

Reasoning with Quantified Boolean Formulas

Marijn J.H. Heule

**Carnegie
Mellon
University**

<http://www.cs.cmu.edu/~mheule/15816-f24/>

Automated Reasoning and Satisfiability

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What are QBF?

- **Quantified Boolean formulas (QBF)** are
formulas of propositional logic + quantifiers
- *Examples:*
 - $(x \vee \bar{y}) \wedge (\bar{x} \vee y)$ (propositional logic)

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- $\exists x \forall y (x \vee \bar{y}) \wedge (\bar{x} \vee y)$

Is there a value for x such that for all values of y the formula is true?

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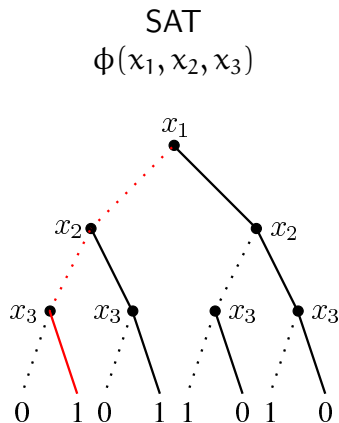
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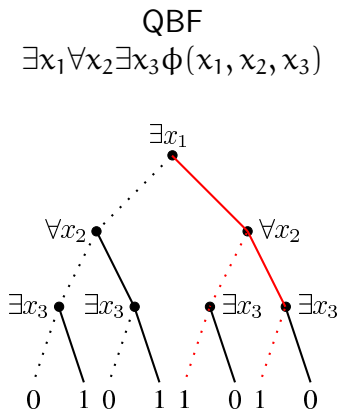
- $\forall y \exists x (x \vee \bar{y}) \wedge (\bar{x} \vee y)$

For all values of y , is there a value for x such that the formula is true?

SAT vs. QSAT aka NP-complete vs. PSPACE-complete



Is there a satisfying assignment?



Is there a satisfying assignment **tree**?

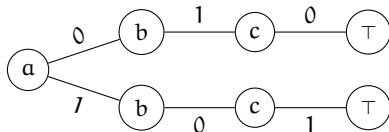
Small Example QSAT Problems

Consider the formula $\forall a \exists b, c. (a \vee b) \wedge (\bar{a} \vee c) \wedge (\bar{b} \vee \bar{c})$

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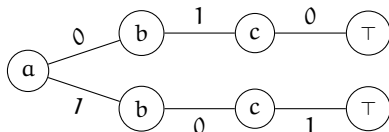
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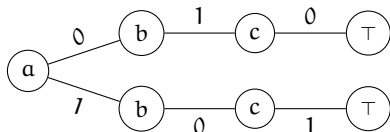


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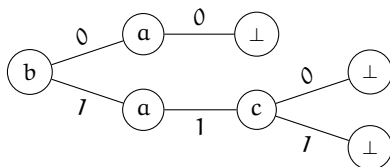
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A **model** is:



Consider the formula $\exists b \forall a \exists c. (a \vee b) \wedge (\bar{a} \vee c) \wedge (\bar{b} \vee \bar{c})$

A **counter-model** is:



The quantifier prefix frequently determines the truth of a QBF.

The Two Player Game Interpretation of QSAT

Interpretation of QSAT as *two player game* for a QBF

$\exists x_1 \forall a_1 \exists x_2 \forall a_2 \cdots \exists x_n \forall a_n \psi$:

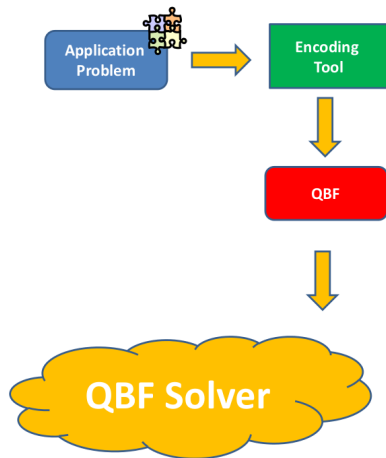
- Player A (existential player) tries to satisfy the formula by assigning existential variables
- Player B (universal player) tries to falsify the formula by assigning universal variables

- Player A and Player B make alternately an assignment of the variables in the outermost quantifier block
- Player A wins: formula is satisfiable, i.e., there is a strategy for assigning the existential variables such that the formula is always satisfied
- Player B wins: formula is unsatisfiable

