

Multi-modal Point-wise Generic Object Tracking with RGB-D Data

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Abstract

Object tracking aims to estimate the state of the object representation in consecutive frames. Representing the object in the low-dimensional space, such as a *bounding box*, is a common way followed by the tracking algorithms. However, a more accurate and detailed point-wise representation is useful to obtain better object descriptors which could be more beneficial for action recognition and pose estimation tasks. Hence, point-wise tracking of the object could be more beneficial for these applications. In this paper, we propose a novel and accurate multi-modal, point-wise, and generic object tracker which uses RGB-D data. It does not make any assumptions about the shape of the object, so it is generic. The presented method builds a point-wise descriptor which combines the color and the shape related cues. The descriptors are trained by Random Decision Forests online. The confidence scores achieved from the classification stage are used in a graph cut step to have the final mask of the object. The displacement of the object is computed by a keypoint matching method between the frames. The proposed method was experimented with several datasets and outperformed suitable point-wise trackers.

Keywords: RGB-D Tracker; Multi-modal tracking; Point-wise tracker; Random Decision Forests; RGB-D; Graph Cut

1. Introduction

Object tracking is an essential task for wide range of applications, such as robot vision [1] [2] [3] and surveillance [4] [5] [6]. Many tracking algorithms represent the object in the low-dimensional space as a *bounding box* [7] [8] [9] [10] [11] [12] [13] [14]. The purpose of these methods is to track the object by estimating the position and scale of the *bounding box* in the subsequent images. Even though this representation is enough for some tasks, a more accurate and detailed point-wise representation is useful to obtain better object descriptors which could be more beneficial for action recognition [15] [16] and pose estimation tasks [17] [18]. This is possible in two ways. First, the point-wise representation of the object can be obtained by a refining

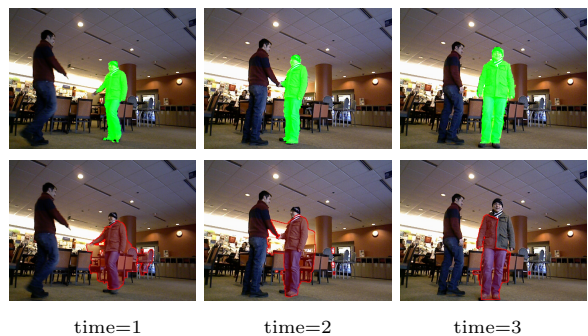


Figure 1: Top row illustrates the result of our proposed point-wise multi-modal tracker for a dataset. Bottom row displays the result of a single-model tracker [20]. The proposed tracker uses RGB-D data to achieve more accurate results.

the *bounding box* [19]. Or in another way, directly its point-wise representation is tracked [20] [21] [22] [23] [24].

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20 In this paper, we propose a novel multi-modal
point-wise tracker which utilizes RGB-D data. It
does not make any assumptions and restrictions
about the shape of the object, so it is generic for differ-
ent object types, such as rigid and deformable. It
25 learns point-wise shape related cues and the color of
the object online. A point-wise descriptor is built to
employ the color and the shape information of the
point neighborhood. This powerful descriptor uses
the depth data of the scene by generating three cues
30 that are (1) relative geodesic and (2) vectorial spa-
tial distances of a point to the center of the mass of
the object, and (3) the local structure information
encoded as the normal. The descriptors are used to
train a Random Decision Forests machine learning
35 algorithm [25] online which favors the most impor-
tant cues in the descriptor. The confidence scores
obtained from the classifier are passed to a graph
cut step to have final more smooth results. The dis-
placement of the object mask between the frames
40 is estimated by computing the shift of the center of
the mass. This estimation process employs a key-
point matching process. The proposed tracker was
experimented with several datasets which include
rigid and deformable objects. It outperformed the
45 compared algorithms which aim to track point-wise
representations of the objects. An illustration of
the results of the proposed method can be seen in
Figure 1

50 Related work is reviewed and summarized in
the next section. The details of the multi-modal
tracker, point-wise descriptor, training, classifica-
tion and graph cut steps are described in Section 3.
The results of the proposed tracker are compared
and analyzed in Section 4. Finally, the proposed
55 work is summarized and possible future directions
of this work are drawn in the last section.

2. Related Work

60 Object tracking has been studied for decades.
The tracking methods differ according to the types
of the object representations. Different object rep-
resentations require different solutions. Categori-
zation of object representations is summarized by [26]
and recently by [27]. One way of representing the
65 object is as a point as explained in [28]. To track a
non-rigid object, it can be formed by primitive geo-
metric shapes as in [29]. If the object has a complex
shape and its detailed border has to be output, an
active contour based method [30] can be the solu-
70 tion.

Tracking the objects by representing them as
point-wise is possible. Optical flow is one method
to estimate the motion of some sparse points in the
images [31] [32] [33]. Also, dense optical flow meth-
ods are available to estimate the movements of all
75 points in the object [34]. [35] incorporates a dis-
tance penalty to the graph cut energy to eliminate
non-object regions. This work is one of the earliest
attempt to convert the graph cut to a point-wise
tracker.

80 The methods described in [23] [24] introduce a
novel way to incorporate the previous locations of
the tracked objects as high-level observations to the
graph cut. A multi-layer graph is constructed to
combine low and high level observations. Back-
ground subtraction is performed and a set of candi-
85 date blobs are obtained in the preprocessing step.
High level observations are included as nodes in an-
other layer in the graph. These nodes provides tem-
poral and spatial consistency for the object tracking
between the frames. The pixel displacements be-
90 tween the frames are estimated by Lucas-Kanade
tracker. In the graph cut based tracker presented
in [24], occluded parts of the objects are tracked by
adding a new penalty term to the graph cut energy
function. The points in the object which do not
95 move as the average displacement of the object are
penalized to determine the occluded parts.

A point-wise tracking method which combines
the graph cut and optical flow techniques is de-
scribed for augmented reality applications in [36].
Since augmented reality applications are so de-
100 pended on the computational time, this algorithm
works in real-time. A similar approach is explained
in [37] to track the people in videos by modeling
them as ellipsoids. [38] proposes an object tracker
method for live videos. A 3-D graph is built and
location probability information of the object is em-
ployed in the graph.

110 [20] introduces a point-wise tracking method
which learns object appearance online. The learn-
ing step includes generalized Hough-Transform
which provides a rough estimation of the object.
It is provided to a final GrabCut [19] step. [21]
uses Hough transform by building point-wise de-
115 scriptors. [39] proposes a level-set formulation
to track non-rigid objects. [40] presents a joint
method which fuses multi-part and segmentation
in a RANSAC-style iterative energy optimization
framework. The method explained in [40] aims to
120 track superpixels. [41] uses superpixels to build a
dynamic graph and generates rough borders of the

object.

The methods proposed in [10] [11] [12] [9] [42] use RGB-D data to track the objects. [10] describes a particle filter based object tracker. This algorithm takes a 3-D mesh model of the object as the prior. Its particle filter framework is parallelized. A model based and hypothesize-and-test approach is proposed in [11] to track interacting objects. In [12], 3-D level set functions are employed to represent the object. A 3D chamfer matching based energy function is minimized during tracking. A fast people RGB-D tracker algorithm is proposed in [9]. A joint likelihood function consisting of appearance and depth models are learned online. [42] proposes a method to track deformable objects without requiring prior object model. It learns how to weight local image appearance, depth discontinuities, and surface normals from a set of ground truth data.

