Code Optimization

15-213/15-513/14-513: Introduction to Computer Systems 12th Lecture, Feb 23, 2023

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Today

- Principles and goals of compiler optimization
- Examples of optimizations
- Obstacles to optimization
- Machine-dependent optimization
- Benchmark example

Back in the Good Old Days,
when the term "software" sounded funny
and Real Computers were made out of drums
and vacuum tubes,
Real Programmers wrote in machine code.

Not FORTRAN. Not RATFOR. Not, even, assembly language.

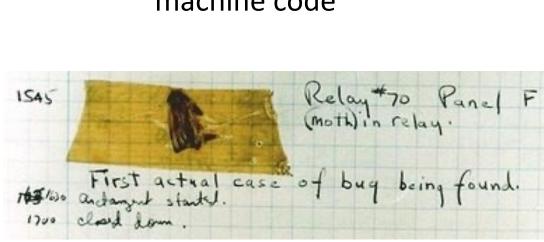
Machine Code.

Raw, unadorned, inscrutable hexadecimal numbers. Directly.

— "The Story of Mel, a Real Programmer"Ed Nather, 1983

Rear Admiral Grace Hopper

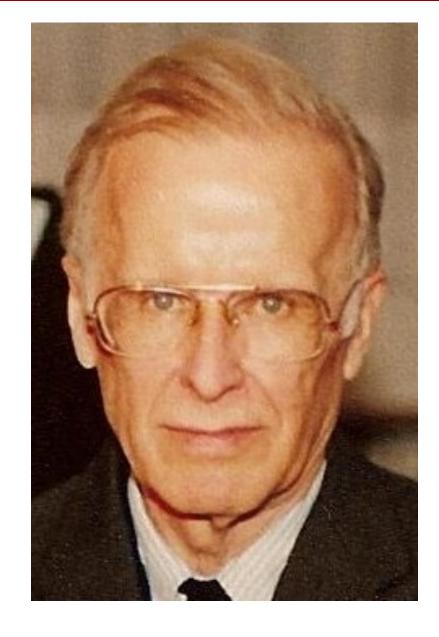
- First person to find an actual bug (a moth)
- Invented first compiler in 1951 (precursor to COBOL)
- "I decided data processors ought to be able to write their programs in English, and the computers would translate them into machine code"





John Backus

- Developed FORTRAN in 1957 for the IBM 704
- Oldest machineindependent programming language still in use today
- "Much of my work has come from being lazy. I didn't like writing programs, and so, when I was working on the IBM 701, I started work on a programming system to make it easier to write programs"



Fran Allen

- Pioneer of many optimizing compilation techniques
- Wrote a paper in 1966 that introduced the concept of the control flow graph, which is still central to compiler theory today
- First woman to win the ACM Turing Award



Goals of compiler optimization

Minimize number of instructions

- Don't do calculations more than once
- Don't do unnecessary calculations at all
- Avoid slow instructions (multiplication, division)

Avoid waiting for memory

- Keep everything in registers whenever possible
- Access memory in cache-friendly patterns
- Load data from memory early, and only once

Avoid branching

- Don't make unnecessary decisions at all
- Make it easier for the CPU to predict branch destinations
- "Unroll" loops to spread cost of branches over more instructions

Limits to compiler optimization

Generally cannot improve algorithmic complexity

Only constant factors, but those can be worth 10x or more...

Must not cause any change in program behavior

- Programmer may not care about "edge case" behavior, but compiler does not know that
- Exception: language may declare some changes acceptable

Often only analyze one function at a time

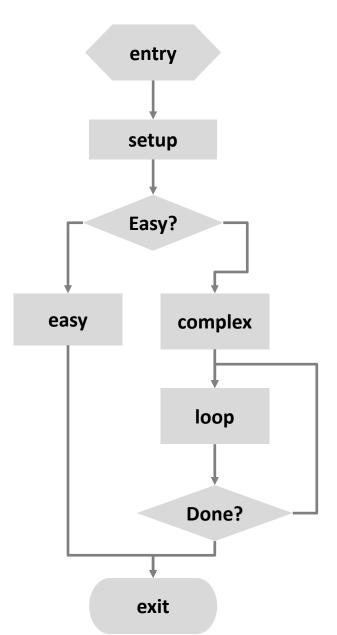
- Whole-program analysis ("LTO") expensive but gaining popularity
- Exception: inlining merges many functions into one

