# Assignment 5 (I can't get no) Satisfaction

15-414: Bug Catching: Automated Program Verification

Due Thursday, March 24, 2022 80 pts

This assignment is due on the above date and it must be submitted electronically on Gradescope. Please carefully read the policies on collaboration and credit on the course web pages at <http://www.cs.cmu.edu/~15414/s22/assignments.html>.

### **What To Hand In**

You should hand in the following files on Gradescope:

- Submit the file asst 5. zip to Assignment 5 (Code). You can generate this file by running make handin. This will include your solutions baby-sat.mlw, and the proof sessions in baby-sat/.
- Submit a PDF containing your answers to the written questions to Assignment 5 (Written). You may use the file asst5.tex as a template and submit asst5.pdf.

### **Make sure your session directories and your PDF solution files are up to date before you create the handin file.**

### **Using LaTeX**

We prefer the answer to your written questions to be typeset in LaTeX, but as long as you hand in a readable PDF with your solutions it is not a requirement. We package the assignment source asst5.tex with handout to get you started on this.

# **1 Propagations and Conflicts (25 pts)**

The pigeonhole problem asks us to find a one-to-one mapping between  $n$  pigeons and  $m$  holes. Obviously, this isn't possible when  $n > m$ . Consider an encoding of this problem as SAT for n pigeons and  $n-1$  holes, where the propositional variable  $p_{ij}$  asserts that pigeon i is placed in hole j. There are two conditions that a correct assignment should satisfy.

- *Pigeon clauses*: Each pigeon  $1 \le i \le n$  is placed in a hole.
- *Hole clauses*: Each hole  $1 \leq j < n$  contains at most one pigeon.

*Task* 1 (5 pts). Write down a CNF for the pigeonhole problem for  $n = 3$ .

*Task* 2 (10 pts). Using your pigeonhole CNF for  $n = 3$ , use the resolution rule to prove that the formula is unsatisfiable. You should state your answers as shown below for the example formula  $(p \vee \neg q) \wedge \neg p \wedge q$ :



*Task* 3 (10 pts)*.* Using your pigeonhole CNF for n = 3, apply the DPLL algorithm with clause learning to it. You should write down the steps of your evaluation in the following form, as illustrated in the example from Section 7.1 of Lecture 14.

- (1) Decide p
- (2) Unit propagate q from clause  $C_2$
- (3) Decide  $\neg r$
- (4) Unit propagate s from clause  $C_1$
- (5) Conflicted clause  $C_1$
- (6) Backtrack to  $r$
- (7) Learn conflict clause  $\neg p \lor r$
- $(8)$  ...

## **2 Encodings (20 pts)**

*Task* 4 (10 pts). For Boolean variables,  $x_1, x_2, x_3$ , and  $x_4$ , write a CNF which is satisfied if and only if at least two of the variables are set to *true*.

*Task* 5 (10 pts)*.* Consider a 2-coloring problem for integers between 1 and 5 such that for every integer solution  $a + b = c$  with  $1 \le a < b < c \le n$  holds that a, b, and c do not have the same color. Note that the possible sums with numbers 1 to 5 under these conditions are:

- $1 + 2 = 3$
- $1 + 3 = 4$
- $1 + 4 = 5$
- $2 + 3 = 5$

Write a propositional encoding for this problem. How you choose to encode colors and integers (i.e., unary or binary) is your choice, but be sure to explain how each propositional variable should be interpreted, as well as the rationale for the clauses in your formula.

### **3 Baby SAT steps (35 pts)**

In this assignment, we will explore simple operations that can be performed over formulas in the conjunctive normal form before we build our first verified SAT solver.

Consider the following types that define a variable (var), literal (lit: which is define as a positive or negative variable), clause, cnf formula, and valuation. Assume that the variables range from 0 to  $nvars - 1$  and that the cnf formulas have 0 or more variables.

```
1 type var = int
2 type lit = { var : var ; sign : bool }
3 type clause = list lit
4 type cnf = { clauses : array clause ; nvars : int }
5 type valuation = array bool
```
An example of how a CNF is represented using this type is provided Figure [1.](#page-2-0) Besides, a valuation (also called *interpretation* in some lecture notes) is represented as an array of booleans whose  $i^{th}$  component is the value of  $x_i$ .

```
\{ nvars = 4;
  clauses = [
    [ {var=3; sign=false} ];
    [ {var=0; sign=true}; {var=2; sign=false}; {var=3; sign=true} ];
    [ {var=1; sign=false}; {var=2; sign=true} ] ] }
```
<span id="page-2-0"></span>Figure 1: Representation of the formula  $\neg x_3 \wedge (x_0 \vee \neg x_2 \vee x_3) \wedge (\neg x_1 \vee x_2)$ .

*Task* 6 (10 pts). Specify and implement a function eval\_clause that takes a valuation  $\rho$  and a clause c as its arguments and returns true if c is true for valuation  $\rho$  and false otherwise.

*Task* 7 (10 pts)*.* Specify and implement a function eval\_cnf that takes a valuation ρ and a formula cnf in conjunctive normal form as its arguments and returns true if cnf is true for valuation  $\rho$ and false otherwise.

#### **Pure Literals**

Any variable that only appears in either positive or negative literals is called *pure*, and their corresponding variables can always be assigned in a way that satisfies the literal. Thus, they do not constrain the problem in a meaningful way, and can be assigned without making a choice. This is called *pure literal elimination* and is one type of simplification that can be applied to CNF formulas. Consider the following CNF formula:

$$
\underbrace{(x_1 \vee x_2)}_{C_0} \wedge \underbrace{(\neg x_1 \vee x_2)}_{C_1} \wedge \underbrace{(x_1 \vee \neg x_2 \vee x_3)}_{C_2} \wedge \underbrace{(\neg x_1 \vee x_2 \vee x_3)}_{C_3}
$$

Notice that  $x_3$  appears only as a positive literal in this formula. Hence, we can assign  $x_3$  to *true* and satisfy the literal. This procedure will simplify the above formula into:

$$
(x_1 \vee x_2) \wedge (\neg x_1 \vee x_2) \wedge (x_1 \vee \neg x_2 \vee x_3) \wedge (\neg x_1 \vee x_1 \vee x_3)
$$
  
\n
$$
\leftrightarrow (x_1 \vee x_2) \wedge (\neg x_1 \vee x_2) \wedge (x_1 \vee \neg x_2 \vee \top) \wedge (\neg x_1 \vee x_1 \vee \top)
$$
  
\n
$$
\leftrightarrow (x_1 \vee x_2) \wedge (\neg x_1 \vee x_2)
$$

Note that if a formula is satisfiable and if a literal  $l$  is pure, then it is always possible to have an interpretation that satisfies the literal, i.e., assigns l to *true* if l is positive or to *false* if l is negative.

*Task* 8 (15 pts)*.* Specify and implement a function pure\_literal that takes a formula cnf in conjunctive normal form and a literal l as its arguments and returns true if l is a pure literal and false otherwise.