3. Multi-modal Tracker Details

The proposed point-wise multi-modal tracker, *MM - Tracker*, is initialized with the ground truth mask of the object at the first frame. It learns point-wise color and shape related cues online from RGB-D data to track the object. Lastly, a graph cut step is applied to obtain more smooth results. The displacement of the object between the frames is estimated by computing the center of mass shift. This estimation process involves a keypoint matching step. The overall process diagram of *MM - Tracker* is illustrated in Figure 2.

3.1. Estimation of the Object Displacement

Detecting the keypoints in a 3-D point cloud is computationally more expensive than detecting them in a color image. Hence, *MM - Tracker* prefers to compute them in the color images instead of in the corresponding point clouds. SIFT [43] feature descriptor is used to estimate roughly the displacement of the object from time t to time $t + 1$. There are several reasons why SIFT is chosen for this purpose. SIFT feature descriptor is invariant to uniform scaling and orientation. Also, it is partially invariant to the distortion and illumination changes. In addition, it is fast to compute, so it is suitable for *MM - Tracker*. However, corresponding 3-D point cloud of the image obtained from the depth image is used for projecting the keypoints to 3-D space in *MM - Tracker*. The displacement of the keypoints between the frames are computed in

this 3-D space to achieve more accurate estimation. The location of the object at time $t + 1$ is estimated by the following steps:

1) For given two color images, I_t and I_{t+1} , whose time stamps are t and $t+1$ respectively in the image sequences, their SIFT keypoints are computed by:

$$K_t = \mathcal{S}(I_t) \text{ , } K_{t+1} = \mathcal{S}(I_{t+1}) \quad (1)$$

where K_t is the set of the keypoints, and \mathcal{S} is the operator to obtain SIFT keypoints from the image I_t .

2) The keypoints which are outside of the object region are excluded only from K_t :

$$K_t^- = \{k(x, y) \in K_t : k(x, y) \notin M_t\} \quad (2)$$

$$K_t = K_t - K_t^- \quad (3)$$

where $k(x, y)$ is a keypoint in the image, M_t is the object region in the image.

3) The keypoints in K_t and K_{t+1} are matched:

$$K_{t, t+1} = \mathcal{M}(K_t, K_{t+1}) \quad (4)$$

$$K_{t, t+1} = \{k_t(x_1, y_1), k_{t+1}(x_1, y_1)\}, \dots, \{k_t(x_n, y_n), k_{t+1}(x_n, y_n)\} \quad (5)$$

where $K_{t, t+1}$ is the set of pairs of keypoints matched from K_t to K_{t+1} , and \mathcal{M} is the keypoint matching function.

4) Convert the depth images to 3-D point clouds for timestamps of t and $t+1$ in the image sequences. Then, compute the corresponding 3-D points of all keypoints in $K_{t, t+1}$:

$$k^{3D}(x, y, z) = T(\mathcal{P}, k(x, y)) \quad (6)$$

$$K_{t, t+1}^{3D} = \{k_t^{3D}(x_1, y_1, z_1), k_{t+1}^{3D}(x_1, y_1, z_1)\}, \dots, \{k_t^{3D}(x_n, y_n, z_n), k_{t+1}^{3D}(x_n, y_n, z_n)\} \quad (7)$$

where T is the function to compute the corresponding point in the point cloud \mathcal{P} for a given keypoint $k(x, y)$ in the image. As an example, Figure 3 shows the keypoints inside of the object in both color image and its corresponding 3-D point cloud after this step is applied.

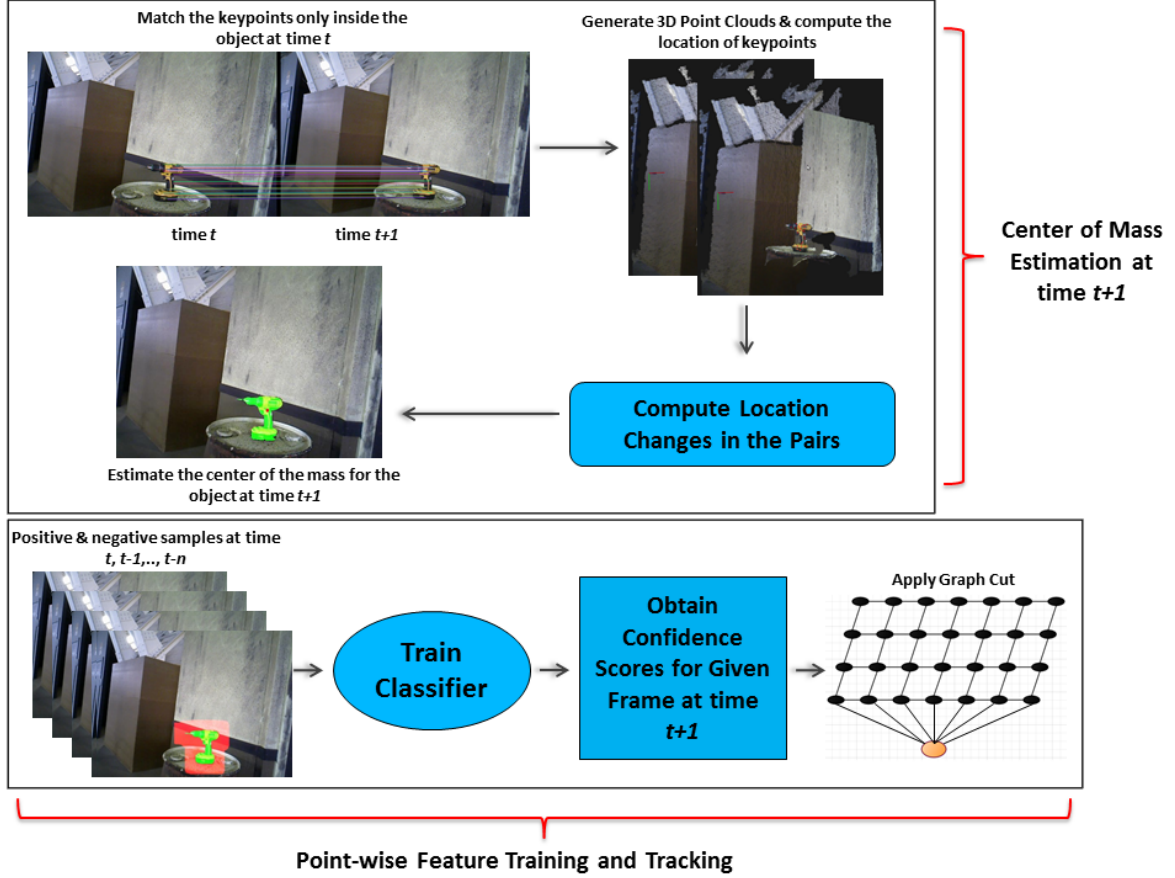


Figure 2: Overall process diagram of the proposed *MM-Tracker*.

5) The rough displacement of the object from the time t to the time $t + 1$ is calculated as the average displacement of the matched keypoints:

$$p_{dis}^{3D} = \frac{1}{n} \sum_{i=1}^n k_{t+1}^{3D}(x_i, y_i, z_i) - k_t^{3D}(x_i, y_i, z_i) \quad (8)$$

where p_{dis}^{3D} is the displacement of the object.

