



# **ANITA'S SUPER AWESOME RECITATION SLIDES**

**15/18-213: Introduction to Computer Systems  
Processes and Signals, 21 October 2013**

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## ...AND WE'RE BACK

- Cache Lab grades are out
  - Autolab → Cachelab → Handin History
  - Look for the latest submission
  - Click “View Source” to see our comments
- Midterms went well
  - Check email for the link to view your exam
  - Email us with grading concerns
- Shell lab is due next Tuesday, October 29 2013



# AN “HOUR” OF FUN AHEAD OF US

- Basics of everything
- Processes
  - Birth, Life, Death, After
- Signals
- Sigsuspend
  - So much sigsuspend!
- I/O
- Shell Lab
  - All the hints!



# MY (NEIGHBOR'S) RABBIT (NAME IS FORK())



# EXCEPTIONAL CONTROL FLOW

- A way to react to changes in **system state**
  - As opposed to program state
- Types
  - Exceptions
  - Process Context Switch
  - Signals
  - Nonlocal jumps



# FLAVORS OF EXCEPTIONS

- Asynchronous
  - I/O interrupts
  - Reset interrupts
- Synchronous
  - Traps
  - Faults
  - Aborts



# PROGRAMS? WHAT ARE THOSE?

- Specification
  - Written according to this to tell users what it does
- Data and instructions stored in an executable binary file
  - Tells a computer what to do
- Binary file is **static**
  - No state, just instructions



# AND THEN THERE WERE PROCESSES!

- An **instance** of a program in execution
- Ubiquitous on multitasking systems
- A fundamental abstraction provided by the OS
  - Process IDs, Group IDs
  - Single thread of execution (linear control flow)
    - Until you have more threads (more fun ahead..)
  - Full, **private** memory space and registers
  - Various other **states**
    - Open files, private address spaces, etc.
    - Running, Zombie, etc.





# BASICS OF PROCESS CONTROL

- Four basic process control functions
  - fork()
    - Variations exist
  - exec()
    - Variations exist
  - exit()
    - Variations exist
  - wait()
    - Variations exist
- Standard on all Unix-based systems
- CS:APP provides Fork(), Execve(), Wait(), etc.
  - **Error-handling wrappers** provided for your use



## BIRTH: FORK()

- Creates demon spawn
- OS creates an **exact duplicate** of parent's **state**
  - Virtual address space (including heap and stack)
  - Registers, except the return value (%eax)
  - File descriptors (**files are shared**)
  - Exact clone of the program!
- Result: **equal** but **separate** state
- Returns: **0 to child process, child's PID to parent**
  - **Returns -1 on failure**
- Can return execution in an arbitrary order
  - Either child/parent may run first after fork()



LIFE:

EXECVE (CHAR\* FILENAME, CHAR\*\* ARGV, CHAR\*\* ENVIRON)

- **Replaces** the current process's state and context
- This is how you run programs
  - Replace current memory image with new program
  - Sets up stack
  - Start execution at the entry point
- Newly loaded program's perspective: **as if the previous program has not been run before**
  - On success, it **does not return to the old program**



LIFE:

EXECVE (CHAR\* FILENAME, CHAR\*\* ARGV, CHAR\*\* ENVIRON)

## ○ Arguments

- filename
  - Absolute path of the file to run
- argv
  - Command line arguments to the new program
- environ
  - Environment variable
  - Information that affects the various ways a process works
  - Declaring `extern char** environ` sets it up to default
    - `#include <unistd.h>`



# DEATH: EXIT (INT STATUS)

- Terminates a process
- OS frees resources used by exited process
  - Heap, open file descriptors, etc.
  - **But not exit status!**
- The process becomes a **zombie**
  - Technical terminology
  - Remains in process table to await its reaping
- Zombies are reaped when their parents read their exit status
  - Done by init process if the parent has died
  - Then the PID can be reused~ :D



REAP:

WAITPID (PID\_T PID, INT\* STATUS, INT OPTIONS)

- Waits for a child process to change state
- If a child has terminated, this allows the parent to “reap” the child
  - Frees all resources
  - Collects the exit status
  - Child is “fully” gone D:
- **Only reaps direct children**
  - Not grandchildren or great-grandchildren, etc
- Status pointer must be in valid memory
  - `wait()` uses it to fill in the status of the reaped child



# REAP:

## WAITPID (PID\_T PID, INT\* STATUS, INT OPTIONS)

### ○ Arguments

- pid
  - Process ID of the child to wait for
  - -1 to wait on ANY child
- status
  - Pointer to space to fill in the status information
  - Can be read with built-in macros
    - WIFEXITED
    - WEXITSTATUS
    - WIFSIGNALED
    - And more!
- options
  - Things that make `wait()` behave differently
    - WUNTRACED
    - WNOHANG
    - And more!



