
- •angular velocity and rotation matrix time derivatives
- •Geometric interpretation of v^*a
 - •project a onto the plane normal to v
 - •rotate a by 90° about v
 - •resulting vector is perpendicular to v and a

Euler Angles for 3-D Rotations

- Euler angles 3 rotations about each coordinate axis, however
 - angle interpolation for animation generates bizarre motions
 - rotations are order-dependent, and there are no conventions about the order to use
- Widely used anyway, because they're "simple"
- Coordinate axis rotations (right-handed coordinates):

$$\mathbf{R}_{\mathbf{x}} = \begin{bmatrix} 1 & 0 & 0 & 0 \\ 0 & \mathbf{cos}\theta & -\mathbf{sin}\theta & 0 \\ 0 & \mathbf{sin}\theta & \mathbf{cos}\theta & 0 \\ 0 & 0 & 0 & 1 \end{bmatrix} \qquad \qquad \mathbf{R}_{\mathbf{y}} = \begin{bmatrix} \mathbf{cos}\theta & 0 & \mathbf{sin}\theta & 0 \\ 0 & 1 & 0 & 0 \\ -\mathbf{sin}\theta & 0 & \mathbf{cos}\theta & 0 \\ 0 & 0 & 0 & 1 \end{bmatrix}$$
$$\mathbf{R}_{\mathbf{z}} = \begin{bmatrix} \mathbf{cos}\theta & -\mathbf{sin}\theta & 0 & 0 \\ \mathbf{sin}\theta & \mathbf{cos}\theta & 0 & 0 \\ 0 & 0 & 1 & 0 \\ 0 & 0 & 0 & 1 \end{bmatrix}$$

Euler Angles for 3-D Rotations



Axis-angle rotation

The matrix R rotates by α about axis (unit) v:

$$\mathbf{R} = \mathbf{v}\mathbf{v}^{T} + \cos\alpha(\mathbf{I} - \mathbf{v}\mathbf{v}^{T}) + \sin\alpha\mathbf{v}^{*}$$

$$\mathbf{v}\mathbf{v}^{T} \qquad \text{Project onto } \mathbf{v}$$

$$\mathbf{I} - \mathbf{v}\mathbf{v}^{T} \qquad \text{Project onto } \mathbf{v}\text{'s normal plane}$$

$$\mathbf{v}^{*} \qquad \text{Dual matrix. Project onto normal plane, flip by 90°}$$

$$\cos\alpha, \sin\alpha \qquad \text{Rotate by } \alpha \text{ in normal plane}$$

$$(\text{assumes } \mathbf{v} \text{ is unit.})$$

Quaternions

- Complex numbers can represent 2-D rotations
- Quaternions, a generalization of complex numbers, can represent 3-D rotations
- Quaternions represent 3-D rotations with 4 numbers:
 - -3 give the rotation axis magnitude is sin $\alpha/2$
 - -1 gives cos $\alpha/2$
 - unit magnitude points on a 4-D unit sphere
- Advantages:
 - no trigonometry required
 - multiplying quaternions gives another rotation (quaternion)
 - rotation matrices can be calculated from them
 - direct rotation (with no matrix)
 - no favored direction or axis

What is a Normal? Indication of outward facing direction for lighting and shading

Order of definition of vertices in OpenGL

Right hand rule



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Transforming Normals

- It's tempting to think of normal vectors as being like porcupine quills, so they would transform like points
- Alas, it's not so, consider the 2D affine transformation below.
- We need a different rule to transform normals.



Normals Do Not Transform Like Points

- If M is a 4x4 transformation matrix, then
 - -To transform points, use p'=Mp, where $p=[x \ y \ z \ 1]^T$
 - -So to transform normals, n'=Mn, where $n=[a \ b \ c \ 1]^T$ right?
 - –Wrong! This formula doesn't work for general M.

Normals Transform Like Planes

A plane ax + by + cz + d = 0 can be written $\mathbf{n} \cdot \mathbf{p} = \mathbf{n}^T \mathbf{p} = 0$, where $\mathbf{n} = \begin{bmatrix} a & b & c & d \end{bmatrix}^T$, $\mathbf{p} = \begin{bmatrix} x & y & z & 1 \end{bmatrix}^T$ (a,b,c) is the plane normal, d is the offset. If \mathbf{p} is transformed, how should \mathbf{n} transform? To find the answer, do some magic :

 $0 = \mathbf{n}^{T} \mathbf{I} \mathbf{p} \quad equation \ for \ point \ on \ plane \ in \ original \ space$ $= \mathbf{n}^{T} (\mathbf{M}^{-1} \mathbf{M}) \mathbf{p}$ $= (\mathbf{n}^{T} \mathbf{M}^{-1}) (\mathbf{M} \mathbf{p})$ $= \mathbf{n}^{\prime T} \mathbf{p}^{\prime} \quad equation \ for \ point \ on \ plane \ in \ transformed \ space$ $\mathbf{p}^{\prime} = \mathbf{M} \mathbf{p} \quad to \ transform \ point$ $\mathbf{n}^{\prime} = (\mathbf{n}^{T} \mathbf{M}^{-1})^{T} = \mathbf{M}^{-1^{T}} \mathbf{n} \quad to \ transform \ plane$

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Transforming Normals - Cases

- For general transformations M that include perspective, use full formula (M inverse transpose), use the right d
 - *d* matters, because parallel planes do not transform to parallel planes in this case
- For affine transformations, *d* is irrelevant, can use *d*=0.
- For rotations only, M inverse transpose = M, can transform normals and points with same formula.

Spatial Deformations

- Linear transformations
 - -take any point (x,y,z) to a new point (x',y',z')
 - Non-rigid transformations such as shear are "deformations"
- Linear transformations aren't the only types!
- A transformation is any rule for computing (x',y',z') as a function of (x,y,z).
- Nonlinear transformations would enrich our modeling capabilities.
- Start with a simple object and deform it into a more complex one.

Bendy Twisties

- Method:
 - -define a few simple shapes
 - define a few simple non-linear transformations (deformations e.g. bend/twist, taper)
 - make complex objects by applying a sequence of deformations to the basic objects
- Problem:
 - a sequence of non-linear transformations can not be collapsed to a single function
 - every point must be transformed by every transformation

Example: Z-Taper

- Method:
 - -align the simple object with the z-axis
 - –apply the non-linear taper (scaling) function to alter its size as some function of the z-position
- Example:
 - -applying a linear taper to a cylinder generates a cone

"Linear" taper:

General taper (*f* is any function you want):

 $x' = (k_1 z + k_2)x$ $y' = (k_1 z + k_2)y$ z' = z x' = f(z)x y' = f(z)y z' = z

Example: Z-twist

- Method:
 - -align simple object with the z-axis
 - -rotate the object about the z-axis as a function of z
- Define angle, θ , to be an arbitrary function f(z)
- Rotate the points at z by $\theta = f(z)$

"Linear" version:
$$f(z) = kz$$

 $\theta = f(z)$
 $x' = x \cos(\theta) - y \sin(\theta)$
 $y' = x \sin(\theta) + y \cos(\theta)$
 $z' = z$

Extensions

- Incorporating deformations into a modeling system
 how to handle UI issues?
- "Free-form deformations" for arbitrary warping of space
 - –Use a 3-D lattice of control points to define Bezier cubics:

(x',y',z') are piecewise cubic functions of (x,y,z)

- –Widely used in commercial animation systems
- Physically based deformations
 - Based on material properties
 - reminiscent of finite element analysis

Announcements

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 - –Watch out for ^M and missing newlines
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- Questions on assignment 1?