

UNIT 5B

Binary Search

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Binary Search

- Required: List L of n unique elements.
 - The elements must be sorted in increasing order.
- Result: The index of a specific element (called the key) or None if the key is not found.
- Algorithm uses two variables *lower* and *upper* to indicate the index range in the list where the search is being performed.
 - *lower* is always one less than the **start** of the range
 - *upper* is always one more than the **end** of the range

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Algorithm

1. Set lower = -1.
2. Set upper = the length of the list L
3. Return BinarySearch(L, key, lower, upper).

BinarySearch(L,key,lower,upper):

1. Return None if the range is empty.
2. Set mid = the midpoint between lower and upper
3. Return mid if L[mid] is the key you're looking for.
4. If the key is less than L[mid],
return BinarySearch(L,key,lower,mid)
Otherwise, return BinarySearch(L,key,mid,upper).

Example 1: Search for 73

0	1	2	3	4	5	6	7	8	9	10	11	12	13	14
12	25	32	37	41	48	58	60	66	73	74	79	83	91	95

0	1	2	3	4	5	6	7	8	9	10	11	12	13	14
12	25	32	37	41	48	58	60	66	73	74	79	83	91	95

0	1	2	3	4	5	6	7	8	9	10	11	12	13	14
12	25	32	37	41	48	58	60	66	73	74	79	83	91	95

Found: return 9

Example 2: Search for 42

0	1	2	3	4	5	6	7	8	9	10	11	12	13	14
12	25	32	37	41	48	58	60	66	73	74	79	83	91	95

0	1	2	3	4	5	6	7	8	9	10	11	12	13	14
12	25	32	37	41	48	58	60	66	73	74	79	83	91	95

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0	1	2	3	4	5	6	7	8	9	10	11	12	13	14
12	25	32	37	41	48	58	60	66	73	74	79	83	91	95

Not found: return None

Finding `mid`

- How do you find the midpoint of the range?

`mid = (lower + upper) // 2`

Example: **`lower = -1, upper = 9`**
(range has 9 elements)

`mid = 4`

- What happens if the range has an even number of elements?

Range is empty

- How do we determine if the range is empty?

```
lower + 1 == upper
```

Binary Search in Python: Recursively

```
def bs_helper(list, key, lower, upper):  
    if lower + 1 == upper:    # range empty  
        return None  
    mid = (lower + upper)//2  
    if key == list[mid]:     # found key  
        return mid  
    if key < list[mid]:  
        return bs_helper(list, key, lower, mid)  
    else:  
        return bs_helper(list, key, mid, upper)  
  
def bsearch(list, key):  
    return bs_helper(list, key, -1, len(list))
```

Example 1: Search for 73

```
0 1 2 3 4 5 6 7 8 9 10 11 12 13 14  
12 25 32 37 41 48 58 60 66 73 74 79 83 91 95
```

```
      key lower upper  
bs_helper(list, 73, -1, 15)
                                mid = 7 and 73 > list[7]
bs_helper(list, 73, 7, 15)
                                mid = 11 and 73 < list[11]
bs_helper(list, 73, 7, 11)
                                mid = 9 and 73 == list[9]
                                ---> return 9
```

Example 2: Search for 42

```
0 1 2 3 4 5 6 7 8 9 10 11 12 13 14  
12 25 32 37 41 48 58 60 66 73 74 79 83 91 95
```

```
      key lower upper  
bs_helper(list, 42, -1, 15)
                                mid = 7 and 42 < list[7]
bs_helper(list, 42, -1, 7)
                                mid = 3 and 42 > list[3]
bs_helper(list, 42, 3, 7)
                                mid = 5 and 42 < list[5]
bs_helper(list, 42, 3, 5)
                                mid = 4 and 42 > list[4]
bs_helper(list, 42, 4, 5)
                                lower+1 == upper
                                ---> return None
```

Analyzing Efficiency

- For binary search, consider the worst-case scenario (target is not in list)
- How many times can we split the search area in half before we the list becomes empty?
- For the previous examples:
15 --> 7 --> 3 --> 1 --> 0 ... 4 times

In general...

