

## Lecture 10

### Interval Analysis

- I Basic Idea
- II Algorithm
- III Optimization and Complexity
- IV Comparing interval analysis with iterative algorithms

Reference: Muchnick 7.5-7.7, 8.8

Advanced readings (optional):

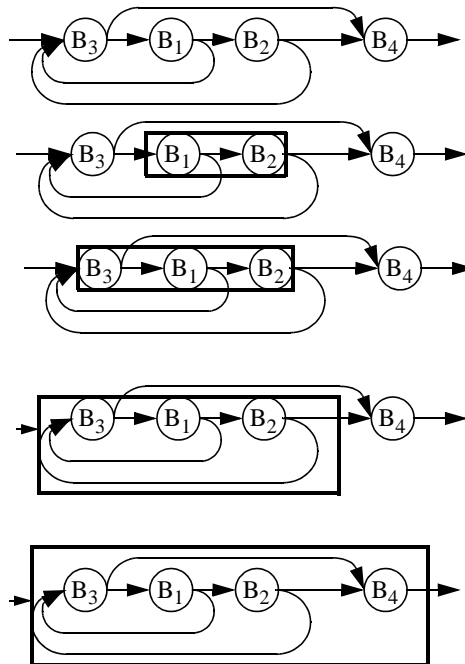
R. E. Tarjan, “A Unified Approach to Path Problems”,  
JACM 28 (3) July 1981, pp. 577-593.

R. E. Tarjan, “Fast Algorithms for Solving Path Problems”,  
JACM 28 (3) July 1981, pp. 594-614.

### Motivation for Studying Interval Analysis

- **Exploit the structure of block-structured programs in data flow**
- **Tie in several concepts studied**
  - Use of structure in induction variables, loop invariant
    - motivated by nature of the problem
    - *This lecture: can we use structure for speed?*
  - Iterative algorithm for data flow
    - *This lecture: an alternative algorithm*
  - Reducibility
    - all retreating edges of DFST are back edges
    - reducible graphs converge quickly
    - *This lecture: algorithm exploits & requires reducibility*
- **Usefulness in practice**
  - Faster for “harder” analyses
  - Useful for analyses related to structure
- **Theoretically interesting - better understanding of data flow**

## I. Big Picture



## Basic Idea

- **In iterative analysis**
  - DEFINITION: Transfer function  $F_B$ :  
summarize effect from beginning to end of basic block  $B$
- **In interval analysis**
  - DEFINITION: Transfer function  $F_{R,B}$ :  
summarize effect from beginning of  $R$  to end of basic block  $B$
  - Recursively
    - construct a larger region  $R$  from smaller regions
    - construct  $F_{R,B}$  from transfer functions for smaller regions
    - until the program is one region
  - Let  $P$  be the region for the entire program,  
and  $v$  be initial value at entry node
    - $\text{out}[B] = F_{P,B}(v)$
    - $\text{in}[B] = \bigwedge_{B'} \text{out}[B']$ , where  $B'$  is a predecessor of  $B$

## II. Algorithm

- (a) Operations on transfer functions
- (b) How to build nested regions?
- (c) How to construct transfer functions that correspond to the larger regions?