Promises of QBF

- QSAT is the prototypical problem for *PSPACE*.
- QBFs are suitable as *host language* for the encoding of many application problems like
 - verification
 - artificial intelligence
 - knowledge representation
 - game solving
- In general, QBF allow more succinct encodings than SAT

Application of a QBF Solver



QBF Solver returns

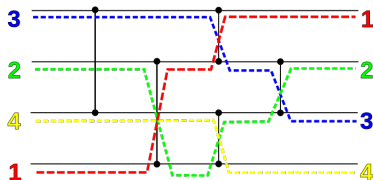
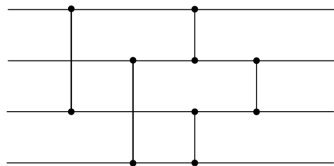
1. yes/no
2. witnesses

Example of $\exists\forall\exists$: Synthesis

Given an input-output specification, does there exist a circuit that satisfies the input-output specification.

QBF solving can be used to find the smallest sorting network:

- (\exists) Does there exist a sorting network of k wires,
- (\forall) such that for all input variables of the network
- (\exists) the output $O_i \leq O_{i+1}$

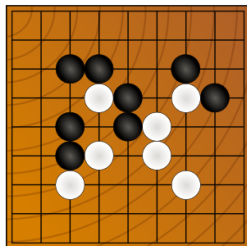


Example of $\forall\exists\dots\forall\exists$: Games

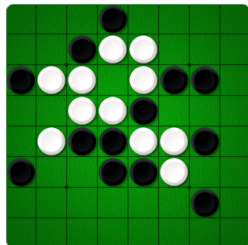
Many games, such as Go and Reversi, can be naturally expressed as a QBF problem.

Boolean variables $a_{i,k}$, $b_{j,k}$ express that the existential player places a piece on row i and column j at his k th turn.

Variables $c_{i,k}$, $d_{j,k}$ are used for the universal player.



Go



Reversi

The QBF problem is of the form

$$\forall c_{i,1}, d_{j,1} \exists a_{i,1}, b_{j,1} \dots \forall c_{i,n}, d_{j,n} \exists a_{i,n}, b_{j,n} . \psi$$

Outcome “satisfiable”: the second player (existential) can always prevent that the first player (universal) wins.

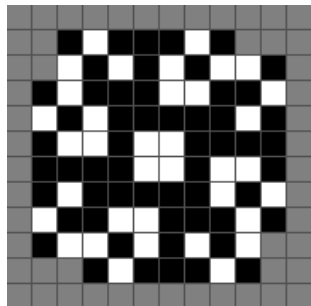
Illustrating Example $\forall\exists$: Conway's Game of Life

Conway's Game of Life is an infinite 2D grid of cells that are either alive or dead using the following update rules:

- Any alive cell with fewer than two alive neighbors dies;
- Any alive cell with two or three live neighbors lives;
- Any alive cell with more than three alive neighbors dies;
- Any dead cell with exactly three alive neighbors becomes alive.

Game of Life is very popular: over 1,100 wiki articles

Garden of Eden in Conway's Game of Life



A Garden of Eden (GoE) is a state that can only exist as initial state.

Let $T(x, y)$ denote the CNF formula that encodes the transition relation from a state to its successor using variables x that describe the current state and variables y the successor state.

A QBF that encodes the GoE problem is simply

$$\forall y \exists x. T(x, y)$$

The smallest Garden of Eden known so far (shown above) was found using a QBF solver. [\[Hartman et al. 2013\]](#)

The Language of QBF

The language of **quantified Boolean formulas** $\mathcal{L}_{\mathcal{P}}$ over a set of propositional variables \mathcal{P} is the smallest set such that

- if $v \in \mathcal{P} \cup \{\top, \perp\}$ then $v \in \mathcal{L}_{\mathcal{P}}$ (variables, constants)
- if $\phi \in \mathcal{L}_{\mathcal{P}}$ then $\bar{\phi} \in \mathcal{L}_{\mathcal{P}}$ (negation)
- if ϕ and $\psi \in \mathcal{L}_{\mathcal{P}}$ then $\phi \wedge \psi \in \mathcal{L}_{\mathcal{P}}$ (conjunction)
- if ϕ and $\psi \in \mathcal{L}_{\mathcal{P}}$ then $\phi \vee \psi \in \mathcal{L}_{\mathcal{P}}$ (disjunction)
- if $\phi \in \mathcal{L}_{\mathcal{P}}$ then $\exists v \phi \in \mathcal{L}_{\mathcal{P}}$ (existential quantifier)
- if $\phi \in \mathcal{L}_{\mathcal{P}}$ then $\forall v \phi \in \mathcal{L}_{\mathcal{P}}$ (universal quantifier)

