

15451 Spring 2023

Range query data structures

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Range queries have many applications

- Databases:

Select avg(**price**) from **Trades** where **time**
∈ [2023/1/1, 2023/2/1]

Range queries have many applications

- Databases:
Select avg(**price**) from **Trades** where **time** $\in [2023/1/1, 2023/2/1]$
- Computational geometry
- Geographic information systems
- Computer-aided design
- **Connection to parallel algorithms**
- Cryptography (Elaine's favorite topic)

Today: 1-D range query

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Suppose we have an array $a[0], a[1] \dots, a[n-1]$

Design a data structure that supports:

RangeSum(i, j): Return $\sum_{i \leq k < j} a[k]$

Query time:

$O(1)$

Space: $O(n)$ ✓

Idea 1:

Store the array a

Compute the sum $\sum_{i \leq k < j} a[k]$ on the fly

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$O(n)$ space, $O(n)$ time per query

Idea 2:

Precompute all prefix sums $b_k = \sum_{0 \leq i < k} a[i]$

On query (i, j), return $b_j - b_i$

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$O(n)$ pre-processing,
 $O(n)$ space, $O(1)$ query time

Suppose we also want to support update

Suppose we have an array $a[0], a[1] \dots, a[n-1]$

Design a data structure that supports:

RangeSum(i, j): Return $\sum_{i \leq k < j} a[k]$

Update(i, x): update $a[i]$ to x

What's the cost of **Update** in Idea 2?

Precompute all prefix sums $b_k = \sum_{0 \leq i < k} a[i]$

On query (i, j), return $b_j - b_i$

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Idea 1: $O(1)$ Update, $O(n)$ RangeSum

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Compute the sum $\sum_{i \leq k < j} a[k]$ on the fly

Good for infrequent queries and frequent updates

Idea 2:

Precompute all prefix sums $b_k = \sum_{0 \leq i < k} a[i]$

On query (i, j), return $b_j - b_i$

Idea 2: $O(n)$ update, $O(1)$ RangeSum

Precompute all prefix sums $b_k = \sum_{0 \leq i < k} a[i]$

On query (i, j) , return $b_j - b_i$

Good for frequent queries and infrequent updates

Can we balance the **Update** and **RangeSum** costs?