6) All object points, M_t , at time t are converted to their corresponding in 3-D, M_t^{3D} , by using function T as in the Equation 6. Then, the estimated location of the object at time $t + 1$, M_{t+1}^{3D} , is computed by adding average displacement, p_{dis}^{3D} , to the each point of M_t^{3D} :

$$p_i^{3D} = T(\mathcal{P}, p_i) \quad \text{where } p_i \in M_t, \quad p_i^{3D} \in M_t^{3D} \quad (9)$$

$$M_{t+1}^{3D} = M_t^{3D} + p_{dis}^{3D} \quad (10)$$

7) Assuming that the camera calibration parameters are known, also the estimated object points in the image, M_{t+1} can be achieved:

$$p_i = T^-(p_i^{3D}) \quad (11)$$

where $p_i \in M_{t+1}$, $p_i^{3D} \in M_{t+1}^{3D}$, and T^- is the transformation function from the 3-D world space to the image space.

3.2. Point-wise Descriptor

A point-wise descriptor, f_p is formed by incorporating several shape related and color cues in the following way:

1) **Normals:** A cue about the local shape information surrounding the point, p_i , can be encoded in the descriptor, f_p , by calculating the normal, η_i , of the point, p_i . It is computed for all three dimensions of the point cloud space, $\eta_i = (\eta_x, \eta_y, \eta_z)$. The neighborhood search of the points is performed

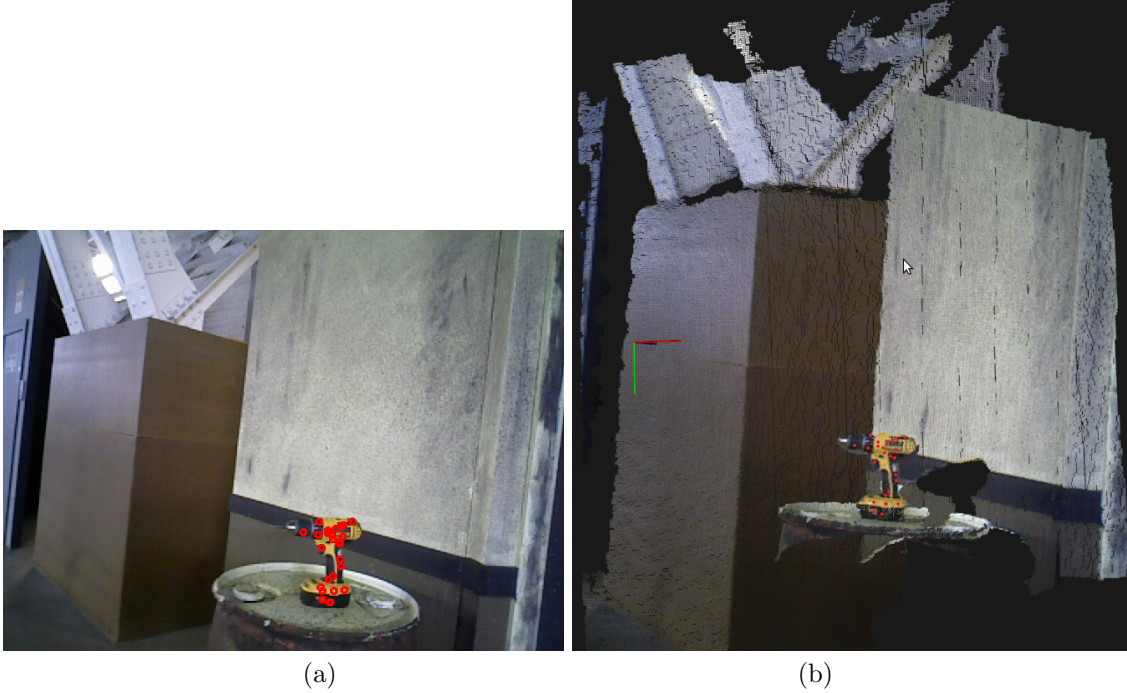


Figure 3: Detected keypoints inside of the object in the color image and 3D point cloud. (a) displays the image at *time t* with the keypoints drawn as red, (b) shows the corresponding point cloud and the keypoints colored as red. The scene is rotated in the Point Cloud Viewer for better display.

by building a FLANN-based Kd-tree [44] to reduce the computation time.

2) Vectorial Spatial Distance: First, the center of the mass, $mid_B = (mid_x, mid_y, mid_z)$, of the object region is calculated as formulated in the following equations:

$$mid_B = \frac{1}{m} \sum_{i=1}^m p_i^{3D} \quad (12)$$

where m is the number of the points in M_t^{3D} and $p_i^{3D} \in M_t^{3D}$. The vectorial distance relative to the center of the mass of the object, $\Delta_v = (\Delta_x, \Delta_y, \Delta_z)$, is computed for the point $p_i = (p_x, p_y, p_z)$. This computation can be formulated as:

$$\Delta_v = (p_x - mid_x, p_y - mid_y, p_z - mid_z) \quad (13)$$

3) Geodesic Distance: The geodesic distance between two points on the object is constant in different poses. This cue is incorporated into our descriptor, f_p . The relative geodesic distance, GD_i , to the center of the mass of the object, mid_B , of

the point, p_i , is computed by Dijkstra's Shortest Path Algorithm. The image is converted to a graph, $G(V, E)$, where V is the graph nodes, and E is the edges between the nodes. Each point, p_i , in the image is represented as a node in the graph, $G(V, E)$. The neighbors of each node in the graph are restricted to 4 pixels. The edge weight, w_{ij} , between two points is set to the Euclidean distance between p_i and p_j in the corresponding point cloud of the scene as in Equation 14.

$$w_{ij} = \sqrt{|p_{i_x} - p_{j_x}|^2 + |p_{i_y} - p_{j_y}|^2 + |p_{i_z} - p_{j_z}|^2} \quad (14)$$

If there is no depth data is available for the neighbor, the edge weight, w_{ij} is assigned a large distance. A sample geodesic distance map for the given image can be seen in Figure 4.

4) Color: In addition to the shape related cues, also the color of the point is added to the point-wise descriptor, f_p , to make *MM-Tracker* more robust. Finally, f_p becomes:

$$f_p = [\eta_x \ \eta_y \ \eta_z \ \Delta_x \ \Delta_y \ \Delta_z \ GD_i \ r \ g \ b]^T \quad (15)$$

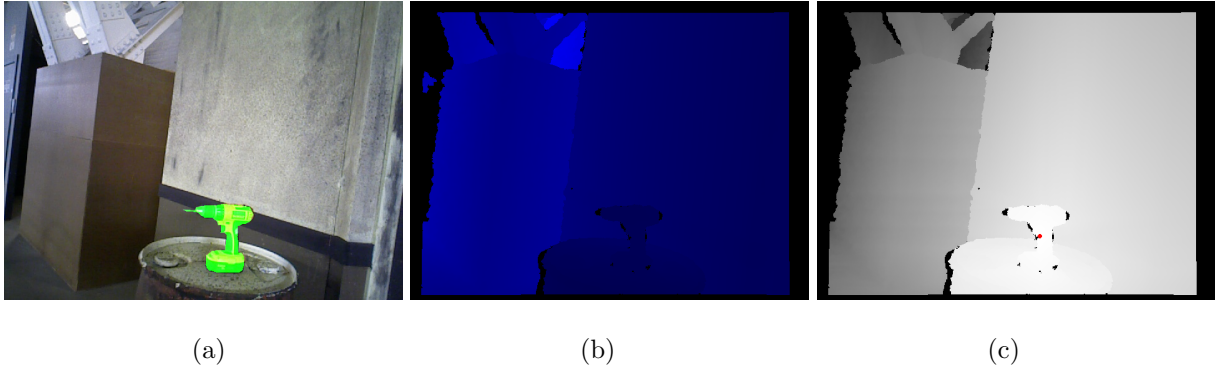


Figure 4: Geodesic distance calculation. (a) Color image with overlaid object mask, (b) Depth image, (c) Colored geodesic distance map of the given image. The red point corresponds to the object center of the mass. The points which have darker intensity in the map are farther from the object and lighter points are closer to it.

where r, g, and b are red, green, and blue channels
 230 of the point, p_i , in the color image of a sequence.