Some Notes on Variables and Truth Constants

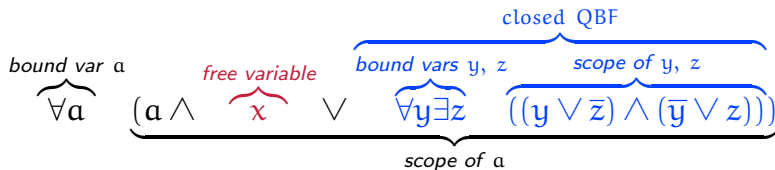
- \top stands for *top*
 - always true
 - empty conjunction
- \perp stands for *bottom*
 - always false
 - empty disjunction
- *literal*: variable or negation of a variable
 - examples: $l_1 = v$, $l_2 = \bar{w}$
 - $\text{var}(l) = v$ if $l = v$ or $l = \bar{v}$
 - complement of literal l : \bar{l}
- $\text{var}(\phi)$: set of variables occurring in QBF ϕ

Some QBF Terminology

Let $Qv\psi$ with $Q \in \{\forall, \exists\}$ be a subformula in a QBF ϕ , then

- ψ is the *scope* of v
- Q is the *quantifier binding* of v
- $\text{quant}(v) = Q$
- *free variable* w in ϕ : w has no quantifier binding in ϕ
- *bound variable* w in QBF ϕ : w has quantifier binding in ϕ
- *closed QBF*: no free variables

Example



Prenex Conjunctive Normal Form (PCNF)

A QBF ϕ is in **prenex conjunctive normal form** iff

- ϕ is in *prenex normal form* $\phi = Q_1v_1 \dots Q_nv_n\psi$
- matrix ψ is in *conjunctive normal form*, i.e.,

$$\psi = C_1 \wedge \dots \wedge C_m$$

where C_i are clauses, i.e., disjunctions of literals.

Example

$$\underbrace{\forall x \exists y}_{\text{prefix}} \underbrace{((x \vee \bar{y}) \wedge (\bar{x} \vee y))}_{\text{matrix in CNF}}$$

Some Words on Notation

If convenient, we write

- a conjunction of clauses as a set, i.e.,

$$C_1 \wedge \dots \wedge C_m = \{C_1, \dots, C_m\}$$

- a clause as a set of literals, i.e.,

$$l_1 \vee \dots \vee l_k = \{l_1, \dots, l_k\}$$

- $\text{var}(\phi)$ for the variables occurring in ϕ
- $\text{var}(l)$ for the variable of a literal, i.e.,

$$\text{var}(l) = x \text{ iff } l = x \text{ or } l = \bar{x}$$

Example

$$\underbrace{\forall x \exists y}_{\text{prefix}} \underbrace{((x \vee \bar{y}) \wedge (\bar{x} \vee y))}_{\text{matrix in CNF}} \approx \underbrace{\forall x \exists y}_{\text{prefix}} \underbrace{\{\{x, \bar{y}\}, \{\bar{x}, y\}\}}_{\text{matrix in CNF}}$$

Semantics of QBFs

A **valuation function** $\mathcal{I}: \mathcal{L}_{\mathcal{P}} \rightarrow \{\mathcal{T}, \mathcal{F}\}$ for closed QBFs is defined as follows:

- $\mathcal{I}(\top) = \mathcal{T}; \mathcal{I}(\perp) = \mathcal{F}$
- $\mathcal{I}(\overline{\psi}) = \mathcal{T}$ iff $\mathcal{I}(\psi) = \mathcal{F}$
- $\mathcal{I}(\phi \vee \psi) = \mathcal{T}$ iff $\mathcal{I}(\phi) = \mathcal{T}$ or $\mathcal{I}(\psi) = \mathcal{T}$
- $\mathcal{I}(\phi \wedge \psi) = \mathcal{T}$ iff $\mathcal{I}(\phi) = \mathcal{T}$ and $\mathcal{I}(\psi) = \mathcal{T}$
- $\mathcal{I}(\forall v. \psi) = \mathcal{T}$ iff $\mathcal{I}(\psi[\perp/v]) = \mathcal{T}$ and $\mathcal{I}(\psi[\top/v]) = \mathcal{T}$
- $\mathcal{I}(\exists v. \psi) = \mathcal{T}$ iff $\mathcal{I}(\psi[\perp/v]) = \mathcal{T}$ or $\mathcal{I}(\psi[\top/v]) = \mathcal{T}$

