On the Role of Proof Theory in Automated Deduction

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25th International Conference on Automated Deduction (CADE-25) Special Session on the Past, Present and Future of Automated Deduction Berlin, Germany August 3, 2015

- **Theorem proving by refutation of negation**
- Can be understood as attempt to construct a model
	- Highly successful for classical logic (Herbrand models)
	- **Applied also to nonclassical logics (Kripke models)**
- Requires deep understanding of model theory
- **Proof extraction may be difficult**

■ Theorem proving by direct proof construction \blacksquare Immediately applicable to nonclassical logics Requires deep understanding of proof theory **Proof is primary artifact**

Applications of Nonclassical Logics in CS

- A personal and biased sampling
- \blacksquare Propositions as types, proofs as programs
	- **Intuitionistic logic and type theory [Martin-Löf'80]**
	- Staged computation, run-time code generation (JS4) [Davies & Pf'96]
	- **Monadic encapsulation (lax logic)** [Fairtlough & Mendler'97]
	- **Partial evaluation (temporal logic) [Davies'96]**
	- **Message-passing concurrency (linear logic)** [Caires & Pf'10] [Toninho'15]
- Reasoning about programs
	- Dynamic logic [Pratt'74]
	- Temporal logics [Pnueli'77] [Clarke & Emerson'80]
	- Separation logic [O'Hearn & Pym'99] [Reynolds'02]
- Security
	- Authorization logics [Garg et al.'06]
	- Protocol logics [Datta et al.'03] $4/43$
- **1** Present a logic as a deductive system amenable to search
- **2** Iterate
	- **Devise an equivalent system with less nondeterminism**
		- Don't-know nondeterminism: reduce backtracking
		- Don't-care nondeterminism: reduce redundancy
- **3** Exploit techniques for efficient implementation

Past: How to define a logic

- Sequent calculus [Gentzen'35]
- Harmony [Dummett'76] [Martin-Löf'83]
- **Present:** How to reduce nondeterminism in search
	- Focusing and polarization [Andreoli'92] [Laurent'99]
- **Future:** How to combine logics
	- Adjunctions [Benton'94] [Reed'09]

Running Example: Linear Logic

- **Logical hypotheses as resources [Girard'87]**
- Exemplify techniques in a deceptively simple setting
- Model-theoretic techniques not easily available
- **Many applications in computer science**
	- **Planning as (linear) theorem proving [Bibel'85]**
	- Close cousin to separation logic [Reynolds'02]
	- Quantum computation [van Tonder'03]
	- **Message-passing concurrency [Caires & Pf'10]** [Toninho'15]

Logic Definition: Proof-Theoretic Semantics

Gerhard Gentzen [1935]

The introduction [rules of natural deduction] represent, as it were, the 'definitions' of the symbols concerned, and the eliminations are no more, in the final analysis, than the consequences of these definitions.

In a slight departure, we use his sequent calculus

Antecedents $\Delta ::= \bullet | \Delta, A$ (modulo exchange)

Example 1 Linear hypothetical judgment

$\Delta \vdash A$

Using antecedents in Δ exactly once, we can prove that A is true

- Use justifies truth (id)
- \blacksquare Truth justifies use (cut)

$$
\frac{\Delta_1 \vdash A \quad \Delta_2, A \vdash C}{\Delta_1, \Delta_2 \vdash C} \text{ cut}_A
$$

- Cut elimination: Any deduction can be transformed into one not using the rule of cut
- I Identity elimination: Any deduction can be transformed into one using identity id_{a} only for atomic propositions a

 \blacksquare Right rules for connectives define how to prove them **Left rules for connectives define how to use them Example: linear implication** $A \rightarrow B$

$$
\frac{\Delta, A \vdash B}{\Delta \vdash A \multimap B} \multimap R \qquad \frac{\Delta_1 \vdash A \quad \Delta_2, B \vdash C}{\Delta_1, \Delta_2, A \multimap B \vdash C} \multimap L
$$

- \blacksquare They must be in local harmony
	- **Truth justifies use (cut reduction)**
	- Use justifies truth (identity expansion)

Cut Reduction

$$
\frac{\Delta, A \vdash B}{\Delta \vdash A \multimap B} \multimap R \quad \frac{\Delta_1 \vdash A \quad \Delta_2, B \vdash C}{\Delta_1, \Delta_2, A \multimap B \vdash C} \multimap L}{\Delta, \Delta_1, \Delta_2 \vdash C} \text{cut}_{A \multimap B}
$$

$$
\frac{\Delta_1 \vdash A \quad \Delta, A \vdash B}{\Delta, \Delta_1 \vdash B} \text{ cut}_A \quad \Delta_2, B \vdash C
$$

$$
\implies_{R} \qquad \Delta, \Delta_1, \Delta_2 \vdash C \qquad \text{cut}_B
$$

Identity Expansion

$$
\frac{\overline{A \vdash A} \text{ id }_{A} \quad \overline{B \vdash B} \text{ id }_{B}}{A \multimap B \vdash A \multimap B} \implies A \multimap B, A \vdash B \multimap B}{A \multimap B \vdash A \multimap B} \multimap B
$$

Second sample linear connective: external choice $A \otimes B$

$$
\frac{\Delta\vdash A \quad \Delta\vdash B}{\Delta\vdash A\otimes B}\otimes R
$$

$$
\frac{\Delta, A \vdash C}{\Delta, A \otimes B \vdash C} \otimes L_1 \qquad \frac{\Delta, B \vdash C}{\Delta, A \otimes B \vdash C} \otimes L_2
$$

Locally, satisfies cut reduction and identity expansion Globally, satisfies cut and identity elimination $\mathcal{L}_{\mathrm{eff}}$

