

10-607
Computational
Foundations for
Machine Learning

Computational
Complexity

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Plan

Computational Complexity

- Counting operations
- Big-O
- Complexity classes
- Proving a Big-O relationship holds
- Proving a Big-O relationship does *not* hold

How many statements are executed?

```
15  int search(int x, int[] A, int n)
16  {
17      for (int i = 0; i < n; i++)
18      {
19          if (A[i] == x) {
20              return i;
21          }
22      }
23      return -1;
24  }
```

If x is not in A...
how times are these
statements executed?

1

`i = 0`

$n+1$

`i < n`

n

`if (A[i] == x)`

n

`i++`

1

`return -1`

How many **operations** are executed?

```
15  int search(int x, int[] A, int n)
16  {
17      for (int i = 0; i < n; i++)
18      {
19          if (A[i] == x) {
20              return i;
21          }
22      }
23      return -1;
24  }
```

If x is not in A...
how times **operations** are
executed?

1 i = 0
n+1 i < n
3n if (A[i] == x) ←
2n i++ i = i + 1
1 return -1

How many **operations** are executed?

How many program operations are required to compute:

- L2 norm of vector
- Vector dot product
- Frobenius norm of matrix
- Matrix-vector multiplication
- Matrix-matrix multiplication

```
def norm(a):  
    ss=0  
    for i in range(len(a)):  
        ss = ss + a[i]*a[i]  
    norm = np.sqrt(ss)  
    return norm
```



Operations:

- Arithmetic operations (e.g. + or **)
- Logical operations (e.g., and)
- Comparison operations (e.g., <=)
- Structure accessing operations (e.g. array indexing like A[i])
- Simple assignment such as copying a value into a variable
- Calls to library functions that don't depend on size of input (e.g., print)
- Control Statements (e.g. if X>5)

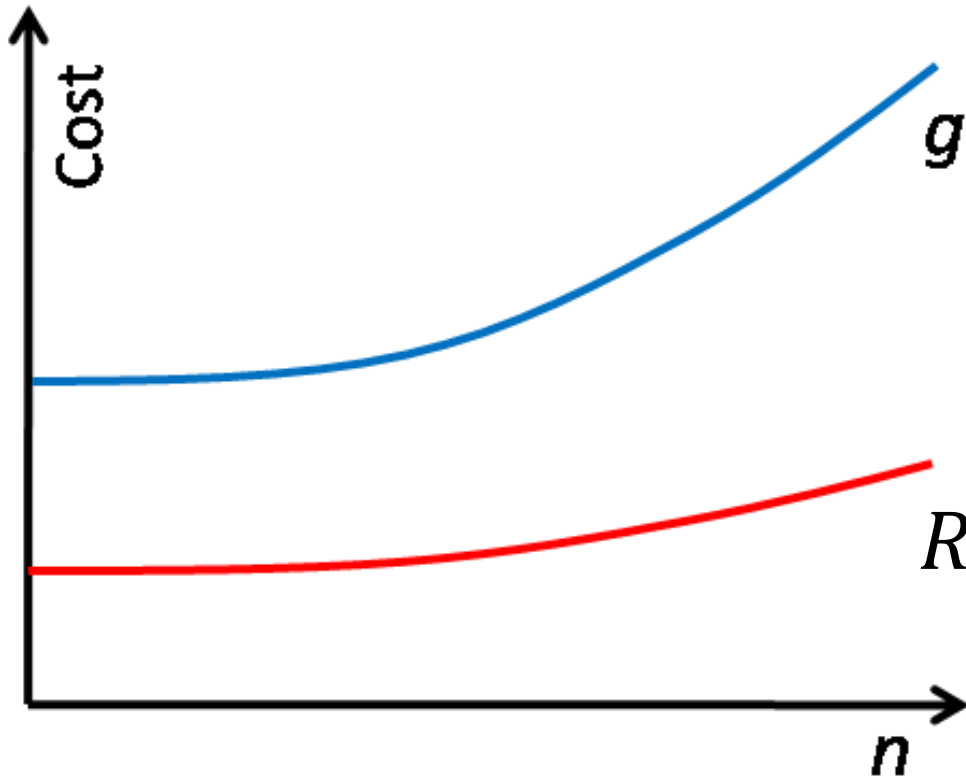
Be careful with function calls that scale with the size of the input

Exercise

Counting operations handout

Comparing functions of n

Which is better?