Boolean split (QBF ϕ)

```
switch( $\phi$ )
  case  $\top$ : return true;
  case  $\perp$ : return false;
  case  $\bar{\psi}$ : return (not split( $\psi$ ));
  case  $\psi' \wedge \psi''$ : return split( $\psi'$ ) && split( $\psi''$ );
  case  $\psi' \vee \psi''$ : return split( $\psi'$ ) || split( $\psi''$ );
  case  $QX\psi$ :
    select  $x \in X$ ;  $X' = X \setminus \{x\}$ ;
    if ( $Q == \forall$ )
      return (split( $QX'\psi[\top/x]$ ) &&
              split( $QX'\psi[\perp/x]$ ));
    else
      return (split( $QX'\psi[\top/x]$ ) ||
              split( $QX'\psi[\perp/x]$ ));
```

Some Simplifications

The following rewritings are *equivalence preserving*:

1. $\bar{\top} \Rightarrow \perp$; $\bar{\perp} \Rightarrow \top$;
2. $\top \wedge \phi \Rightarrow \phi$; $\perp \wedge \phi \Rightarrow \perp$; $\top \vee \phi \Rightarrow \top$; $\perp \vee \phi \Rightarrow \phi$;
3. $(Qx \phi) \Rightarrow \phi$, $Q \in \{\forall, \exists\}$, x does not occur in ϕ ;

Example

$$\begin{aligned} & \forall a b \exists x \forall c \exists y z \forall d \{ \{a, b, \bar{c}\}, \{a, \bar{b}, \bar{\top}\}, \\ & \quad \{c, y, d, \perp\}, \{x, y, \bar{\perp}\}, \{x, c, d, \top\} \} \\ & \quad \approx \\ & \forall a b c \exists y \forall d \{ \{a, b, \bar{c}\}, \{a, \bar{b}\}, \{c, y, d\} \} \end{aligned}$$

Boolean splitCNF (Prefix P, matrix ψ)

if ($\psi == \top$): return **true**;

if ($\perp \in \psi$): return **false**;

$P = QXP', x \in X, X' = X \setminus \{x\}$;

if ($Q == \forall$)

 return (splitCNF($QX'P', \psi'$) &&
 splitCNF($QX'P', \psi''$));

else

 return (splitCNF($QX'P', \psi'$) ||
 splitCNF($QX'P', \psi''$));

where

ψ' : take clauses of ψ , delete clauses with x , delete literals \bar{x}

ψ'' : take clauses of ψ , delete clauses with \bar{x} , delete literals x

Unit Clauses

A clause C is called **unit** in a formula ϕ iff

- C contains exactly one existential literal
- the universal literals of C are to the right of the existential literal in the prefix

The existential literal in the unit clause is called *unit literal*.

Example

$$\forall a b \exists x \forall c \exists y \forall d \{ \{a, b, \bar{x}, \bar{c}\}, \{a, \bar{b}\}, \{c, y, d\}, \{x, y\}, \{x, c, d\}, \{y\} \}$$

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Unit literals: x, y

Unit Literal Elimination

Let ϕ be a QBF with unit literal l and let ϕ' be a QBF obtained from ϕ by

- removing all clauses containing l
- removing all occurrences of \bar{l}

Then

$$\phi \approx \phi'$$

Example

$$\forall a b \exists x \forall c \exists y \forall d \{ \{a, b, \bar{x}, \bar{c}\}, \{a, \bar{b}\}, \{c, y, d\}, \{x, y\}, \{x, c, d\}, \{y\} \}$$

After unit literal elimination: $\forall a b c \{ \{a, b, \bar{c}\}, \{a, \bar{b}\} \}$

Pure Literals

A literal l is called **pure** in a formula ϕ iff

- l occurs in ϕ
- the complement of l , i.e., \bar{l} does not occur in ϕ

Example

$$\forall a b \exists x \forall c \exists y z \forall d \{ \{a, b, \bar{c}\}, \{a, \bar{b}\}, \{c, y, d\}, \{x, y\}, \{x, c, d\} \}$$

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Example

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Pure: a, d, x, y

Pure Literal Elimination

Let ϕ be a QBF with pure literal l and let ϕ' be a QBF obtained from ϕ by

- removing all clauses with l if $\text{quant}(l) = \exists$
- removing all occurrences of l if $\text{quant}(l) = \forall$