Cut-Free System

- **Compositional meaning explanation of** $A \rightarrow B$ **,** $A \otimes B$
- Subformula property; independence of connectives
- Basis for simple proof construction algorithm
- Complete by cut and identity elimination

$$
\frac{}{\mathsf{a}\vdash\mathsf{a}}\mathsf{id}_{\mathsf{a}}
$$

 $\Delta, A \vdash B$ $\frac{\overline{A} + A - B}{\Delta + A - B}$ $\rightarrow R$ $\Delta_1 \vdash A \quad \Delta_2, B \vdash C$ $\frac{-1}{\Delta_1, \Delta_2, A \rightarrow B \vdash C}$ $\rightarrow L$

$$
\frac{\Delta \vdash A \quad \Delta \vdash B}{\Delta \vdash A \otimes B} \otimes R
$$

 $\Delta, A \vdash \mathsf{C}$ Δ , $A \otimes B \vdash C$ $&L_1$ $\Delta, B \vdash \mathsf{C}$ Δ , $A \otimes B \vdash C$ $&L_2$

$$
\overline{a\rightarrow (b\otimes c),b\rightarrow c\vdash a\rightarrow c}
$$
?

$$
\frac{a, a \rightarrow (b \otimes c), b \rightarrow c \vdash c}{a \rightarrow (b \otimes c), b \rightarrow c \vdash a \rightarrow c}?
$$

$$
\frac{\overline{a \vdash a} \quad \overline{b \otimes c, b \multimap c \vdash c} \quad ?}{a, a \multimap (b \otimes c), b \multimap c \vdash c} \multimap L}{a \multimap (b \otimes c), b \multimap c \vdash a \multimap c} \neg R
$$

$$
\frac{\overline{a \vdash a} \text{ id}_a}{\overline{a, a \multimap (b \otimes c), b \multimap c \vdash c}} \xrightarrow{-\circ L}
$$
\n
$$
\frac{a}{a \multimap (b \otimes c), b \multimap c \vdash c} \multimap C
$$
\n
$$
\frac{a}{a \multimap (b \otimes c), b \multimap c \vdash a \multimap c} \multimap R
$$

$$
\frac{b, b \rightarrow c \vdash c}{a \vdash a} \cdot \frac{b, b \rightarrow c \vdash c}{b \otimes c, b \rightarrow c \vdash c} \cdot 8L_1
$$
\n
$$
\frac{a, a \rightarrow (b \otimes c), b \rightarrow c \vdash c}{a \rightarrow (b \otimes c), b \rightarrow c \vdash a \rightarrow c} \cdot \frac{C}{a \rightarrow c}
$$

$$
\frac{\frac{b+b}{b+b} \cdot \frac{c+c}{c+c}}{a+a} \cdot \frac{b}{b} \cdot \frac{b-b-c}{c+c} \cdot \frac{b}{c}}{a} \cdot \frac{a}{a} \cdot \frac{b \otimes c, b \rightarrow c+c}{b \otimes c, b \rightarrow c+c} \cdot \frac{b \otimes c}{c}} = -cR
$$

$$
\frac{\frac{b+b}{b+b} \text{ id}_b}{\frac{b+b}{b}, \frac{b-c}{c} \cdot c} \rightarrow c
$$
\n
$$
\frac{a+a}{a}, \frac{a-a}{b \otimes c}, \frac{b-a}{c} \cdot c \vdash c
$$
\n
$$
\frac{a}{a-a}(b \otimes c), \frac{b-a}{c-a} \cdot c \vdash c
$$
\n
$$
\frac{a}{a-a}(b \otimes c), \frac{b-a}{c-a} \cdot c \vdash a \rightarrow c
$$

$$
\frac{\overline{b+b} \text{ id}_b}{\frac{b+b}{b}, \frac{b-c}{c+c}} \cdot \frac{\text{ id}_c}{\text{ } -cL}
$$
\n
$$
\frac{a+a}{a}, \frac{a-a}{b} \text{ if } a \text{ if } b \text{ is } c, b \text{ and } c \text{ is } 2, \text{ and } c
$$

Forward Proof Construction

■ Inverse method [Gentzen'35] [Maslov'64] [Mints'81] Step 1: specialize rules to subformulas of end sequent

$$
a \rightarrow (b \& c), b \rightarrow c \vdash a \rightarrow c
$$
\n
$$
\overline{a \vdash a} \quad id_{a} \quad \overline{b \vdash b} \quad id_{b} \quad \overline{c \vdash c} \quad id_{c}
$$
\n
$$
\frac{\Delta, b \vdash \gamma}{\Delta, b \& c \vdash \gamma} \& L_{1} \quad \frac{\Delta, c \vdash \gamma}{\Delta, b \& c \vdash \gamma} \& L_{2}
$$
\n
$$
\frac{\Delta_{1} \vdash a \quad \Delta_{2}, b \& c \vdash \gamma}{\Delta_{1}, \Delta_{2}, a \rightarrow b \& c \vdash \gamma} \rightarrow L
$$
\n
$$
\frac{\Delta_{1} \vdash b \quad \Delta_{2}, c \vdash \gamma}{\Delta_{1}, \Delta_{2}, b \rightarrow c \vdash \gamma} \rightarrow L \quad \frac{\Delta, a \vdash c}{\Delta \vdash a \rightarrow c} \rightarrow R
$$

- Step 2: Apply just these rule instances, arbitrarily
- Subformulas, left: $b \& c$, $a \rightarrow (b \& c)$, $b \rightarrow c$
- Subformulas, right: $a \rightarrow c$

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- Subformulas, left: $b \& c$, $a \rightarrow (b \& c)$, $b \rightarrow c$
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- Subformulas, left: $b \& c$, $a \rightarrow (b \& c)$, $b \rightarrow c$
- Subformulas, right: $a \rightarrow c$

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- Subformulas, left: $b \& c$, $a \rightarrow (b \& c)$, $b \rightarrow c$
- Subformulas, right: $a \rightarrow c$

- Step 2: Apply just these rule instances, arbitrarily
- Subformulas, left: $b \& c$, $a \rightarrow (b \& c)$, $b \rightarrow c$
- Subformulas, right: $a \rightarrow c$

Summary: Past

Sequent calculus [Gentzen'35]

- Define connectives by right rules (how to prove) and left rules (how to use)
- Should satisfy harmony [Dummett'76]
	- Global harmony: cut and identity elimination
	- **Local harmony: cut reduction and identity expansion**
- Per Martin-Löf [1983]

The meaning of a proposition is determined by what it is to verify it, or what counts as a verification of it.