e.g., suppose queries and updates are both frequent

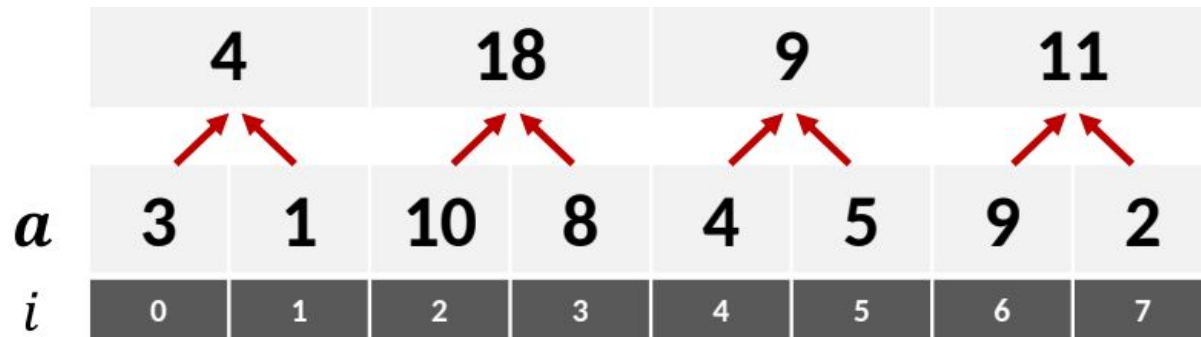


Inspired by parallel algorithms

<i>a</i>	3	1	10	8	4	5	9	2
<i>i</i>	0	1	2	3	4	5	6	7

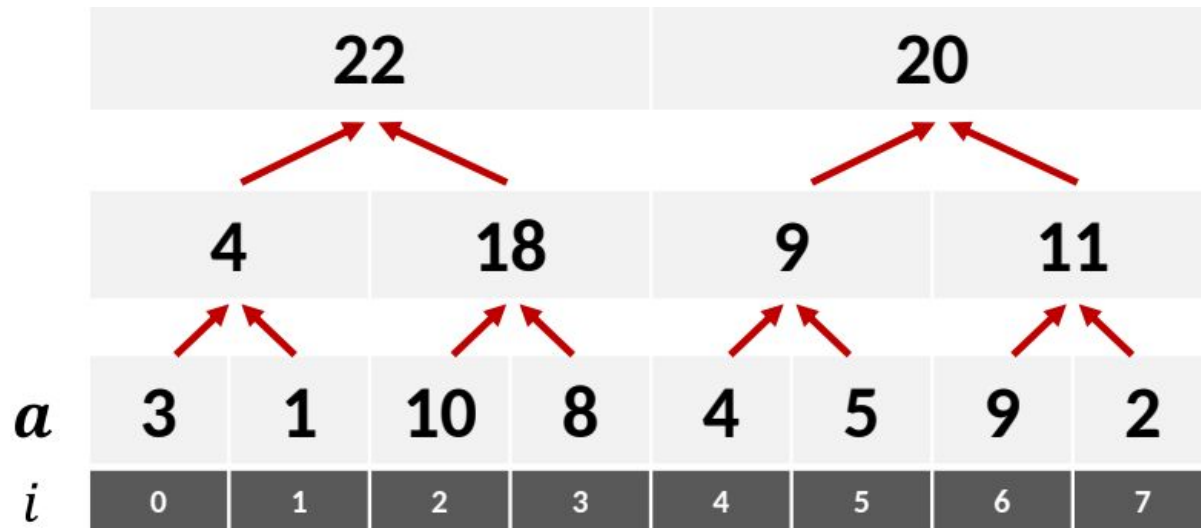


Inspired by parallel algorithms



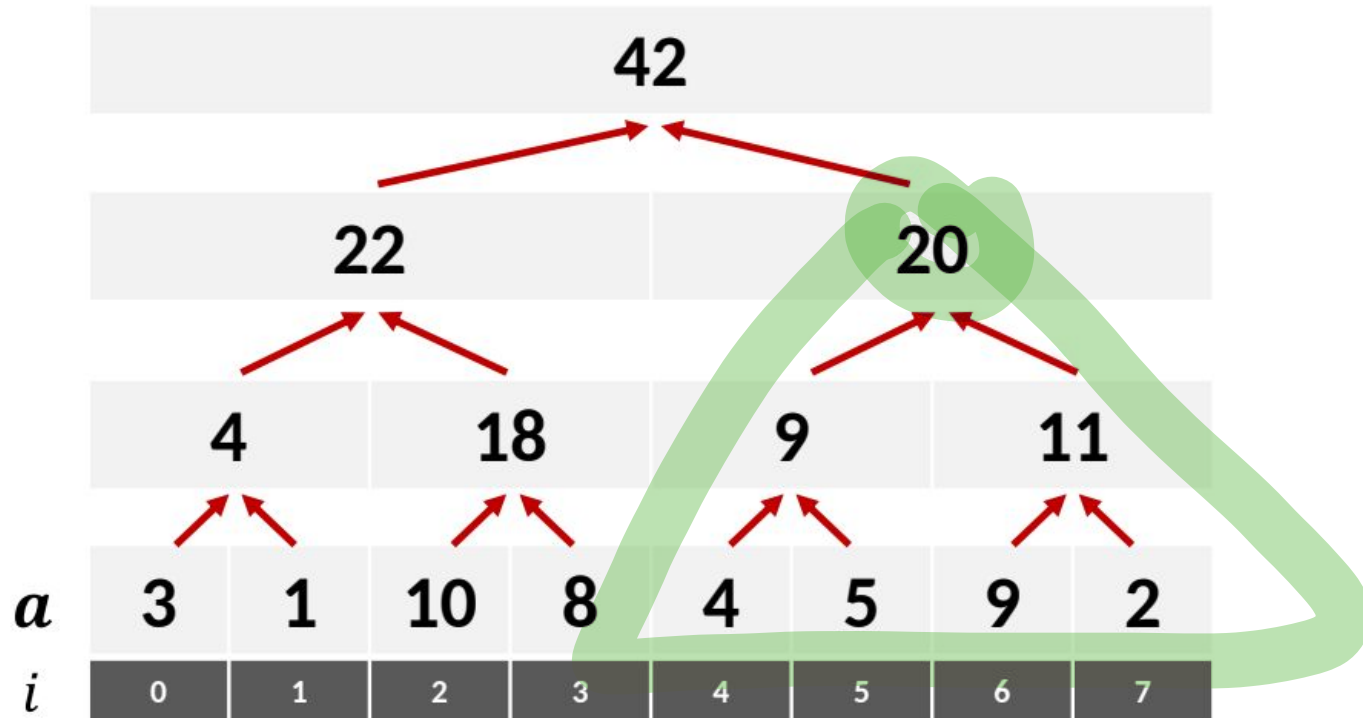


Inspired by parallel algorithms



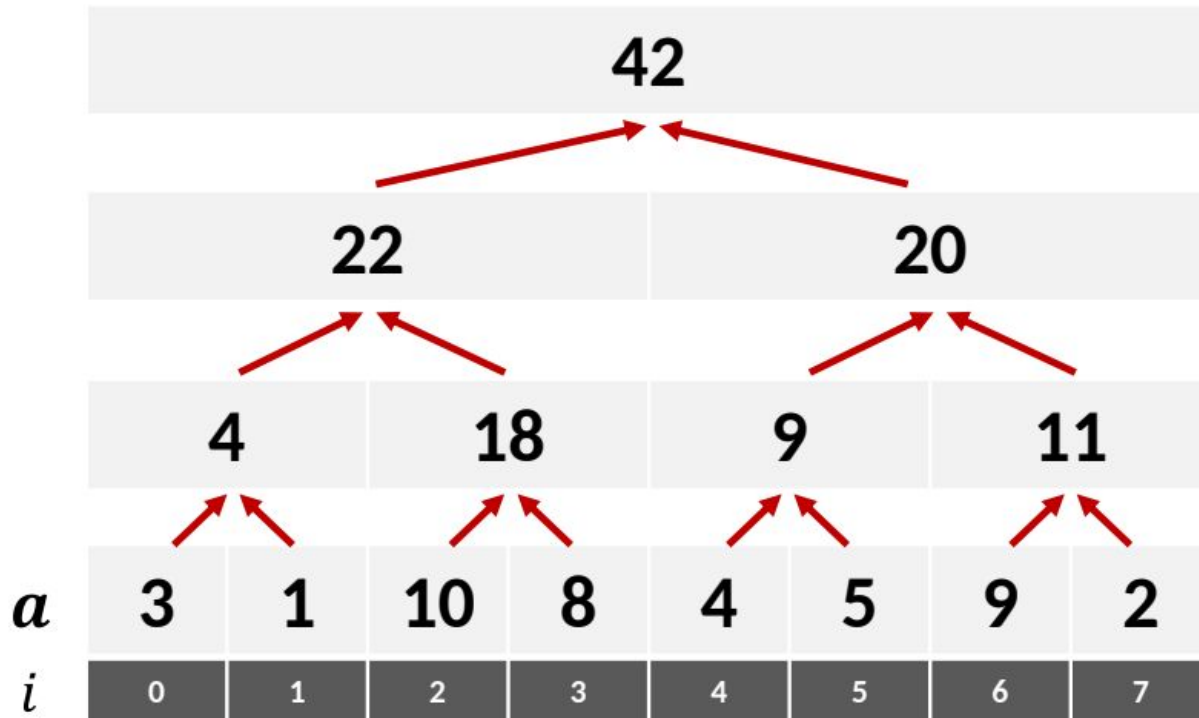


Inspired by parallel algorithms

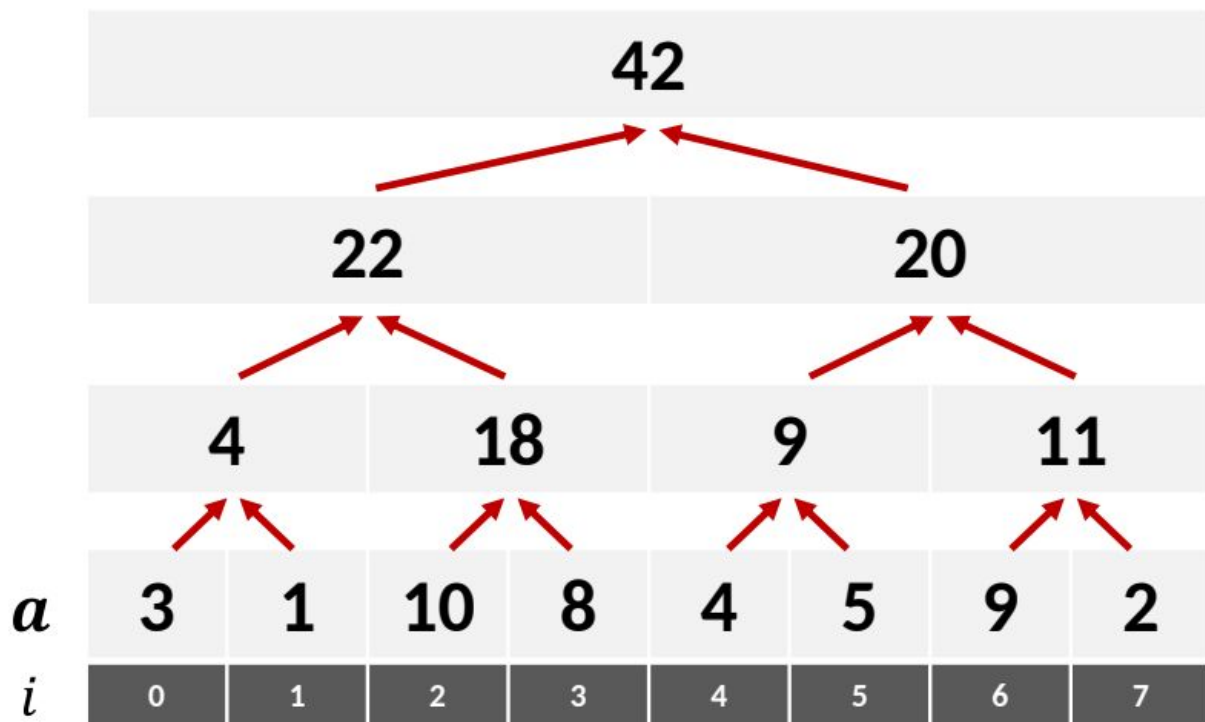


Preprocess:

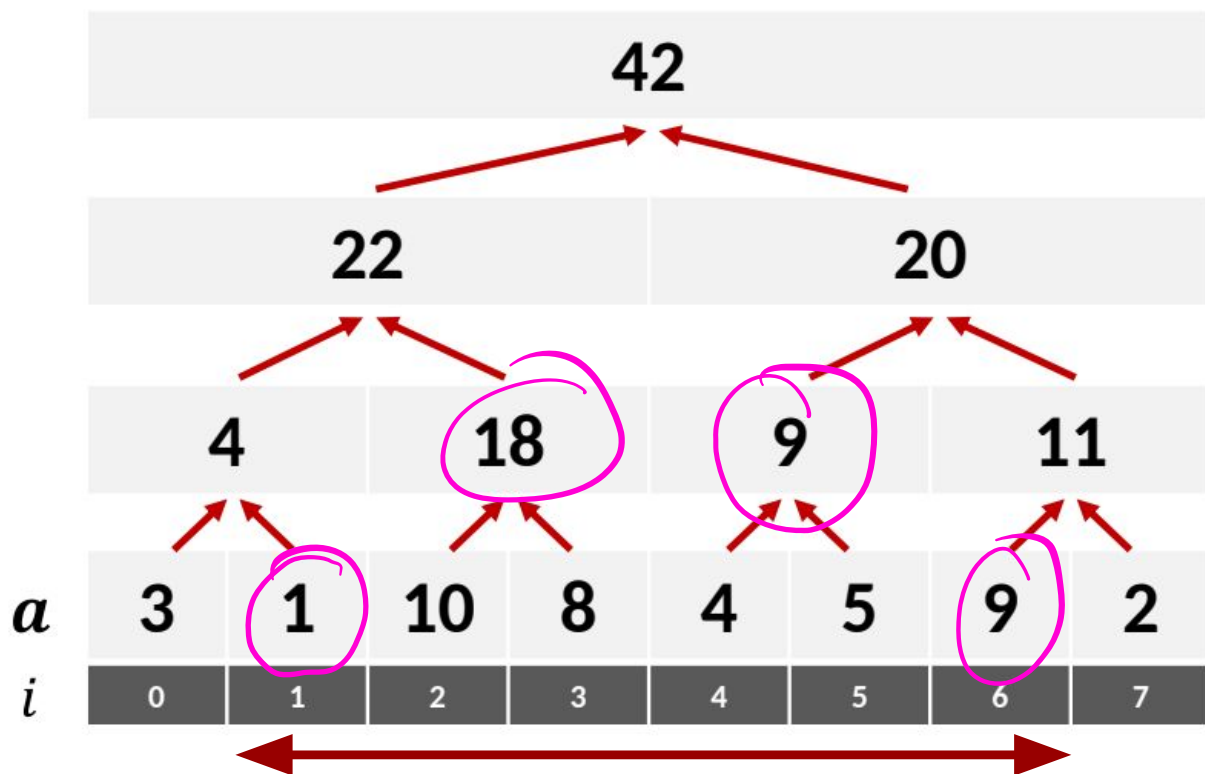
- compute this tree
- each node stores the sum of the subtree



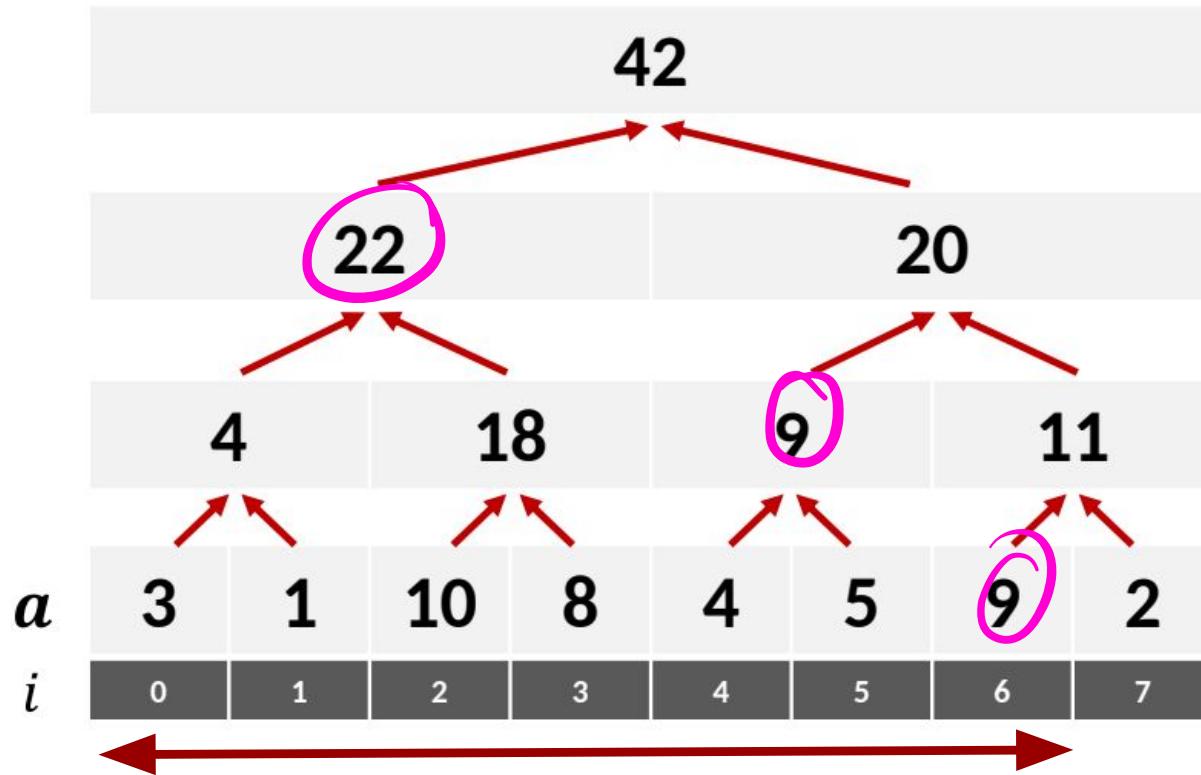
How to support **RangeSum** and **Update**?