### (a) Operations on Transfer Functions

- **Example: Reaching Definitions**

- $F(x) = \text{Gen} \cup (x - \text{Kill})$
- $F_2(F_1(x)) = \text{Gen}_2 \cup (F_1(x) - \text{Kill}_2)$   
 $= \text{Gen}_2 \cup (\text{Gen}_1 \cup (x - \text{Kill}_1)) - \text{Kill}_2$   
 $= \text{Gen}_2 \cup (\text{Gen}_1 \cup (x - \text{Kill}_1)) - \text{Kill}_2$   
 $= \text{Gen}_2 \cup (\text{Gen}_1 - \text{Kill}_2) \cup (x - (\text{Kill}_1 \cup \text{Kill}_2))$
- $F_1(x) \wedge F_2(x) = \text{Gen}_1 \cup (x - \text{Kill}_1) \cup \text{Gen}_2 \cup (x - \text{Kill}_2)$   
 $= (\text{Gen}_1 \cup \text{Gen}_2) \cup (x - (\text{Kill}_1 \cap \text{Kill}_2))$
- $F^*(x) \leq F^n(x), \forall n \geq 0$   
 $= x \cup F(x) \cup F(F(x)) \cup \dots$   
 $= x \cup (\text{Gen} \cup (x - \text{Kill})) \cup (\text{Gen} \cup ((\text{Gen} \cup (x - \text{Kill})) - \text{Kill})) \cup \dots$   
 $= \text{Gen} \cup (x - \emptyset)$

## (b) Structure of Nested Regions (An example)

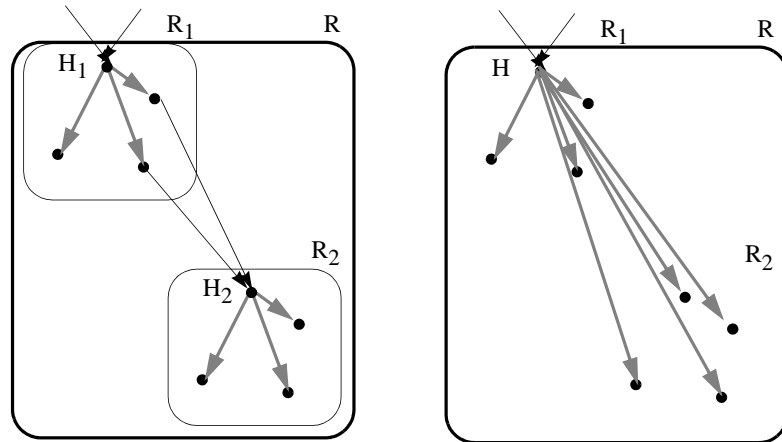
- A **region** in a flow graph is a set of nodes that
  - includes a **header**, which dominates all other nodes in a region
- **T1-T2 rule (Hecht & Ullman)**
  - T1: Remove a loop  
If  $n$  is a node with a loop, i.e. an edge  $n \rightarrow n$ , delete that edge
  - T2: Remove a vertex  
If there is a node  $n$  that has a unique predecessor,  $m$ , then  $m$  may consume  $n$  by deleting  $n$  and making all successors of  $n$  be successors of  $m$ .

## Example



- In reduced graph:
  - each vertex represents a subgraph of original graph (a **region**).
  - each edge represents an edge in original graph
- **Limit flow graph**: result of exhaustive application of T1 and T2
  - independent of order of application.
  - if limit flow graph has a single vertex  $\Rightarrow$  reducible
- Can define larger regions (e.g. Allen&Cocke's intervals)  
simple regions  $\Rightarrow$  simple composition rules for transfer functions

### (c) Transfer Functions for T2 Rule



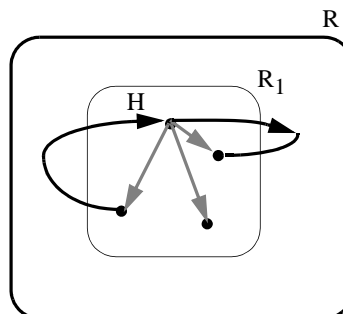
- **Transfer function**

$F_{R,B}$ : summarizes the effect from beginning of R to end of B

$F_{R,in(H2)}$ : summarizes the effect from beginning of R to beginning of H2

- Unchanged for blocks B in region  $R_1$  ( $F_{R,B} = F_{R1,B}$ )
- $F_{R,in(H2)} = \wedge_p F_{R,p}$  where p is a predecessor of H2
- For blocks B in region  $R_2$ :  $F_{R,B} = F_{R2,B} \cdot F_{R,in(H2)}$