- Cut-free sequent calculus as basis for proof search
	- Backwards, with backtracking proof search
	- **Forwards, based on specialized inference rules**

Past: How to define a logic

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- Harmony [Dummett'76] [Martin-Löf'83]

Present: How to reduce nondeterminism in search

■ Focusing and polarization [Andreoli'92] [Laurent'99]

- **Future:** How to combine logics
	- Adjunctions [Benton'94] [Reed'09]
- Much nondeterminism remains
- Backward search (don't-know)
	- At each step: which rule do we try?
	- **Backtrack upon failure**
- Forward search (don't-care)
	- At each step: which (specialized) rule do we apply?
	- Generate useless and redundant sequents

Observations: Inversion and Focusing

■ We can always decompose some connectives without losing provability (inversion)

$$
\Delta, A \vdash B
$$
\n
$$
\Delta \vdash A \multimap B
$$
\n
$$
\Delta \vdash A \multimap B
$$
\n
$$
\Delta \vdash A \multimap B
$$
\n
$$
\Delta, A \vdash B
$$
\n
$$
\Delta, A \vdash B
$$
\n
$$
\Delta, A \vdash B
$$

■ Other connectives require a choice, but we can combine successive choices on the same formula (focusing)

$$
\frac{\Delta, A \vdash D}{\Delta, A \otimes (B \otimes C) \vdash D} \otimes L_1
$$
\n
$$
\frac{\Delta, B \vdash D}{\Delta, A \otimes (B \otimes C) \vdash D} \otimes L_{21} \quad \frac{\Delta, C \vdash D}{\Delta, A \otimes (B \otimes C) \vdash D} \otimes L_{22}
$$
Negative and Positive Connectives

■ Negative connectives have invertible right rule \blacksquare Involve some choice on the left **Positive connectives have invertible left rule** \blacksquare Involve some choice on the right Segregate in syntax to exploit inversion and focusing

Negative A^- ::= A_1^+ \multimap $A_2^ \frac{1}{2}$ | $A_1^- \& A_2^ \frac{1}{2}$ | \top | a^- | $\uparrow A^+$ Positive A^+ ::= $A_1^+ \otimes A_2^+ \mid 1 \mid A_1^+ \oplus A_2^+ \mid 0 \mid a^+ \mid \downarrow A^-$

- Glimpse at other linear connectives $(\top, \otimes, \mathbf{1}, \oplus, \mathbf{0})$
- Shifts $\uparrow \! A^+$ and $\downarrow \! A^-$ ensure every formula can be polarized
	- **Minimal polarization exists**
	- **Assign atoms arbitrary consistent polarity**

Re-engineering Deduction, Inversion Phase

 \Box Δ \vdash A for polarized focused deduction **Inversion phase:** break down negatives on right and positives on left (confluent)

$$
\frac{\Delta, A^{+} \Vdash B^{-}}{\Delta \Vdash A^{+} \neg B^{-}} \neg R
$$
\n
$$
\frac{\Delta \Vdash A^{-}}{\Delta \Vdash A^{-} \& B^{-}} \& R
$$
\n
$$
\frac{\Delta \Vdash A^{+}}{\Delta \Vdash \uparrow A^{+}} \uparrow R
$$
\n
$$
\frac{\Delta, A^{-} \Vdash C}{\Delta, \downarrow A^{-} \Vdash C} \downarrow L
$$

■ Suspend atoms during inversion

$$
\frac{\Delta\vdash\langle a^{-}\rangle}{\Delta\vdash a^{-}}\langle\ \rangle^{-} \qquad \frac{\Delta,\langle a^{+}\rangle\vdash C}{\Delta,a^{+}\Vdash C}\langle\ \rangle^{+}
$$

Stable antecedents $\Delta^- ::= \bullet \ | \ \Delta^-,A^- \ | \ \Delta^-, \langle a^+ \rangle$ Stable succedents $\gamma^+ ::= A^+ \mid \langle a^- \rangle$

Re-engineering Deduction, Inversion Phase

 \Box Δ \vdash A for polarized focused deduction **Inversion phase:** break down negatives on right and positives on left (confluent)

$$
\frac{\Delta, A^+ \Vdash B^-}{\Delta \Vdash A^+ \multimap B^-} \multimap R \qquad \frac{\Delta \Vdash A^- \Delta \Vdash B^-}{\Delta \Vdash A^- \otimes B^-} \otimes R
$$

 \downarrow

$$
\frac{\Delta \vdash A^+}{\Delta \vdash \uparrow A^+} \uparrow R \qquad \frac{\Delta, A^- \vdash \gamma}{\Delta, \downarrow A^- \vdash \gamma}
$$

■ Suspend atoms during inversion

$$
\frac{\Delta\vdash\langle a^-\rangle}{\Delta\vdash a^-}\langle\ \rangle^-\qquad\frac{\Delta,\langle a^+\rangle\vdash\gamma}{\Delta,a^+\Vdash\gamma}\langle\ \rangle^+
$$

Stable antecedents $\Delta^- ::= \bullet \ | \ \Delta^-,A^- \ | \ \Delta^-, \langle a^+ \rangle$ Stable succedents $\gamma^+ ::= A^+ \mid \langle a^- \rangle$

Focus on positive on right or negative on left ■ Only one formula may be in focus in a sequent

$$
\frac{\Delta^{-} \Vdash [A^{+}]}{\Delta^{-} \Vdash A^{+}} \begin{bmatrix}]^{+} & \Delta^{-}, [A^{-}] \Vdash \gamma^{+} \\ \Delta^{-}, A^{-} \Vdash \gamma^{+} \end{bmatrix} \begin{bmatrix}]^{-} \\
$$