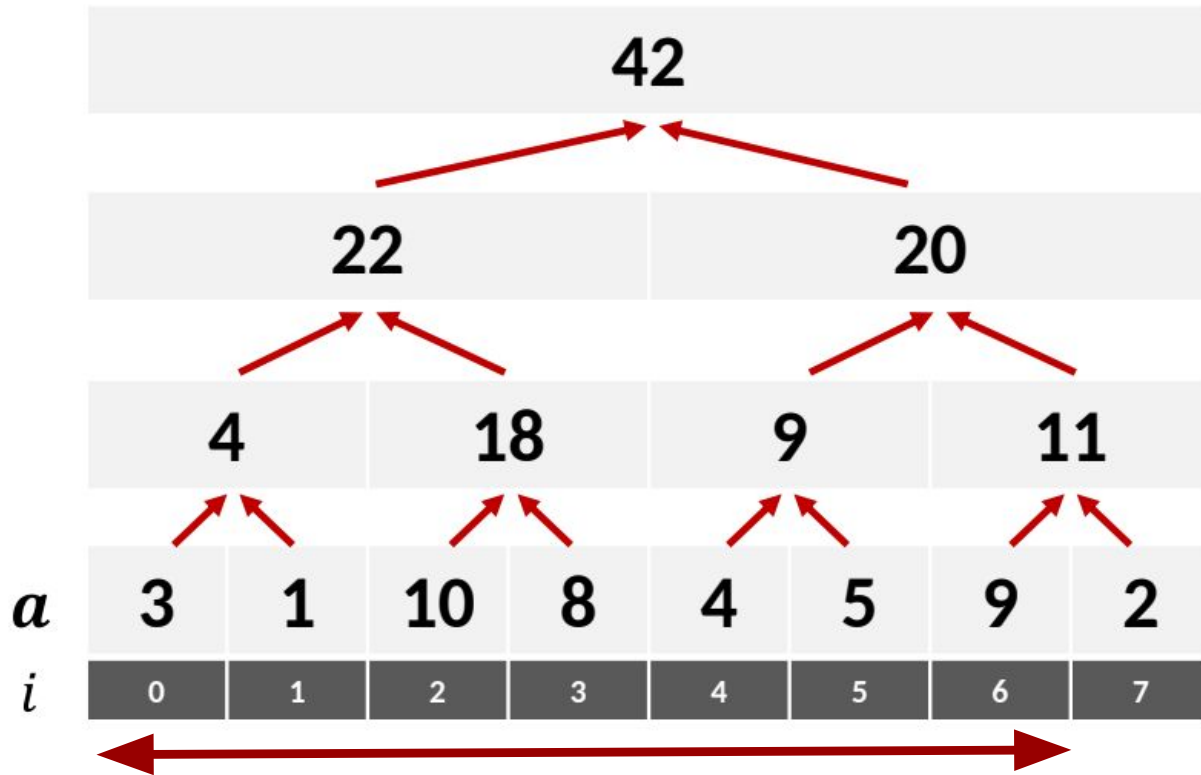
RangeSum(1, 6) query



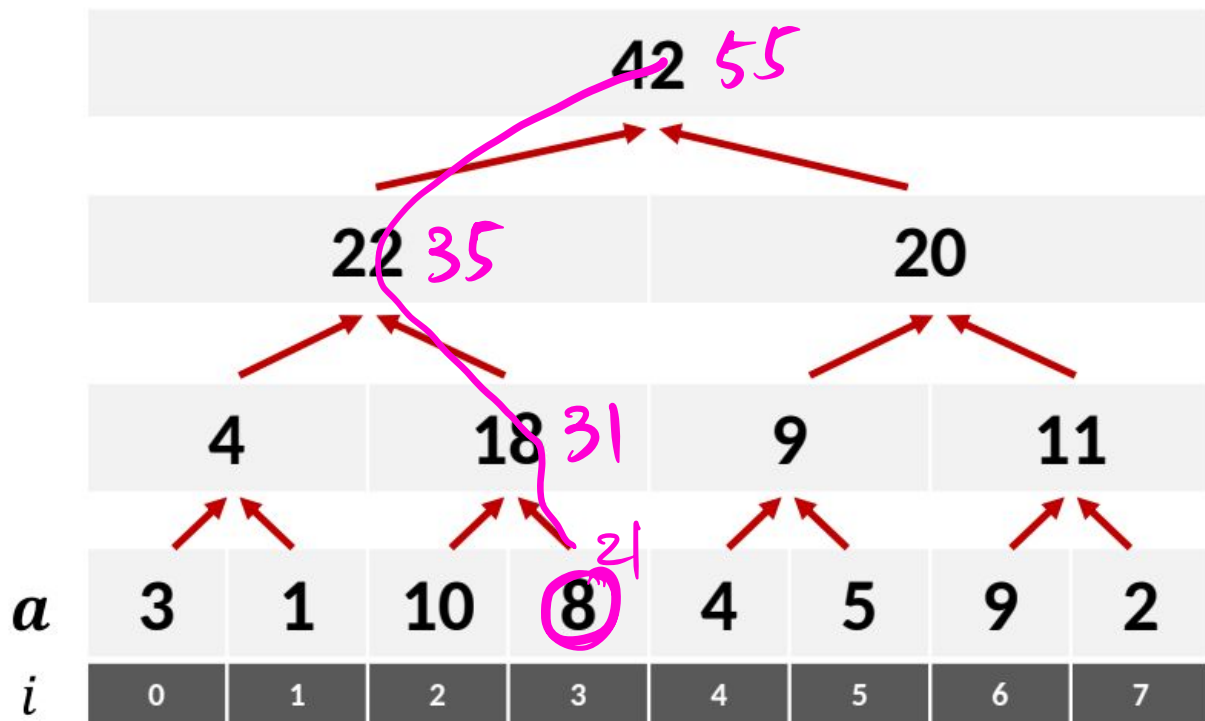
RangeSum(^{0, 7}0, 6) query



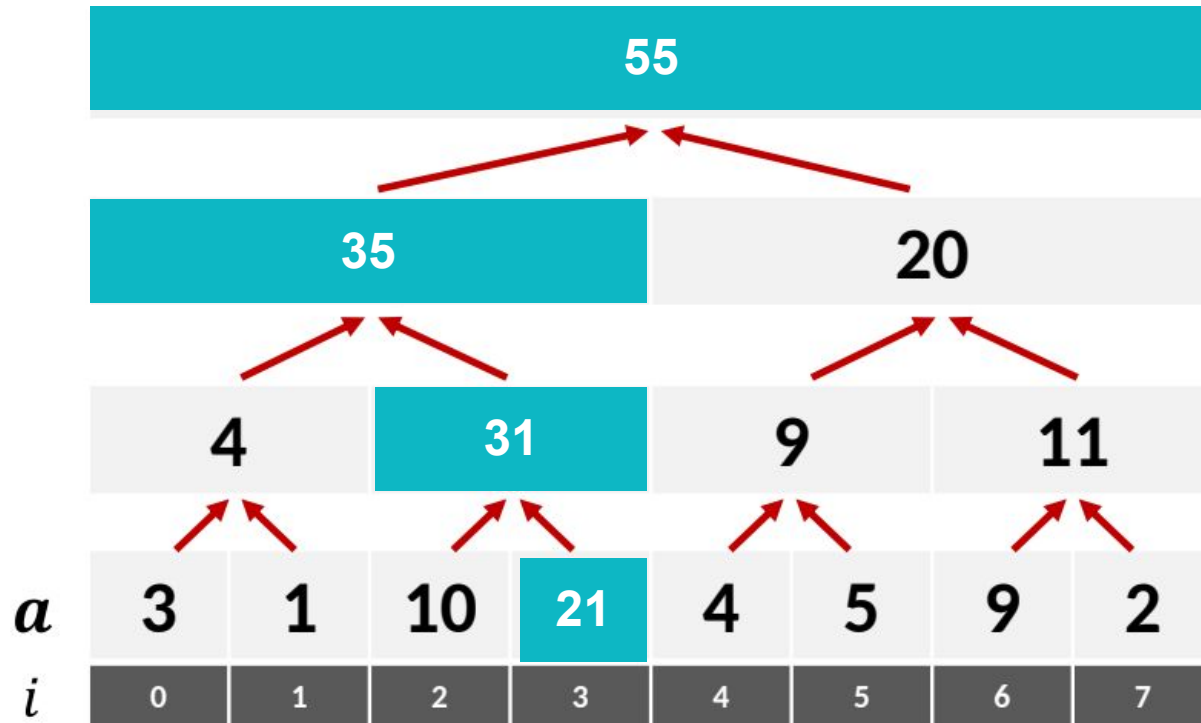
Claim: every range sum is the sum of at most $2\log_2 n$ nodes in the tree



Update(3, 21)



Update(3, 21) takes log n time!