3.3. Training Online Classifier and Classification 265

In order to classify the points in next image whose
 time stamp is $t + 1$, a classifier is trained with the
 samples obtained from a image set, S_{img} , online.
 235 This image set, S_{img} , includes the images whose
 timestamps are $t, t - 1, \dots, t - n$. This mecha-
 nism can be considered as sliding a tracking win-
 dow, w_t , on the image sequences and the size of the
 w_t is n . Randomized Decision Forests, *RDF*, are a
 240 state of the art, fast, and effective machine learn-
 ing technique [45] [25] [46] [47] which are suitable
 and applicable for wide range of different tasks and
 problems [48] [49] [50]. Therefore, it is used to train
 our online classifier.

245 Positive training samples, f_p^+ , are taken from the
 inside of the object region, M_t . Negative samples,
 f_p^- , are obtained from the outside of the object. In
 the classification process of the points in next image
 whose time stamp is $t + 1$, first the object location is
 250 estimated by SIFT based method explained in the
 previous section. The center of the mass of the ob-
 ject, mid_B , is computed according to the estimated
 object location. Then, the points of this frame are
 classified. *RDF* provides a confidence score, C_i , of
 255 being in the object region for a point, p_i , in the
 image. In our case, C_i is the average decision dis-
 tribution at the leaf nodes of *RDF* trees. The clas-
 sification confidence scores produced by *RDF* are
 260 feeded to a graph cut procedure to obtain the final
 result.

3.4. Graph Cut Smoothing

Graph cut [51] [52] [53] [54] is a powerful method
 to achieve more smooth results or to eliminate
 noises in the final result mask of the object, M_{t+1} .
 The confidence scores, C_i , can be used to set edge
 weights in the graph cut. Therefore, *MM -*
Tracker applies the graph cut method as the last
 step in the tracking process by utilizing C_i .

The energy function of the graph cut consists of
 two terms, namely the regional term, R , and the
 boundary term, B :

$$E(\tilde{L}) = \sum_{i \in V} R(l_i) + \alpha \sum_{\{i,j\} \in E} B_{i,j}(l_i, l_j) \quad (16)$$

where i and j are the nodes of any edge, $e_{i,j}$, in
 the graph. α sets the relative influence between the
 terms.

The regional term of the graph cut is formulated
 as:

$$R(l_i) = -\ln(p_c(p_i, l_i)) \quad (17)$$

where l_i is the label of the point, p_i , in the image.
 $p_c(i, l_i)$ is the likelihood of the point as it is defined
 in the following Equation:

$$p_c(l_i) = \begin{cases} C_i & \text{if } l = \text{"Object"} \\ 1 - C_i & \text{if } l = \text{"Background"} \end{cases} \quad (18)$$

The boundary term is the absolute difference be-
 tween the regional term scores of the points:

$$B_{i,j}(l_i, l_j) = |R_i(\text{"Object"}) - R_j(\text{"Object"})| \quad (19)$$

4. Experiments

Several experiments were conducted to analyze
 and measure the performance of the proposed

275 *MM – Tracker*. Darpa Robotics Challenge [55],
DRC, became our inspiration to create first exper-
 iment to test the described method. In one of the
 tasks in *DRC*, the robot must accomplish using a
 drill to open a hole on the wall. A similar a scenario
 280 was established as a test case.

In this scenario, the robot was far away from a
 drill. It walks toward the drill to grab it by avoid-
 ing from the obstacles on its path. The robot might
 make different movements because of the obstacles,
 285 such as left/right turns, while walking. Tracking
 the drill properly is important for several reasons
 in this case. First of all, it shows the path/way for
 it. Also, in order to be able grab the drill for a
 specific task, it must recognize and know the ori-
 entation/position of the object relative to its arms
 290 to execute a correct motion plan. Tracking and fi-
 nally grabbing an object a common problem for the
 robots. The object might be any kind of hand tool,
 house or kitchen equipments.

A similar test environment as in *DRC* was cre-
 ated to record a dataset, called as *DRC-Track*. The
 recording setup moved around the object. Different
 types of movements that the robot can make while
 walking were captured, such as left/right turns, ap-
 300 proaching to the target or walking away from it.
 The frame rate was set to 20 per second during the
 recording. Total of 1900 frames, depth and color
 images, were saved. 76 ground-truth masks (one
 for every 25 frames) of the object were manually
 305 generated.

Another dataset was collected to analyze and
 compare the performance of *MM – Tracker* for
 the articulated and deformable objects. A short
 video of two people in which they approach each
 other, shake their hands, and then walk away in
 310 front of a complex background was recorded. This
 dataset, called as *HandShaking*, includes 220 frames
 of the color and depth images. Its video frame rate
 is about 20 per second. One ground truth per 25
 315 images was labeled manually.

4.1. Other Methods for Comparison

The result of *MM – Tracker* was compared to
 several other methods whose goals are to track the
 point-wise representations of the objects. These
 320 methods are:

Godec[20]: This method includes an online ap-
 pearance learning step. Its learning process is based
 on generalized Hough-Transform which provides a
 rough estimation of the object. The rough segmen-
 325 tation of the object is given to the *GrabCut* [19] to

obtain final point-wise mask of the tracked object.
 This method outperforms some of the state-of-the-
 art methods to track non-rigid objects in several
 challenging videos.

GC-Tracker-3D: The graph cut based track-
 ing method which is explained in [56] has been ex-
 tended to compare to *MM – Tracker*. [56] is a sin-
 gle frame point-wise object tracker which does not
 estimate the location of the object in next frames.
 Firstly, the same SIFT based location estimation
 335 approach, described in the previous section, has
 been employed into it. In this way, the produced
 object mask by the method at time $t-1$, is shifted
 by the location estimation method at time t .

[56] includes a distance map which is computed
 in the image space to penalize the points far from
 the object. The computation of this map was mod-
 ified and it was computed in 3-D space to obtain
 more accurate penalties. Firstly, 3-D point cloud
 of the scene was generated. Then, Euclidean dis-
 tances of each point to the object were computed.
 The distance penalty maps computed in two ways
 are showed for a given image in Figure 4. As it can
 be noticed in (d) of this figure, even though some
 points are close to the border of the object in the
 image space, they might have large penalties due to
 their distances to the object in 3-D space.

Farneback[34]: It is a dense optical flow
 method whose details is explained in [34]. In con-
 trast to detecting and matching a set of keypoints,
 this method estimates the displacement of all points
 inside a mask in next frames. Its performance com-
 340 paring to other types of the optical flow methods
 showed in [34]. It outperforms other dense optical
 flow algorithms as showed in [34].

4.2. Analyzing the Performance of the Methods

The tracking results of the methods were saved
 when they hit a ground-truth frame to analyze their
 performances. The following polygon area overlap
 formula was used to measure the overlap between
 the ground-truth and the result of the tracker sug-
 345 gested by [57]:

$$O(\mathcal{R}_1, \mathcal{R}_2) = A(\mathcal{R}_1 \cap \mathcal{R}_2)^2 / (A(\mathcal{R}_1)A(\mathcal{R}_2)) \quad (20)$$

where \mathcal{R}_1 and \mathcal{R}_2 are the two regions to calculate
 the overlap between. In the experiments, sliding
 window size of *MM – Tracker*, w_t , is set to 4. The
 max depth of the *RDF* tree was set to 10.