Re-engineering Deduction, Focusing Phase

■ Combine successive choices in focus

$$
\frac{\Delta_1^- \Vdash [A^+] \quad \Delta_2^-, [B^-] \Vdash \gamma^+}{\Delta_1^-, \Delta_2^-, [A^+ \multimap B^-] \Vdash \gamma^+} \multimap L
$$
\n
$$
\frac{\Delta^-, [A^-] \Vdash \gamma^+}{\Delta^-, [A^- \otimes B^-] \Vdash \gamma^+} \otimes L_1 \qquad \frac{\Delta^-, [B^-] \Vdash \gamma^+}{\Delta^-, [A^- \otimes B^-] \Vdash \gamma^+} \otimes L_2
$$
\n
$$
\frac{\Delta^-, A^+ \Vdash \gamma^+}{\Delta^-, [A^+] \Vdash \gamma^+} \uparrow L \qquad \frac{\Delta^- \Vdash A^-}{\Delta^- \Vdash [\downarrow A^-]} \downarrow R
$$
\n
$$
\frac{[a^-] \Vdash \langle a^- \rangle} \text{ id}_a^- \qquad \frac{\langle a^+ \rangle \Vdash [a^+]}{{\langle a^+ \rangle \Vdash [a^+]} \text{ id}_a^+}
$$

- **Judgments now A true, [A] in focus,** $\langle A \rangle$ **suspended**
- \blacksquare Collectively, the system is called focusing
- **F** Focusing is sound
	- **■** Restricts inferences
	- **Depolarize and induct over derivation**
- Focusing is complete. Key properties [Simmons'13]
	- **Cuts on A are admissible by nested induction, first on A**
	- **If** Identities on A are admissible by induction on A
- Use as basis to improve both backward and forward search [Andreoli'01] [Chaudhuri et al.'06] [McLaughlin & Pf'09]

Choose all atoms to be negative (a^-, b^-, c^-)

$$
\downarrow a \multimap (b \otimes c), \downarrow b \multimap c \Vdash \downarrow a \multimap c
$$

Step 1: Invert to stable sequents

$$
a, \downarrow a \multimap (b \otimes c), \downarrow b \multimap c \Vdash \langle c \rangle
$$

Step 2: Construct derived rules between stable sequents $\Delta^- \Vdash \gamma^+$

- Correspondence between formulas and rules of inference [Andreoli'01]
- Example: Antecedent \downarrow a \multimap (b & c)

$$
\boxed{\Delta^-,\downarrow \!a\!\multimap\!\big(\!b\mathbin{\&} c\big) \!\Vdash\gamma^+}\,\,\big[\,]\neg
$$

- Correspondence between formulas and rules of inference [Andreoli'01]
- Example: Antecedent \downarrow a \multimap (b & c)

$$
\frac{\Delta^{-},[\downarrow a\rightarrow (b\otimes c)]\Vdash\gamma^{+}}{\Delta^{-},\downarrow a\rightarrow (b\otimes c)\Vdash\gamma^{+}}[[\]
$$

- Correspondence between formulas and rules of inference [Andreoli'01]
- Example: Antecedent \downarrow a \multimap (b & c)

$$
\frac{\Delta^{-} = (\Delta_1^{-}, \Delta_2^{-}) \quad \Delta_1^{-} \Vdash [\downarrow a]}{\Delta^{-}, [\downarrow a \multimap (b \& c)] \Vdash \gamma^{+}} \quad \text{and} \quad \Delta_2^{-}, [b \& c] \Vdash \gamma^{+}
$$
\n
$$
\frac{\Delta^{-}, [\downarrow a \multimap (b \& c)] \Vdash \gamma^{+}}{\Delta^{-}, \downarrow a \multimap (b \& c) \Vdash \gamma^{+}} \quad []^{-}
$$

Correspondence between formulas and rules of inference [Andreoli'01]

■ Example: Antecedent \downarrow a \multimap (b & c)

$$
\frac{\Delta_1^- \vDash \langle a \rangle}{\Delta_1^- \vDash a} \langle \rangle^-
$$
\n
$$
\frac{\Delta_-^- \vDash a}{\Delta_1^+ \vDash a} \downarrow R
$$
\n
$$
\frac{\Delta_-^-}{\Delta_1^- \vDash [\downarrow a]} \frac{\Delta_2^-}{\Delta_2^- \vDash b \otimes c] \vDash \gamma^+}
$$
\n
$$
\frac{\Delta^-}{\Delta^- \vDash a \multimap (b \otimes c)] \vDash \gamma^+} \cdot []^-
$$

Correspondence between formulas and rules of inference [Andreoli'01]

■ Example: Antecedent \downarrow a \multimap (b & c)

$$
\frac{\Delta_1^- \vDash \langle a \rangle}{\Delta_1^- \vDash a} \langle \rangle^-
$$
\n
$$
\frac{\Delta_2^- \vDash a}{\Delta_2^-, [b] \vDash \gamma^+}
$$
\n
$$
\frac{\Delta_-^-(\Delta_1^-, \Delta_2^-)}{\Delta_1^- \vDash [\downarrow a]} \downarrow R
$$
\n
$$
\frac{\Delta_{2}^-, [b \otimes c] \vDash \gamma^+}{\Delta_2^-, [b \otimes c] \vDash \gamma^+} \stackrel{\triangle l_1}{\sim} \frac{\Delta_{3}^- \vDash \langle a \rangle}{\Delta_1^- \downarrow a \multimap (b \otimes c) \vDash \gamma^+} []^-
$$

Correspondence between formulas and rules of inference [Andreoli'01]

■ Example: Antecedent \downarrow a \multimap (b & c)

$$
\frac{\Delta_1^- \vDash \langle a \rangle}{\Delta_1^- \vDash a} \langle \rangle^- \frac{\Delta_2^- = \bullet \gamma^+ = \langle b \rangle}{\Delta_2^-, [b] \vDash \gamma^+} \mathrm{id}_b^-
$$

$$
\frac{\Delta_- = (\Delta_1^-, \Delta_2^-) \Delta_1^- \vDash [\downarrow a]}{\Delta_1^- \vDash [\downarrow a]} \sqrt[R]{R} \frac{\Delta_2^-, [b] \vDash \gamma^+}{\Delta_2^-, [b \otimes c] \vDash \gamma^+} \otimes L_1
$$

$$
\frac{\Delta^-, [\downarrow a \multimap (b \otimes c)] \vDash \gamma^+}{\Delta^-, \downarrow a \multimap (b \otimes c) \vDash \gamma^+} []^-
$$