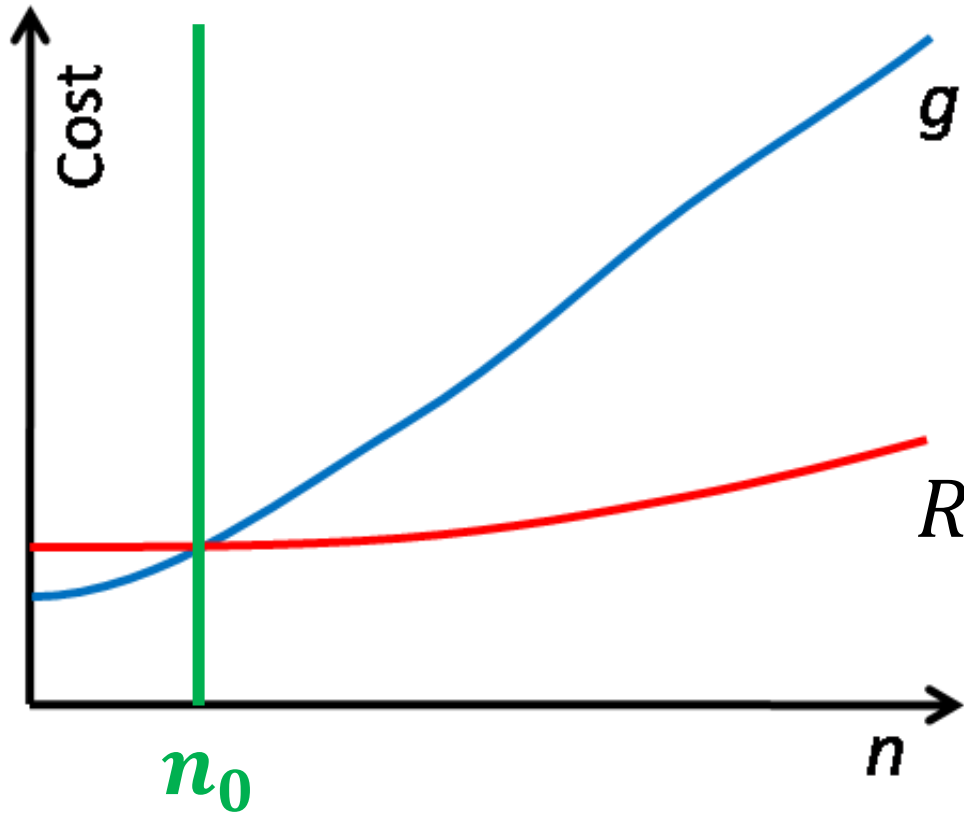
R is better than g
if

$$R(n) \leq g(n)$$

for all n

Comparing functions of n

Which is better?



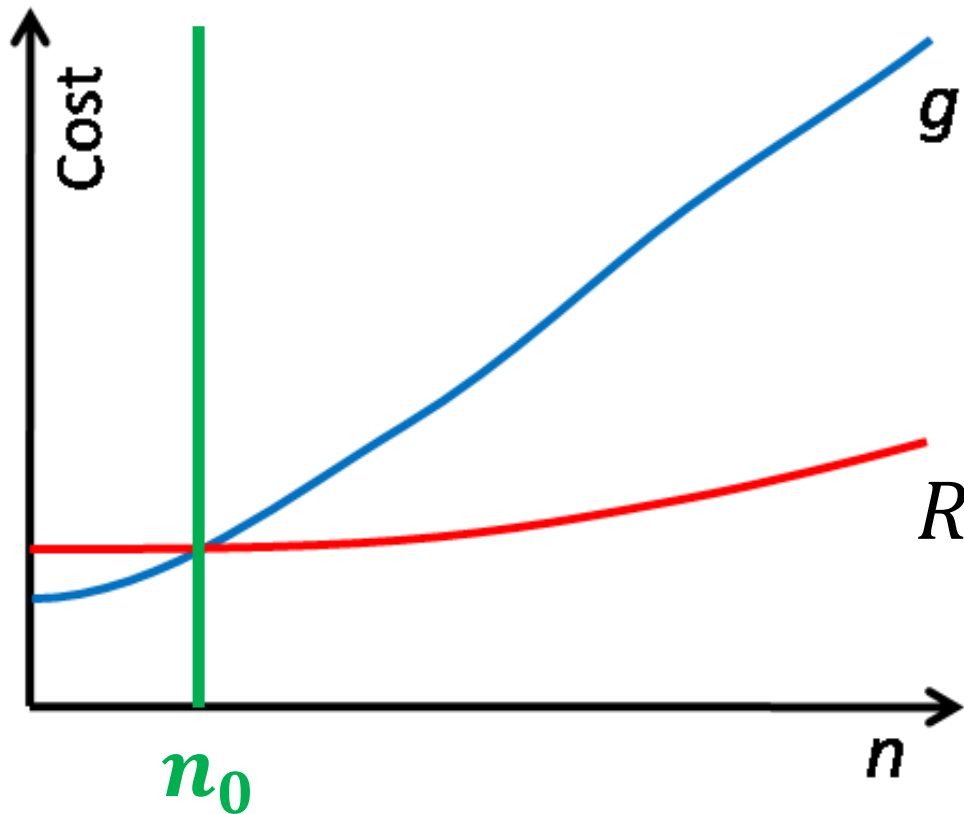
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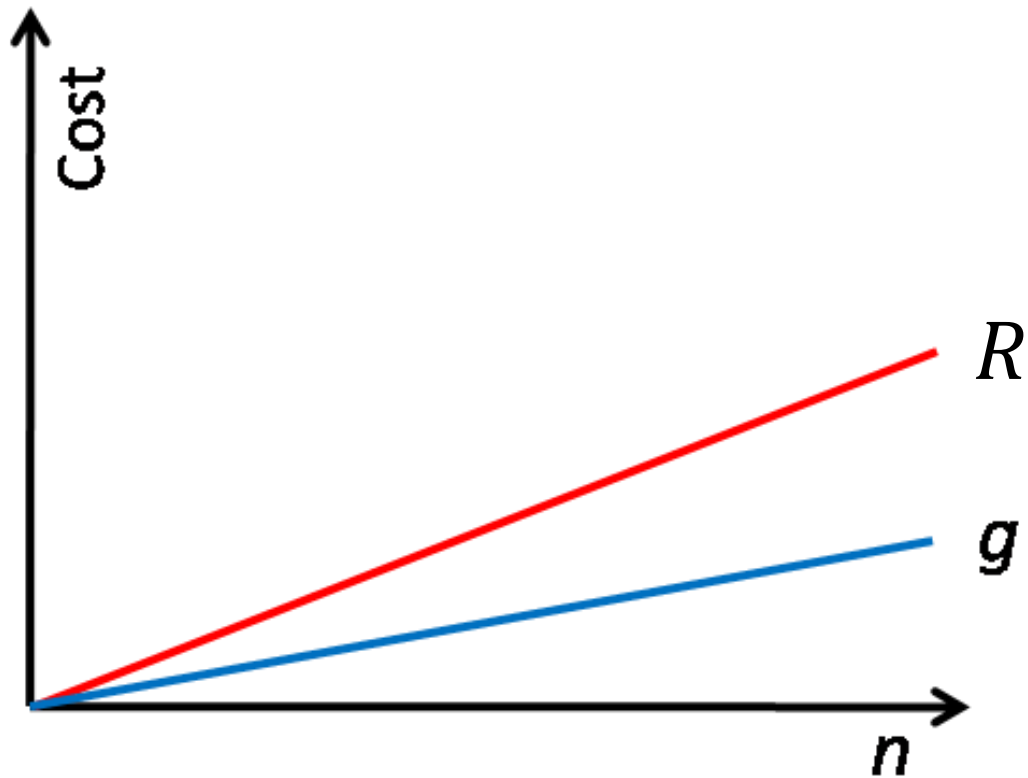
if **there exists n_0 , such that**

$$R(n) \leq g(n)$$

for all $n \geq n_0$

Comparing functions of n

Which is better?



