

# 15-410

*“...Failure is not an option...”*

## Disk Arrays

Oct. 29, 2008

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# Synchronization

## Computer Club movie tonight

Sneakers

Wednesday, 19:00, 7500

Pizza will be available for purchase

## Checkpoint #3

- See yesterday's posting
- Deadline: Friday 23:59
- Opportunity to do a conscious planning cycle
  - Fill out STATUS file
  - Later see if you were right

# Synchronization

## Today: Disk Arrays

- Text: 12.7 (a good start)
  - Please read remainder of chapter too
- [www.acnc.com](http://www.acnc.com) 's “RAID.edu” pages
  - Pittsburgh's own RAID vendor!
- [www.uni-mainz.de/~neuffer/scsi/what\\_is\\_raid.html](http://www.uni-mainz.de/~neuffer/scsi/what_is_raid.html)
- Papers (@end)

# Overview

## Historical practices

- Striping
- Mirroring

## The reliability problem

## Parity, ECC, why parity is enough

## RAID “levels” (really: flavors)

## Applications

## Papers

# Striping

## Goal

- High-performance I/O for databases, supercomputers
- “People with more money than time”

## Problems with disks

- Seek time
- Rotational delay
- Transfer time

# Seek Time

## Technology issues evolve slowly

- Weight of disk head
- Stiffness of disk arm
- Positioning technology

**Hard to dramatically improve for niche customers**

**Sorry!**

# Rotational Delay

## How fast *can* we spin a disk?

- Fancy motors, lots of power –spend more money

## Probably limited by data rate

- Spin faster  $\Rightarrow$  must process analog waveforms faster
- Analog  $\Rightarrow$  digital via *serious* signal processing

## Special-purpose disks generally spin *a little* faster

- 1.5X, 2X –not 100X

# Transfer Time

## Transfer time $\equiv$

- Assume seek & rotation complete
- How fast to transfer \_\_\_\_\_ kilobytes?

## We struck out on seek, rotation

- Can we at least *transfer* faster than commodity disks?



# Parallel Transfer?

**Reduce transfer time (without spinning faster)**

**Read from multiple heads at same time?**

**Practical problem**

- Disk needs N copies of analog  $\Rightarrow$  digital hardware
- Expensive, but we have *some* money to burn

**Marketing wants to know...**

- Do we have *enough* money to buy a new factory?
- Can't we use our existing product somehow?

# Striping

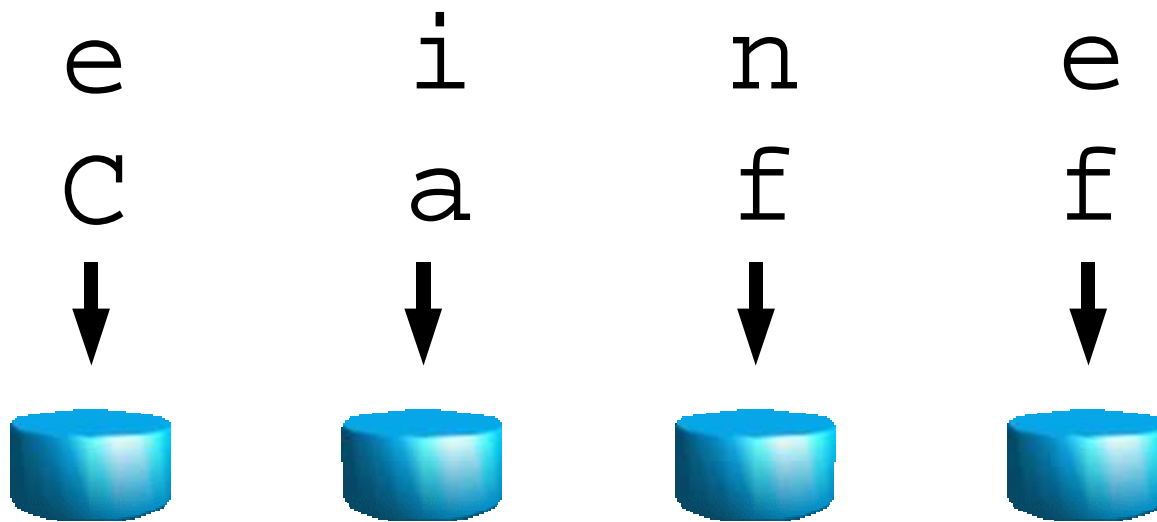
## Goal

- High-performance I/O for databases, supercomputers

## Solution: parallelism

- Gang *multiple disks* together

# Striping



# Striping

**Stripe *unit* (what each disk gets) can vary**

- Byte
- Bit
- Sector (typical)