Correspondence between formulas and rules of inference [Andreoli'01]

■ Example: Antecedent \downarrow a \multimap (b & c)

$$
\frac{\Delta_1^- \vDash \langle a \rangle}{\Delta_1^- \vDash a} \langle \rangle^- \xrightarrow{\Delta_2^- = \bullet \quad \gamma^+ = \langle b \rangle} \mathrm{id}_b^-
$$

$$
\frac{\Delta_1^- \vDash a}{\Delta_1^+ \vDash a} \downarrow R \xrightarrow{\Delta_2^-, [b] \vDash \gamma^+} \& L_1
$$

$$
\frac{\Delta^-}{\Delta_1^- \vDash [a] \cdot \Delta_2^-} \times L_1
$$

$$
\frac{\Delta^-}{\Delta^- \vDash [a \to (b \otimes c)] \vDash \gamma^+} \qquad \frac{\Delta_-}{\Delta_-^-} \rightarrow L
$$

$$
\frac{\Delta^-}{\Delta^- \vDash a \to (b \otimes c) \vDash \gamma^+} []^-
$$

No Yields derived rule

$$
\frac{\Delta^{-} \Vdash \langle a \rangle}{\Delta^{-}, \downarrow a \multimap (b \otimes c) \Vdash \langle b \rangle} [3]
$$

Derived rules

$$
\frac{\Delta^{-} \vDash \langle b \rangle}{a \vDash \langle a \rangle} [1] \quad \frac{\Delta^{-} \vDash \langle b \rangle}{\Delta^{-}, \downarrow b \multimap c \vDash \langle c \rangle} [2]
$$
\n
$$
\frac{\Delta^{-} \vDash \langle a \rangle}{\Delta^{-}, \downarrow a \multimap (b \otimes c) \vDash \langle b \rangle} [3] \quad \frac{\Delta^{-} \vDash \langle a \rangle}{\Delta^{-}, \downarrow a \multimap (b \otimes c) \vDash \langle c \rangle} [4]
$$

Derived rules

$$
\frac{\Delta^{-} \vDash \langle b \rangle}{a \vDash \langle a \rangle} [1] \quad \frac{\Delta^{-} \vDash \langle b \rangle}{\Delta^{-}, \downarrow b \multimap c \vDash \langle c \rangle} [2]
$$
\n
$$
\frac{\Delta^{-} \vDash \langle a \rangle}{\Delta^{-}, \downarrow a \multimap (b \otimes c) \vDash \langle b \rangle} [3] \quad \frac{\Delta^{-} \vDash \langle a \rangle}{\Delta^{-}, \downarrow a \multimap (b \otimes c) \vDash \langle c \rangle} [4]
$$

$$
\frac{}{a,\downarrow a\rightarrow (b\otimes c),\downarrow b\rightarrow c\mathrel{\Vdash}\langle c\rangle}
$$
?

Derived rules

$$
\frac{\Delta^{-} \Vdash \langle b \rangle}{a \Vdash \langle a \rangle} [1] \qquad \frac{\Delta^{-} \Vdash \langle b \rangle}{\Delta^{-}, \downarrow b \rightarrow c \Vdash \langle c \rangle} [2]
$$
\n
$$
\frac{\Delta^{-} \Vdash \langle a \rangle}{\Delta^{-}, \downarrow a \rightarrow (b \& c) \Vdash \langle b \rangle} [3] \qquad \frac{\Delta^{-} \Vdash \langle a \rangle}{\Delta^{-}, \downarrow a \rightarrow (b \& c) \Vdash \langle c \rangle} [4]
$$

$$
\frac{a, \downarrow b \rightarrow c \vDash \langle a \rangle}{a, \downarrow a \rightarrow (b \otimes c), \downarrow b \rightarrow c \vDash \langle c \rangle} \begin{bmatrix} 4 \end{bmatrix} \frac{}{a, \downarrow a \rightarrow (b \otimes c), \downarrow b \rightarrow c \vDash \langle c \rangle} \begin{bmatrix} 2 \end{bmatrix}
$$

Derived rules

$$
\frac{\Delta^{-} \vDash \langle b \rangle}{a \vDash \langle a \rangle} [1] \quad \frac{\Delta^{-} \vDash \langle b \rangle}{\Delta^{-}, \downarrow b \multimap c \vDash \langle c \rangle} [2]
$$
\n
$$
\frac{\Delta^{-} \vDash \langle a \rangle}{\Delta^{-}, \downarrow a \multimap (b \otimes c) \vDash \langle b \rangle} [3] \quad \frac{\Delta^{-} \vDash \langle a \rangle}{\Delta^{-}, \downarrow a \multimap (b \otimes c) \vDash \langle c \rangle} [4]
$$

failure: no rule applies
\n
$$
a, \downarrow b \rightarrow c \vDash \langle a \rangle
$$

\n $a, \downarrow a \rightarrow (b \otimes c), \downarrow b \rightarrow c \vDash \langle c \rangle$ [4] $a, \downarrow a \rightarrow (b \otimes c), \downarrow b \rightarrow c \vDash \langle c \rangle$ [2]

Derived rules

$$
\frac{\Delta^{-} \vDash \langle b \rangle}{a \vDash \langle a \rangle} [1] \quad \frac{\Delta^{-} \vDash \langle b \rangle}{\Delta^{-}, \downarrow b \multimap c \vDash \langle c \rangle} [2]
$$
\n
$$
\frac{\Delta^{-} \vDash \langle a \rangle}{\Delta^{-}, \downarrow a \multimap (b \otimes c) \vDash \langle b \rangle} [3] \quad \frac{\Delta^{-} \vDash \langle a \rangle}{\Delta^{-}, \downarrow a \multimap (b \otimes c) \vDash \langle c \rangle} [4]
$$

failure: no rule applies
\na,
$$
\downarrow b \rightarrow c \Vdash \langle a \rangle
$$