R is better than g

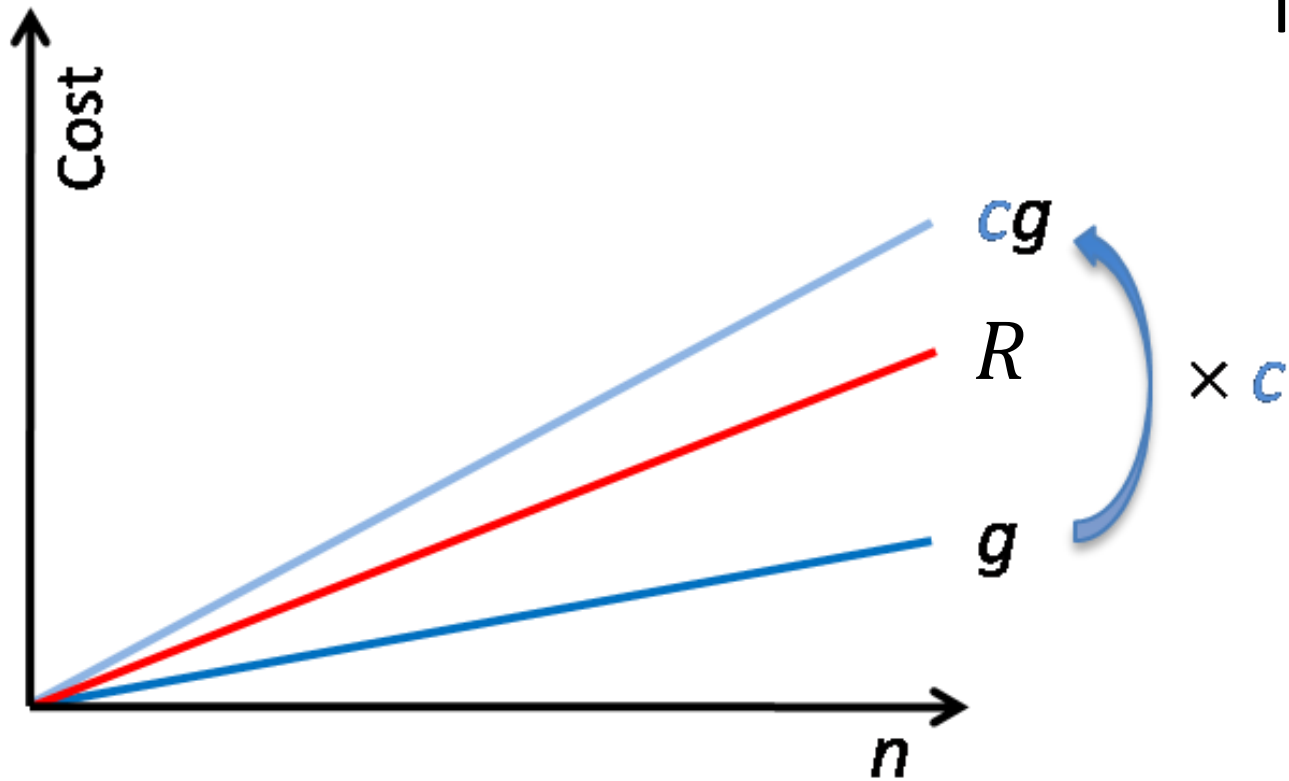
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Comparing functions of n

Which is better?