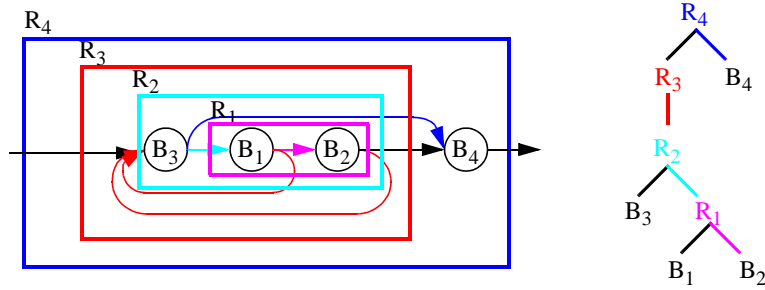
### Transfer Functions for T1 Rule



- **Transfer function  $F_{R,B}$**

- $F_{R,in(H)} = (\wedge_p F_{R1,p})^*$ , where p is a predecessor of H in R
- $F_{R,B} = F_{R1,B} \cdot F_{R,in(H)}$

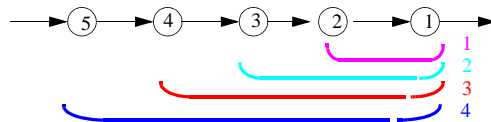
## First Example



R	T <sub>1</sub> /T <sub>2</sub>	R'	F <sub>R,in(R')</sub>	F <sub>R,B1</sub>	F <sub>R,B2</sub>	F <sub>R,B3</sub>	F <sub>R,B4</sub>
R <sub>1</sub>	T <sub>2</sub>	B <sub>2</sub>	F <sub>B1</sub>	F <sub>B1</sub>	F <sub>B2</sub> · F <sub>R1,in(B2)</sub>		
R <sub>2</sub>	T <sub>2</sub>	R <sub>1</sub>	F <sub>B3</sub>	F <sub>R1,B1</sub> · F <sub>R2,in(R1)</sub>	F <sub>R1,B2</sub> · F <sub>R2,in(R1)</sub>	F <sub>B3</sub>	
R <sub>3</sub>	T <sub>1</sub>	R <sub>2</sub>	(F <sub>R2B1</sub> ∧ F <sub>R2B2</sub> ) <sup>*</sup>	F <sub>R2,B1</sub> · F <sub>R3,in(R2)</sub>	F <sub>R2,B2</sub> · F <sub>R3,in(R2)</sub>	F <sub>R2,B3</sub> · F <sub>R3,in(R2)</sub>	
R <sub>4</sub>	T <sub>2</sub>	B <sub>4</sub>	F <sub>R3B3</sub> ∧ F <sub>R3B2</sub>	F <sub>R3,B1</sub>	F <sub>R3,B2</sub>	F <sub>R3,B3</sub>	F <sub>B4</sub> · F <sub>R4,in(B4)</sub>