\n
$$
\overline{a, \downarrow a \rightarrow (b \otimes c), \downarrow b \rightarrow c \Vdash \langle c \rangle}
$$
\n[4]
$$
\overline{a, \downarrow a \rightarrow (b \otimes c), \downarrow b \rightarrow c \Vdash \langle c \rangle}
$$
\n[2]

Derived rules

$$
\frac{\Delta^{-} \vDash \langle b \rangle}{a \vDash \langle a \rangle} [1] \quad \frac{\Delta^{-} \vDash \langle b \rangle}{\Delta^{-}, \downarrow b \multimap c \vDash \langle c \rangle} [2]
$$
\n
$$
\frac{\Delta^{-} \vDash \langle a \rangle}{\Delta^{-}, \downarrow a \multimap (b \otimes c) \vDash \langle b \rangle} [3] \quad \frac{\Delta^{-} \vDash \langle a \rangle}{\Delta^{-}, \downarrow a \multimap (b \otimes c) \vDash \langle c \rangle} [4]
$$

failure: no rule applies
\na,
$$
\downarrow b \rightarrow c \Vdash \langle a \rangle
$$

\n
$$
\overline{a + \langle a \rangle}
$$
\n
$$
\overline{a + \langle a \rangle}
$$
\n
$$
\overline{a + \langle a \rangle}
$$
\n[3]
\n
$$
\overline{a + \langle a \rangle}
$$
\n[2]
\n
$$
\overline{a + \langle a \rangle}
$$
\n[3]

Derived rules

$$
\frac{\Delta^{-} \mathbb{H} \langle b \rangle}{a \mathbb{H} \langle a \rangle} [1] \frac{\Delta^{-} \mathbb{H} \langle b \rangle}{\Delta^{-}, \downarrow b \rightarrow c \mathbb{H} \langle c \rangle} [2]
$$
\n
$$
\frac{\Delta^{-} \mathbb{H} \langle a \rangle}{\Delta^{-}, \downarrow a \rightarrow (b \otimes c) \mathbb{H} \langle b \rangle} [3] \frac{\Delta^{-} \mathbb{H} \langle a \rangle}{\Delta^{-}, \downarrow a \rightarrow (b \otimes c) \mathbb{H} \langle c \rangle} [4]
$$

Step 3a: Backward search using only derived rules Only two possible attempts!

failure: no rule applies
\n
$$
a, \downarrow b \rightarrow c \Vdash \langle a \rangle
$$

\n $a, \downarrow a \rightarrow (b \otimes c), \downarrow b \rightarrow c \Vdash \langle c \rangle$ [4] $\frac{a}{a, \downarrow a \rightarrow (b \otimes c) \Vdash \langle b \rangle}$ [3]
\n $a, \downarrow a \rightarrow (b \otimes c), \downarrow b \rightarrow c \Vdash \langle c \rangle$ [2]

 $[1]$

Recall derived rules: use only these!

$$
\frac{\Delta^{-} \Vdash \langle b \rangle}{a \Vdash \langle a \rangle} [1] \qquad \frac{\Delta^{-} \Vdash \langle b \rangle}{\Delta^{-}, \downarrow b \rightarrow c \Vdash \langle c \rangle} [2]
$$
\n
$$
\frac{\Delta^{-} \Vdash \langle a \rangle}{\Delta^{-}, \downarrow a \rightarrow (b \otimes c) \Vdash \langle b \rangle} [3] \qquad \frac{\Delta^{-} \Vdash \langle a \rangle}{\Delta^{-}, \downarrow a \rightarrow (b \otimes c) \Vdash \langle c \rangle} [4]
$$

Recall derived rules: use only these!

$$
\frac{\Delta^{-} \Vdash \langle b \rangle}{a \Vdash \langle a \rangle} [1] \qquad \frac{\Delta^{-} \Vdash \langle b \rangle}{\Delta^{-}, \downarrow b \rightarrow c \Vdash \langle c \rangle} [2]
$$
\n
$$
\frac{\Delta^{-} \Vdash \langle a \rangle}{\Delta^{-}, \downarrow a \rightarrow (b \otimes c) \Vdash \langle b \rangle} [3] \qquad \frac{\Delta^{-} \Vdash \langle a \rangle}{\Delta^{-}, \downarrow a \rightarrow (b \otimes c) \Vdash \langle c \rangle} [4]
$$

$$
a\;\;\mathrel{\Vdash}\;\;\left\langle a\right\rangle \;\;\; 1=[1]
$$

Recall derived rules: use only these!

$$
\frac{\Delta^{-} \Vdash \langle b \rangle}{a \Vdash \langle a \rangle} [1] \qquad \frac{\Delta^{-} \Vdash \langle b \rangle}{\Delta^{-}, \downarrow b \rightarrow c \Vdash \langle c \rangle} [2]
$$
\n
$$
\frac{\Delta^{-} \Vdash \langle a \rangle}{\Delta^{-}, \downarrow a \rightarrow (b \otimes c) \Vdash \langle b \rangle} [3] \qquad \frac{\Delta^{-} \Vdash \langle a \rangle}{\Delta^{-}, \downarrow a \rightarrow (b \otimes c) \Vdash \langle c \rangle} [4]
$$

$$
\begin{array}{c|ccccc}\n & a & \mathrel{\Vdash} & \langle a \rangle & 1 = [1] \\
\hline\na, & \downarrow a \multimap (b \otimes c) & \mathrel{\Vdash} & \langle b \rangle & 2 = [3](1)\n\end{array}
$$

Recall derived rules: use only these!