R is better than g

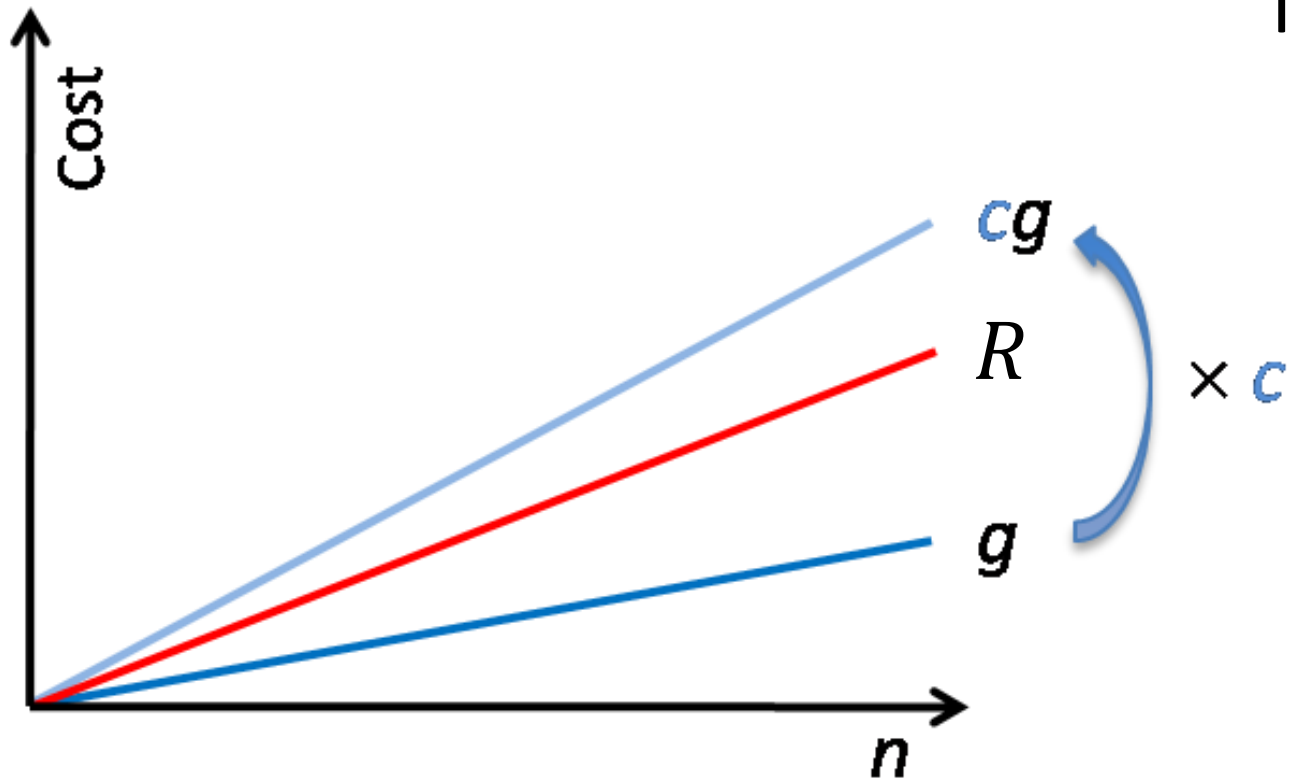
if there exists n_0 , such that

$$R(n) \leq g(n)$$

for all $n \geq n_0$

Comparing functions of n

Which is better?



R is better than g

if there exists n_0 and c , s.t.

$$R(n) \leq cg(n)$$

for all $n \geq n_0$

A set of better functions

R is better than g

if there exists n_0 and c , s.t.

$$R(n) \leq cg(n)$$

for all $n \geq n_0$

A set of better functions

The set of all functions R where
there exists n_0 and c , s.t.

$$R(n) \leq cg(n)$$

for all $n \geq n_0$

Definition of Big O of g

A set of better functions

Definition of Big O of g

The set of all functions R where
there exists n_0 and c , s.t.

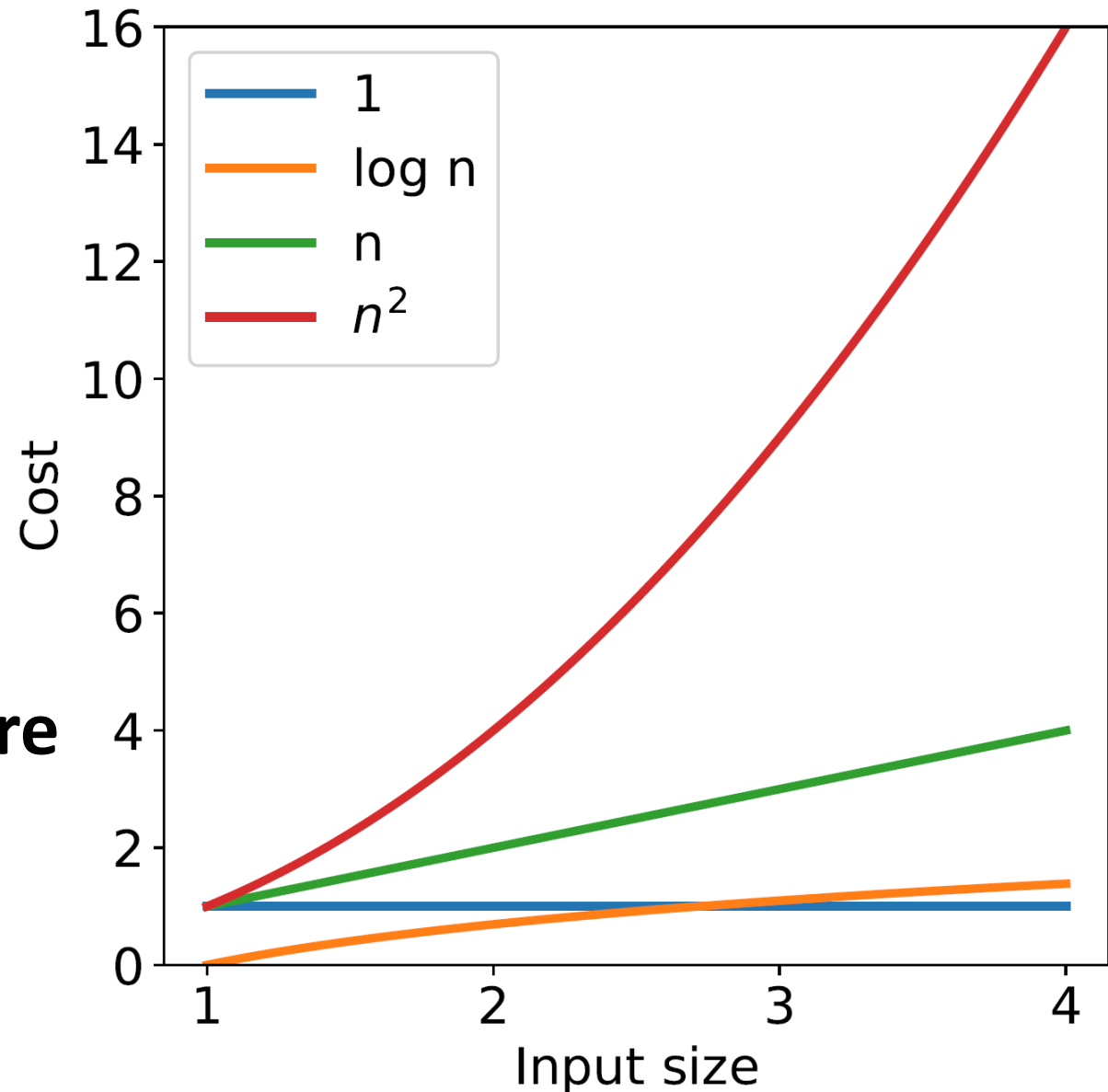
$$R(n) \leq cg(n)$$

for all $n \geq n_0$

e.g. The set of all functions R where
there exists n_0 and c , s.t.

$$R(n) \leq c\mathbf{n^2}$$

for all $n \geq n_0$



A set of better functions

Definition of Big O of g

The set of all functions R where there exists n_0 and c , s.t.

