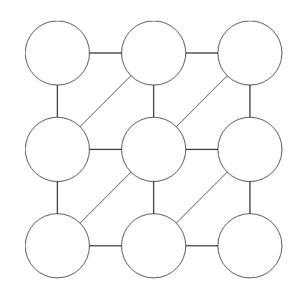
Warm-up as You Walk In

Assign Red, Green, or Blue Neighbors must be different



Sudoku

1			
	2	1	
		3	
			4

- 1) What is your brain doing to solve these?
- 2) How would you solve these with search (BFS, DFS, etc.)?

Plan

Last Time

- Adversarial search
 - Minimax
 - Evaluation functions
 - Pruning

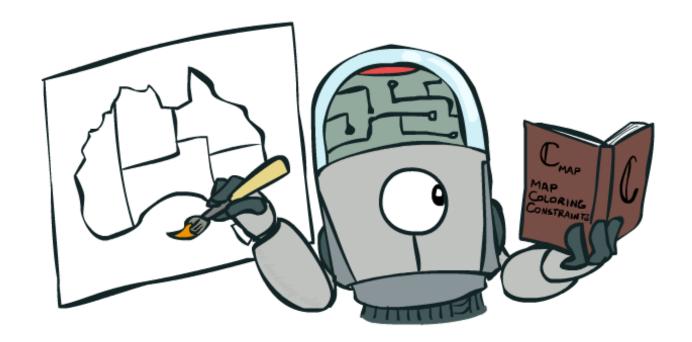
Today

- Adversarial search: Expectimax
- Constraint Satisfaction Problems

Expectimax

Adversarial search slides

Al: Representation and Problem Solving Constraint Satisfaction Problems (CSPs)



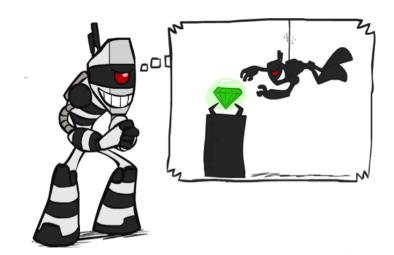
Instructors: Tuomas Sandholm and Vincent Conitzer

Slide credits: CMU AI, http://ai.berkeley.edu

What is Search For?

- Planning: sequences of actions
 - The path to the goal is the important thing
 - Paths have various costs, depths
 - Heuristics give problem-specific guidance
- Identification: assignments to variables
 - The goal itself is important, not the path
 - All paths the same depth (for some formulations)

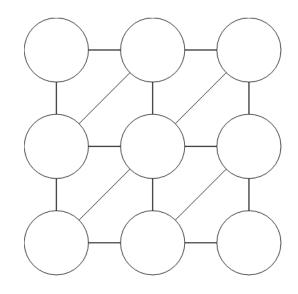
Are the warm-up assignments planning or identification problems?





Warm-up as You Walk In

Assign Red, Green, or Blue Neighbors must be different



Sudoku

1			
	2	1	
		3	
			4

Constraint Satisfaction Problems

CSP is a special class of search problems

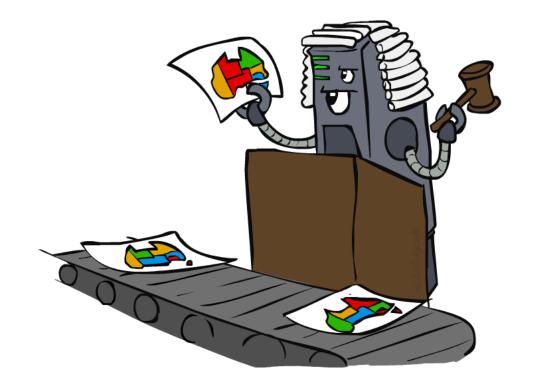
- Mostly identification problems
- Have specialized algorithms for them

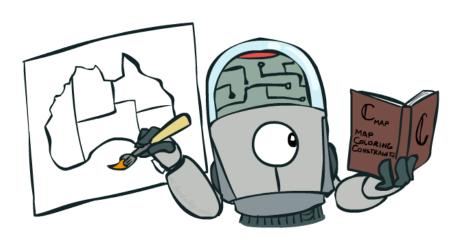
Standard search problems:

- State is an arbitrary data structure
- Goal test can be any function over states

Constraint satisfaction problems (CSPs):

- State is defined by variables X_i with values from a domain D (sometimes D depends on i)
- Goal test is a set of constraints specifying allowable combinations of values for subsets of variables





Why study CSPs?

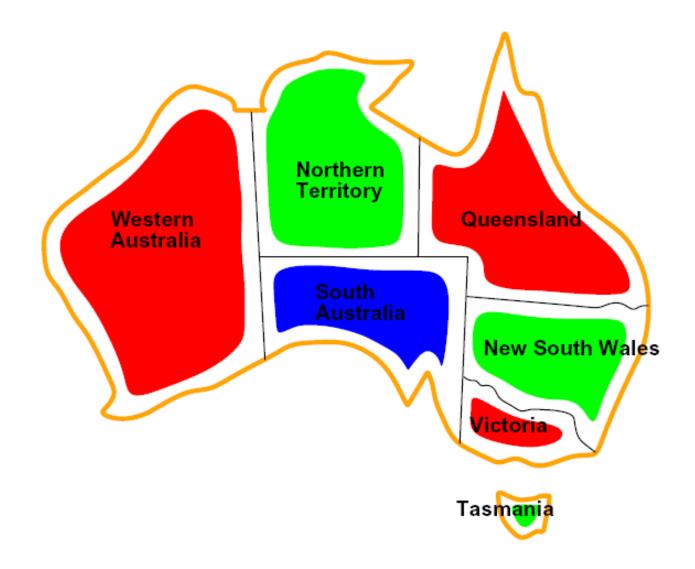
Many real-world problems can be formulated as CSPs

- Assignment problems: e.g., who teaches what class
- Timetabling problems: e.g., which class is offered when and where?
- Hardware configuration
- Transportation scheduling
- Factory scheduling
- Circuit layout
- Fault diagnosis
- ... lots more!

Sometimes involve real-valued variables...



CSP Examples



Example: Map Coloring

- Variables: WA, NT, Q, NSW, V, SA, T
- Domains: $D = \{red, green, blue\}$
- Constraints: adjacent regions must have different colors

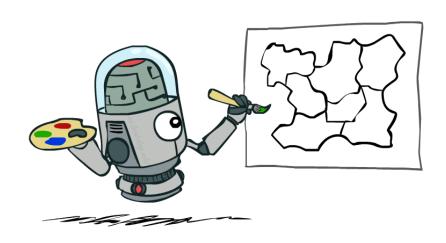
Implicit: $WA \neq NT$

Explicit: $(WA, NT) \in \{(red, green), (red, blue), \ldots\}$

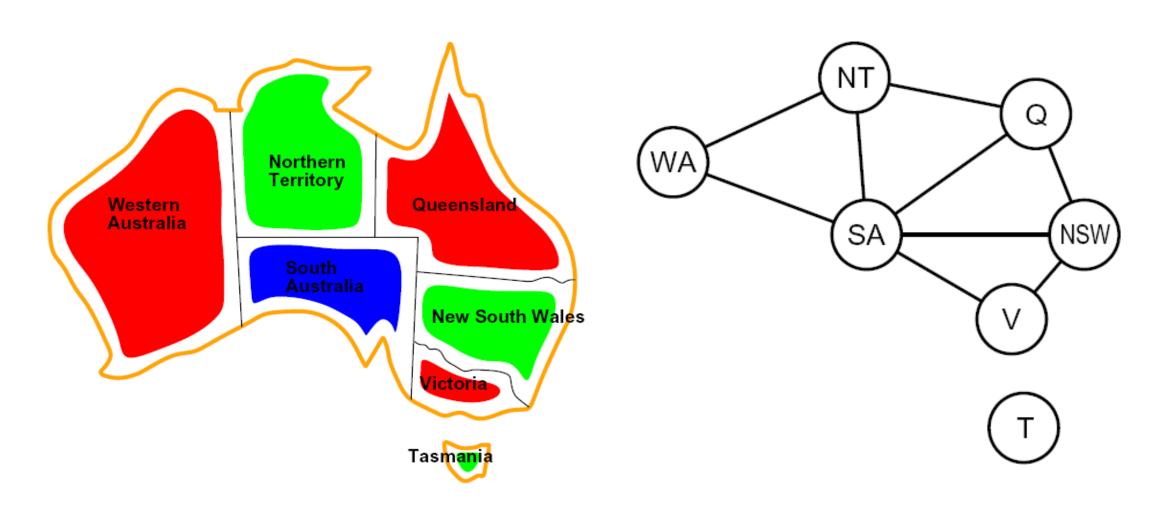
• Solutions are assignments satisfying all constraints, e.g.:

{WA=red, NT=green, Q=red, NSW=green, V=red, SA=blue, T=green}





Constraint Graphs

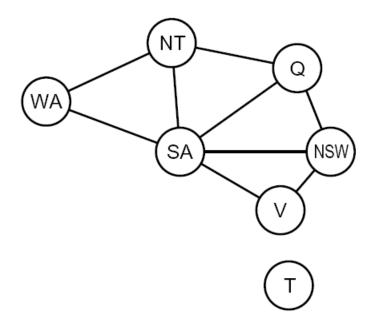


Constraint Graphs

 Binary CSP: each constraint relates (at most) two variables

 Binary constraint graph: nodes are variables, arcs show constraints

General-purpose CSP algorithms use the graph structure to speed up search. E.g., Tasmania is an independent subproblem!



Varieties of CSPs and Constraints



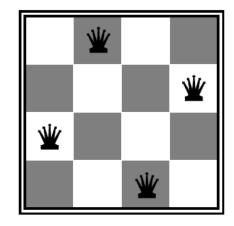
Example: N-Queens

• Formulation 1:

• Variables: X_{ij}

• Domains: $\{0, 1\}$

Constraints





$$\forall i, j, k \ (X_{ij}, X_{ik}) \in \{(0,0), (0,1), (1,0)\}$$

$$\forall i, j, k \ (X_{ij}, X_{kj}) \in \{(0,0), (0,1), (1,0)\}$$

$$\forall i, j, k \ (X_{ij}, X_{i+k,j+k}) \in \{(0,0), (0,1), (1,0)\}$$

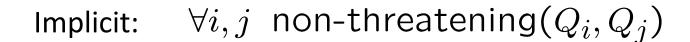
$$\forall i, j, k \ (X_{ij}, X_{i+k,j-k}) \in \{(0,0), (0,1), (1,0)\}$$

$$i,j$$

Example: N-Queens

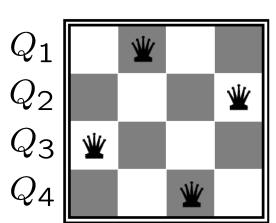
- Formulation 2:
 - Variables: Q_k
 - Domains: $\{1, 2, 3, ... N\}$



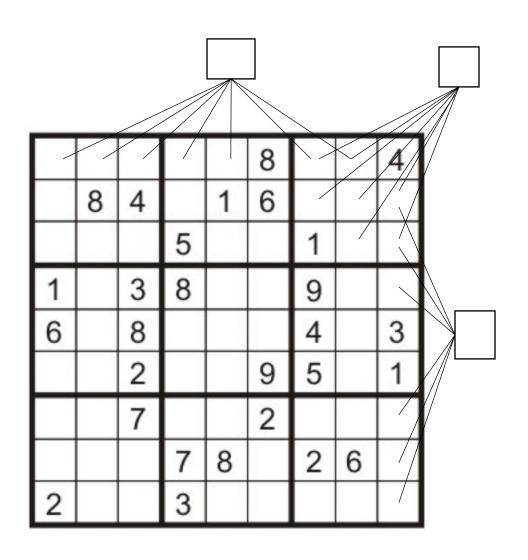


Explicit:
$$(Q_1, Q_2) \in \{(1, 3), (1, 4), \ldots\}$$

• • •



Example: Sudoku



Variables: Each (open) square

• Domains: {1,2,...,9}

• Constraints:

9-way alldiff for each column
9-way alldiff for each row
9-way alldiff for each region
(or can have a bunch
of pairwise inequality
constraints)

Varieties of CSPs

Discrete Variables

We will cover today

- Finite domains
 - Size d means $O(d^n)$ complete assignments
 - E.g., Boolean CSPs, including Boolean satisfiability (NP-complete)

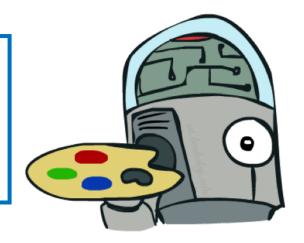


• E.g., job scheduling, variables are start/end times for each job

• Linear constraints solvable, nonlinear undecidable

We will cover in a later lecture (linear programming)

- Continuous variables
 - E.g., start/end times for Hubble Telescope observations
 - Linear constraints solvable in polynomial time



Varieties of Constraints

- Varieties of Constraints
 - Unary constraints involve a single variable (equivalent to reducing domains), e.g.:

 $SA \neq green$

Focus of today

- Binary constraints involve pairs of variables, e.g.: $SA \neq WA$
- Higher-order constraints involve 3 or more variables:



- Preferences (soft constraints):
 - E.g., red is better than green
 - Often representable by a cost for each variable assignment
 - Gives constrained optimization problems

Solving CSPs



Standard Search Formulation

Standard search formulation of CSPs

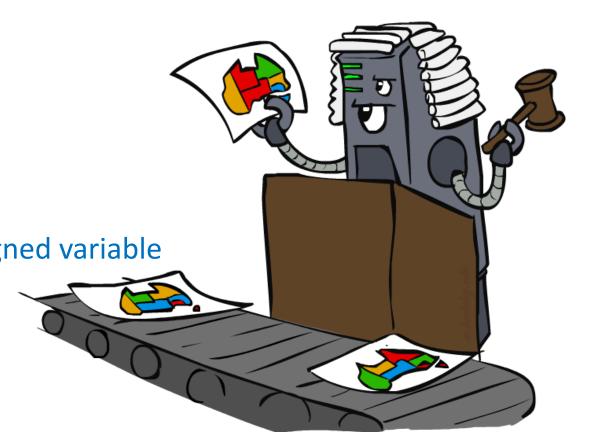
 States defined by the values assigned so far (partial assignments)

Initial state: the empty assignment, {}

 Successor function: assign a value to an unassigned variable →Can be any unassigned variable

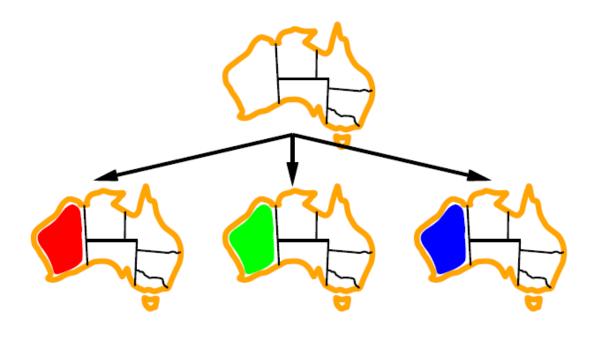
 Goal test: the current assignment is complete and satisfies all constraints

 We'll start with the straightforward, naïve approach, then improve it



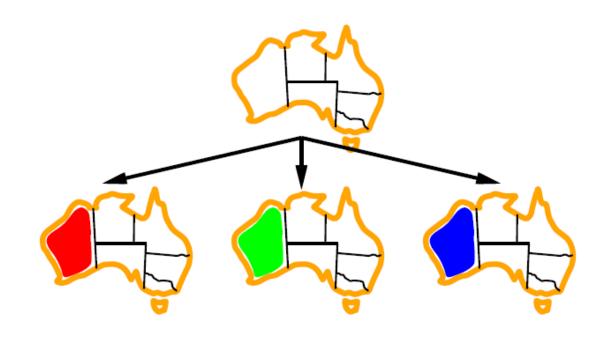
Poll 1: Search for CSPs

Should we use BFS or DFS?