$$
\frac{\Delta^{-} \Vdash \langle b \rangle}{a \Vdash \langle a \rangle} [1] \qquad \frac{\Delta^{-} \Vdash \langle b \rangle}{\Delta^{-}, \downarrow b \rightarrow c \Vdash \langle c \rangle} [2]
$$
\n
$$
\frac{\Delta^{-} \Vdash \langle a \rangle}{\Delta^{-}, \downarrow a \rightarrow (b \otimes c) \Vdash \langle b \rangle} [3] \qquad \frac{\Delta^{-} \Vdash \langle a \rangle}{\Delta^{-}, \downarrow a \rightarrow (b \otimes c) \Vdash \langle c \rangle} [4]
$$

$$
\begin{array}{c|cc}\n a & \mathsf{H} & \langle a \rangle & 1 = [1] \\
 a, \downarrow a \multimap (b \otimes c) & \mathsf{H} & \langle b \rangle & 2 = [3](1) \\
 a, \downarrow a \multimap (b \otimes c) & \mathsf{H} & \langle c \rangle & 3 = [4](1)\n \end{array}
$$

Recall derived rules: use only these!

$$
\frac{\Delta^{-} \Vdash \langle b \rangle}{a \Vdash \langle a \rangle} [1] \quad \frac{\Delta^{-} \Vdash \langle b \rangle}{\Delta^{-}, \downarrow b \rightarrow c \Vdash \langle c \rangle} [2]
$$
\n
$$
\frac{\Delta^{-} \Vdash \langle a \rangle}{\Delta^{-}, \downarrow a \rightarrow (b \otimes c) \Vdash \langle b \rangle} [3] \quad \frac{\Delta^{-} \Vdash \langle a \rangle}{\Delta^{-}, \downarrow a \rightarrow (b \otimes c) \Vdash \langle c \rangle} [4]
$$

Step 3b: Focused inverse method [McLaughlin & Pf'09] Only one unused sequent!

$$
\begin{array}{c|c}\n a & \mbox{if} & \langle a \rangle & 1 = [1] \\
 \hline\n a, \downarrow a \rightarrow (b \otimes c) & \mbox{if} & \langle b \rangle & 2 = [3](1) \\
 a, \downarrow a \rightarrow (b \otimes c) & \mbox{if} & \langle c \rangle & 3 = [4](1) \\
 \hline\n a, \downarrow a \rightarrow (b \otimes c), \downarrow b \rightarrow c & \mbox{if} & \langle c \rangle & 4 = 2\n \end{array}
$$

Summary: Present

 \blacksquare Polarize the logic into negative and positive propositions

- Negatives are invertible on the right
- **Positives are invertible on the left**
- **Focused deduction**
	- Decompose all invertible connectives
	- **Focus on one noninvertible one**
	- Continue to focus until invertibles are uncovered
- Sound and complete, key is cut elimination for polarized focused logic [Simmons'13]
- Use for big-step inferences (backwards and forwards)
- Drastically reduces search space
- So far, focusing applies for many interesting logics (linear, intuitionistic, classical) [Liang & Miller'09]

Past: How to define a logic

- Sequent calculus [Gentzen'35]
- Harmony [Dummett'76] [Martin-Löf'83]
- **Present:** How to reduce nondeterminism in search
	- Focusing and polarization [Andreoli'92] [Laurent'99]
- **Future:** How to combine logics
	- **Adjunctions [Benton'94] [Reed'09]**

Example: Recovering Intuitionistic Logic

$A \rightarrow B \simeq 1A \rightarrow B$ [Girard'87]

 \blacksquare !A internalizes categorical judgment $\blacktriangleright \vdash A$

- \blacksquare ! A satisfies weakening and contraction
- **Alternative:** combine intuitionistic and linear logic via an adjunction [Benton'94]
	- \blacksquare Two functors F and G, F left adjoint to G
	- Syntax as modal operators G A and FX
	- **Decompose !** $A \simeq F(G A)$
- Generalized to multi-modal logics [Reed'09]

■ Two-level system [Benton'94]

Unrestricted $A_U := A_U \rightarrow A_U | A_U \wedge B_U | a_U | G A_L$ Linear $A_{\mathsf{L}} := A_{\mathsf{L}} \rightarrow A_{\mathsf{L}} | A_{\mathsf{L}} \otimes B_{\mathsf{L}} | a_{\mathsf{L}} | F A_{\mathsf{L}}$

Represent $A_{\mathsf{L}} \simeq F G A_{\mathsf{L}}$

Two-level system [Benton'94]

Unrestricted $A_U := A_U \rightarrow A_U | A_U \wedge B_U | a_U | G A_L$ Linear $A_{\perp} := A_{\perp} \rightarrow A_{\perp} | A_{\perp} \otimes B_{\perp} | a_{\perp} | F A_{\perp}$

- Represent $A_{\mathsf{L}} \simeq F G A_{\mathsf{L}}$
- Observation: $\uparrow A^+$ and $\downarrow A^-$ of polarized linear logic also combine two separate language levels!

■ Two-level system [Benton'94]

Unrestricted $A_U := A_U \rightarrow A_U | A_U \wedge B_U | a_U | G A_U$ Linear $A_{\perp} := A_{\perp} \rightarrow A_{\perp} | A_{\perp} \otimes B_{\perp} | a_{\perp} | F A_{\perp}$

- Represent $A_{\mathsf{L}} \simeq F G A_{\mathsf{L}}$
- Observation: $\uparrow A^+$ and $\downarrow A^-$ of polarized linear logic also combine two separate language levels!
- Observation: They follow the same rule structure!

Polarized Adjoint Logic

- Unify the two concepts [Pf & Griffith'15]
- Every proposition has a polarity $({}^+ , {}^-)$ and mode (U, L)

Modes m, k ::= U | L where $U > L$ Neg. A_m^- ::= $A_m^+ \rightarrow B_m^- | A_m^- \otimes B_m^- | a_m^- | \uparrow_k^m A_k^+$
Pos A^+ ::= $A^+ \otimes B^+ | A^+ \$ $\begin{array}{cc} +\\ k \end{array}$ $(m \geq k)$ Pos. A_k^+ k^+ ::= $A_k^+ \otimes B_k^+$ $B_k^+ \mid A_k^+ \oplus B_k^+$ $a_k^+ \mid a_k^+$ $\binom{m}{k} \downarrow^m_k A_m^ (m \ge k)$