- R: region name
- R': region whose header will be subsumed

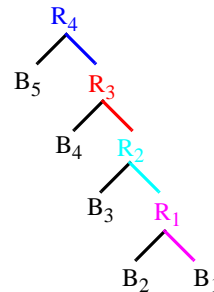
## III. Complexity of Algorithm



R	T <sub>1</sub> /T <sub>2</sub>	R'	F <sub>R,in(R')</sub>	F <sub>R,B1</sub>	F <sub>R,B2</sub>	F <sub>R,B3</sub>	F <sub>R,B4</sub>	F <sub>R,B5</sub>
R <sub>1</sub>	T <sub>2</sub>	B <sub>1</sub>	F <sub>B2</sub>	F <sub>B1</sub> · F <sub>B2</sub>	F <sub>B2</sub>			
R <sub>2</sub>	T <sub>2</sub>	R <sub>1</sub>	F <sub>B3</sub>	F <sub>R1,B1</sub> · F <sub>B3</sub>	F <sub>R1,B2</sub> · F <sub>B3</sub>	F <sub>B3</sub>		
R <sub>3</sub>	T <sub>2</sub>	R <sub>2</sub>	F <sub>B4</sub>	F <sub>R2,B1</sub> · F <sub>B4</sub>	F <sub>R2,B2</sub> · F <sub>B4</sub>	F <sub>R2,B3</sub> · F <sub>B4</sub>	F <sub>B4</sub>	
R <sub>4</sub>	T <sub>2</sub>	R <sub>3</sub>	F <sub>B5</sub>	F <sub>R3,B1</sub> · F <sub>B5</sub>	F <sub>R3,B2</sub> · F <sub>B5</sub>	F <sub>R3,B3</sub> · F <sub>B5</sub>	F <sub>B4</sub> · F <sub>B5</sub>	F <sub>B5</sub>

R	F <sub>R4,in(R)</sub>
R <sub>4</sub>	I
R <sub>3</sub>	F <sub>B5</sub> · F <sub>R4,in(R4)</sub>
R <sub>2</sub>	F <sub>B4</sub> · F <sub>R4,in(R3)</sub>
R <sub>1</sub>	F <sub>B3</sub> · F <sub>R4,in(R2)</sub>
B <sub>1</sub>	F <sub>B2</sub> · F <sub>R4,in(R1)</sub>

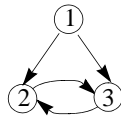
B	F <sub>R4,B</sub>
B <sub>5</sub>	F <sub>B5</sub> · I
B <sub>4</sub>	F <sub>B4</sub> · F <sub>R4,in(R3)</sub>
B <sub>3</sub>	F <sub>B3</sub> · F <sub>R4,in(R2)</sub>
B <sub>2</sub>	F <sub>B2</sub> · F <sub>R4,in(R1)</sub>
B <sub>1</sub>	F <sub>B1</sub> · F <sub>R4,in(B1)</sub>



## Optimization

- Let  $m$  = number of edges,  $n$  = number of nodes
- Ideas for optimization
  - If we compute  $F_{R,B}$  for every region  $B$  is in, then it is very expensive
  - We are ultimately only interested in the entire region ( $E$ ); we need to compute only  $F_{E,B}$  for every  $B$ .
    - There are many common subexpressions between  $F_{E,B1}$ ,  $F_{E,B2}$ , ...
    - Number of  $F_{E,B}$  calculated =  $m$
  - Also, we need to compute  $F_{R,in(R')}$ , where  $R'$  represents the region whose header is subsumed.
    - Number of  $F_{R,B}$  calculated, where  $R$  is not final =  $n$
- Total number of  $F_{R,B}$  calculated:  $(m + n)$ 
  - Data structure keeps “header” relationship
    - Practical algorithm:  $O(m \log n)$
    - Complexity:  $O(m\alpha(m,n))$ ,  $\alpha$  is inverse Ackermann function

## Reducibility



- If no T1, T2 is applicable before graph is reduced to single node split node and continue
- Worst case: exponential
- Most graphs (including GOTO programs) are reducible

## IV. Comparison with Iterative Data Flow

- **Applicability**
  - Definitions of F\* can make technique more powerful than iterative algorithms
  - Backward flow -- reverse graph is not typically reducible. Requires more effort to adapt to backward flow than iterative alg.
  - More important for interprocedural optimization
- **Speed**
  - Irreducible graphs
    - Iterative algorithm can process irreducible parts uniformly
    - Serious “irreducibility” can be slow with elimination
  - Reducible graph & Cycles do not add information (common)
    - Iterative: (depth + 2) passes  
depth is 2.75 average, independent of code length
    - Elimination: Theoretically almost linear, typically  $O(m \log n)$
  - Reducible & Cycles add information
    - Iterative takes longer to converge
    - Elimination remains the same