- In general, we can split search region in half $\lfloor \log_2 n \rfloor + 1$ times before it becomes empty.
- Recall the log function:
 $\log_a b = c$ is equivalent to $a^c = b$
Examples:
 $\log_2 128 = 7$
 $\log_2 n = 5$ implies $n = 32$
- In our example: when there were 15 elements, we needed 4 comparisons: $\lfloor \log_2 15 \rfloor + 1 = 3 + 1 = 4$

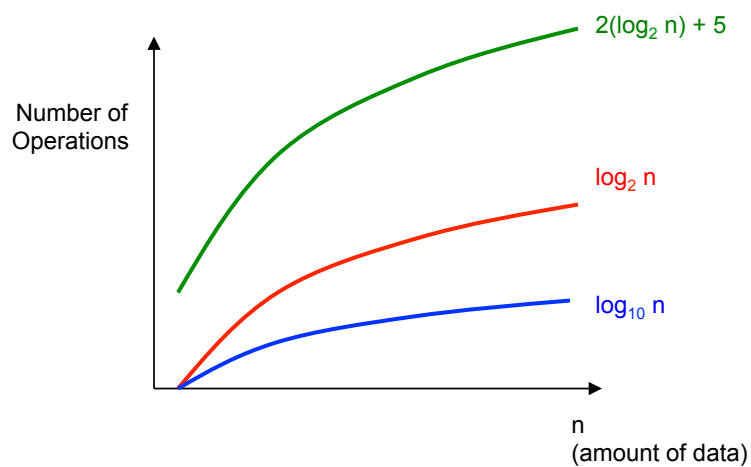
Big O

- In the worst case, binary search requires $O(\log n)$ time on a sorted array with n elements.
 - Note that in Big O notation, we do not usually specify the base of the logarithm. (It's usually 2.)
- | <u>Number of operations</u> | <u>Order of Complexity</u> |
|-----------------------------|----------------------------|
| $\log_2 n$ | $O(\log n)$ |
| $\log_{10} n$ | $O(\log n)$ |
| $2(\log_2 n) + 5$ | $O(\log n)$ |

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$O(\log n)$ (“logarithmic”)

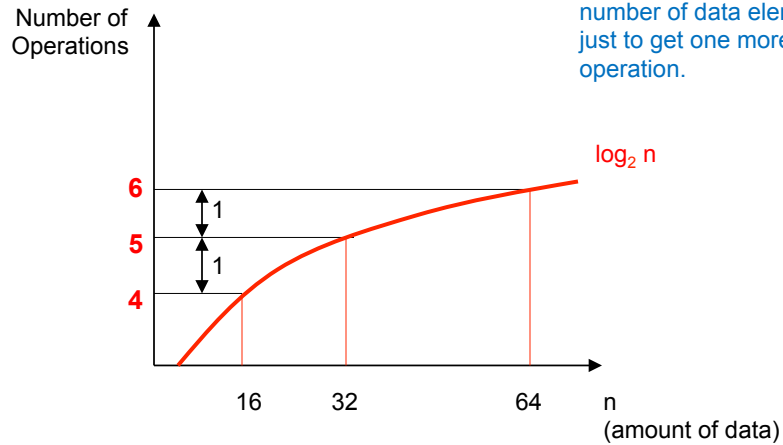


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$O(\log n)$

For a \log_2 algorithm, you have to double the number of data elements just to get one more operation.



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Searching (Worst Case)

<u>Number of elements</u>	<u>Number of Comparisons</u>	
	<u>Linear Search</u>	<u>Binary Search</u>
$15 \approx 2^4$	15	4
$31 \approx 2^5$	31	5
$63 \approx 2^6$	63	6
$127 \approx 2^7$	127	7
$255 \approx 2^8$	255	8
$511 \approx 2^9$	511	9
$1023 \approx 2^{10}$	1023	10
1 million $\approx 2^{20}$	1000000	20
1 billion $\approx 2^{30}$	1000000000	30

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Binary Search Pays Off, but...

- Finding an element in a list with a billion elements requires only 30 comparisons!
 - BUT....
 - The list must be sorted.
 - What if we sort the list first using insertion sort?
 - Insertion sort $O(n^2)$ (worst case)
 - Binary search $O(\log n)$ (worst case)
 - Total time: $O(n^2) + O(\log n) = O(n^2)$
- Luckily there are faster ways to sort in the worst case...