Tricky to anticipate run-time inputs

- Profile-guided optimization can help with common case, but...
- "Worst case" performance can be just as important as "normal"
- Especially for code exposed to malicious input (e.g. network servers)

Two kinds of optimizations

- Local optimizations work inside a single basic block
 - Constant folding, strength reduction, dead code elimination, (local) CSE, ...
- Global optimizations process the entire control flow graph of a function
 - Loop transformations, code motion, (global) CSE, ...



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Next several slides done live...

- https://godbolt.org/z/Es5s8qsvj
- Go to Godbolt (the compiler explorer) to play around with C and the resulting assembly generated under different compiler optimizations (change the flag from -O3 to -Og, etc. to see more or less aggressive optimization).
- If you missed class, aof the concepts we explored during the live demo are explained in the next few slides, so peek at them and then try playing with the compiler explorer!

Constant folding

Do arithmetic in the compiler

```
long mask = 0xFF << 8; \rightarrow long mask = 0xFF00;
```

- Any expression with constant inputs can be folded
- Might even be able to remove library calls...

```
size_t namelen = strlen("Harry Bovik"); →
size_t namelen = 11;
```

Dead code elimination

Don't emit code that will never be executed

```
if (0) { puts("Kilroy was here"); }
if (1) { puts("Only bozos on this bus"); }
```

Don't emit code whose result is overwritten

$$x = 23;$$

 $x = 42;$

- These may look silly, but...
 - Can be produced by other optimizations
 - Assignments to x might be far apart

Common subexpression elimination

Factor out repeated calculations, only do them once

Code motion

- Move calculations out of a loop
- Only valid if every iteration would produce same result

```
long j;
for (j = 0; j < n; j++)
    a[n*i+j] = b[j];

→
long j;
int ni = n*i;
for (j = 0; j < n; j++)
    a[ni+j] = b[j];</pre>
```

Inlining

Copy body of a function into its caller(s)

- Can create opportunities for many other optimizations
- Can make code much bigger and therefore slower (size; i-cache)

```
int func(int y) {
int pred(int x) {
    if (x == 0)
                                   int tmp;
        return 0;
                                   if (y == 0) tmp = 0; else tmp = y - 1;
    else
                                   if (0 == 0) tmp += 0; else tmp += 0 - 1;
        return x - 1;
}
                                   if (y+1 == 0) tmp += 0; else tmp += (y + 1) - 1;
                                   return tmp;
int func(int y) {
                                 }
    return pred(y)
         + pred(0)
         + pred(y+1);
```

Inlining

Copy body of a function into its caller(s)

- Can create opportunities for many other optimizations
- Can make code much bigger and therefore slower

```
int pred(int x) {
    if (x == 0)
        return 0;
    else
        return x - 1;
}
int func(int y) {
    return pred(y)
        + pred(0)
        + pred(y+1);
}
```

```
int func(int y) {
   int tmp;
   if (y == 0) tmp = 0; else tmp = y - 1;
   if (0 == 0) tmp += 0; else tmp += 0 - 1;
   if (y+1 == 0) tmp += 0; else tmp += (y + 1) - 1;
   return tmp;
}
Always true
Does nothing Can constant fold
```

Inlining

Copy body of a function into its caller(s)

- Can create opportunities for many other optimizations
- Can make code much bigger and therefore slower

```
int func(int y) {
  int tmp;
  if (y == 0) tmp = 0; else tmp = y - 1;
  if (0 == 0) tmp += 0; else tmp += 0 - 1;
  if (y+1 == 0) tmp += 0; else tmp += (y + 1) - 1;
  return tmp;
}

int func(int y) {
  int tmp = 0;
  if (y != 0) tmp = y - 1;
  if (y != -1) tmp += y;
  return tmp;
}
```

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Memory Aliasing

```
/* Sum rows of n X n matrix a and store in vector b. */
void sum_rows1(double *a, double *b, long n) {
    long i, j;
    for (i = 0; i < n; i++) {
        b[i] = 0;
        for (j = 0; j < n; j++)
            b[i] += a[i*n + j];
    }
}</pre>
```

```
movq $0, (%rsi)
pxor %xmm0, %xmm0
.L4:

addsd (%rdi), %xmm0
movsd %xmm0, (%rsi)
addq $8, %rdi
cmpq %rcx, %rdi
jne .L4
```

- Code updates b[i] on every iteration
- Why couldn't compiler optimize this away?