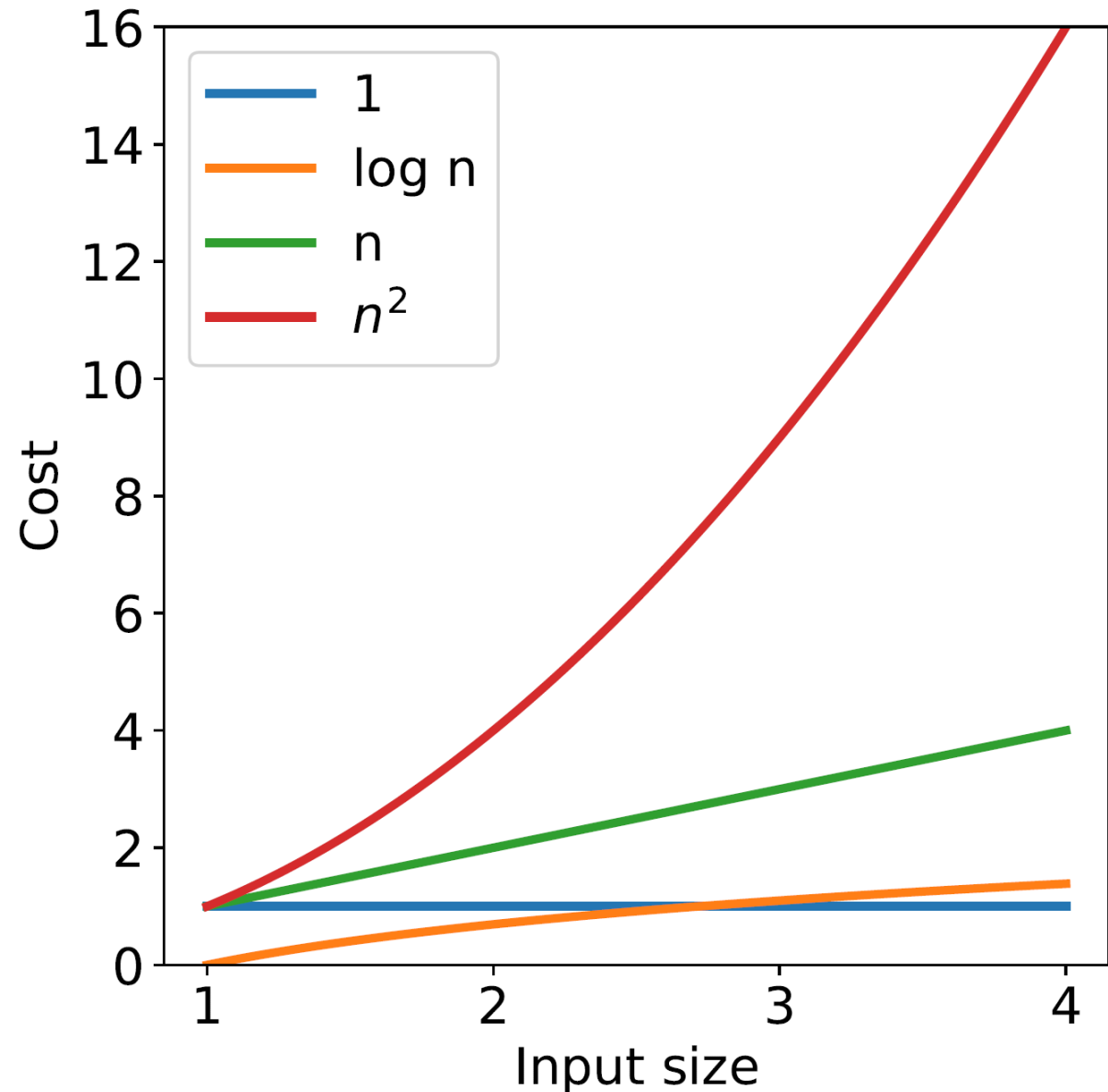
$$R(n) \leq cg(n)$$

for all $n \geq n_0$

e.g. $O(n^2)$ is a set that includes:

$$R_1(n) = 1 \quad R_3(n) = n$$

$$R_2(n) = \log n \quad R_4(n) = n^2$$



A set of better functions

Definition of Big O of g

The set of all functions R where there exists n_0 and c , s.t.