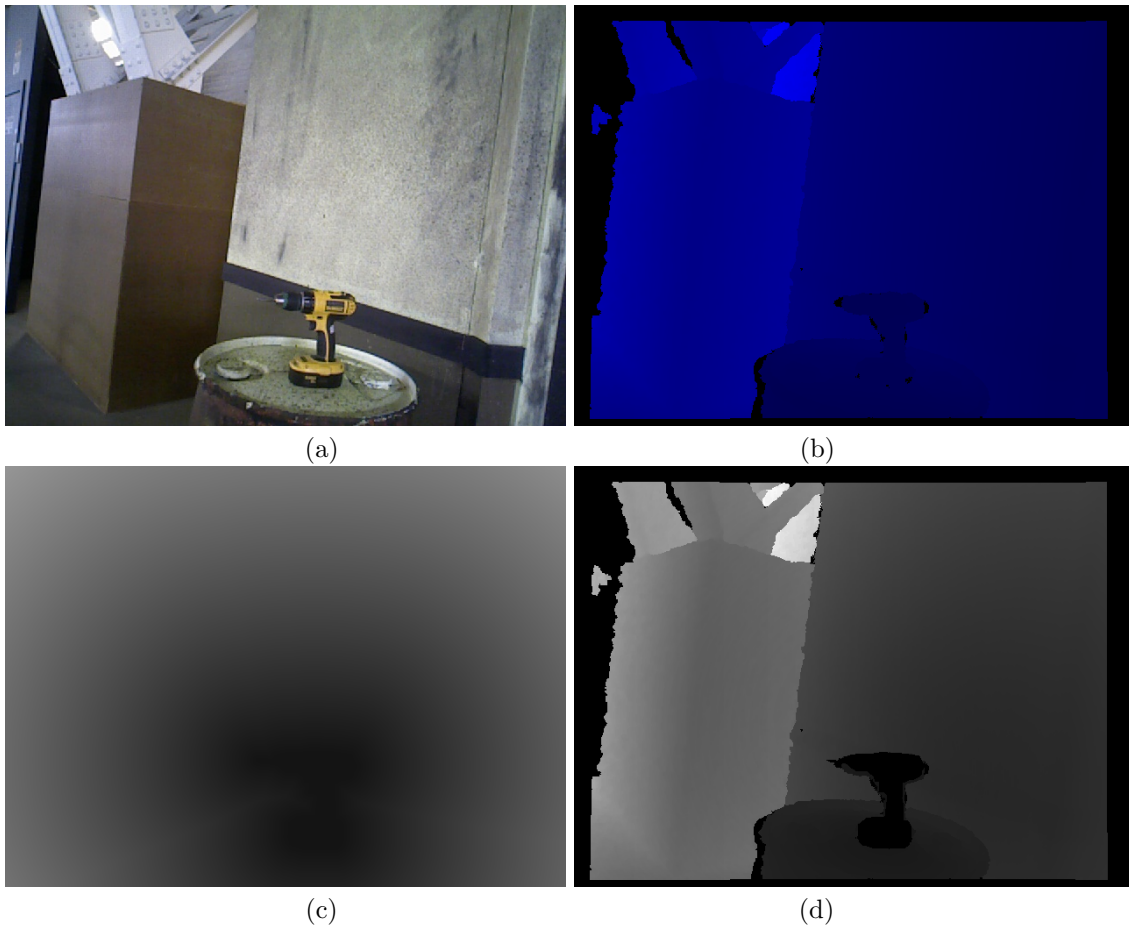


Figure 5: Examples of 2-D and 3-D distance penalty maps. (a) displays given color image, and (b) its depth image. (c) shows its 2-D distance penalty map computed in 2-D image space, and (d) its distance penalty map computed in 3-D. Please note that no penalty is assigned for missing depth data and inside the object. Darker points in the maps have less penalty.

Method Name	Overlap Score for <i>DRC-Track</i>	Overlap Score for <i>HandShaking</i>
<i>MM-Tracker</i> (Only Color)	0.16	0.18
<i>MM-Tracker</i> (Only Shape)	0.74	0.82
<i>MM-Tracker</i> (No Location Estimation)	0.41	0.73
<i>MM-Tracker</i> (Includes All)	0.96	0.93

Table 1: Median overlap scores of *MM-Tracker* for *DRC-Track* and *HandShaking* datasets. Each row specifies an experiment in which different combinations of the cues were used in the method. For example, *MM-Tracker* (Only Shape) means that color cue is removed from the point-wise descriptor, f_p , of *MM-Tracker*. *MM-Tracker* (No Location Estimation) means that the displacement of the object between the frames was not computed that experiment.

In order to see the effect of using different cues in the proposed point-wise descriptor, f_p , two tests were conducted by removing the shape or color information from f_p . Also, in another test, all cues were kept in f_p , but the displacement of the

object between the frames was not computed for *MM-Tracker*. Simply, it was assumed that the object or camera does not move in the next frame. Median overlap scores of the methods for these tests can be seen in Table 1. The best performance was

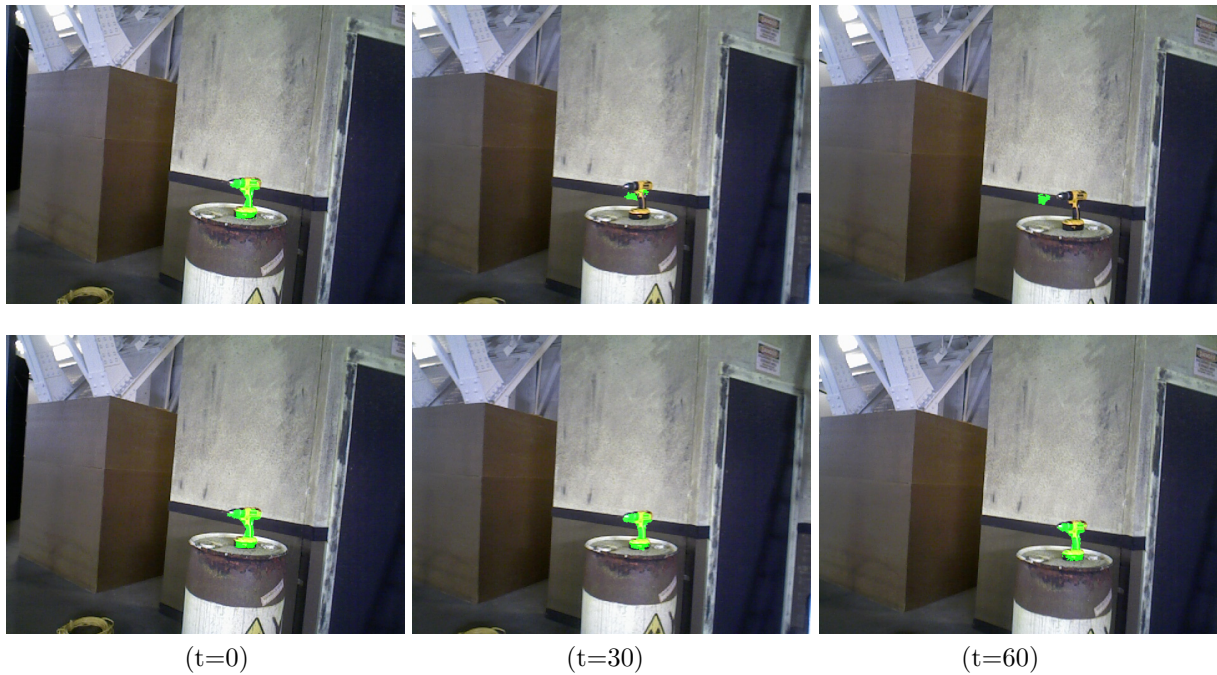


Figure 6: Sample results of *MM-Tracker* that demonstrate the effect of the location estimation step at different time-stamps. First row shows the outcome of *MM-Tracker* without estimating the object displacement. Second row displays the results of *MM-Tracker* if it includes the proposed SIFT based location estimation method. In this example, the camera makes first a right and then a left movement.