# ADDITIONAL USEFULNESS:

## SETPGID (PID\_T PID, PIT\_T PGID)

- Sets the process group ID of process with process ID pid
- By default children inherit parent's group ID
- Arguments:
  - pid
    - Apply to process with ID pid
    - If 0, `setpgid()` is applied to the calling process
  - pgid
    - Set group ID to pgid
    - If 0, `setpgid()` uses `pgid = pid` of the calling process





# WHICH RUNS FIRST?

```
pid_t child_pid = fork();

if (child_pid == 0) {
    /* only child prints this */
    printf("Child!\n");
    exit(0);
} else {
    printf("Parent!\n");
}
```

- What are the possible outcomes?
  - Child!  
Parent!
  - Parent!  
Child!
- How can we get the child to always print first?



# WHICH RUNS FIRST?

```
int status;
pid_t child_pid = fork();

if (child_pid == 0) {
    /* only child prints this */
    printf("Child!\n");
    exit(0);
} else {
    waitpid(child_pid, &status, 0);
    printf("Parent!\n");
}
```

- Use `waitpid()` to wait until a child has terminated
  - Exit status can be inspected using the status variable here
- Only one outcome
  - Child!
  - Parent!



# USING EXECVE()

```
int status;
pid_t child_pid = fork();
char* argv[] = {"ls", "-l", NULL};
extern char **environ;

if (child_pid == 0){
    /* only child comes here */
    execve("/bin/ls", argv, environ);
    /* will child reach here? */
} else {
    waitpid(child_pid, &status, 0);
}
```

- argv
  - Argument list
  - Convention: argv[0] is the name of the executable
- execve
  - const char \*filename
  - char \*argv[]
  - char const envp[]
    - environ provided by unistd.h
    - Can also specify your own



# PROCESS STATES

- Running
  - Executing instructions on the CPU
  - Number bounded by number of CPU cores
- Runnable
  - Waiting to run
- Blocked
  - Waiting for an event
  - Not runnable
- Zombie
  - Terminated, not yet reaped



# WHAT ARE THESE “SIGNAL” THINGS?

- Primitive form of inter-process communication
- Notifies a process of an event
- **Asynchronous** with normal execution
- Comes in several flavors
  - man 7 signal
- Sent in various ways
  - ctrl +c, ctrl+z
  - kill()



# SIGNALS

- Are **non-queuing**
  - If we block SIGCHLD, and multiple SIGCHLD arrive, we only receive one SIGCHLD when we unblock
  - Can receive multiple types (ie. SIGCHLD & SIGINT)
- Options for handling signals
  - Ignore
  - Catch and run signal handler
  - Terminate (and optionally dump core)



# MORE ON SIGNALS

- Many have default behaviors
  - SIGINT, SIGTERM will terminate the process
  - SIGSTP will suspend the process until it receives SIGCONT
  - SIGCHLD is sent from a child to its parent when the child changes state
- Can ignore/catch most signals, but not some
  - SIGKILL cannot be caught, blocked, or ignored
  - SIGSTOP cannot be caught, blocked, or ignored



# USEFUL SIGNAL SYSCALLS

- Setting up handlers
  - `signal()`
- Setting up signal masks
  - `sigemptyset()`
  - `sigfullset()`
  - `sigaddset()`
  - `sigdelset()`
- Blocking signals
  - `sigprocmask()`
- Waiting for signals
  - `sigsuspend()`
- Sending signals
  - `kill()`





# SIGNAL HANDLERS

- Can run handler when particular signal received
  - `void handlername (int signum) { ... }`
- **Separate flow of control** in the same process
- Resumes program upon returning
- Can be called **anytime** when the signal is fired
- `signal(int signum, sighandler_t handler)`
  - When a signal is caught, runs the installed handler (or default)



# CONCURRENCY BUGS

```
void handler(int sig)
{
    pid_t pid;
    /* Reap a zombie child */
    while ((pid = waitpid(-1, NULL, 0)) > 0)
        deletejob(pid);
    if (errno != ECHILD)
        unix_error("waitpid error");
}
```