- Define $FA_{\mathsf{U}} = \downarrow_{\mathsf{L}}^{\mathsf{U}} A_{\mathsf{U}},\ G\ A_{\mathsf{L}} = {\uparrow_{\mathsf{L}}^{\mathsf{U}}} A_{\mathsf{L}}$ So ! $A \simeq F(G A) \simeq \downarrow_L^U \uparrow_L^U A_L$
- Define $A^+ \rightarrow B^+ \simeq A_U^+ \rightarrow B_U^-$ U
- Define $A^+ \wedge B^+ \simeq A^+_0 \otimes B^+_0$ U
- Define $A^- \wedge B^- \simeq A_U^- \otimes B_U^-$ U
- Earlier modalities $\uparrow A = \uparrow_L^{\mathsf{L}} A_L$, $\downarrow A = \downarrow_L^{\mathsf{L}} A_L$
- **Mixed antecedents** $\Psi ::= \bullet | \Psi, A_m$
- **Mixed-level judgment** $\Psi \vdash A_k$
- **Independence and inclusion**
	- $\blacksquare \blacktriangleright \blacktriangleright k$ means $m \geq k$ for every A_m in Ψ
	- $\blacksquare \Psi \vdash A_k$ presupposes $\Psi \geq k$

Polarized Adjoint Logic, Inversion Phase

$$
\frac{\Psi, A_m^+ \Vdash B_m^-}{\Psi \Vdash A_m^+ \sim B_m^-} \sim R
$$

$$
\frac{\Psi \Vdash A_k^+}{\Psi \Vdash \uparrow_k^m A_k^+} \uparrow R
$$

$$
\frac{\Psi \Vdash \langle a_m^- \rangle}{\Psi \Vdash a_m^-} \langle \rangle^-
$$

 $\overline{}$

$$
\frac{\Psi \Vdash A_m^- \quad \Psi \Vdash B_m^-}{\Psi \Vdash A_m^- \otimes B_m^-} \otimes R
$$

$$
\frac{\Psi,A_m^- \Vdash \gamma_r}{\Psi,\downarrow_k^m A_m^- \Vdash \gamma_r} \downarrow L
$$

$$
\frac{\Psi, \langle a^+_m \rangle \Vdash \gamma_r}{\Psi, a^+_m \Vdash \gamma_r} \langle \ \rangle^+
$$

Polarized Adjoint Logic, Transition

$$
\frac{\Psi^{-} \Vdash [A_{m}^{+}]}{\Psi^{-} + A_{m}^{+}} \begin{bmatrix} 1^{+} \\ 1^{+} \\ 1^{+} \\ 1^{+} \\ 1^{+} \\ 1^{+} \\ 1^{+} \\ 1^{+} \\ 1^{+} \end{bmatrix}
$$

$$
\frac{\Psi^{-}, A_{0}^{-}, [A_{0}^{-}] \Vdash \gamma^{+}}{\Psi^{-}, A_{0}^{-}, [A_{0}^{-}] \Vdash \gamma^{+}} \begin{bmatrix} 1_{0}^{-} \\ 1_{1}^{+} \\ 1_{1}^{+} \\ 1^{+} \\ 1^{+} \end{bmatrix}
$$
Polarized Adjoint Logic, Focusing Phase

 Ψ, Ψ' admits contraction for A_U in Ψ and Ψ' $\Psi_1^- \ge m \quad \Psi_1^- \Vdash [A_m^+] \quad \Psi_2^-, [B_m^-] \Vdash \gamma^+$ $\frac{1}{\Psi_1^-, \Psi_2^-, [A_m^+ \rightarrow B_m^-] \Vdash \gamma^+}$ $\rightarrow L$ $\Psi^-, [A_m^-] \Vdash \gamma^+$ $\frac{m!}{\Psi^{-}, [A_m^{-} \otimes B_m^{-}] \Vdash \gamma^{+}}$ & L₁ $\Psi^-, [B_m^-] \Vdash \gamma^+$ $\frac{m!}{\Psi^{-}, [A_m^{-} \otimes B_m^{-}] \Vdash \gamma^{+}}$ & L₂ $k \ge r$ Ψ^-, A_k^+ $\underset{k}{+}$ \uparrow \uparrow \uparrow $\Psi^-, [\uparrow_k^m A_k^+]$ τ_k^+] $\vdash \gamma_r^+$ ↑L $\Psi^- \geq m \quad \Psi^- \Vdash A_m^ \Psi^- \vdash [\downarrow_k^m A_m^-]$ \downarrow R $\Psi \geq \mathsf{U}$ Ψ , $[a_m^-]$ $\mathrel{\Vdash} \langle a_m^- \rangle$ id_{a}^{-} $\Psi \geq 0$ $|\Psi,\langle a_m^+ \rangle \Vdash [a_m^+]$ id^+_a

■ Different from Andreoli's system

- Polarized (unfocused) adjoint logic satisfies structural cut and identity elimination [Pf & Griffith'15]
- Conjectures:
	- **Polarized focused adjoint logic satisfies structural cut** and identity elimination
	- **Polarized focused adjoint logic is sound and complete**
	- **Polarized focused adjoint logic is conservative over** focused intuitionistic and focused intuitionistic linear logic for proof construction

Adjunction and polarization are generally compatible

- Adjunctions provide a flexible way to combine logics
	- Conservative over both levels
	- **Preserves both search spaces under focusing**
	- Affine logics are compatible [Pf & Griffith'15]
	- Extends to preorders of logics, under some conditions [Nigam & Miller'09] [Reed'09]
- Combining logics conservatively is important
	- **Embeddings lose structure**
	- **Nonconservative combinations are difficult**
- **Study adjunctions as a flexible way to combine logics** conservatively
- **Examples: intuitionistic, affine, linear, modal logics**
- \blacksquare Compatibility with focusing
- **Preserving search spaces**

Conclusion

 \blacksquare Proof theory is a critical tool in automated deduction

- **Expecially in nonclassical logics**
- **Nhich have many applications in computer science**
- Complements model-theoretic techniques
- **Past:** How to define a logic

Sequent calculus and harmony

Present: How to reduce nondeterminism in search

Focusing and polarization

- **F**uture: How to combine logics
	- Adjunctions (?)