$$R(n) \leq cg(n)$$

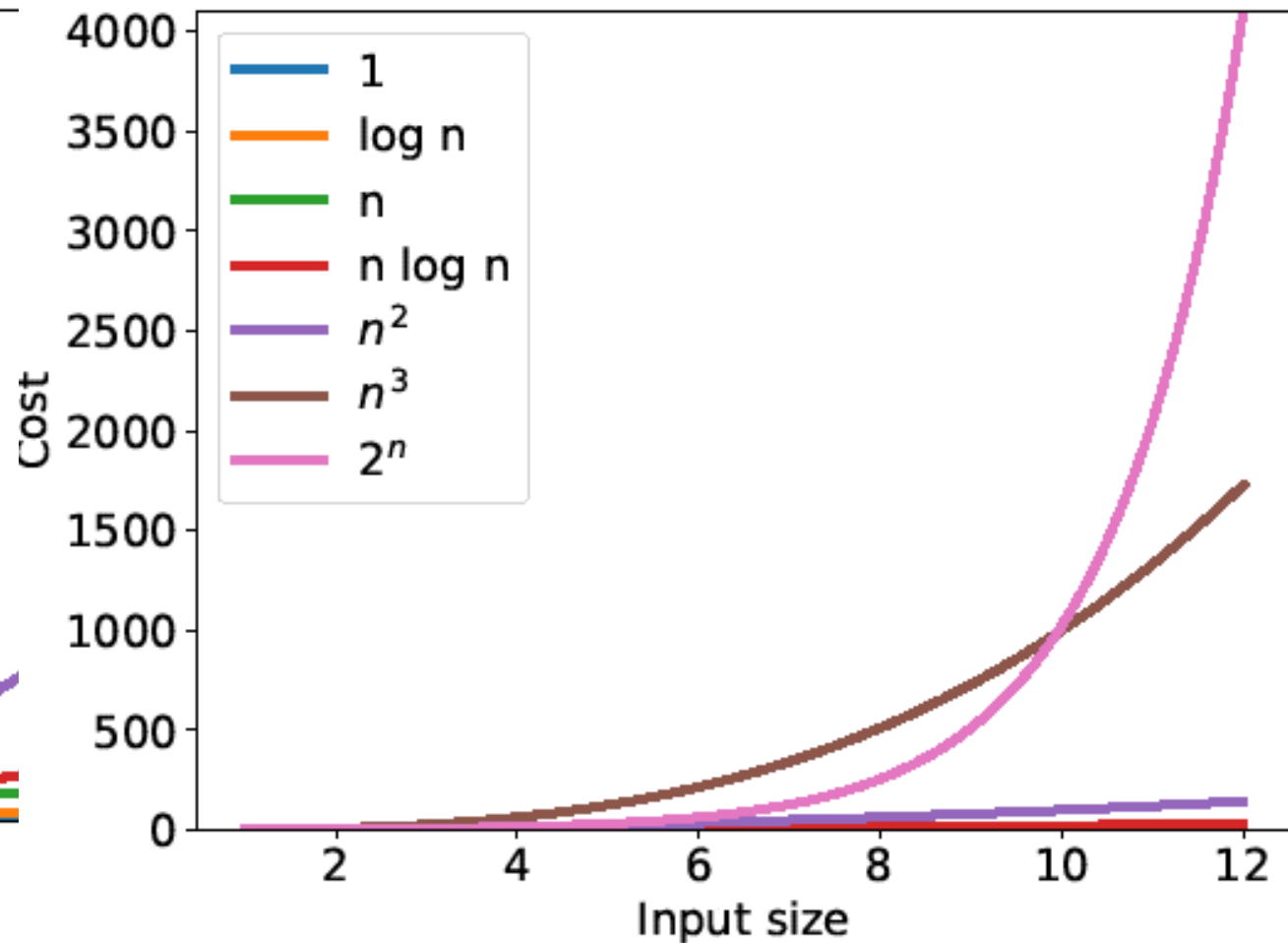
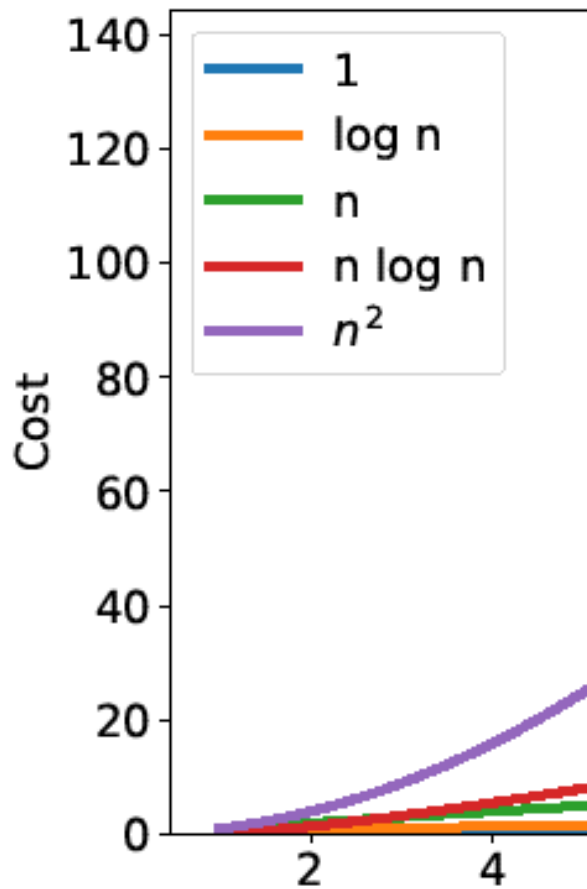
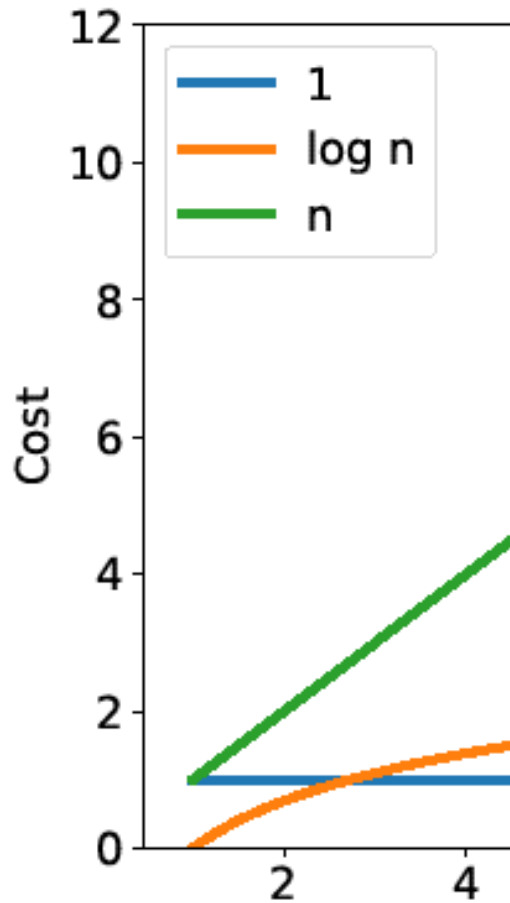
for all $n \geq n_0$

e.g. $O(n^2)$ is a set that includes:

$$\begin{array}{ll} R_1(n) = 1 & R_3(n) = n \\ R_2(n) = \log n & R_4(n) = n^2 \end{array}$$

$$\begin{array}{l} R_1 \in O(n^2) \\ R_2 \in O(n^2) \\ R_3 \in O(n^2) \\ R_4 \in O(n^2) \end{array}$$