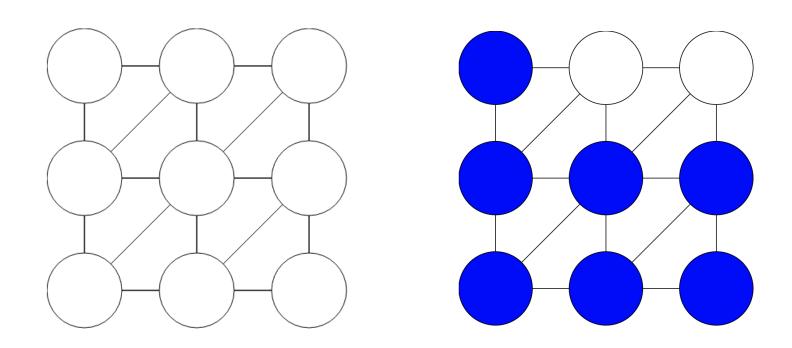


Depth First Search

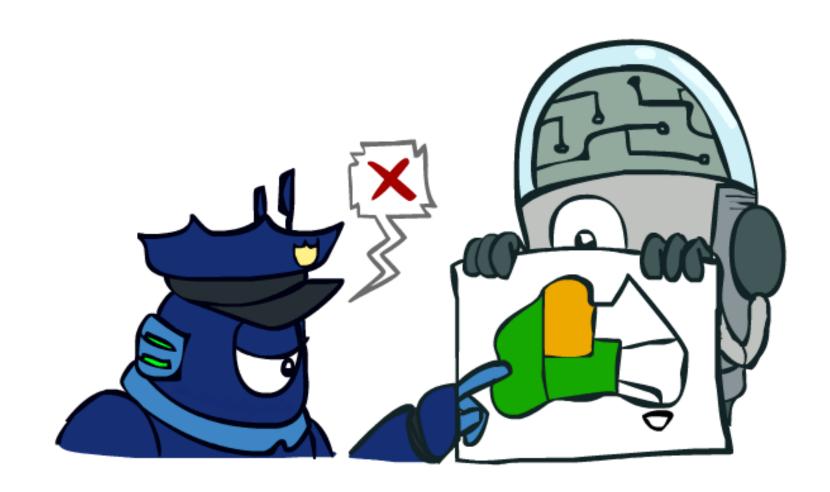
- At each node, assign a value from the domain to the variable
- Check feasibility (constraints) when the assignment is complete



Demo – Naïve Search (link to demo on course website)



Backtracking Search



Backtracking Search

Backtracking search is the basic uninformed algorithm for solving CSPs

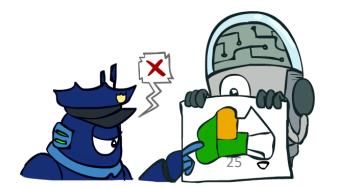
Backtracking search = DFS + two improvements

Idea 1: One variable at a time

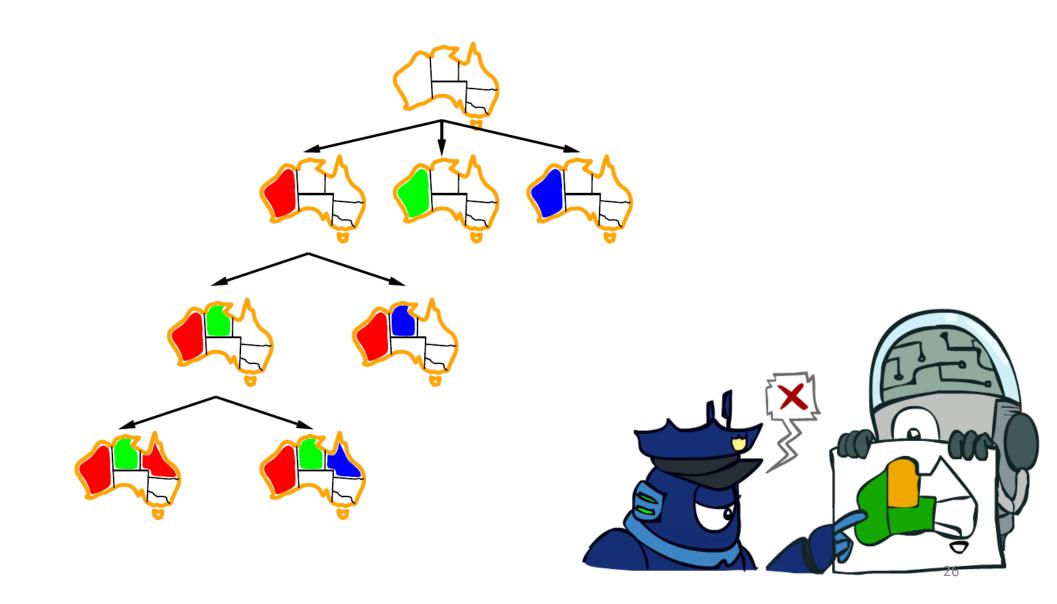
- Variable assignments are commutative
 - [WA = red then NT = green] same as [NT = green then WA = red]
- Only need to consider assign value to a single variable at each step

Idea 2: Check constraints as you go

- Consider only values which do not conflict previous assignments
- May need some computation to check the constraints
- "Incremental goal test"



Backtracking Example

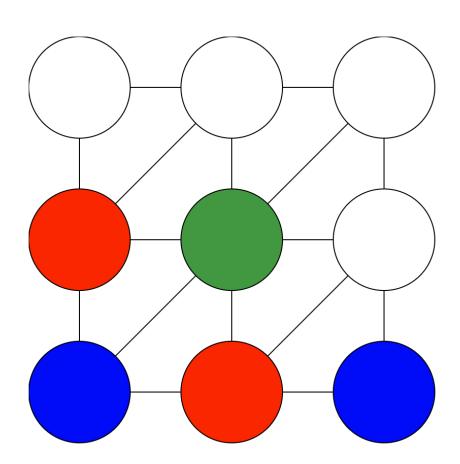


Backtracking Search

```
function Backtracking-Search(csp) returns solution/failure
  return Recursive-Backtracking({ }, csp)
function Recursive-Backtracking(assignment, csp) returns soln/failure
  if assignment is complete then return assignment
  var \leftarrow \text{Select-Unassigned-Variable}(\text{Variables}[csp], assignment, csp)
   for each value in Order-Domain-Values (var, assignment, csp) do
       if value is consistent with assignment given Constraints[csp] then
           add \{var = value\} to assignment
           result \leftarrow \text{Recursive-Backtracking}(assignment, csp)
           if result \neq failure then return result
           remove \{var = value\} from assignment
  return failure
```

Demo – Backtracking

https://www.cs.cmu.edu/~15281/demos/csp_backtracking



Improving Backtracking

- General-purpose ideas give huge gains in speed
- Filtering: Can we detect inevitable failure early?

- Ordering:
 - Which variable should be assigned next?
 - In what order should its values be tried?
- Structure: Can we exploit the problem structure?



Filtering

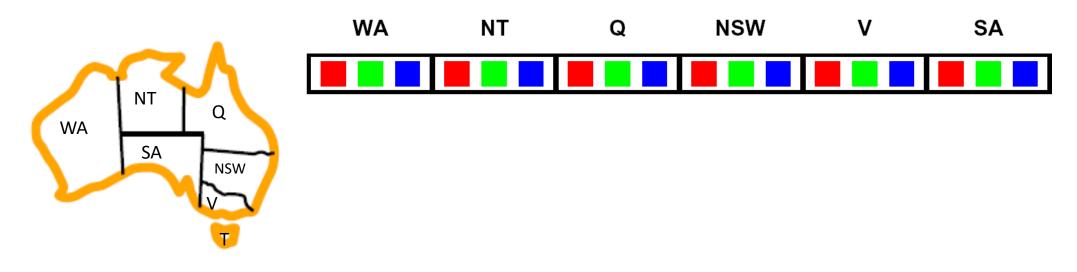


Filtering: Keep track of domains for unassigned variables and cross off bad options