Memory Aliasing

```
/* Sum rows of n X n matrix a and store in vector b. */
void sum_rows1(double *a, double *b, long n) {
    long i, j;
    for (i = 0; i < n; i++) {
        b[i] = 0;
        for (j = 0; j < n; j++)
            b[i] += a[i*n + j];
    }
}</pre>
```

```
double A[9] =
  { 0, 1, 2,
    4, 8, 16},
    32, 64, 128};

double B[3] = A+3;

sum_rows1(A, B, 3);
```

```
double A[9] =
  { 0,   1,   2,
   3,   22,  224},
  32,  64,  128};
```

Value of B:

```
init: [4, 8, 16]
i = 0: [3, 8, 16]
i = 1: [3, 22, 16]
i = 2: [3, 22, 224]
```

- Code updates b[i] on every iteration
- Must consider possibility that these updates will affect program behavior

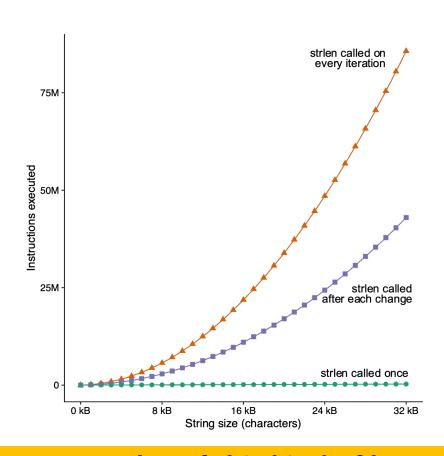
Avoiding Aliasing Penalties

```
/* Sum rows of n X n matrix a and store in vector b. */
void sum_rows2(double *a, double *b, long n) {
    long i, j;
    for (i = 0; i < n; i++) {
        double val = 0;
        for (j = 0; j < n; j++)
            val += a[i*n + j];
        b[i] = val;
    }
}</pre>
```

Use a local variable for intermediate results

Can't move function calls out of loops

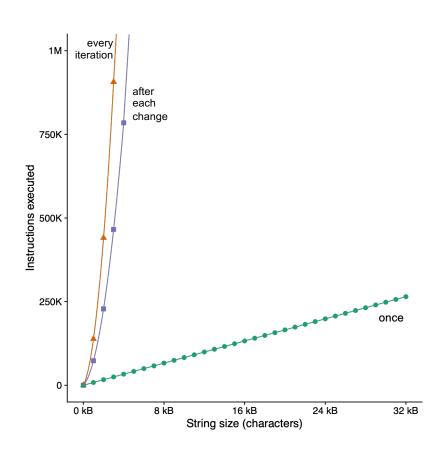
```
void lower quadratic(char *s) {
  size t i;
  for (i = 0; i < strlen(s); i++)
    if (s[i] >= 'A' \&\& s[i] <= 'Z')
      s[i] += 'a' - 'A';
void lower still quadratic(char *s) {
  size t i, n = strlen(s);
  for (i = 0; i < n; i++)
    if (s[i] >= 'A' \&\& s[i] <= 'Z') {
      s[i] += 'a' - 'A';
      n = strlen(s);
void lower linear(char *s) {
  size t i, n = strlen(s);
  for (i = 0; i < n; i++)
    if (s[i] >= 'A' \&\& s[i] <= 'Z')
      s[i] += 'a' - 'A';
```