Complexity Classes



Complexity Classes

Complexity class	Conventional name
$O(1)$	Constant
$O(\log n)$	Logarithmic
$O(n)$	Linear
$O(n \log n)$	" $n \log n$ "
$O(n^2)$	Quadratic
$O(n^3)$	Cubic
$O(2^n)$	Exponential

$$O(1) \subseteq O(\log n) \subseteq O(n) \subseteq O(n \log n) \subseteq O(n^2) \subseteq O(n^3) \subseteq O(2^n)$$

Complexity Classes

Can determine whether or not a problem can be solved at all!

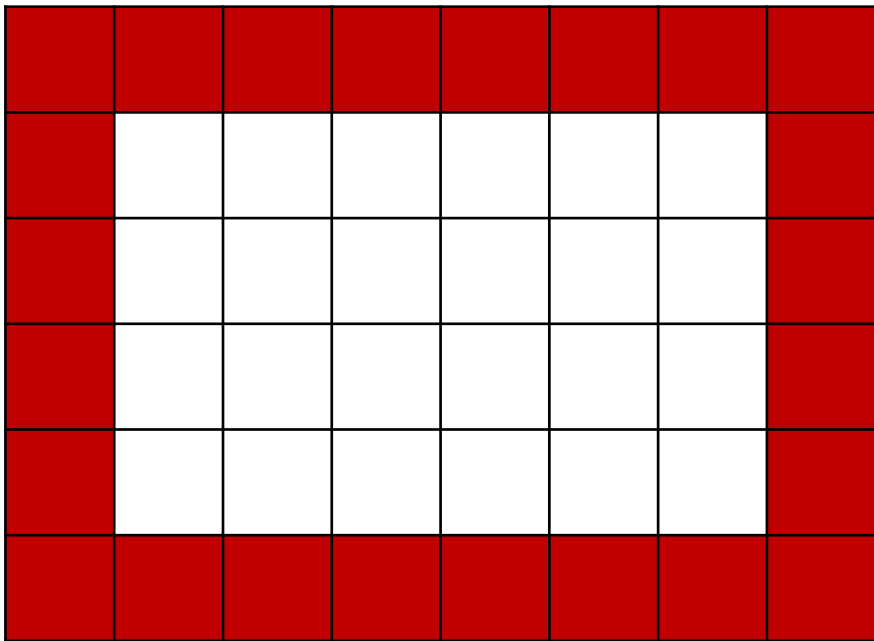
	n	$n \log_2 n$	n^2	n^3	1.5^n	2^n	$n!$
$n = 10$	< 1 sec	< 1 sec	< 1 sec	< 1 sec	< 1 sec	< 1 sec	4 sec
$n = 30$	< 1 sec	< 1 sec	< 1 sec	< 1 sec	< 1 sec	18 min	10^{25} years
$n = 50$	< 1 sec	< 1 sec	< 1 sec	< 1 sec	11 min	36 years	very long
$n = 100$	< 1 sec	< 1 sec	< 1 sec	1 sec	12,892 years	10^{17} years	very long
$n = 1,000$	< 1 sec	< 1 sec	1 sec	18 min	very long	very long	very long
$n = 10,000$	< 1 sec	< 1 sec	2 min	12 days	very long	very long	very long
$n = 100,000$	< 1 sec	2 sec	3 hours	32 years	very long	very long	very long
$n = 1,000,000$	1 sec	20 sec	12 days	31,710 years	very long	very long	very long

Table 2.1 The running times (rounded up) of different algorithms on inputs of increasing size, for a processor performing a million high-level instructions per second. In cases where the running time exceeds 10^{25} years, we simply record the algorithm as taking a very long time.

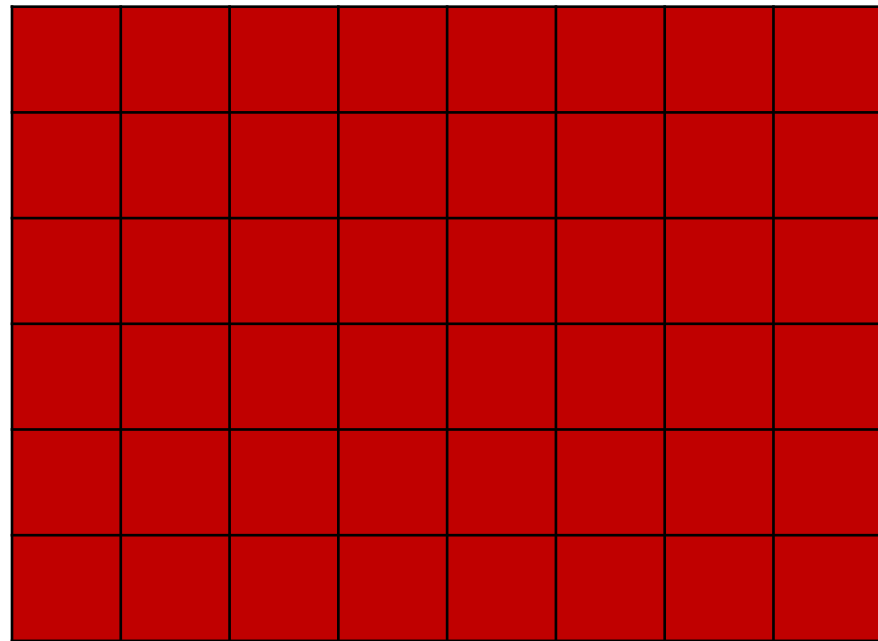
Input

Nothing special about variable n , we could have input variables w and h

$$O(w + h)$$



$$O(wh)$$



Plan

Computational Complexity

- ✓ Counting operations
- ✓ Big-O
- ✓ Complexity classes
 - Proving a Big-O relationship holds
 - Proving a Big-O relationship does *not* hold

