

# Analysis of Algorithms: Solutions 3

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		X	X	X		X	X	X	X
X		X	X	X	X	X	X	X	X
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1	2	3	4	5	6	7	8	9	10
grades									

## Problem 1

Determine asymptotic upper and lower bounds for each of the following recurrences.

(a)  $T(n) = 27T(n/3) + n$

$$\begin{aligned}
 T(n) &= n + 27T\left(\frac{n}{3}\right) \\
 &= n + 27\left(\frac{n}{3} + 27T\left(\frac{n}{3^2}\right)\right) \\
 &= n + 27\frac{n}{3} + 27^2T\left(\frac{n}{3^2}\right) \\
 &= n + 27\frac{n}{3} + 27^2\left(\frac{n}{3^2} + 27T\left(\frac{n}{3^3}\right)\right) \\
 &= n + 27\frac{n}{3} + 27^2\frac{n}{3^2} + 27^3T\left(\frac{n}{3^3}\right) \\
 &\quad \dots \\
 &= n + 27\frac{n}{3} + 27^2\frac{n}{3^2} + 27^3\frac{n}{3^3} + 27^4\frac{n}{3^4} + \dots + 27^{\log_3 n}\frac{n}{3^{\log_3 n}} \\
 &= n + 9n + 9^2n + 9^3n + 9^4n + \dots + 9^{\log_3 n}n \\
 &= n(1 + 9 + 9^2 + 9^3 + 9^4 + \dots + 9^{\log_3 n}) \\
 &= n\frac{9^{\log_3 n+1} - 1}{9 - 1} \\
 &= n\frac{9n^2 - 1}{8} \\
 &= \Theta(n^3)
 \end{aligned}$$

$$(b) T(n) = 27T(n/3) + n^3$$

$$\begin{aligned}
T(n) &= n^3 + 27T\left(\frac{n}{3}\right) \\
&= n^3 + 27\left(\left(\frac{n}{3}\right)^3 + 27T\left(\frac{n}{3^2}\right)\right) \\
&= n^3 + 27\left(\frac{n}{3}\right)^3 + 27^2T\left(\frac{n}{3^2}\right) \\
&= n^3 + 27\left(\frac{n}{3}\right)^3 + 27^2\left(\left(\frac{n}{3^2}\right)^3 + 27T\left(\frac{n}{3^3}\right)\right) \\
&= n^3 + 27\left(\frac{n}{3}\right)^3 + 27^2\left(\frac{n}{3^2}\right)^3 + 27^3T\left(\frac{n}{3^3}\right) \\
&\quad \dots \\
&= n^3 + 27\left(\frac{n}{3}\right)^3 + 27^2\left(\frac{n}{3^2}\right)^3 + 27^3\left(\frac{n}{3^3}\right)^3 + 27^4\left(\frac{n}{3^4}\right)^3 + \dots + 27^{\log_3 n}\left(\frac{n}{3^{\log_3 n}}\right)^3 \\
&= \underbrace{n^3 + n^3 + n^3 + n^3 + n^3 + \dots + n^3}_{\log_3 n + 1} \\
&= n^3(\log_3 n + 1) \\
&= \Theta(n^3 \cdot \lg n)
\end{aligned}$$

$$(c) T(n) = 3T(n/9) + \sqrt{n}$$

$$\begin{aligned}
T(n) &= \sqrt{n} + 3T\left(\frac{n}{9}\right) \\
&= \sqrt{n} + 3\left(\sqrt{\frac{n}{9}} + 3T\left(\frac{n}{9^2}\right)\right) \\
&= \sqrt{n} + 3\sqrt{\frac{n}{9}} + 3^2T\left(\frac{n}{9^2}\right) \\
&= \sqrt{n} + 3\sqrt{\frac{n}{9}} + 3^2\left(\sqrt{\frac{n}{9^2}} + 3T\left(\frac{n}{9^3}\right)\right) \\
&= \sqrt{n} + 3\sqrt{\frac{n}{9}} + 3^2\sqrt{\frac{n}{9^2}} + 3^3T\left(\frac{n}{9^3}\right) \\
&\quad \dots \\
&= \sqrt{n} + 3\sqrt{\frac{n}{9}} + 3^2\sqrt{\frac{n}{9^2}} + 3^3\sqrt{\frac{n}{9^3}} + 3^4\sqrt{\frac{n}{9^4}} + \dots + 3^{\log_9 n}\sqrt{\frac{n}{9^{\log_9 n}}} \\
&= \underbrace{\sqrt{n} + \sqrt{n} + \sqrt{n} + \sqrt{n} + \dots + \sqrt{n}}_{\log_9 n + 1} \\
&= \sqrt{n}(\log_9 n + 1) \\
&= \Theta(\sqrt{n} \cdot \lg n)
\end{aligned}$$