Lots more examples of this kind of bug: accidentallyquadratic.tumblr.com

Can't move function calls out of loops

```
void lower quadratic(char *s) {
  size t i;
  for (i = 0; i < strlen(s); i++)
    if (s[i] >= 'A' \&\& s[i] <= 'Z')
      s[i] += 'a' - 'A':
void lower still quadratic(char *s) {
  size t i, n = strlen(s);
  for (i = 0; i < n; i++)
    if (s[i] >= 'A' \&\& s[i] <= 'Z') {
      s[i] += 'a' - 'A';
      n = strlen(s);
void lower linear(char *s) {
  size t i, n = strlen(s);
  for (i = 0; i < n; i++)
    if (s[i] >= 'A' \&\& s[i] <= 'Z')
      s[i] += 'a' - 'A';
```



Quiz

https://canvas.cmu.edu/courses/30386/quizzes

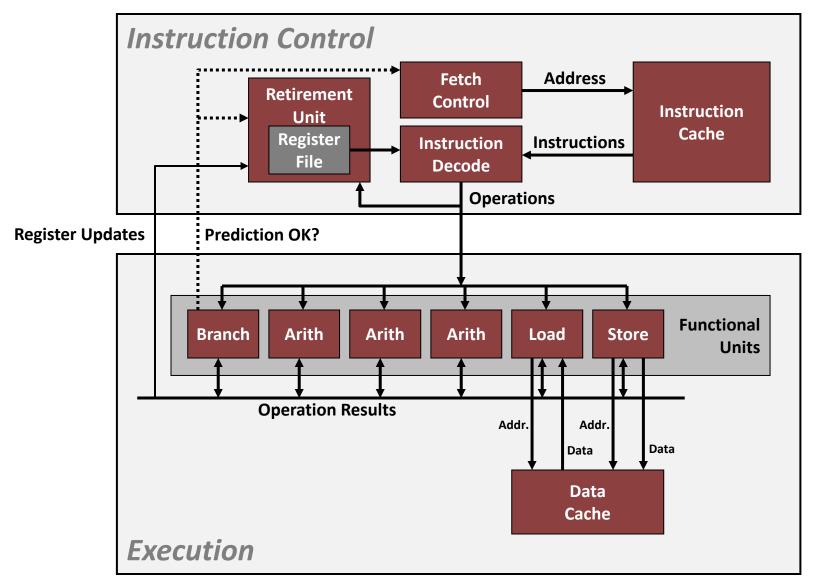
Strength Reduction

- $X = 1 * 4 \rightarrow x = 1 << 2$
- Replace expensive operations with cheaper ones

Today

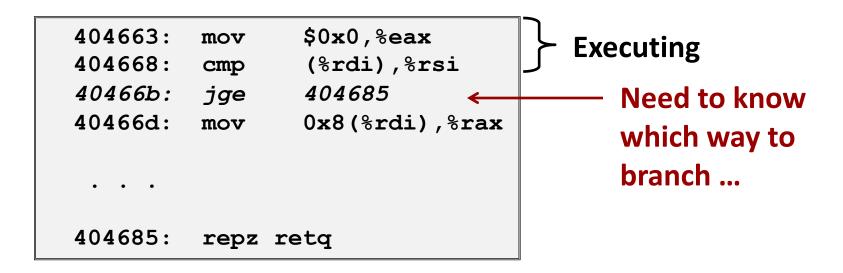
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Modern CPU Design



Branches Are A Challenge

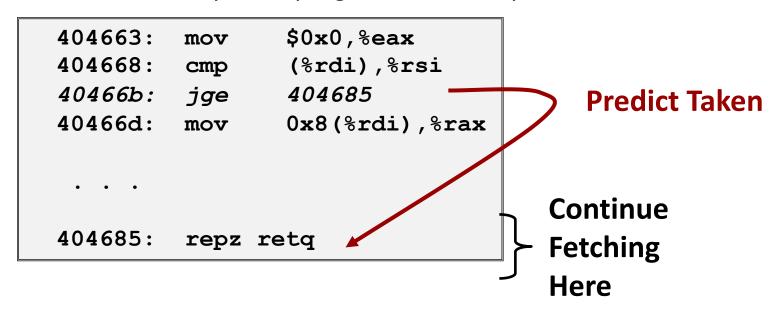
Instruction Control Unit must work well ahead of Execution Unit to generate enough operations to keep EU busy



If the CPU has to wait for the result of the cmp before continuing to fetch instructions, may waste tens of cycles doing nothing!