- What could happen between `fork()` and `addjob()`?
  - SIGCHLD
- How would you handle it?
  - Block in the right places

```
int main(int argc, char **argv)
{
    int pid;

    Signal(SIGCHLD, handler);
    initjobs(); /* Initialize the job list */

    while (1) {
        /* Child process */
        if ((pid = Fork()) == 0) {
            Execve("/bin/date", argv, NULL);
        }
        /* Parent process */
        addjob(pid);
    }
    exit(0);
}
```



# WHY SIGSUSPEND()?

- What is `sigsuspend()`?
  - Used to protect **critical regions** from signal interruption.
  - It is especially useful for (you guessed it) “pausing” or “sleeping” while waiting for a signal.
  - Much better solution to the “sleep loop”
- Goal: to block all the way up until the instruction our process is suspended.



## ABOUT SIGSUSPEND()

- `int sigsuspend(const sigset_t *sigmask);`
  - Where `sigmask` contains a mask of signals **YOU DON'T** want to be interrupted by
  - Can be considered **opposite of `sigprocmask()`** which takes a mask of signals you want to operate on.
- Quick example: if you **want to be woken up from `sigsuspend()` by `SIGCHLD`**, it better not be in the mask you pass in!



# HOW TO SIGSUSPEND()

```
int main() {
    sigset_t waitmask, newmask, oldmask;

    /* set with everything except SIGINT */
    sigfillset(&waitmask);
    sigdelset(&waitmask, SIGINT);

    /* set with only SIGINT */
    sigemptyset(&newmask);
    sigaddset(&newmask, SIGINT);

    /* oldmask contains the mask of signals before the
     * block with newmask */
    if (sigprocmask(SIG_BLOCK, &newmask, &oldmask) < 0)
        unix_error("SIG_BLOCK error");

    /* "CRITICAL REGION OF CODE" - (SIGINT blocked) */

    /* Pause, allowing ONLY SIGINT */
    if (sigsuspend(&waitmask) != -1)
        unix_error("sigsuspend error");

    /* RETURN FROM SIGSUSPEND -- (Returns to signal
     * state from before sigsuspend) */
    /* Reset signal mask which unblocks SIGINT */
    if (sigprocmask(SIG_SETMASK, &oldmask, NULL) < 0)
        unix_error("SIG_SETMASK error");
}
```

- Points of interest
  - sigprocmask() fills oldmask with the signal mask from before SIG\_BLOCK
  - If sigsuspend() returns from being awoken, it returns 1.
  - After sigsuspend() returns, the state of the signals returns to how it was before the call



# I/O

- Four basic operations (operate on file descriptors)
  - `open()`
  - `close()`
  - `read()`
  - `write()`
- What's a file descriptor?
  - Returned by `open()`
  - Some positive value, or -1 to denote error
  - `int fd = open("/path/to/file", O_RDONLY);`



# FILE DESCRIPTORS

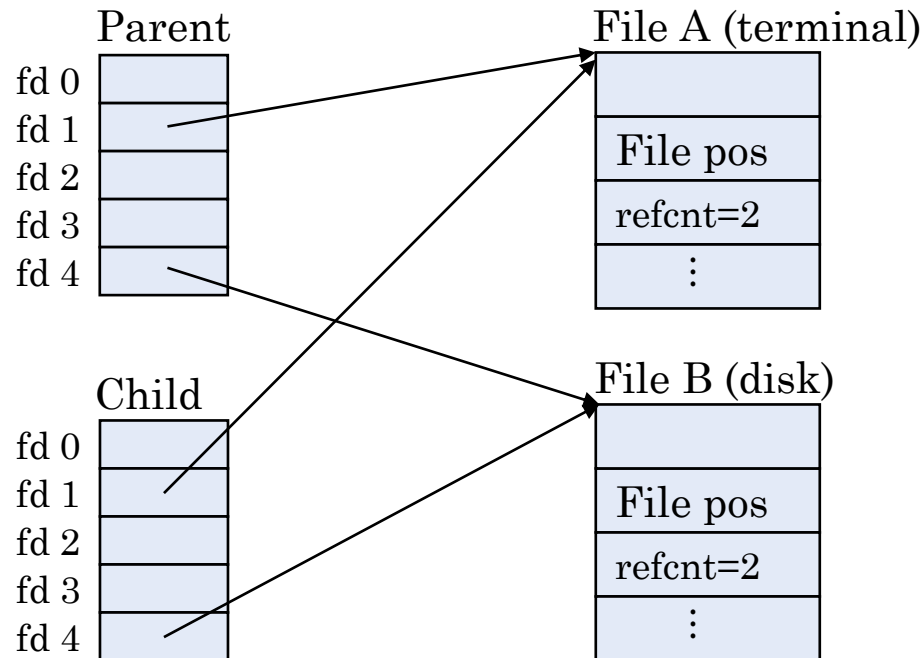
- Every process starts with these 3 by default
  - 0 – STDIN
  - 1 – STDOUT
  - 2 – STDERR
- You can call `close()` on them.....
  - But you that's probably not what you want
- Every process gets its own **file descriptor table**
- All processes share open file tables