**Claim: any interval $[i, j)$ can be made up by
at most 2 intervals from each level.**

*interval
node*

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Proof:

- Suppose that $[i, j)$ is expressed by some configuration C that contains more than 2 intervals in some level(s)

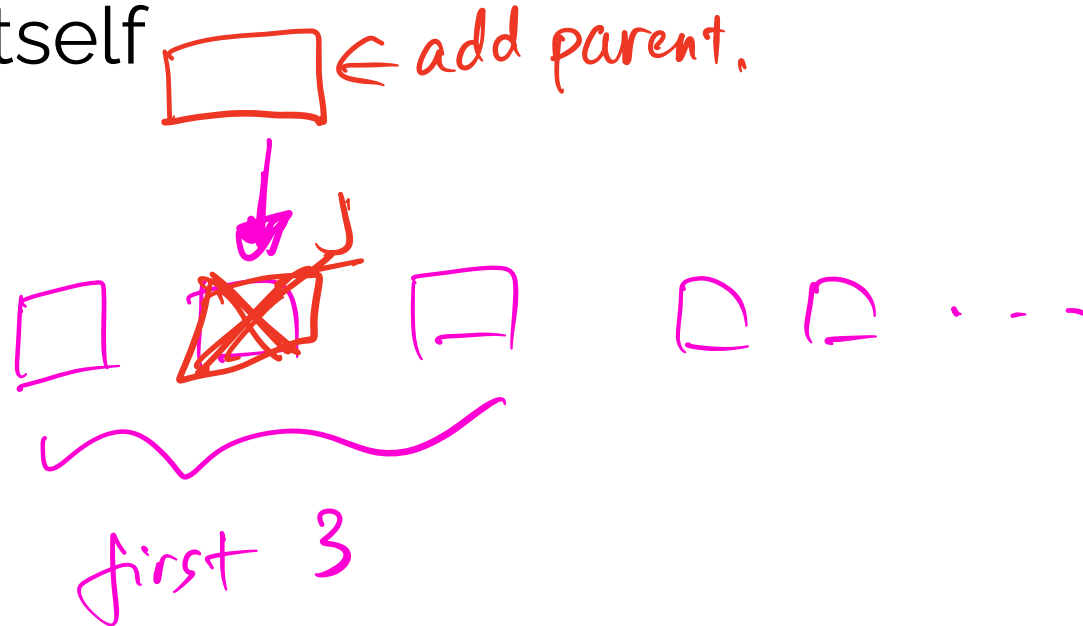
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Proof:

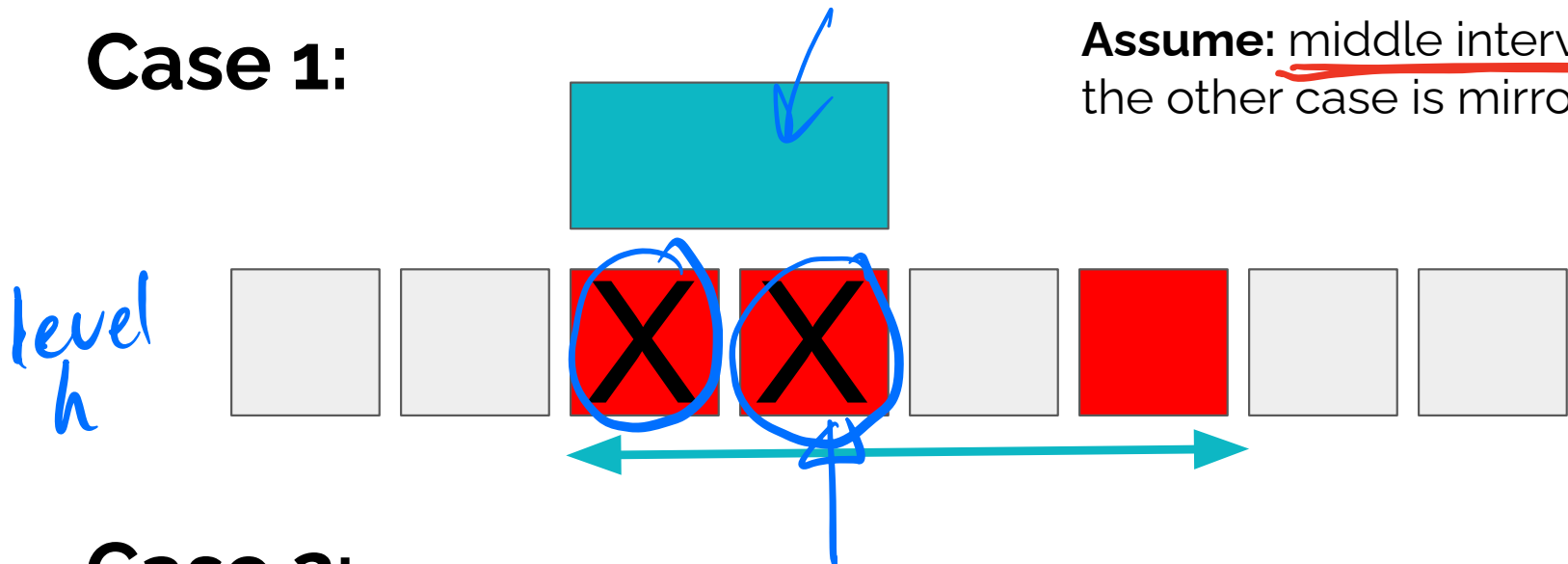
- Suppose that $[i, j)$ is expressed by some configuration C that contains more than 2 intervals in some level(s)
- Let h be the deepest level with > 2 intervals
- Construct another config D that represents $[i, j)$ s.t.
 - **Every level deeper than h uses at most 2 intervals**
 - **Level h uses at most $s-1$ intervals**



- Find the middle interval J at level h
- Add its parent P ↙
- Delete all of P 's descendants including J itself ↘



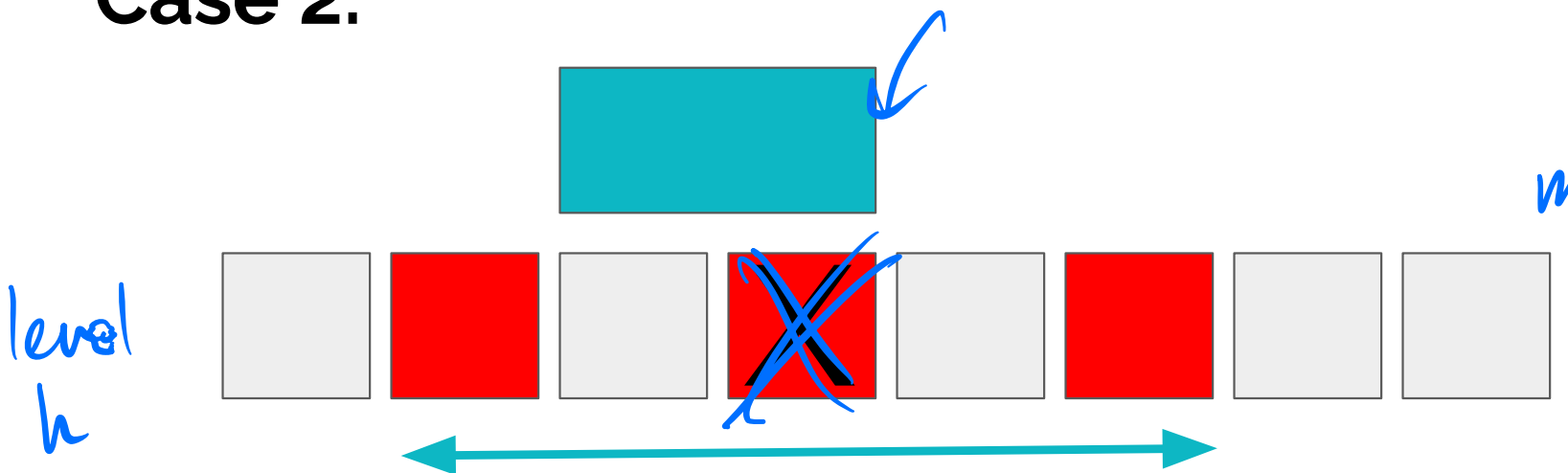
Case 1:



Assume: middle interval is right child,
the other case is mirroring

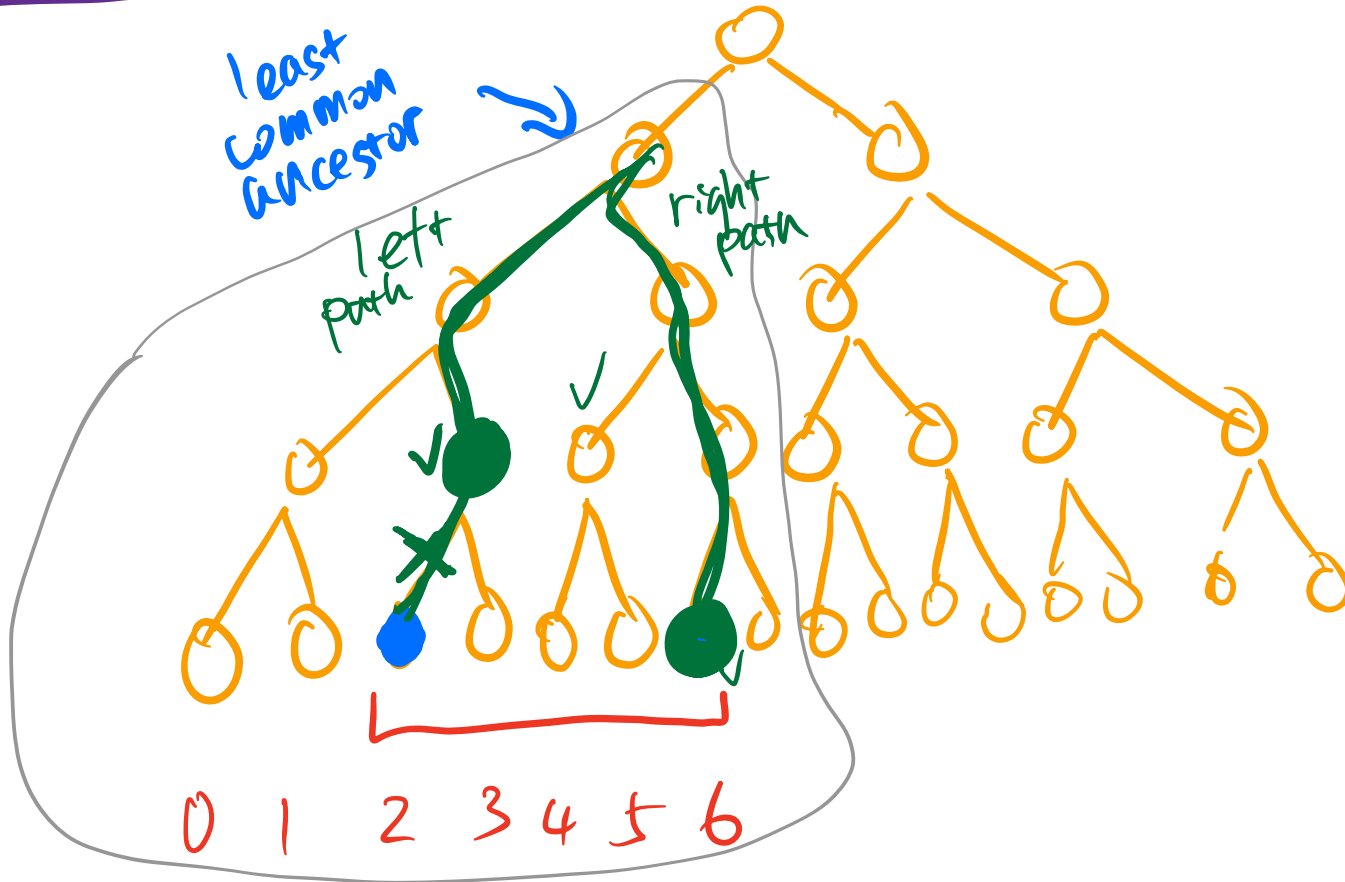
middle interval
is paired
with
another
node in C

Case 2:



middle interval
not paired
with another
node in C

Finding the intervals in $O(\log n)$ time



Implementing the Range Tree

Take advantage of the [binary heap ordering trick](#)

Store tree in an array

- Root index: 0
- $\text{LeftChild}(i) = 2i + 1$
- $\text{RightChild}(i) = 2i + 2$
- $\text{Parent}(i) = \lfloor (i-1)/2 \rfloor$

See notes for the detailed pseudocode

Speeding up algorithms with Range Trees

Inversion counting

Given a permutation p of 0.. n-1

inversions of p:

of pairs (i, j) such that $i < j$ but $p[i] > p[j]$

Inversion counting

Example: 5, 3, 1, 2, 6, 0, 4, 8, 7

5: ✓

3: 5

1: 5, 3

2: 5, 3

6: ✓

⋮

Example: 5, 3, 1, 2, 6, 0, 4, 7

$O(n^2)$

0 5:

1 3: 5

2 1: 5, 3

2 2: 5, 3

0 6:

5 0: 5, 3, 1, 2, 6

2 4: 5, 6

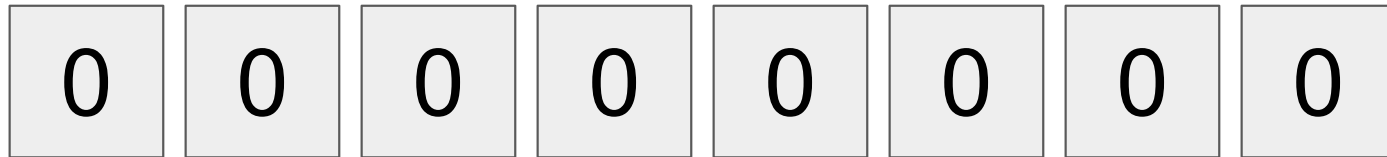
+ 1 7:

13

Design an algorithm for inversion counting

Naive: $O(n^2)$ time

Example: 5, 3, 1, 2, 6, 0, 4, 7



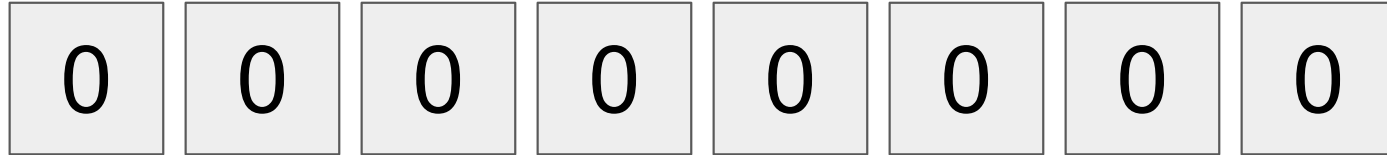
Index 0 1 2 3 4 5 6 7

Example: 5, 3, 1, 2, 6, 0, 4, 7

Total = 0



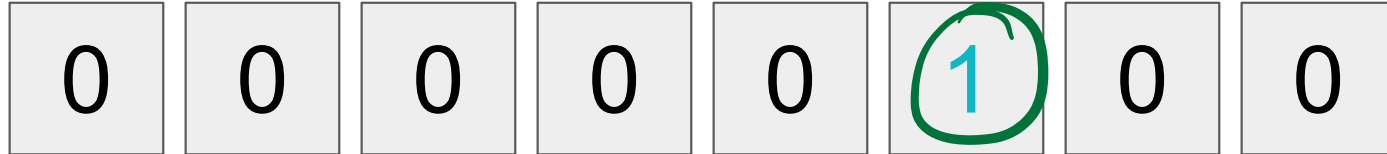
RangeSum(5, 7): 0



Index 0 1 2 3 4 5 6 7

Example: 5, 3, 1, 2, 6, 0, 4, 7

Total = 0



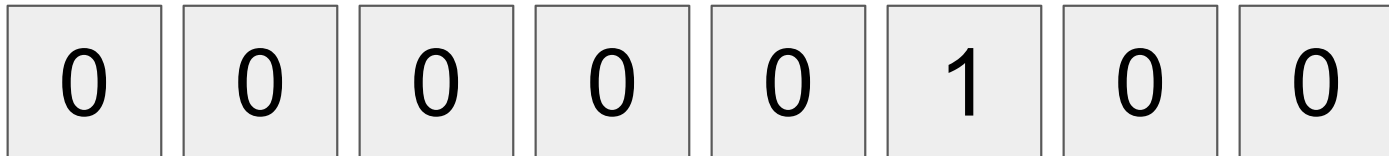
Index 0 1 2 3 4 5 6 7

Example: 5, 3, 1, 2, 6, 0, 4, 7

Total = 1



RangeSum(3, 7): 1



Index 0 1 2 3 4 5 6 7

Example: 5, 3, 1, 2, 6, 0, 4, 7

Total = 1



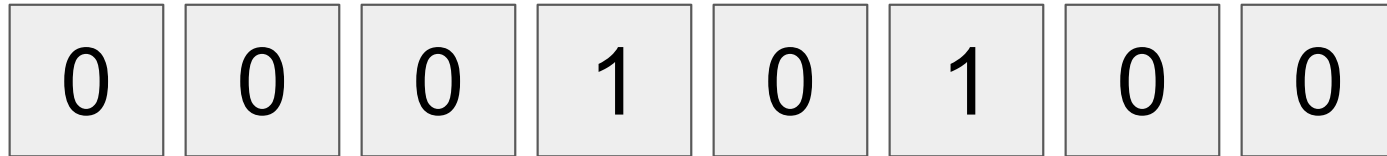
Index 0 1 2 3 4 5 6 7

Example: 5, 3, 1, 2, 6, 0, 4, 7

Total = 3



RangeSum(1, 7): 2



Index 0 1 2 3 4 5 6 7

Example: 5, 3, 1, 2, 6, 0, 4, 7

Total = 3



Index 0 1 2 3 4 5 6 7



Just repeat this for the entire input

For every
elem that
arrives:

→ one RangeSum $\leftarrow O(\log n)$

→ one Update $\leftarrow O(\log n)$

Running time? $O(n \log n)$

Extensions of Range Trees

Sum need not be sum

$$(A \circ B) \circ C = A \circ (B \circ C)$$

Can be any associate operator^u
e.g., min, max, average^u

How do we support

Update(i, x): assign x
to $a[i]$

Add(i, x): update $a[i]$ to $a[i] + x$

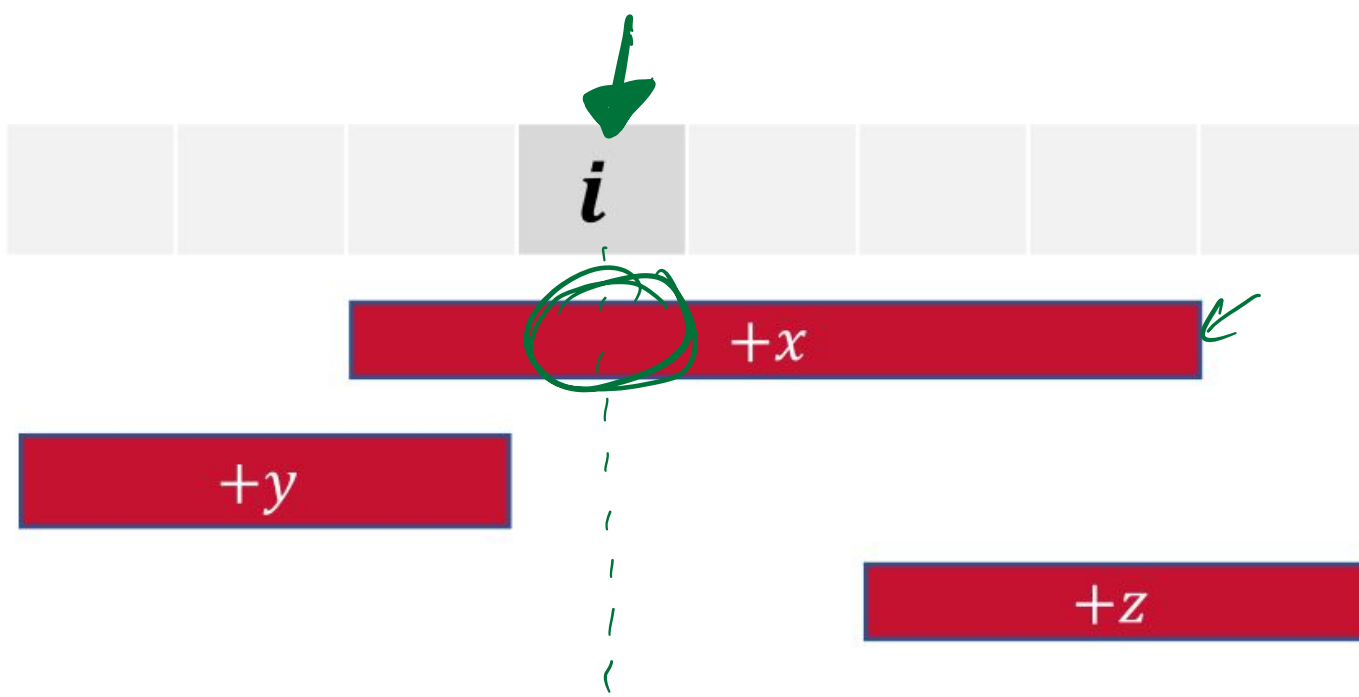
Range Sum($\bar{i}, \underline{\bar{i}+1}$)

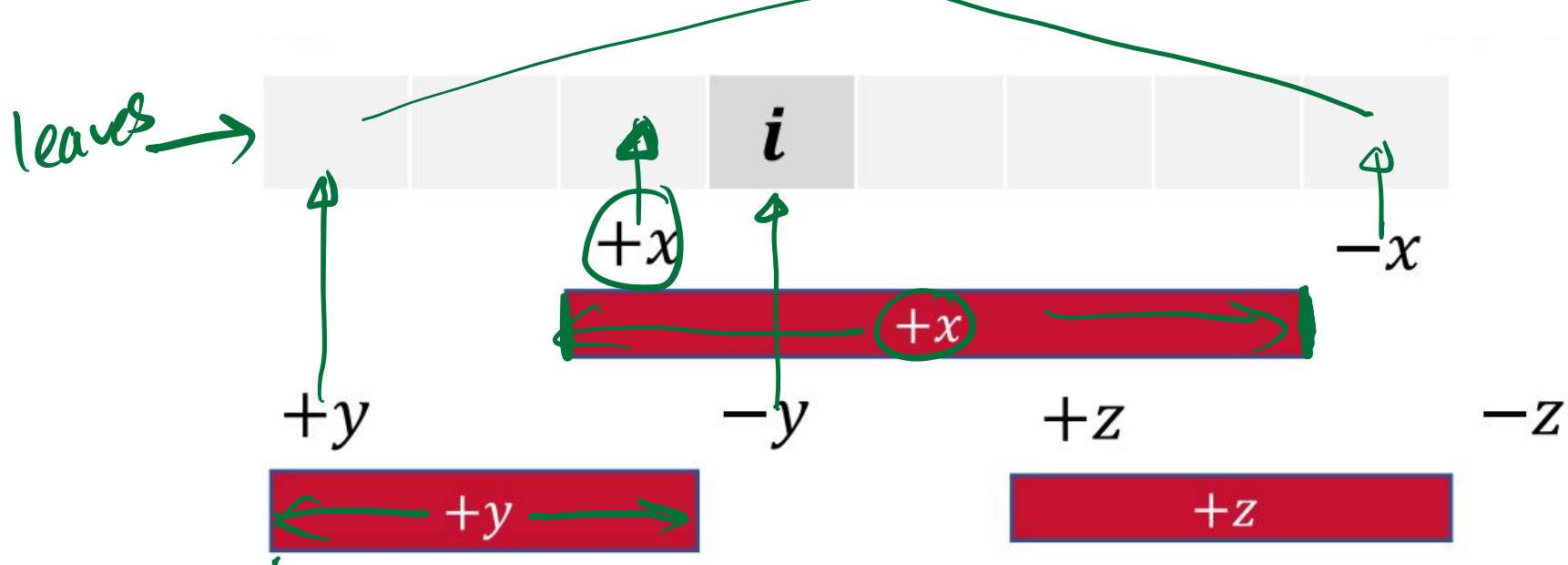
update($i, \underline{a[i] + x}$)