(d)  $T(n) = T(\sqrt{n}) + 1$

We “unwind” the recurrence until reaching some constant value of  $n$ , say, until  $n \leq 2$ :

$$T(n) = \begin{cases} \Theta(1) & \text{if } n \leq 2 \\ T(\sqrt{n}) + 1 & \text{if } n > 2 \end{cases}$$

For convenience, assume that  $n = 2^{2^k}$ , for some natural value  $k$ .

$$\begin{aligned} T(2^{2^k}) &= 1 + T(\sqrt{2^{2^k}}) \\ &= 1 + T(2^{2^{k-1}}) \\ &= 1 + 1 + T(\sqrt{2^{2^{k-1}}}) \\ &= 1 + 1 + T(2^{2^{k-2}}) \\ &= 1 + 1 + 1 + T(\sqrt{2^{2^{k-2}}}) \\ &= 1 + 1 + 1 + T(2^{2^{k-3}}) \\ &\quad \dots \\ &= \underbrace{1 + 1 + 1 + \dots + 1}_k + T(2) \\ &= k + \Theta(1) \\ &= \Theta(k) \end{aligned}$$

Finally, we note that  $k = \lg \lg n$ , which means that  $T(n) = \Theta(\lg \lg n)$ .

(e)  $T(n) = T(n - 1) + n^2$

$$\begin{aligned} T(n) &= n^2 + T(n - 1) \\ &= n^2 + ((n - 1)^2 + T(n - 2)) \\ &= n^2 + (n - 1)^2 + T(n - 2) \\ &= n^2 + (n - 1)^2 + ((n - 2)^2 + T(n - 3)) \\ &= n^2 + (n - 1)^2 + (n - 2)^2 + T(n - 3) \\ &\quad \dots \\ &= n^2 + (n - 1)^2 + (n - 2)^2 + (n - 3)^2 + (n - 4)^2 + \dots + 1^2 \\ &= \frac{n(n + 1)(2n + 1)}{6} \\ &= \Theta(n^3) \end{aligned}$$

## Problem 2

Consider the following sorting algorithm:

```
STOUGE-SORT( $A, i, j$ )
1. if  $A[i] > A[j]$ 
2.   then exchange  $A[i] \leftrightarrow A[j]$ 
3. if  $i + 1 \geq j$ 
4.   then return
5.  $k \leftarrow \lfloor (j - i + 1) / 3 \rfloor$ 
6. STOUGE-SORT( $A, i, j - k$ )    ▷ first two-thirds
7. STOUGE-SORT( $A, i + k, j$ )    ▷ last two-thirds
8. STOUGE-SORT( $A, i, j - k$ )    ▷ first two-thirds again
```

(a) Argue that  $\text{STOUGE-SORT}(A, 1, n)$  correctly sorts the input array  $A[1..n]$ .

We prove the correctness of the algorithm by induction. Clearly, the algorithm works for one-element and two-element arrays, which provides the induction base. Now suppose that it works for all arrays shorter than  $A[i..j]$  and let us show that it also works for  $A[i..j]$ .

After the execution of Line 6,  $A[i..(j - k)]$  is sorted, which means that every element of  $A[(i + k)..(j - k)]$  is no smaller than every element of  $A[i..(i + k - 1)]$ ; we write it as  $A[(i + k)..(j - k)] \geq A[i..(i + k - 1)]$ . Thus,  $A[(i + k)..j]$  has at least  $\text{length}(A[(i + k)..(j - k)]) = j - i - 2k + 1$  elements each of which is no smaller than each element of  $A[i..(i + k - 1)]$ .