Method Name	Overlap Score for <i>DRC-Track</i>	Overlap Score for <i>HandShaking</i>
<i>GC-Tracker-3D*</i>	0.23	0.37
<i>GC-Tracker-3D</i>	0.48	0.43
<i>Farneback</i> [34]	0.28	0.45
<i>Godec</i> [20]	0.46	0.61
<i>MM-Tracker</i>	0.96	0.93

Table 2: Median overlap scores of the methods for *DRC-Track* and *HandShaking* datasets. *GC-Tracker-3D** does not include the SIFT based location estimation between the frames for *GC-Tracker-3D*.

achieved when all cues and location estimation step were included in *MM-Tracker*.

In the absence of the location estimation step, the performance dropped from 0.95 to 0.41. Figure 6 demonstrates a case, when the location estimation was removed. In this example, the camera first moved to the right. It caused the tracker to lose the object location and stick on the wall. Then, the camera made a left movement. It stuck on tracking a part of the wall which has similar color cue. However, SIFT based location estimation employed in *MM-Tracker* helped to continue correctly to track the object as can be seen in the second row

of Figure 6. Figure 7 shows a case when the color information helped *MM-Tracker* to track the object properly. In this case, some part of the object was lost by the tracker because of the pose changes. Only shape related cues were not enough when the camera was rotated over time. First row displays that *MM-Tracker* stuck at the middle part of the object. However, the color information which was associated with the point-wise shape related cues helped *MM-Tracker* to work more robust in noticeable pose changes.

Median overlap scores of the methods can be seen in Table 2. *MM-Tracker* outperformed other

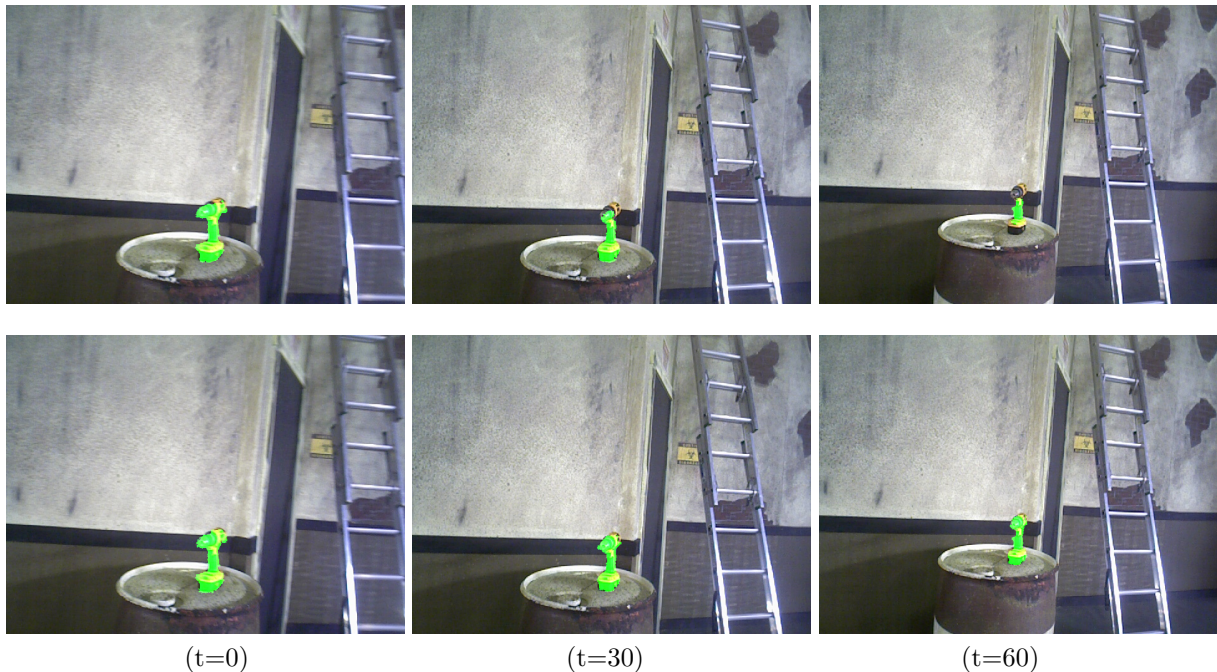


Figure 7: Sample results of *MM-Tracker* that demonstrates the effect of incorporating the color information at different time-stamps. First row shows the results of *MM-Tracker* without fusing the color in its point-wise descriptor, f_p . Second row displays the results of *MM-Tracker* when all cues are incorporated.

trackers by achieving highest scores of 0.96 and 0.93, for *DRC-Track* and *HandShaking* datasets, respectively. *MM-Tracker* can figure out that which cue is more important and distinctive in which part of the scene around the object. This ability is provided to it by the shape related cues in its point-wise descriptor, f_p . *Godec* [20] performed better than *Farneback* and *GC-Tracker-3D* in *HandShaking*. It can be said that *Godec*'s on-line learning step to build the object model works better than *GC-Tracker-3D*. *Godec* [20] performed slightly worse than *GC-Tracker-3D* for *DRC-Track*. Since the background has similar color information as the object, and *Godec* does not use any 3-D related features, this performance of *Godec* can be expected. *Farneback* [34] uses only the visual cues. Therefore, *MM-Tracker* outperformed its results by a factor of 2.6. It can be noticed in Figure 8 that the results of *Farneback* do not consist of dense points. The displacements of some points inside of the object hit one point in the next frame, so this situation causes the aggregation of the points to only one point over time. Some results of the trackers when they hit a ground-truth can be seen in Figure 8 and 9.

Figure 10 displays the point-wise confidence scores produced by the classifier and final results obtained from graph cut step employed in *MM-Tracker*. It can be seen in the final results that some points which have weak scores that are inside of the objects were included into the result masks. Moreover, some non-connected weak points were eliminated by graph cut. These improvements can be explained by the ability of graph cut which can combine smoothness and data terms in one framework.