# PARENT AND CHILD AFTER FORK()

- Shamelessly stolen from lecture:

**Descriptor table** [one table per process]      **Open file table** [shared by all processes]





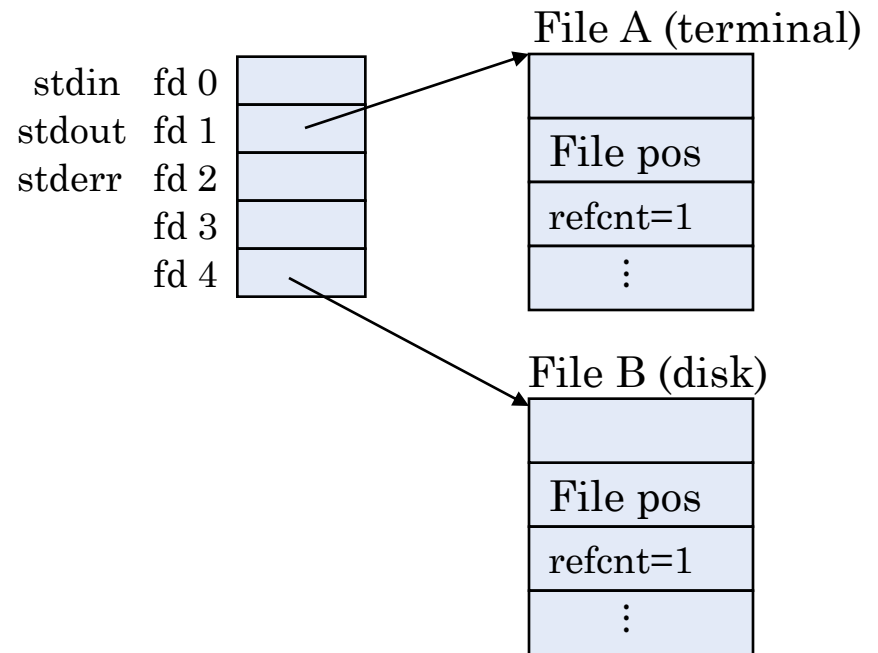
# WHAT IS DUP2()?

- Copies **file descriptor entries**
  - Causes the entries to point to the same files as another file descriptor
- Takes the form: **dup2(dest\_fd, src\_fd)**
  - `src_fd` will now point to the same place as `dest_fd`



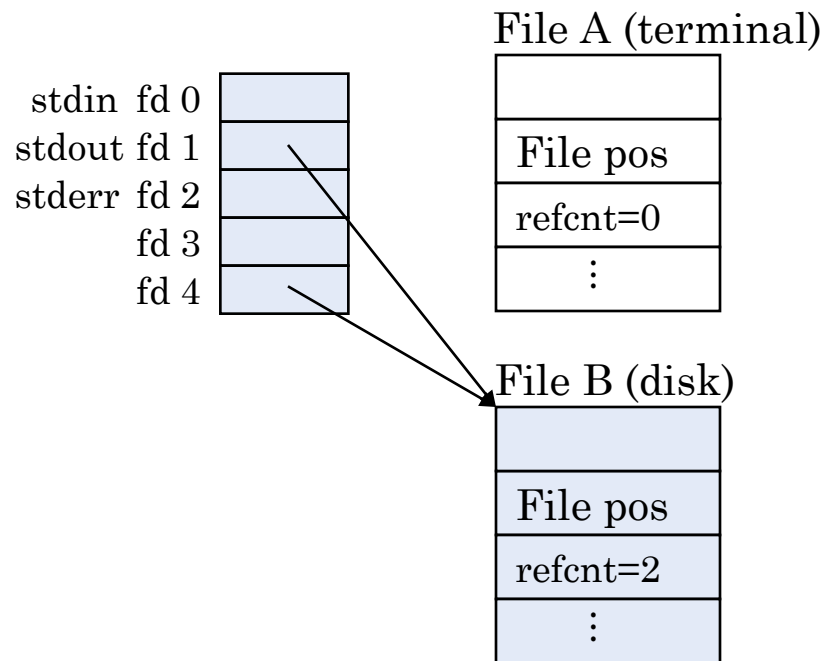
# DUP2() SUPER RELEVANT: BEFORE

- Goal: **Redirect stdout**
- First, use `open()` to open a file to redirect
  - For Shell Lab: Done **right before** the call to `exec()` in the **child process**
  - This example, fd 4 is the file descriptor of the opened file