Then

$$\phi \approx \phi'$$

Example

$$\forall a b \exists x \forall c \exists y z \forall d \{ \{a, b, \bar{c}\}, \{a, \bar{b}\}, \{c, y, d\}, \{x, y\}, \{x, c, d\} \}$$

After Pure Literal Elimination: $\forall b \{ \{b\}, \{\bar{b}\} \}$

Universal Reduction (UR)

- Let $\Pi.\psi$ be a QBF in PCNF and $C \in \psi$.
- Let $l \in C$ with
 - $\text{quant}(l) = \forall$
 - for all $k \in C$ with $\text{quant}(k) = \exists$ $k <_{\Pi} l$, i.e., all existential variables k of C are to the left of l in Π .
- Then l may be removed from C .
- $C \setminus \{l\}$ is called the *universal reduct* of C .

Example

$$\forall a b \exists x \forall c \exists y z \forall d \{ \{a, b, x, \bar{c}\}, \{a, \bar{b}, x\}, \{c, y, d\}, \{x, y\}, \{x, c, d\} \}$$

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After Universal Reduction:

$$\forall a b \exists x \forall c \exists y z \forall d \{ \{a, b, x\}, \{a, \bar{b}, x\}, \{c, y\}, \{x, y\}, \{x\} \}$$

Boolean splitCNF2 (Prefix P, matrix ψ)

$(P, \psi) = \text{simplify}(P, \psi);$

if ($\psi == \perp$): return **true**;

if ($\perp \in \psi$): return **false**;

$P = QXP', x \in X, X' = X \setminus \{x\};$

if ($Q == \forall$)

 return (splitCNF2($QX'P', \psi'$) &&
 splitCNF2($QX'P', \psi''$));

else

 return (splitCNF2($QX'P', \psi'$) ||
 splitCNF2($QX'P', \psi''$));

where

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ψ'' : take clauses of ψ , delete clauses with \bar{x} , delete x

Resolution for QBF

Q-Resolution: propositional resolution + universal reduction.

Definition

Let C_1, C_2 be clauses with existential literal $l \in C_1$ and $\bar{l} \in C_2$.

1. Tentative Q-resolvent:

$$C_1 \bowtie C_2 := (UR(C_1) \cup UR(C_2)) \setminus \{l, \bar{l}\}.$$

2. If $\{x, \bar{x}\} \subseteq C_1 \bowtie C_2$ then no Q-resolvent exists.

3. Otherwise, Q-resolvent $C := (C_1 \bowtie C_2)$.

- Q-resolution is a sound and complete calculus.
- Universals as pivot are also possible.

Q-Resolution Small Example

Exclusive OR (XOR): QBF $\psi = \exists x \forall y (x \vee y) \wedge (\bar{x} \vee \bar{y})$

Q-Resolution Small Example

Exclusive OR (XOR): QBF $\psi = \exists x \forall y (x \vee y) \wedge (\bar{x} \vee \bar{y})$

Truth Table

x	y	ψ
0	0	0
0	1	1
1	0	1
1	1	0

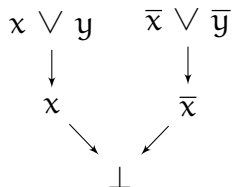
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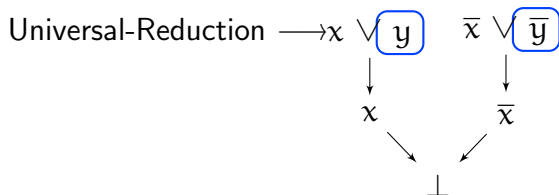
Q-Resolution Proof



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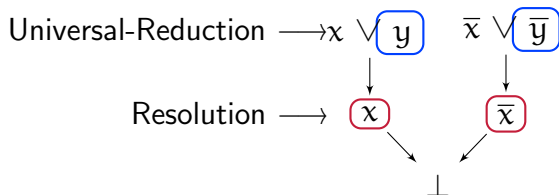
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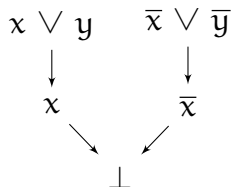
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unsat



Q-Resolution Proof



$$\longrightarrow y = x \Rightarrow \psi = 0$$

Q-Resolution Small Example

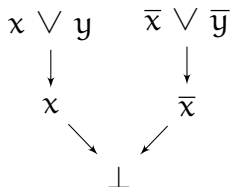
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unsat