After the execution of Line 7,  $A[(i + k)..j]$  is sorted, which implies that

- (1)  $A[(j - k + 1)..j]$  is sorted, and
- (2)  $A[(j - k + 1)..j] \geq A[(i + k)..(j - k)]$ .

Since  $A[(i + k)..j]$  has at least  $(j - i - 2k + 1)$  elements no smaller than each element of  $A[i..(i + k - 1)]$  and  $\text{length}(A[(j - k + 1)..j]) \leq j - i - 2k + 1$ , we conclude that

- (3)  $A[(j - k + 1)..j] \geq A[i..(i + k - 1)]$ .

Putting together (2) and (3), we conclude that

- (4)  $A[(j - k + 1)..j] \geq A[i..(j - k)]$ .

After the execution of Line 8, the array  $A[i..(j - k)]$  is sorted. Putting this observation together with (1) and (4), we see that the whole array  $A[i..j]$  is sorted.

(b) Give the recurrence for the worst-case running time of STOOGESORT and a tight asymptotic ( $\Theta$ -notation) bound on the worst-case running time.

The algorithm first performs a constant-time computation (Lines 1–5), and then recursively calls itself three times (Lines 6–8), each time on an array whose size is  $2/3$  of the original array's size. Thus, the recurrence is as follows:

$$T(n) = 3T\left(\frac{2}{3}n\right) + \Theta(1).$$

This recurrence describes both the worst-case and best-case running time, since the algorithm's behavior does not depend on the order of elements in the input array. We use the iteration method to solve it:

$$\begin{aligned} T(n) &= 1 + 3T\left(\frac{2}{3}n\right) \\ &= 1 + 3 + 9T\left(\frac{4}{9}n\right) \\ &\quad \dots \\ &= 1 + 3 + 3^2 + \dots + 3^{\log_{3/2} n} \\ &= \frac{3^{\log_{3/2} n + 1} - 1}{3 - 1} \\ &= \Theta(3^{\log_{3/2} n}) \\ &= \Theta(3^{(\log_3 n)/(\log_3 3/2)}) \\ &= \Theta(n^{1/(\log_3 3/2)}) \\ &= \Theta(n^{2.71}). \end{aligned}$$

(c) Compare the worst-case running time of STOOGESORT with that of INSERTIONSORT and MERGESORT. Is it a good algorithm?

STOOGESORT is slower than the other sorting algorithms. Even INSERTIONSORT has the complexity  $O(n^2)$ , which is much better than  $\Theta(n^{2.71})$ .

**Problem 3**

The following algorithm inputs a natural number  $n$  and returns a natural number  $m$ .

SLOW-COUNTER( $n$ )

**for**  $i \leftarrow 1$  **to**  $n$

**do for**  $j \leftarrow 1$  **to**  $n$

**do**  $S \leftarrow \emptyset$    ▷ make the set  $S$  empty

**for**  $k \leftarrow 1$  **to**  $i - 1$

**do**  $S \leftarrow S \cup \{A[k, j]\}$    ▷ add the  $A[k, j]$  value to  $S$

**for**  $k \leftarrow 1$  **to**  $j - 1$

**do**  $S \leftarrow S \cup \{A[i, k]\}$    ▷ add the  $A[i, k]$  value to  $S$

$A[i, j] \leftarrow \text{MAX}(S) + 1$

$m \leftarrow A[n, n]$

**return**  $m$

Give a much faster algorithm that computes the same value  $m$ .

Every element  $A[i, j]$  of the resulting matrix is 1 greater than its preceding neighbors  $A[i-1, j]$  and  $A[i, j-1]$ . For example, if  $n = 8$ , then the matrix is as follows:

1	2	3	4	5	6	7	8
2	3	4	5	6	7	8	9
3	4	5	6	7	8	9	10
4	5	6	7	8	9	10	11
5	6	7	8	9	10	11	12
6	7	8	9	10	11	12	13
7	8	9	10	11	12	13	14
8	9	10	11	12	13	14	15

Thus,  $m$  is always  $2n - 1$ , and we may replace SLOW-COUNTER with the following algorithm:

FAST-COUNTER( $n$ )

**return**  $2n - 1$