Branch Prediction

- Guess which way branch will go
 - Begin executing instructions at predicted position
 - But don't actually modify register or memory data



Branch Prediction Through Loop

```
Assume
401029:
         mulsd
                  (%rdx), %xmm0, %xmm0
40102d:
                                           array length = 100
          add
                 $0x8,%rdx
401031:
                 %rax,%rdx
          cmp
                              i = 98
401034:
                 401029
          ine
                                           Predict Taken (OK)
401029:
         mulsd
                  (%rdx),%xmm0,%xmm0
40102d:
          add
                 $0x8,%rdx
401031:
                 %rax,%rdx
          cmp
                              i = 99
401034:
                 401029
          jne
                                           Predict Taken
                                           (Oops)
401029:
         mulsd
                  (%rdx),%xmm0,%xmm0
40102d:
          add
                 $0x8,%rdx
                                                           Executed
                                           Read
401031:
                 %rax,%rdx
          cmp
                                           invalid
                              i = 100
401034:
                 401029
          ine
                                           location
401029:
         mulsd
                  (%rdx),%xmm0,%xmm0
                                                            Fetched
40102d:
                 $0x8,%rdx
          add
401031:
                 %rax,%rdx
          cmp
                              i = 101
401034:
                 401029
          ine
```

Branch Misprediction Invalidation

```
Assume
401029:
                   (%rdx), %xmm0, %xmm0
          mulsd
40102d:
                                                array length = 100
           add
                   $0x8,%rdx
401031:
                   %rax,%rdx
           cmp
                                 i = 98
401034:
                   401029
           ine
                                                Predict Taken (OK)
401029:
          mulsd
                   (%rdx),%xmm0,%xmm0
40102d:
           add
                   $0x8,%rdx
401031:
                   %rax,%rdx
           cmp
                                 i = 99
401034:
                   401029
           jne
                                                Predict Taken
                                                (Oops)
401029:
          mulsd
                   (%rdx), %xmm0, %xmm0
                   $0x8, %rdx
40102d:
           <del>add</del>
401031:
                   %rax,%rdx
           <del>cmp</del>
                                 i = 100
401034:
           ine
                   401029
                                                    Invalidate
          mulsd
                   (%rdx), %xmm0, %xmm0
40102d:
                   $0x8, %rdx
          <del>add</del>
401031:
                   %rax,%rdx
           <del>cmp</del>
                                 i = 101
                   401029
401034:
          ine
```

Branch Misprediction Recovery

```
401029:
         mulsd
                 (%rdx),%xmm0,%xmm0
40102d:
                 $0x8,%rdx
         add
                                  i = 99
                                             Definitely not taken
401031:
                 %rax,%rdx
         cmp
401034:
         jne
                 401029
401036:
                 401040
         jmp
                                                Reload
401040:
                 %xmm0, (%r12)
         movsd
```

Performance Cost

- Multiple clock cycles on modern processor
- Can be a major performance limiter

Branch Prediction Numbers

A simple heuristic:

- Backwards branches are often loops, so predict taken
- Forwards branches are often ifs, so predict not taken
- >95% prediction accuracy just with this!

Fancier algorithms track behavior of each branch

- Subject of ongoing research
- 2011 record (https://www.jilp.org/jwac-2/program/JWAC-2-program.htm): 34.1 mispredictions per 1000 instructions
- Current research focuses on the remaining handful of "impossible to predict" branches (strongly data-dependent, no correlation with history)
 - e.g. https://hps.ece.utexas.edu/pub/PruettPatt_BranchRunahead.pdf

Optimizing for Branch Prediction

Reduce # of branches

- Transform loops
- Unroll loops
- Use conditional moves
 - Not always a good idea