**Stripe *size* = (stripe unit) X (#disks)**

**Behavior: “fat sectors”**

- File system maps bulk data request  $\Rightarrow$  N disk operations
- Each disk reads/writes 1 sector

# Striping Example

## Simple case –stripe sectors

- 4 disks, stripe unit = 512 bytes
- Stripe size = 2K

## Results

- Seek time: 1X base case (ok)
- Transfer rate: 4X base case (great!)

## But there's a problem...

# High-Performance Striping

## Rotational delay *gets worse*

- Stripe not done until *fourth* disk rotates to right place
- I/O to 1 disk pays *average* rotational delay (50%)
- N disks converge on *worst-case* rotational delay (100%)

# High-Performance Striping

## Rotational delay *gets worse*

- Stripe not done until *fourth* disk rotates to right place
- I/O to 1 disk pays *average* rotational delay (50%)
- N disks converge on *worst-case* rotational delay (100%)

## Spindle synchronization!

- Make sure N disks are always aligned
- All sector 0's pass under their heads at the “same” time

## Result

- Commodity disks with extra synchronization hardware
  - Not *insanely* expensive ⇒ some supercomputer applications

# Less Esoteric Goal: Capacity

**Users always want more disk space**

**Easy answer**

- **Build a larger disk!**
- **IBM 3380 (early 1980's)**
  - **14-inch platter(s)**
  - **Size of a *refrigerator***



# Less Esoteric Goal: Capacity

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  - **1-3 GByte (woo!)**

# Less Esoteric Goal: Capacity

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  - Size of a *refrigerator*
  - 1-3 GByte (woo!)

**“Marketing on line 1”...**

- These monster disks sure are expensive to build!
  - Especially compared to those dinky 5¼-inch PC disks...
- Can't we hook small disks together like we did for speed?

# Striping Example Revisited

## Simple case –stripe sectors

- 4 disks, stripe unit = 512 bytes
- Stripe size = 2K

## Results

- Seek time: 1X base case (ok)
- Rotation time : 1X base case using special hardware (ok)
- Transfer rate: 4X base case (great!)
- Capacity: 4X base case (great!)

**Now what could go wrong?**

# The Reliability Problem

**MTTF = Mean time to failure**

**MTTF(array) = MTTF(disk) / #disks**

**Example from original 1988 RAID paper**

- Conner Peripherals CP3100 (100 megabytes!)
- MTTF = 30,000 hours = 3.4 years

**Array of 100 CP3100's**

- 10 Gigabytes (good)
- MTTF = 300 hours = *12.5 days* (not so good)
- Reload file system from tape every 2 weeks???

# Mirroring

## **We are computer scientists**

- Solve reliability via ...?

# Mirroring

## **We are computer scientists**

- **Solve reliability via induction!**

# Mirroring

## **We are computer scientists**

- Solve reliability via induction!

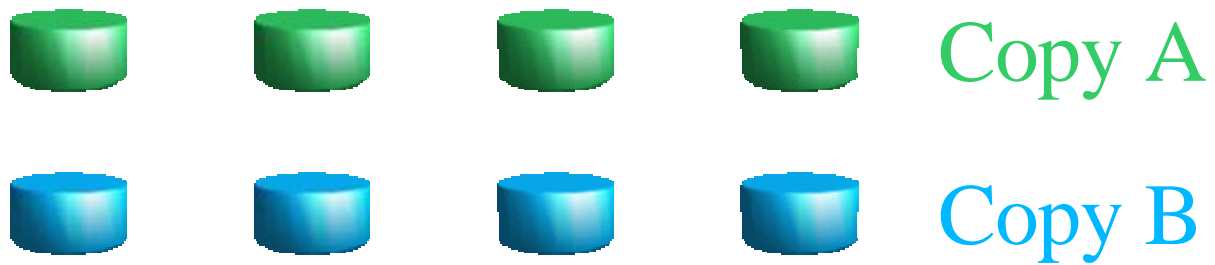
## **When a disk goes bad**

- Base case: “Assume another disk contains the same bits”
- Induction: Copy bits from backup disk to a new blank disk