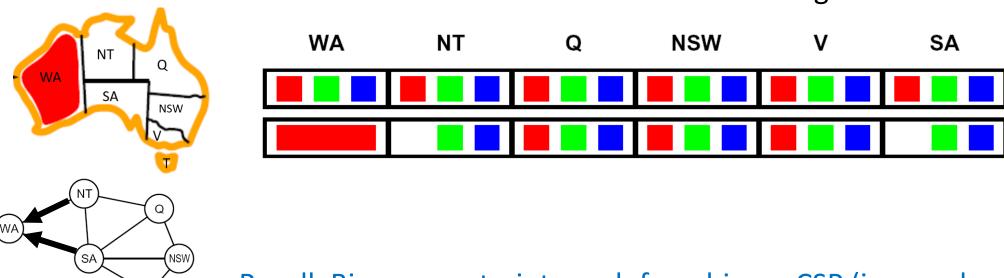
Forward checking: A simple way for filtering

- After a variable is assigned a value, check related constraints and cross off values of unassigned variables which violate the constraints
- Failure detected if some variables have no values remaining

- Filtering: Keep track of domains for unassigned variables and cross off bad options
- Forward checking: A simple way for filtering
 - After a variable is assigned a value, check related constraints and cross off values of unassigned variables which violate the constraints
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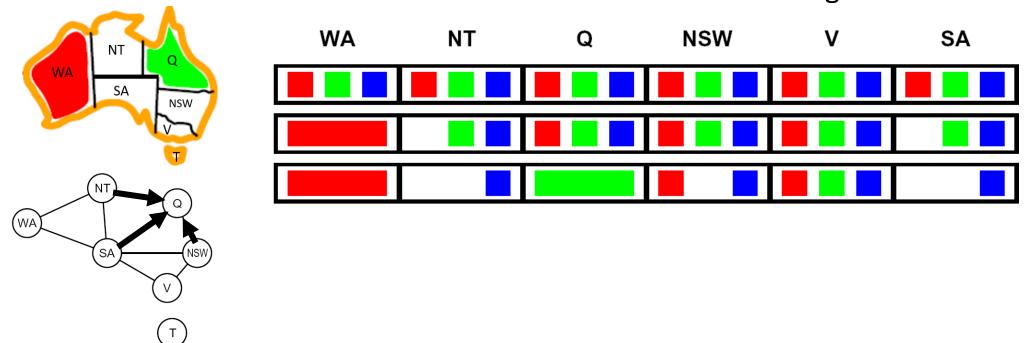


- Filtering: Keep track of domains for unassigned variables and cross off bad options
- Forward checking: A simple way for filtering
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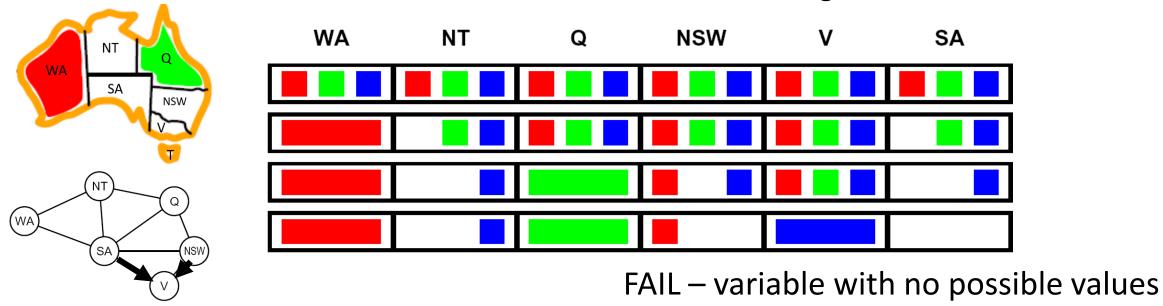


Recall: Binary constraint graph for a binary CSP (i.e., each constraint has most two variables): nodes are variables, edges show constraints 33

- Filtering: Keep track of domains for unassigned variables and cross off bad options
- Forward checking: A simple way for filtering
 - After a variable is assigned a value, check related constraints and cross off values of unassigned variables which violate the constraints
 - Failure detected if some variables have no values remaining

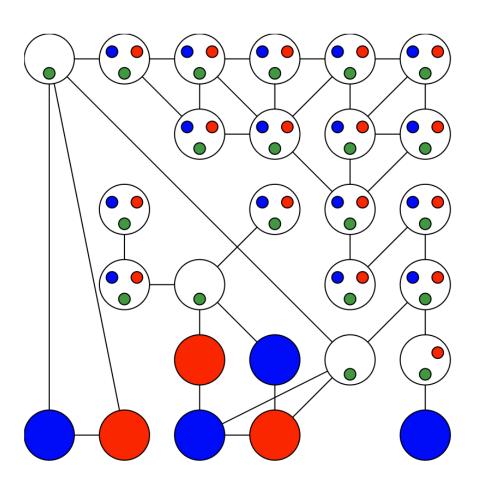


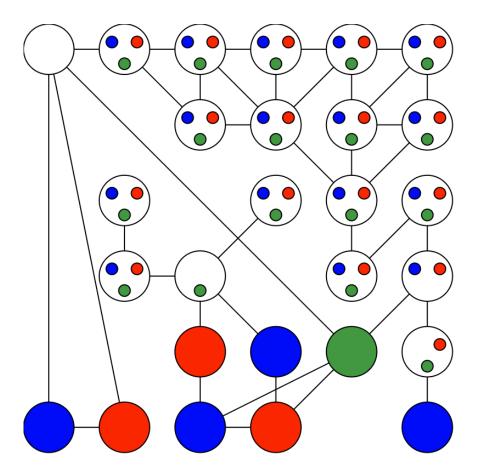
- Filtering: Keep track of domains for unassigned variables and cross off bad options
- Forward checking: A simple way for filtering
 - After a variable is assigned a value, check related constraints and cross off values of unassigned variables which violate the constraints
 - Failure detected if some variables have no values remaining



Demo – Backtracking with Forward Checking

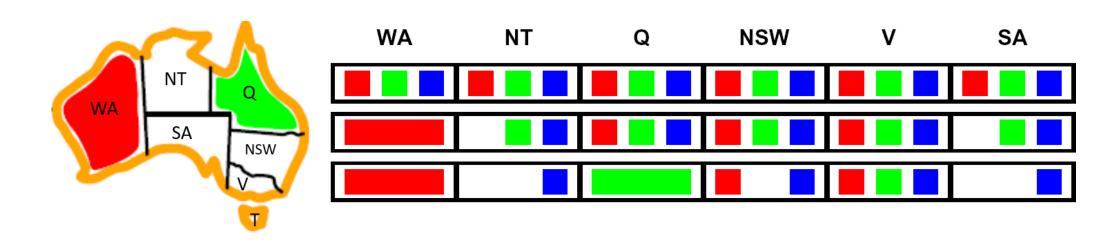
https://www.cs.cmu.edu/~15281/demos/csp_backtracking





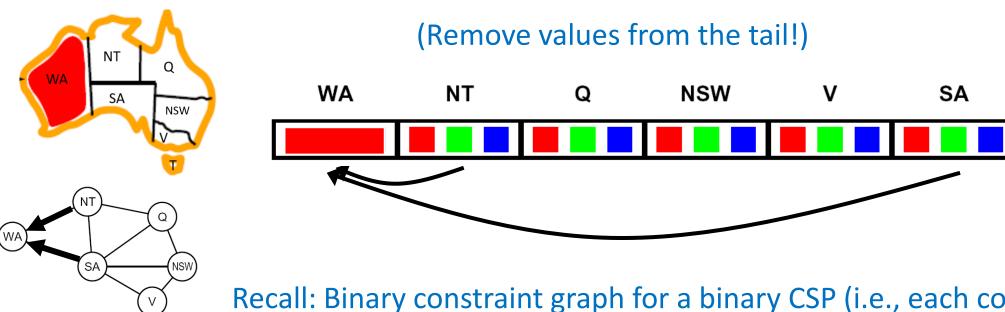
Filtering: Constraint Propagation

- Limitations of simple forward checking: propagates information from assigned to unassigned variables, but doesn't provide early detection for all failures
 - NT and SA cannot both be blue! Why didn't we detect this yet?
- Constraint propagation: reason from constraint to constraint