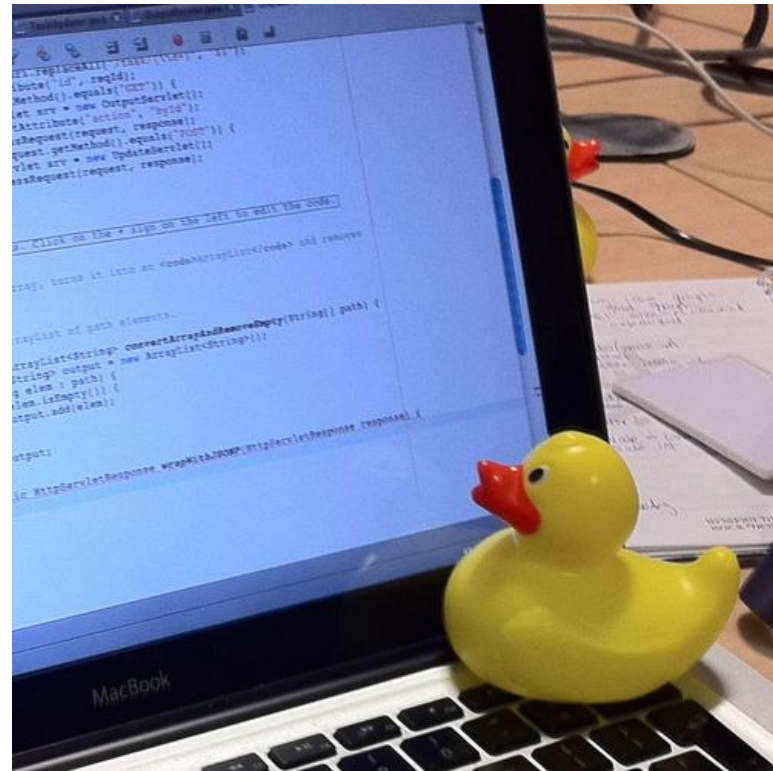
# DUP2() SUPER RELEVANT: AFTER

- To redirect, **duplicate fd 4 into fd 1.**
- Call **dup2(4, 1)**
  - Causes fd 1 to refer to disk file pointed at by fd 4
- Accessing fd 1 will now get you File B