Make branches predictable

- Sort data
 https://stackoverflow.com/questions/11227809
- Avoid indirect branches
 - function pointers
 - virtual methods

```
.Loop:
    movzbl 0(%rbp,%rbx), %edx
    leal
            -65(%rdx), %ecx
           $25, %cl
    cmpb
            .Lskip
    <del>ia</del>—
           $32, %edx
    addl
           %dl, 0(%rbp,%rbx)
    movb
.Lskip:
    addl
           $1, %rbx
           %rax, %rbx
    cmpq
            .Loop
    jb
.Loop:
    movzbl 0(%rbp,%rbx), %edx
    mov1
           %edx, %esi
    leal
            -65(%rdx), %ecx
           $32, %edx
    addl
           $25, %cl
    cmpb
           %esi, %edx
    cmova
    movb
           %dl, 0(%rbp,%rbx)
           $1, %rbx
    addl
           %rax, %rbx
    cmpq
                                  Memory write
    ib
            .Loop
                                      now
                                  unconditional!
```

Loop Unrolling

- Amortize cost of loop condition by duplicating body
- Creates opportunities for CSE, code motion, scheduling
- Prepares code for vectorization
- Can hurt performance by increasing code size

```
for (size_t i = 0; i < nelts; i++) {
    A[i] = B[i]*k + C[i];
}

for (size_t i = 0; i < nelts - 4; i += 4) {
    A[i] = B[i]*k + C[i];
    A[i+1] = B[i+1]*k + C[i+1];
    A[i+2] = B[i+2]*k + C[i+2];
    A[i+3] = B[i+3]*k + C[i+3];
}</pre>
```

When would this change be incorrect?

Scheduling

- Rearrange instructions to make it easier for the CPU to keep all functional units busy
- For instance, move all the loads to the top of an unrolled loop
 - Now maybe it's more obvious why we need lots of registers

```
for (size_t i = 0; i < nelts - 4; i += 4) {
    A[i ] = B[i ]*k + C[i ];
    A[i+1] = B[i+1]*k + C[i+1];
    A[i+2] = B[i+2]*k + C[i+2];
    A[i+3] = B[i+3]*k + C[i+3];
}

for (size_t i = 0; i < nelts - 4; i += 4) {
    B0 = B[i]; B1 = B[i+1]; B2 = B[i+2]; B3 = B[i+3];
    C0 = C[i]; C1 = C[i+1]; C2 = C[i+2]; C3 = B[i+3];
    A[i+2] = B0*k + C0;
    A[i+1] = B1*k + C1;
    A[i+2] = B2*k + C2;
    A[i+3] = B3*k + C3;
}</pre>
```

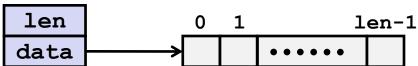
When would *this* change be incorrect?

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Benchmark Example: Data Type for Vectors

```
/* data structure for vectors */
typedef struct{
    size_t len;
    data_t *data;
} vec;
```



Data Types

- Use different declarations for data t
- int
- long
- float
- double

```
/* retrieve vector element
   and store at val */
int get_vec_element
   (*vec v, size_t idx, data_t *val)
{
   if (idx >= v->len)
      return 0;
   *val = v->data[idx];
   return 1;
}
```

Benchmark Computation

```
void combine1(vec_ptr v, data_t *dest)
{
    long int i;
    *dest = IDENT;
    for (i = 0; i < vec_length(v); i++) {
        data_t val;
        get_vec_element(v, i, &val);
        *dest = *dest OP val;
    }
}</pre>
```

Compute sum or product of vector elements

Data Types

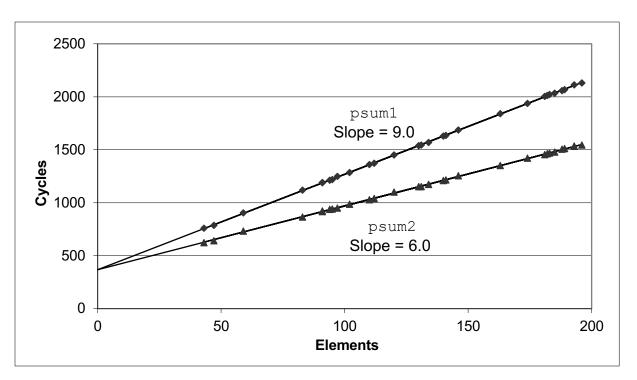
- Use different declarations for data_t
- int
- long
- float
- double