Consistency of A Single Arc

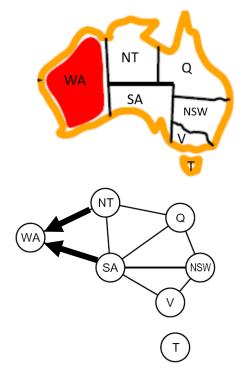
- An arc X → Y is consistent iff for every x in the tail there is some y in the head which could be assigned without violating a constraint
- Enforce arc consistency: Remove values in domain of X if no corresponding legal Y exists
- Forward checking: Only enforce $X \to Y$, $\forall (X,Y) \in E$ and Y newly assigned

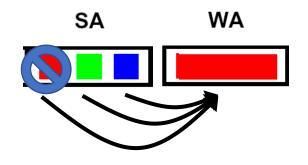


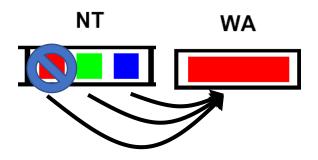
Recall: Binary constraint graph for a binary CSP (i.e., each constraint has most two variables): nodes are variables, edges show constraints 38

Consistency of A Single Arc

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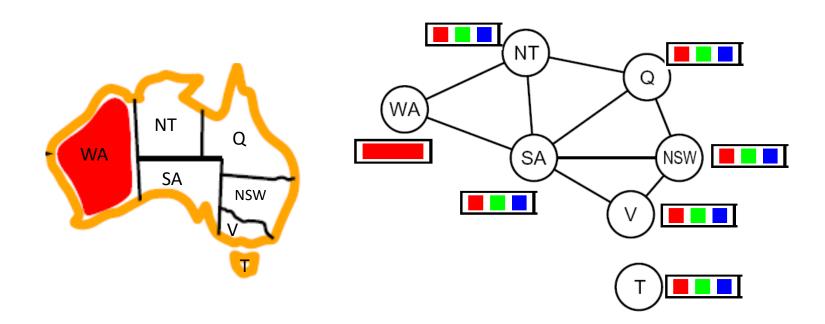






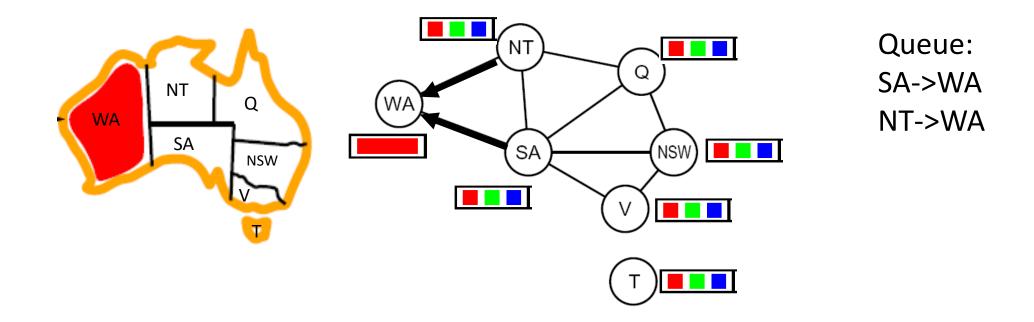
How to Enforce Arc Consistency of Entire CSP

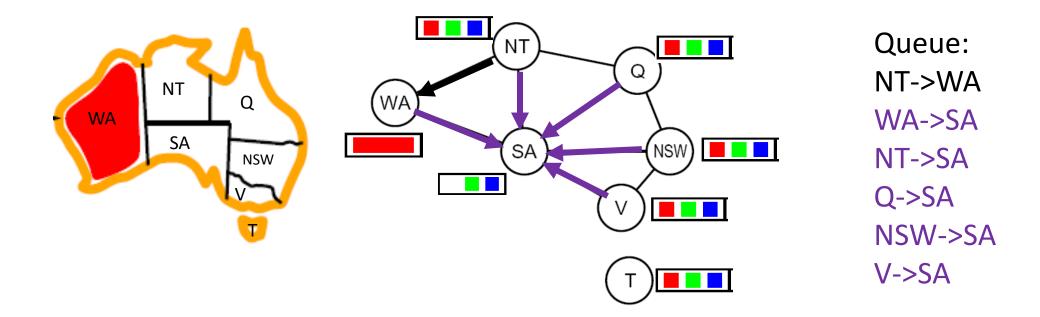
- A simplistic algorithm: Cycle over the pairs of variables, enforcing arc-consistency, repeating the cycle until no domains change for a whole cycle
- AC-3 (short for <u>Arc Consistency Algorithm #3</u>): A more efficient algorithm ignoring constraints that have not been modified since they were last analyzed

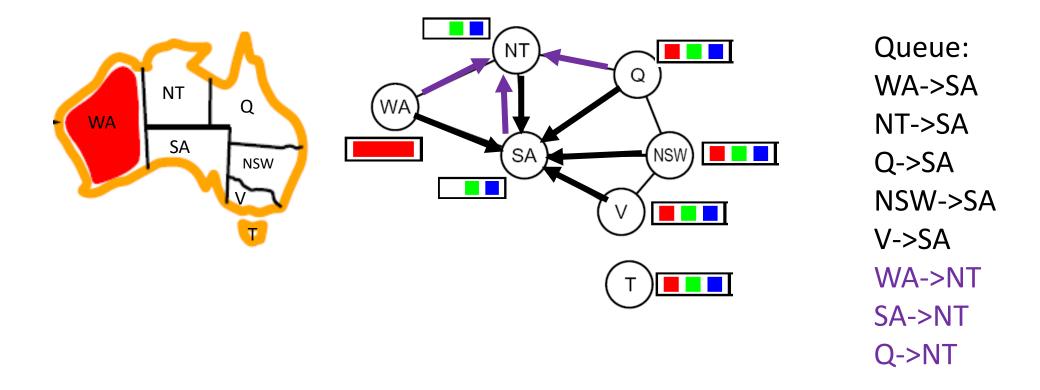


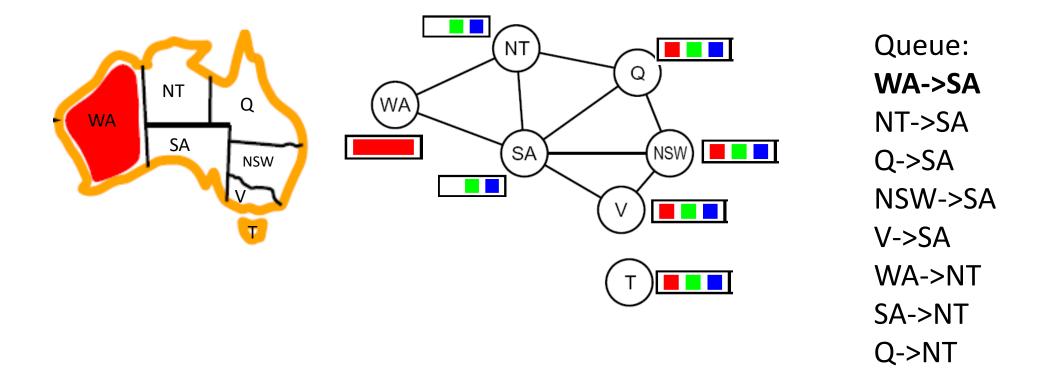
```
function AC-3(csp) returns the CSP, possibly with reduced domains
   inputs: csp, a binary CSP with variables \{X_1, X_2, \ldots, X_n\}
   local variables: queue, a queue of arcs, initially all the arcs in csp
   while queue is not empty do
      (X_i, X_i) \leftarrow \text{Remove-First}(queue)
     if Remove-Inconsistent-Values(X_i, X_i) then
         for each X_k in Neighbors [X_i] do
            add (X_k, X_i) to queue
function Remove-Inconsistent-Values (X_i, X_i) returns true iff succeeds
   removed \leftarrow false
   for each x in Domain[X_i] do
      if no value y in DOMAIN[X<sub>i</sub>] allows (x,y) to satisfy the constraint X_i \leftrightarrow X_i
         then delete x from Domain[X_i]; removed \leftarrow true
   return removed
```

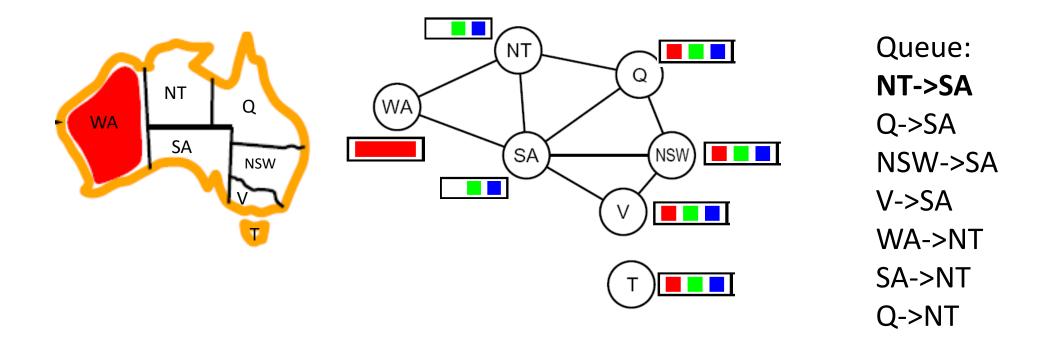
Constraint Propagation!