4.3. Discussion About a Special Case

A rare case was encountered during recording of *HandShaking* dataset. At one point of recording, the hardware stalled due to so rare buffer problem in the sensor setup. This kind of conditions can always be expected in real life robotics systems which require to process high bandwidth data. It is so useful, if the employed method can handle these kind of issues in software level. In this case, there had been large change in the location and pose of the human between two frames. Therefore, it was decided to analyze this case separately. Figure 11 demonstrates the results of *MM-Tracker*

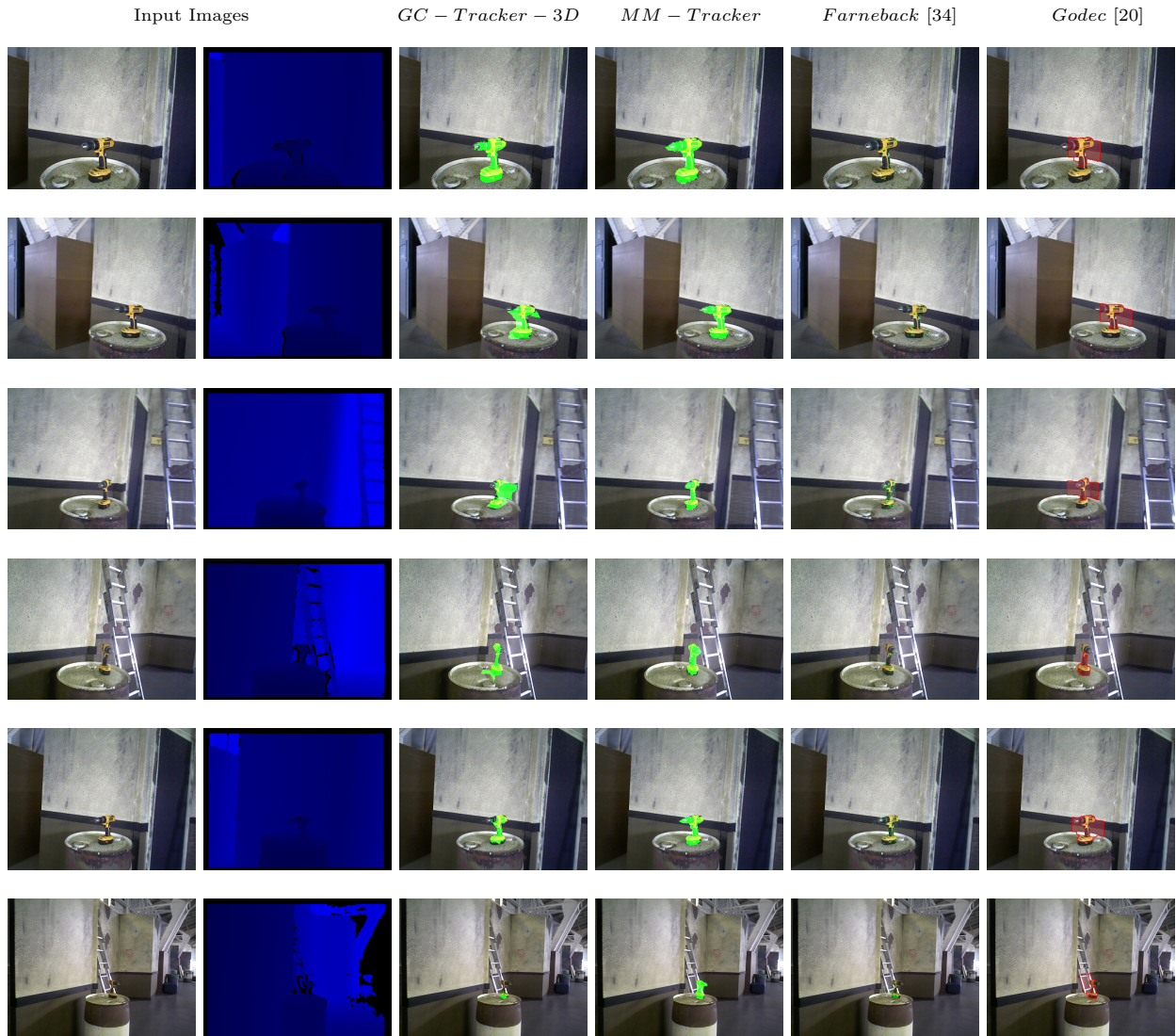


Figure 8: Sample results of different tracking methods whenever they hit a ground-truth in *DRC-Track* dataset. Column headings show the name of the methods. If the object was lost by the tracker, there is no green colored mask.

with and without having proposed location estimation method. The stall occurs between time=0 and time=1. As it can be seen in the last row of Figure 11, *MM - Tracker* was able to capture most part of the person at time=1, even though the pose of the foot and arms are different. It grasped the other parts of the body at subsequent frames, after time=1.

4.4. Computational Load

A machine which has 32GB RAM and Intel i7-2760QM quad processor was used in the experiments. The methods were implemented in C++.

Method Name	Time (in sec)
<i>MM - Tracker</i>	0.41
<i>GC - Tracker - 3D</i>	0.12
<i>Farneback [34]</i>	0.05
<i>Godec [20]</i>	0.71

Table 3: Average running time of the trackers per image.



Figure 9: Sample results of different tracking methods whenever they hit a ground-truth in *HandShaking* dataset. Column headings show the name of the methods.

470 The implementations do not contain thread-level
parallel processing. The image resolution was 640
x 480 for all experiments. 3 *RDF* trees was trained
for *MM – Tracker* in the experiments. As it can
be seen in Figure 12, in order to reduce the online
training time during the tracking, only some points
475 which are around the object were used as the back-
ground samples.

The average running time of the trackers per im-
age can be seen in Table 3. *MM – Tracker* took the
average of 0.41 seconds processing time per image
480 for the experimented datasets. This time also in-
cludes the steps for the computation of the features.
As expected, *Farneback* [34] was the fastest tracker.
We believe in that implementation of our proposed
485 tracker on Graphics Processing Unit (GPU) would
reduce its computational time. Also, scaling the in-
put image can help to decrease the computational
load.

5. Conclusion

490 We describe a novel point-wise and multi-modal
object tracker, *MM – Tracker*, which uses RGB-

D data. Our method estimates the displacement
of the object in a generic way from one frame to
another by utilizing a keypoint matching process.
MM – Tracker forms a powerful point-wise de-
495 scriptor which consists of the color and shape re-
lated cues. Positive and negative point-wise de-
scriptors are trained by Random Decision Forests
in *MM – Tracker*. A final graph cut step is ap-
plied to produce better smooth results.

500 The performance of *MM – Tracker* was com-
pared with other suitable point-wise trackers. One
of the previously published method [56] was mod-
ified for this purpose. Two different sets of experi-
ments were performed to quantify and analyze the
results of *MM – Tracker*. One of the dataset in-
cludes a complex shaped hand tool, which is a drill,
and the other dataset consists of two people who
approach each other, shake their hands and then
walk away. *MM – Tracker* outperformed the other
510 methods in these experiments. As future work, the
observed locations of the object can be included as
the high level observations into the graph cut step.