Q-Resolution Proof



$$\longrightarrow y = x \Rightarrow \psi = 0$$

$$\longrightarrow f_y(x) = x \quad (\text{counter model})$$

Q-Resolution Large Example

Input Formula

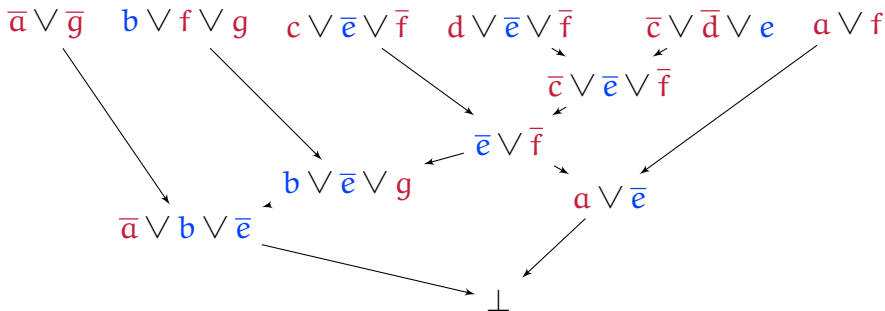
$$\exists a \forall b \exists c d \forall e \exists f g. (\bar{a} \vee \bar{g}) \wedge (b \vee f \vee g) \wedge (c \vee \bar{e} \vee \bar{f}) \wedge \\ (d \vee \bar{e} \vee \bar{f}) \wedge (\bar{c} \vee \bar{d} \vee e) \wedge (a \vee f)$$

Q-Resolution Large Example

Input Formula

$$\exists a \forall b \exists c d \forall e \exists f g. (\bar{a} \vee \bar{g}) \wedge (b \vee f \vee g) \wedge (c \vee \bar{e} \vee \bar{f}) \wedge \\ (d \vee \bar{e} \vee \bar{f}) \wedge (\bar{c} \vee \bar{d} \vee e) \wedge (a \vee f)$$

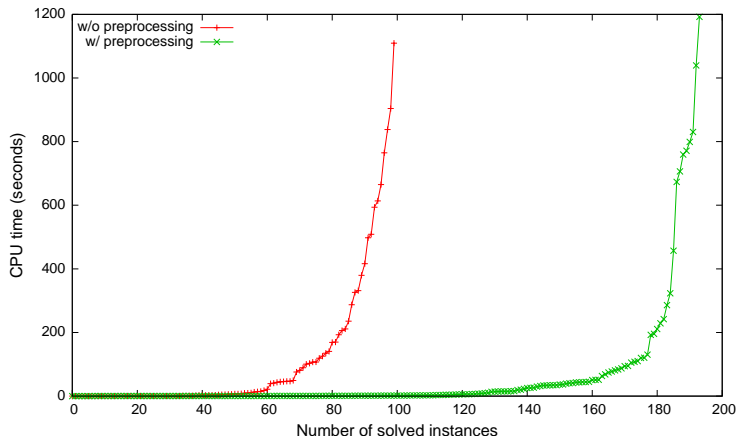
Q-Resolution Proof DAG



QBF Preprocessing

Preprocessing is **crucial** to solve most QBF instances efficiently.

Results of DepQBF w/ and w/o bloqer on QBF Eval 2012 [1]



Quantified Blocked Clause

Definition (Quantified Blocking literal)

An existential literal l in a clause C of a QBF $\Pi.\varphi$ blocks C with respect to $\Pi.\varphi$ if **for every clause** $D \in F_{\bar{l}}$, there exists a literal $k \neq l$ with $k \leq_{\Pi} l$ such that $k \in C$ and $\bar{k} \in D$.

Definition (Quantified Blocked clause)

A clause is blocked if it contains **a literal** that blocks it.

Quantified Blocked Clause

Definition (Quantified Blocking literal)

An existential literal l in a clause C of a QBF $\Pi.\varphi$ blocks C with respect to $\Pi.\varphi$ if **for every clause** $D \in F_{\bar{l}}$, there exists a literal $k \neq l$ with $k \leq_{\Pi} l$ such that $k \in C$ and $\bar{k} \in D$.

Definition (Quantified Blocked clause)

A clause is blocked if it contains **a literal** that blocks it.

Example

$$\exists a \forall b c d \exists e f \forall g. (\bar{a} \vee \bar{g}) \wedge (b \vee f \vee g) \wedge (c \vee \bar{e} \vee \bar{f}) \wedge \\ (d \vee \bar{e} \vee \bar{f}) \wedge (\bar{c} \vee \bar{d} \vee e) \wedge (a \vee f)$$

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