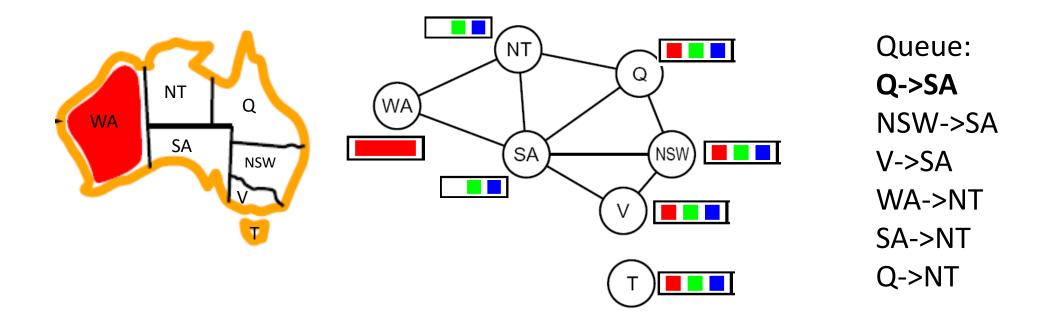


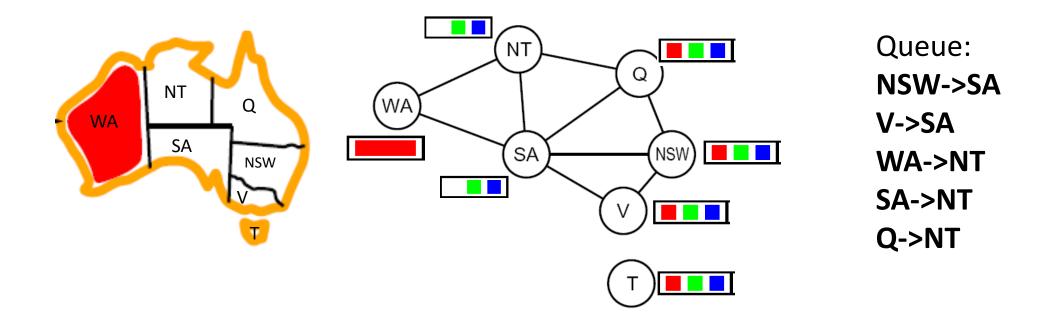


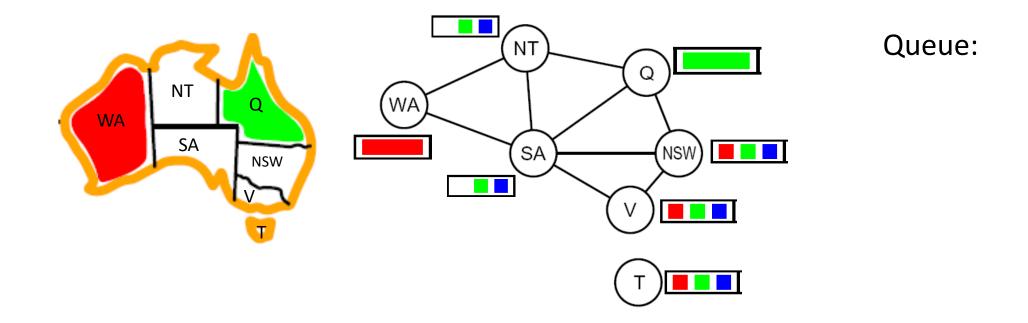




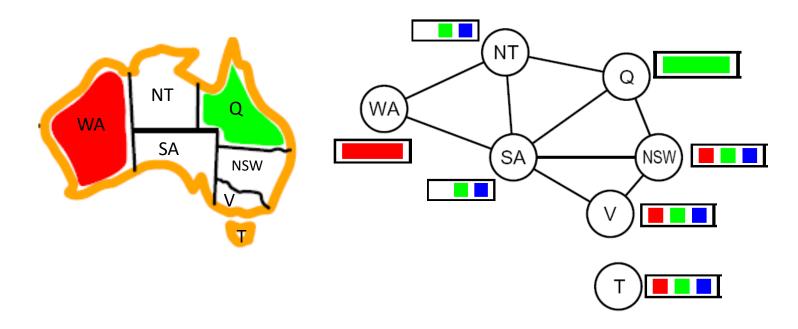








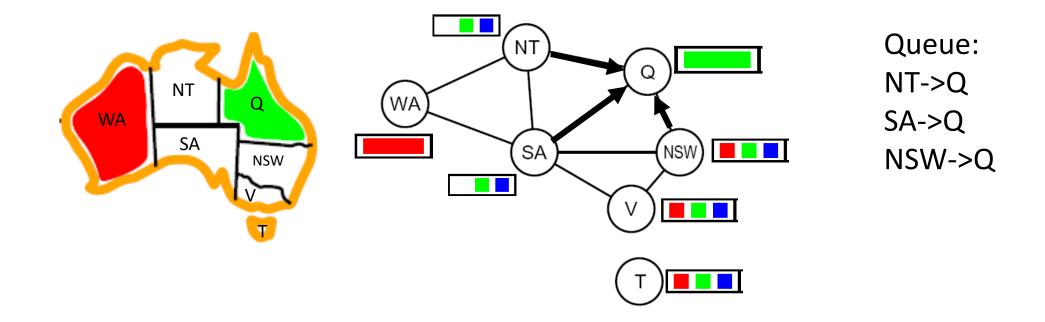
Poll 2: After assigning Q to Green, what gets added to the Queue?

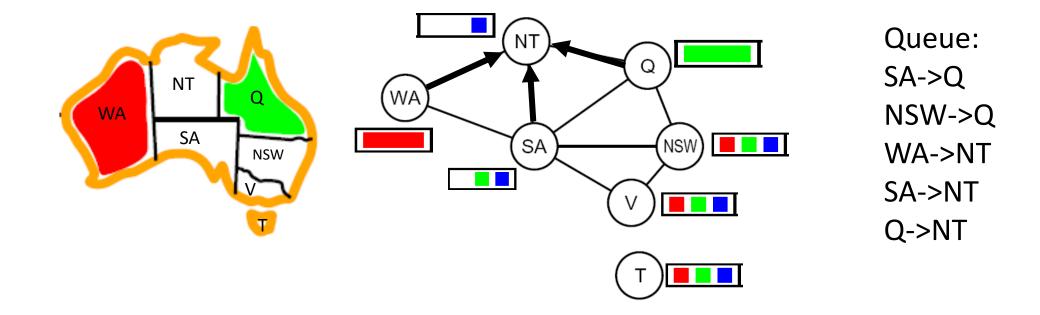


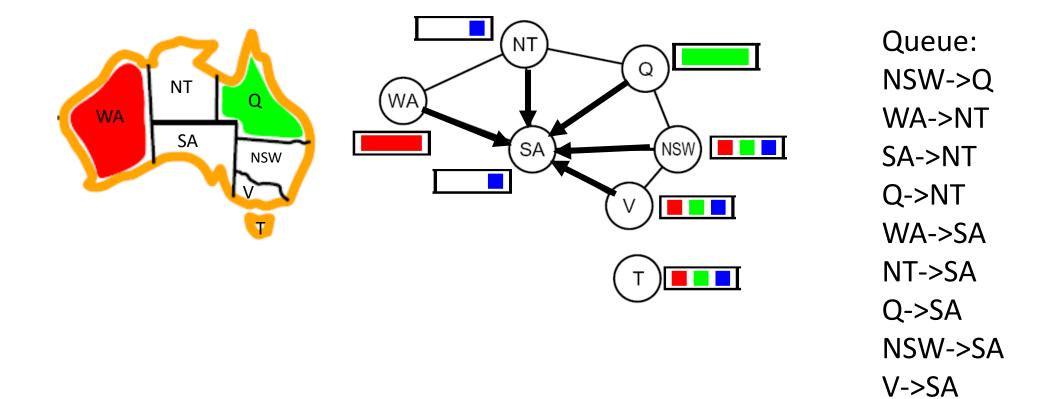
Queue:

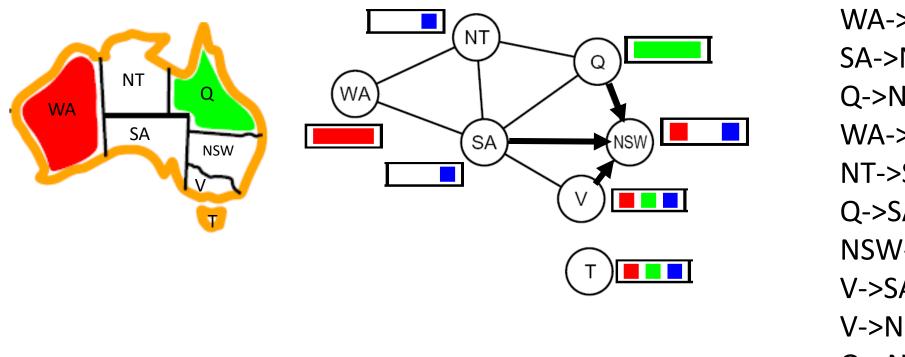
A: NSW->Q, SA->Q, NT->Q

B: Q->NSW, Q->SA, Q->NT









Queue:

WA->NT

SA->NT

Q->NT

WA->SA

NT->SA

Q->SA

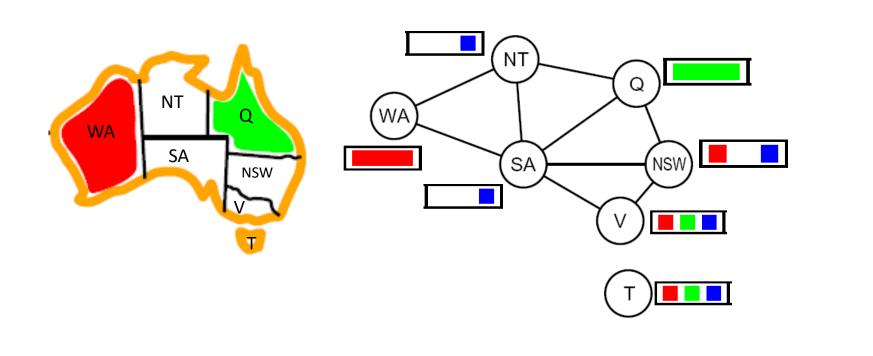
NSW->SA

V->SA

V->NSW

Q->NSW

SA->NSW



Queue:

WA->NT

SA->NT

Q->NT

WA->SA

NT->SA

Q->SA

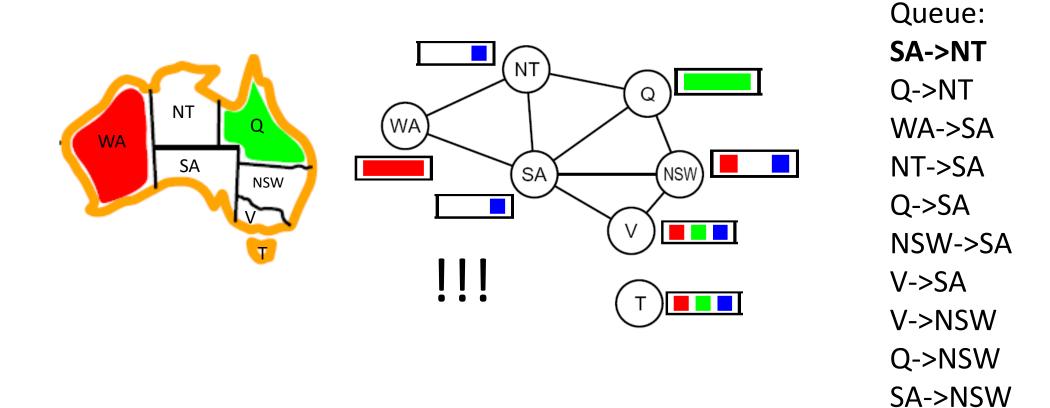
NSW->SA

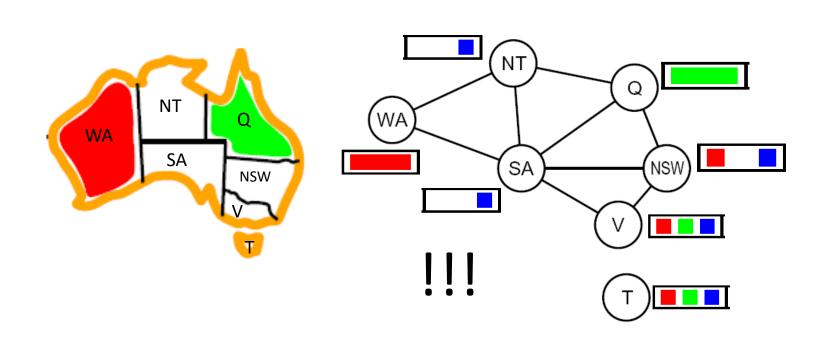
V->SA

V->NSW

Q->NSW

SA->NSW





- Backtrack on the assignment of Q
- Arc consistency detects failure earlier than forward checking
- Can be run as a preprocessor or after each assignment
- What's the downside of enforcing arc consistency?

Queue:

SA->NT

Q->NT

WA->SA

NT->SA

Q->SA

NSW->SA

V->SA

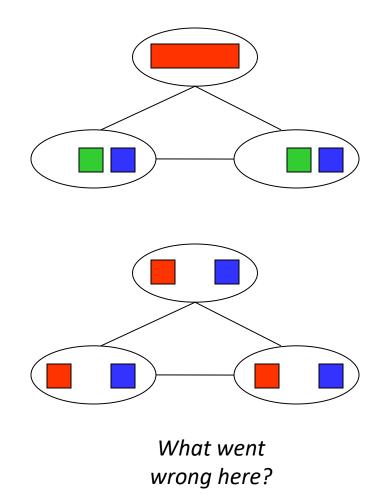
V->NSW

Q->NSW

SA->NSW

Limitations of Arc Consistency

- After enforcing arc consistency:
 - Can have one solution left
 - Can have multiple solutions left
 - Can have no solutions left (and not know it)
- Arc consistency only checks local consistency conditions
- Arc consistency still runs inside a backtracking search!



Backtracking Search with AC-3

```
function Backtracking-Search(csp) returns solution/failure
  return Recursive-Backtracking({ }, csp)
function Recursive-Backtracking(assignment, csp) returns soln/failure
  if assignment is complete then return assignment
  var \leftarrow \text{Select-Unassigned-Variable}(\text{Variables}[csp], assignment, csp)
   for each value in Order-Domain-Values (var, assignment, csp) do
       if value is consistent with assignment given Constraints[csp] then
            add \{var = value\} to assignment
                                                              AC-3(csp)
            result \leftarrow \text{Recursive-Backtracking}(assignment, \underbrace{esp})
            if result \neq failure then return result
           remove \{var = value\} from assignment
  return failure
```

Where do you run AC-3?