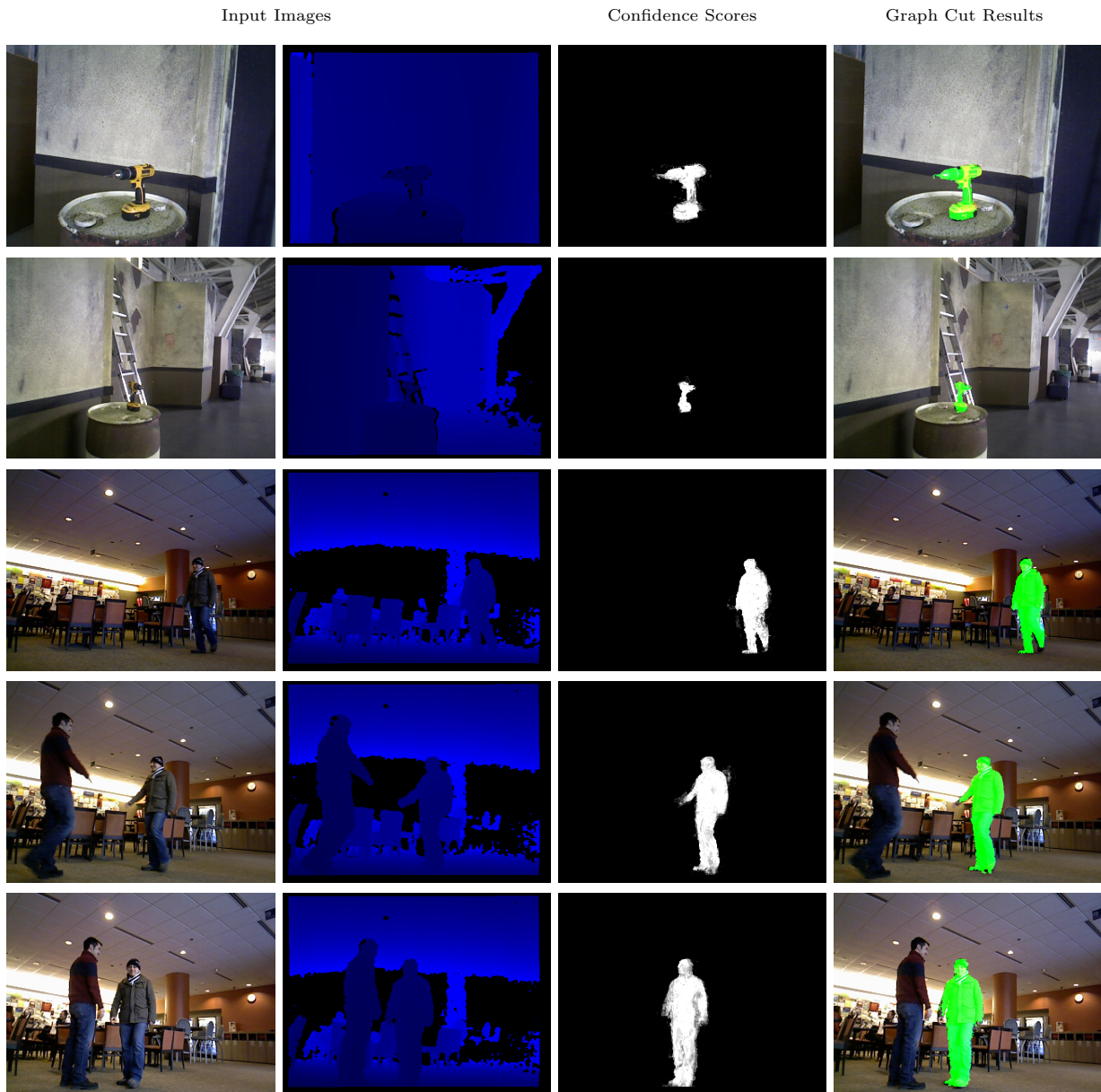


Figure 10: Sample confidence scores and their result masks produced by graph cut in *MM – Tracker*.

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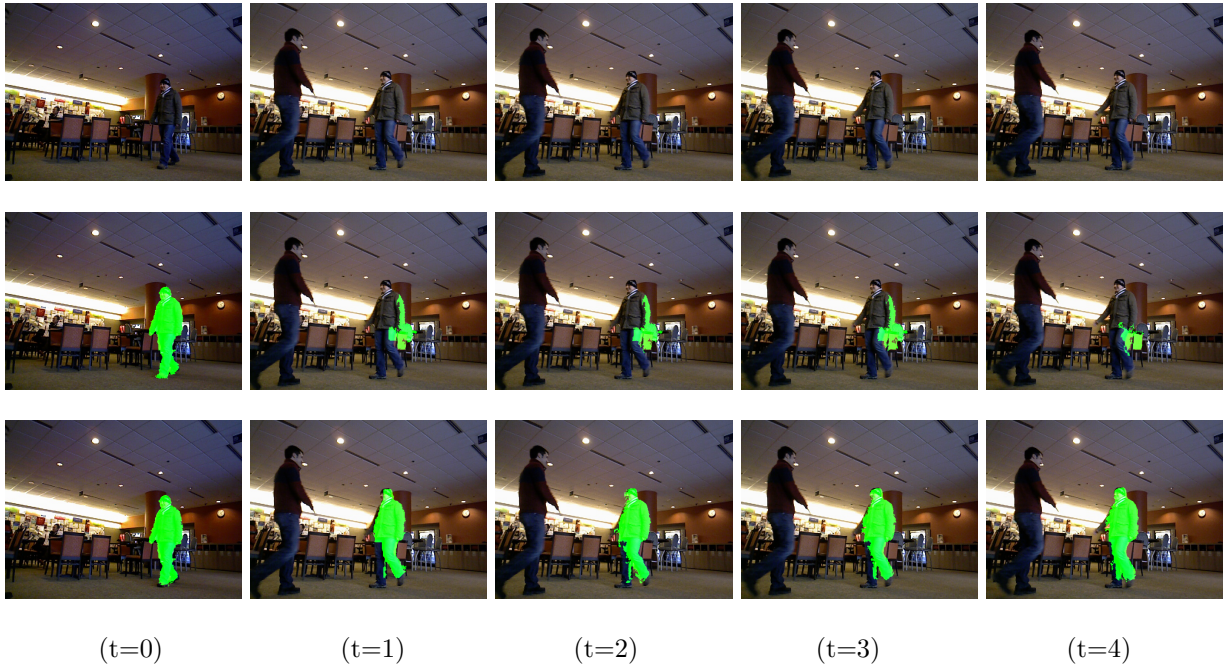


Figure 11: Analyzing a special case in which the hardware system stalled between time $t=0$ and $t=1$. The stall caused a large skip in the object location and pose difference. First row displays the raw images. Second row shows the result of *MM-Tracker* without employing SIFT based location estimation method. Last row shows the results of *MM-Tracker*.

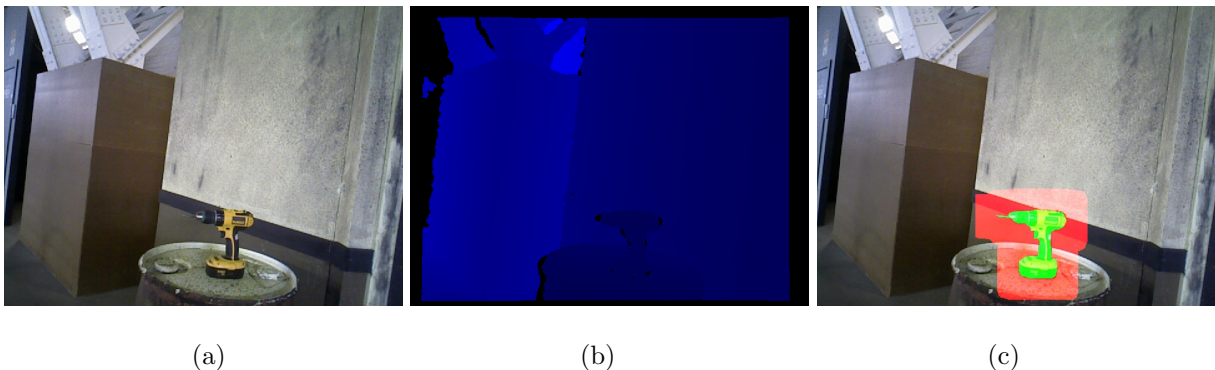


Figure 12: The object and background samples for *MM-Tracker* during the training step. (a) Color image, (b) Depth image, (c) Overlaid object and background points in the image. The red points are used as the background samples for the training process during tracking and green points for the object. Background points are obtained by dilating the object region by some factor and then removing the object points.

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