Operations

- Use different definitions of OP and IDENT
- **+** / 0
- ***** / 1

Cycles Per Element (CPE)

- Convenient way to express performance of program that operates on vectors or lists
- Length = n
- In our case: CPE = cycles per OP
- Cycles = CPE*n + Overhead
 - CPE is slope of line



Benchmark Performance

```
void combine1(vec_ptr v, data_t *dest)
{
    long int i;
    *dest = IDENT;
    for (i = 0; i < vec_length(v); i++) {
        data_t val;
        get_vec_element(v, i, &val);
        *dest = *dest OP val;
    }
}</pre>
```

Compute sum or product of vector elements

Method	Integer		Double FP	
Operation	Add	Mult	Add	Mult
Combine1 unoptimized	22.68	20.02	19.98	20.18
Combine1 –O1	10.12	10.12	10.17	11.14
Combine1 –O3	4.5	4.5	6	7.8

Results in CPE (cycles per element)

Basic Optimizations

```
void combine4(vec_ptr v, data_t *dest)
{
  long i;
  long length = vec_length(v);
  data_t *d = get_vec_start(v);
  data_t t = IDENT;
  for (i = 0; i < length; i++)
     t = t OP d[i];
  *dest = t;
}</pre>
```

- Move vec_length out of loop
- Avoid bounds check on each cycle
- Accumulate in temporary

Effect of Basic Optimizations

```
void combine4(vec_ptr v, data_t *dest)
{
  long i;
  long length = vec_length(v);
  data_t *d = get_vec_start(v);
  data_t t = IDENT;
  for (i = 0; i < length; i++)
     t = t OP d[i];
  *dest = t;
}</pre>
```

Method	Integer		Double FP	
Operation	Add	Mult	Add	Mult
Combine1 unoptimized	22.68	20.02	19.98	20.18
Combine1 –O1	10.12	10.12	10.17	11.14
Combine1 –O3	4.5	4.5	6	7.8
Combine4	1.27	3.01	3.01	5.01

Loop Unrolling

```
void unroll2a combine(vec ptr v, data t *dest)
    long length = vec length(v);
    long limit = length-1;
    data t *d = get vec start(v);
    data t \times 0 = IDENT;
    data t x1 = IDENT;
    long i;
    /* Combine 2 elements at a time */
    for (i = 0; i < limit; i+=2) {
       x0 = x0 \text{ OP d[i]};
       x1 = x1 OP d[i+1];
    /* Finish any remaining elements */
    for (; i < length; i++) {
        x0 = x0 \text{ OP d[i]};
    *dest = x0 OP x1;
```

Loop Unrolled Assembly

- Remember modern CPU designs
 - Multiple functional units
- So how many cycles should this loop take to execute?

```
.L3:
    imulq (%rdx), %rcx
    addq $16, %rdx
    imulq -8(%rdx), %rdi
    cmpq %r8, %rdx
    jne .L3
```

Effect of Loop Unrolling

Method	Integer		Double FP	
Operation	Add	Mult	Add	Mult
Combine1 unoptimized	22.68	20.02	19.98	20.18
Combine1 –O1	10.12	10.12	10.17	11.14
Combine1 -O3	4.5	4.5	6	7.8
Combine4	1.27	3.01	3.01	5.01
Unroll	0.81	1.51	1.51	2.51

Multiple instructions every cycle!

Going Further

- Compiler optimizations are an easy gain
 - 20 CPE down to 3-5 CPE
- With careful hand tuning and computer architecture knowledge
 - 4-16 elements per cycle
 - Newest compilers are closing this gap

Summary: Getting High Performance

- Good compiler and flags
- Don't do anything sub-optimal
 - Watch out for hidden algorithmic inefficiencies
 - Write compiler-friendly code
 - Watch out for optimization blockers: procedure calls & memory references
 - Look carefully at innermost loops (where most work is done)

Tune code for machine

- Exploit instruction-level parallelism
- Avoid unpredictable branches
- Make code cache friendly