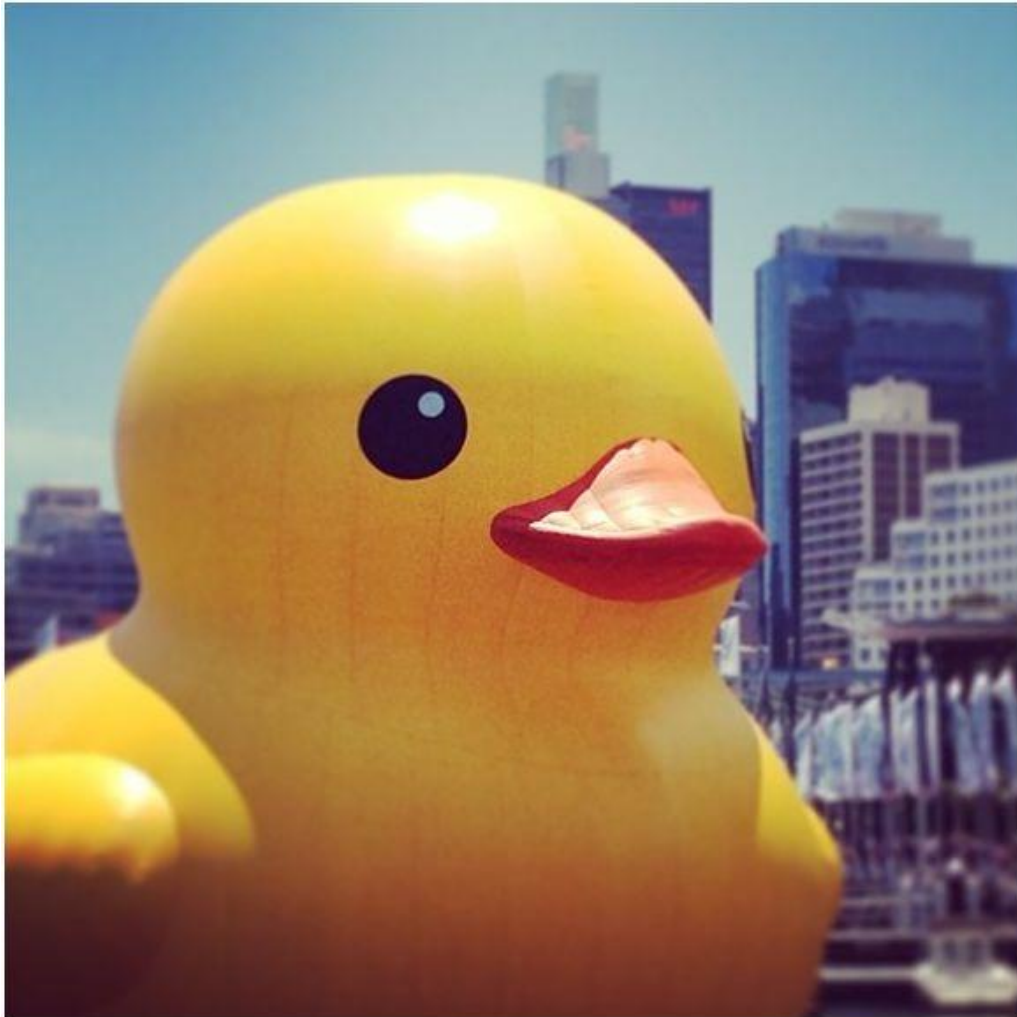


# RUBBER DUCK DEBUGGING

“To use this process, a programmer explains code to an inanimate object, such as a rubber duck, with the expectation that upon reaching a piece of incorrect code and trying to explain it, the programmer will notice the error.”



# HAS EVERYONE SEEN THE DUCK?



# SHELL LAB

- Race conditions
- Creating processes
- Reaping zombies
- Job control synchronization
- I/O redirection
- Managing signals
- And more!



# SHELL LAB TOOLS

- `./runtrace`
  - Runs traces on your chosen shell (defaults to tsh)
  - Execute without arguments to see usage
- `./tshref`
  - Reference shell – experiment, run programs, etc.
- `./sdriver`
  - Used to run traces multiple times
  - Execute without arguments to see usage



# PLAN OF ATTACK

- As always, **read the handout**
  - Bundles of hints in there
- If there is one chapter to read from the textbook..
  - CS:APP: Chapter 8 – Exceptional Control Flow
  - **Tons** of examples and explanations on how to synchronize your processes
    - They're pretty much giving you the answers...
    - At least read the example code
- Suggested order: Job control/ process creation, signals and synchronization, I/O redirection
- **Unit test by hand**
  - Don't jump into the `sdriver` or `runtrace` too soon





# HINTS

- CS:APP p.735 and p.757
  - Basic `eval()` starter codes
  - Great way to start the lab
  - Code links in the credits
- Read the starter code, understand what it wants
  - We do all the job and parsing work for you!
- Don't use `sleep()` to solve synchronization issues
  - Definitely don't use it to make a child/parent run first
  - Will lose points for using tight loops to wait
    - `while(1) { ... } ← 0xBADBEEF!!!!`
    - `sigsuspend()`
      - We already told you to use it



## MORE HINTS

- Shell must forward **SIGINT** and **SIGSTP** to the foreground job (and all its children)
  - How could process groups be useful?
- **dup2** is a handy function for I/O redirection
- **SIGCHILD** handler may have to reap multiple children per call
- Try **actually running** your shell
  - Can be easier to debug this way
  - Strangely satisfying to write a working shell!
  - Compare output to reference shell



# STYLE

- Check return values
  - You're dealing with system calls; they matter a lot
- Provided code is a good example of what we expect from you
  - Relevant comments and explanations of design
- Find your race conditions before we do
- 10 points for style. Make it count.



# THIS SLIDE INTENTIONALLY FILLED

## Questions?

- [Fork Photo Credit](#)
- CS:APP Error Handling [Wrappers](#) and [Header](#)
- [Poking with Stick Picture](#)
- CS:APP [Code Samples](#)
- [Rubber Duck 1](#)
- [Rubber Duck Debugging on Wiki](#)
- [Florentijn Hofman's Duck](#)