Definition of Big O

Definition of $R(n) \in O(f(n))$

Let $R(n)$ be a function, be the running time of some program as a function of the input size n . We assume that:

1. n is an integer ≥ 0
 2. $R(n) \geq 0$ for all n
- $f(n)$ is a function defined on n . We say that “ $R(n)$ is in $O(f(n))$ ” if there exists a constant $c > 0$ and an integer n_0 such that, for all integers $n \geq n_0$, we have $R(n) \leq cf(n)$.

Witnesses

n_0 and c are called witnesses that $R(n)$ is in $O(f(n))$. Finding such witnesses is a form of proof of $R(n)$ being in $O(f(n))$.

Proving a Big-O Relationship Holds

Template to prove $R(n) \in O(f(n))$

1. State the witnesses n_0 and c as specific constants, e.g., $n_0 = 32$ and $c = 5$.
2. By appropriate algebraic manipulation, show that if $n \geq n_0$ then $R(n) \leq cf(n)$.

Proving a Big-O Relationship Holds

Example: Prove $(n + 1)^2 \in O(n^2)$

Suppose $R(0) = 1$, $R(1) = 4$, $R(2) = 9$, and in general $R(n) = (n + 1)^2$.

Proving a Big-O Relationship Holds

Example: Prove $(n + 1)^2 \in O(n^2)$

Suppose $R(0) = 1$, $R(1) = 4$, $R(2) = 9$, and in general $R(n) = (n + 1)^2$.

We can say that $R(n) \in O(n^2)$, by choosing witnesses $n_0 = 1$ and $c = 4$:

- Expand $(n + 1)^2 = n^2 + 2n + 1$
- if $n \geq 1$, we know that $n \leq n^2$ and $1 \leq n^2$
- Thus $n^2 + 2n + 1 \leq n^2 + 2n^2 + n^2 = 4n^2$.

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- Thus $n^2 + 2n + 1 \leq n^2 + 2n^2 + n^2 = 4n^2$.

Choosing witnesses

We could have also picked $n_0 = 3$ and $c = 2$.

However, we *can't* pick $n_0 = 0$ with any c (why?). But that doesn't matter, because we only need to find one pair of witnesses n_0 and c .

Proving a Big-O Relationship Holds

Example: Prove $(n + 1)^2 \in O(n^2)$

But $(n + 1)^2$ is bigger than n^2 !!!

It may seem odd that $(n + 1)^2 \in O(n^2)$ even though $(n + 1)^2 > n^2$.

But being in $O(f(n))$ does not mean “less than” $f(n)$.

In fact, $(n + 1)^2$ is also in big-O of any fraction of n^2 , for example:

$$(n + 1)^2 \in O(n^2/100) \text{ with witnesses } n_0 = 1 \text{ and } c = 400$$

Proving a Big-O Relationship Holds

Quick tips and important points

Constant factors don't matter

For any positive constant d and any function that is $O(f(n))$ is also $O(df(n))$. (Choose $n_0 = 0$ and $c = 1/d$.)

Low-order terms don't matter

Consider a polynomial $R(n) = a_k n^k + a_{k-1} n^{k-1} + \dots + a_2 n^2 + a_1 n + a_0$ where the leading coefficient, a_k , is positive. We can throw away all terms except the term with the highest exponent, k , and we can ignore a_k (a constant), replacing it by 1. $R(n) \in O(n^k)$. (To prove, choose $n_0 = 1$, and $c = \sum_{i \in \{1, \dots, k\} \mid a^i > 0} a^i$.)

Poll 1

Which of the following functions are in $O(n^2)$?

Select all that apply.

1. 1

2. n

3. $n \log(n)$

4. n^2

5. $4n^2$

6. $4n^2 + n \log(n)$

7. $4n^2 + n \log(n) + n$

8. n^3

9. $n^3 + n^2$

Proving a Big-O Relationship Does Not Hold

Template to disprove $R(n) \in O(f(n))$

1. Assume that witnesses n_0 and c exist
2. Derive a contradiction

Proving a Big-O Relationship Does Not Hold

Example: Prove that n^2 is not in $O(n)$

- Assume $n^2 \in O(n)$
- Then there exist n_0 and c such that $n^2 \leq cn$ for all $n \geq n_0$.

Proving a Big-O Relationship Does Not Hold

Example: Prove that n^2 is not in $O(n)$

- Assume $n^2 \in O(n)$
- Then there exist n_0 and c such that $n^2 \leq cn$ for all $n \geq n_0$.
- Let n_a be a value $n_a > \max(n_0, c) + 1$
- Then $(n_a)^2 \leq cn_a$
- Dividing both sides by n_a , we have $n_a \leq c$. **Contradiction!**
- Therefore $n^2 \notin O(n)$

Input

Nothing special about variable n , we could have input variables w and h .
However, be careful to pay attention to which inputs we care about analyzing.

Nearest neighbor example

Exercise

What is the computation complexity of matrix multiplication of two $N \times N$ matrices? Give the tightest complexity in its simplest form.

Exercise

Prove that n^3 is in $O(2^n)$

Prove that $n^2 + 100$ is in $O(n^4)$

Prove that $\frac{1}{4}n^2 + n \log(n) + n$ is in $O(n^2)$