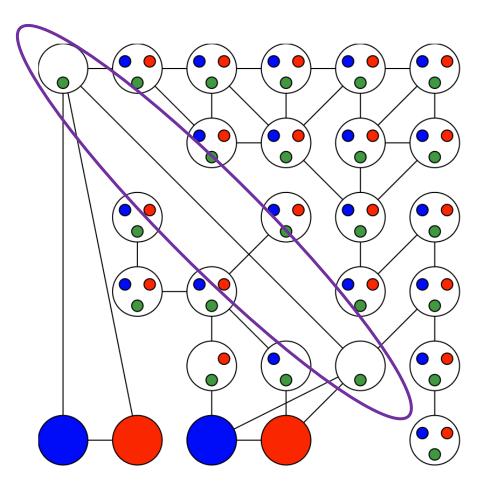
Demo – Backtracking with AC-3

Compare

- Backtracking with Forward Checking
- Backtracking with AC-3

Forward checking only check arcs connecting variables a variable that we just assigned.

With AC-3, we can find existing problems, such as the arc between these two variables with only green left.



```
function AC-3(csp) returns the CSP, possibly with reduced domains
   inputs: csp, a binary CSP with variables \{X_1, X_2, \ldots, X_n\}
   local variables: queue, a queue of arcs, initially all the arcs in csp
   while queue is not empty do
      (X_i, X_i) \leftarrow \text{REMOVE-FIRST}(queue)
      if Remove-Inconsistent-Values(X_i, X_i) then
         for each X_k in Neighbors [X_i] do
             add (X_k, X_i) to queue
function Remove-Inconsistent-Values (X_i, X_i) returns true iff succeeds
   removed \leftarrow false
   for each x in DOMAIN[X_i] do
      if no value y in DOMAIN[X<sub>i</sub>] allows (x,y) to satisfy the constraint X_i \leftrightarrow X_i
         then delete x from DOMAIN[X<sub>i</sub>]; removed \leftarrow true
   return removed
```

```
function AC-3(csp) returns the CSP, possibly with reduced domains
   inputs: csp, a binary CSP with variables \{X_1, X_2, \ldots, X_n\}
   local variables: queue, a queue of arcs, initially all the arcs in csp
   while queue is not empty do
      (X_i, X_i) \leftarrow \text{Remove-First}(queue)
      if Remove-Inconsistent-Values(X_i, X_j) then
         for each X_k in Neighbors [X_i] do
            add (X_k, X_i) to queue
function Remove-Inconsistent-Values (X_i, X_i) returns true iff succeeds
   removed \leftarrow false
   for each x in Domain[X_i] do
      if no value y in DOMAIN[X<sub>i</sub>] allows (x,y) to satisfy the constraint X_i \leftrightarrow X_i
         then delete x from DOMAIN[X_i]; removed \leftarrow true
   return removed
```

- An arc is added after a removal of value at a node
- n node in total, each has $\leq d$ values
- Total times of removal: O(nd)

```
function AC-3( csp) returns the CSP, possibly with reduced domains inputs: csp, a binary CSP with variables \{X_1, X_2, \ldots, X_n\} local variables: queue, a queue of arcs, initially all the arcs in csp while queue is not empty do
(X_i, X_j) \leftarrow \text{Remove-First}(queue)
if Remove-Inconsistent-Values(X_i, X_j) then
for each X_k in Neighbors[X_i] do
add (X_k, X_i) to queue
```

```
function Remove-Inconsistent-Values (X_i, X_j) returns true iff succeeds removed \leftarrow false
for each x in Domain[X_i] do
   if no value y in Domain[X_j] allows (x,y) to satisfy the constraint X_i \leftrightarrow X_j
   then delete x from Domain[X_i]; removed \leftarrow true
return removed
```

- An arc is added after a removal of value at a node
- n nodes in total, each has ≤ d values
- Total times of removal: O(nd)
- After a removal, $\leq n$ arcs added
- Total times of adding arcs: $O(n^2d)$

```
function AC-3( csp) returns the CSP, possibly with reduced domains
   inputs: csp, a binary CSP with variables \{X_1, X_2, \ldots, X_n\}
   local variables: queue, a queue of arcs, initially all the arcs in csp
   while queue is not empty do
      (X_i, X_i) \leftarrow \text{REMOVE-FIRST}(queue)
      if Remove-Inconsistent-Values(X_i, X_i) then
         for each X_k in Neighbors [X_i] do
            add (X_k, X_i) to queue
function Remove-Inconsistent-Values (X_i, X_i) returns true iff succeeds
   removed \leftarrow false
  for each x in DOMAIN[X_i] do
      if no value y in DOMAIN[X<sub>i</sub>] allows (x,y) to satisfy the constraint X_i \leftrightarrow X_j
         then delete x from DOMAIN[X_i]; removed \leftarrow true
   return removed
```

- An arc is added after a removal of value at a node
- n node in total, each has $\leq d$ values
- Total times of removal: O(nd)
- After a removal, $\leq n$ arcs added
- Total times of adding arcs: $O(n^2d)$

• Check arc consistency per arc: $O(d^2)$

Complexity of a single run of AC-3 is at most $O(n^2d^3)$

(Not required) Zhang&Yap (2001) show that its complexity is $O(n^2d^2)$

Ordering

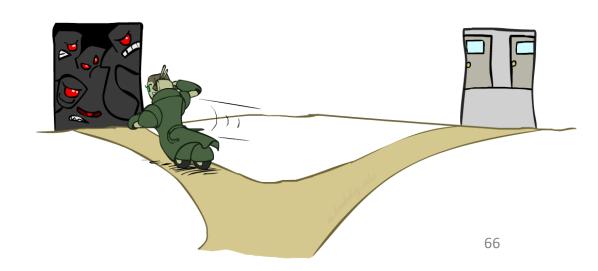


Ordering: Minimum Remaining Values

- Variable Ordering: Minimum remaining values (MRV):
 - Choose the variable with the fewest legal left values in its domain



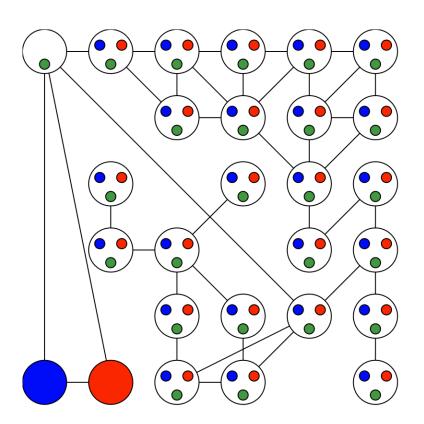
- Why min rather than max?
- Also called "most constrained variable"
- "Fail-fast" ordering



Demo – Coloring with a Complex Graph

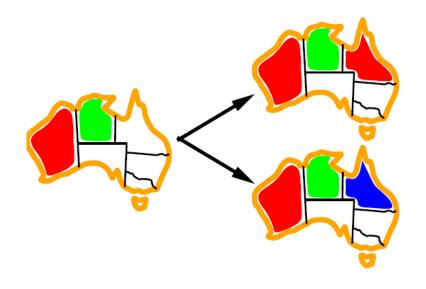
Compare

- Backtracking with Forward Checking
- Backtracking with AC-3
- Backtracking + Forward Checking + Minimum Remaining Values (MRV)



Ordering: Least Constraining Value

- Value Ordering: Least Constraining Value
 - Given a choice of variable, choose the *least* constraining value
 - i.e., the one that rules out the fewest values in the remaining variables
 - Note that it may take some computation to determine this! (E.g., rerunning filtering)



Ordering: Least Constraining Value

- Value Ordering: Least Constraining Value
 - Given a choice of variable, choose the *least* constraining value
 - i.e., the one that rules out the fewest values in the remaining variables
 - Note that it may take some computation to determine this! (E.g., rerunning filtering)
- Why least rather than most?
- Combining these ordering ideas makes 1000 queens feasible



Demo – Coloring with a Complex Graph

Compare

- Backtracking with Forward Checking
- Backtracking with AC-3
- Backtracking + Forward Checking + Minimum Remaining Values (MRV)
- Backtracking + AC-3 + MRV + LCV

Summary: CSPs

- CSPs are a special kind of search problem:
 - States are partial assignments
 - Goal test defined by constraints
- Basic solution: backtracking search
- Speed-ups:
 - Ordering
 - Filtering
 - (Structure)