Design a data structure that supports

RangeAdd(i, j, x): add x to a[i] ... a[j-1]

GetVal(i): return a[i]



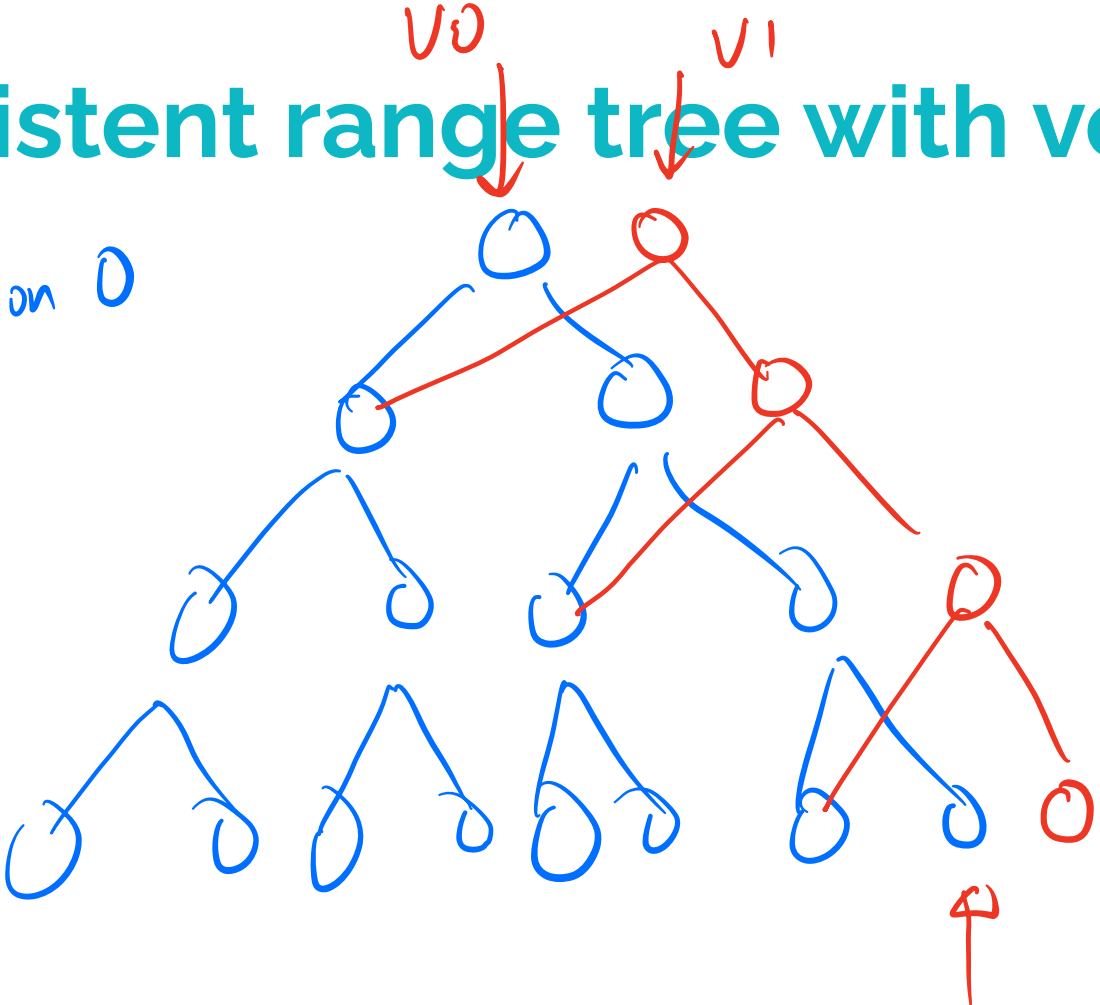


GetVal(i): Range Sum($0, i+1$)

Range Update(i, j, x):
 Add(i, x)
 Add($j, -x$)

Persistent range tree with versioning

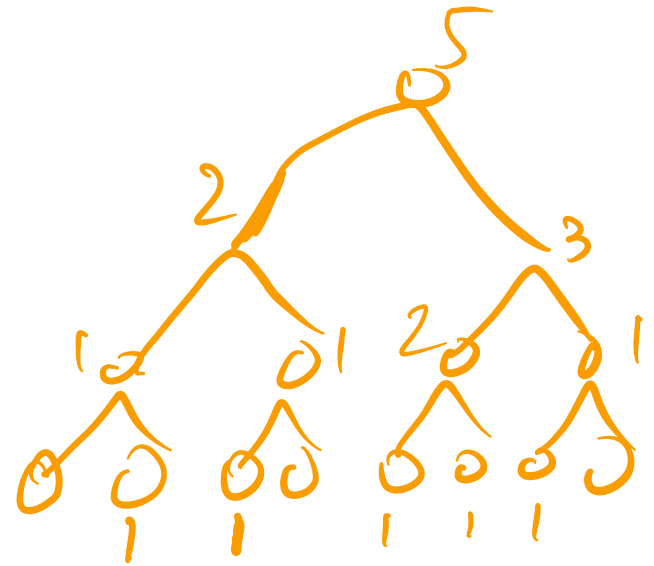
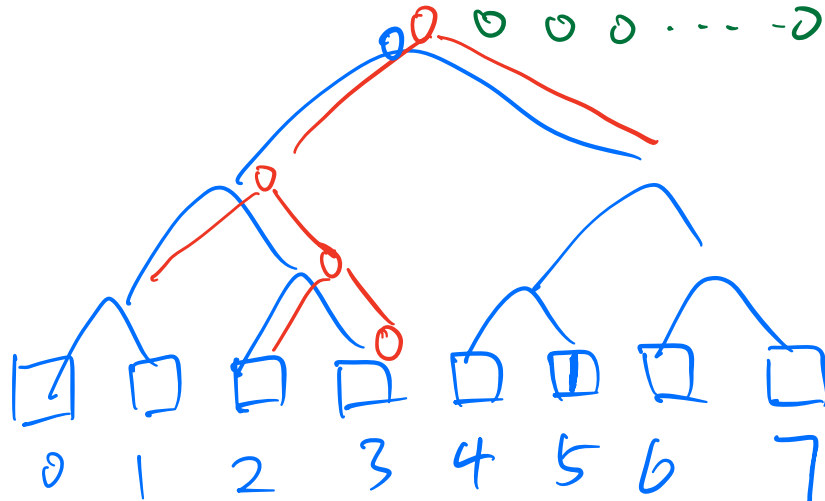
Version 0



Optional: finding the k -th smallest number in some prefix $[0, j]$

5 3 1 7 2 0 6 ...

$[0, j]$



Just for fun: applications of range tree in cryptography and privacy

- Privacy-preserving federated learning
 - Deployed by Google's Spanish GBoard
 - Uses Elaine's algorithm!
- Range queries over **encrypted** data (see Elaine's Ph.D. thesis!)
- Puncturable PRFs
- Efficient private information retrieval
- Efficient/parallel data-oblivious algorithms