## **Restoring disks from tape is no fun**

- Restoring disks from other disks is closer to fun

# Mirroring





# Mirroring

## Operation

- Write: write to *both* mirrors
- Read: read from *either* mirror

Cost per byte *doubles*

## Performance

- Writes: a little slower
- Reads: maybe 2X faster

Reliability *vastly* increased

# Mirroring

## When a disk breaks

- Identify it to system administrator
  - Beep, blink a light
- System administrator provides blank disk
- Copy contents from surviving mirror

## Result

- Expensive but safe
- Banks, hospitals, etc.
- Home PC users???

# Error Coding

## If you are good at math

- **Error Control Coding: Fundamentals & Applications**
  - Lin, Shu, & Costello

## If you are like me

- **Commonsense Approach to the Theory of Error Correcting Codes**
  - Arazi

# Error Coding In One Easy Lesson

## Data vs. message

- Data = what you want to convey
- Message = data plus extra bits (“code word”)

## Error detection

- Message indicates: something got corrupted

## Error *correction*

- Message indicates: bit 37 should be 0, not 1
- Very useful!

# Trivial Example

## Transmit *code words* instead of data bits

- Data 0  $\equiv$  code word 0000
- Data 1  $\equiv$  code word 1111

## Transmission “channel” corrupts code words

- Send 0000, receive 0001

## Error detection

- 0001 isn't a valid code word - Error!

## Error *correction*

- Gee, 0001 looks more like “0000” than “1111”

# Lesson 1, Part B

## Error codes can be overwhelmed

- Is “0011” a corrupted “0000” or a corrupted “1111”?

## “Too many” errors: *wrong answers*

- Series of corruptions
  - 0000  $\Rightarrow$  0001  $\Rightarrow$  0101  $\Rightarrow$  1101
  - “Looks like 1111, doesn't it?”

## Codes typically detect more errors than can correct

- A possible example code
  - Can *detect* 1..4 errors, can *fix* any single error
  - Five errors will report “fix” - to a *different* user data word!

# Parity

**Parity = XOR “sum” of bits**

- $0 \oplus 1 \oplus 1 = 0$

**Parity provides *single error detection***

- Sender transmits *code word* including data and *parity bit*
- Correct: 011,0
- Incorrect: 011,1
  - Something is wrong with this picture – *but what?*
  - Parity provides *no* error correction

***Cannot* detect (all) multiple-bit errors**

# ECC

**ECC = error correcting code**

**“Super parity”**

- Code word: user data plus *multiple* “parity” bits
- Mysterious math computes parity from data
  - Hamming code, Reed-Solomon code
- Can detect N *multiple-bit* errors
- Can *correct* M (< N) bit errors!
- Often  $M \sim N/2$



# Parity revisited

**Parity provides** single *erasure* correction!

## Erasure channel

- Knows when it doesn't know something
- Example: each bit is 0 or 1 or “don't know”
- Sender provides (user data, parity bit): ( 0 1 1 , 0 )
- Channel provides corrupted message: ( 0 ? 1 , 0 )
  - $? = 0 \oplus 1 \oplus 0 = 1$

**Are erasure channels real??**

# Erasure channel???

## Radio

- Modem stores signal strength during reception of each bit

# Erasure channel???

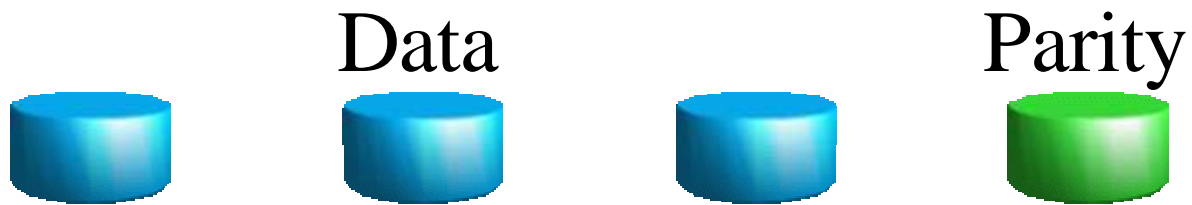
## Radio

- Modem stores signal strength during reception of each bit

## Disk drives!

- Disk hardware adds “CRC code word” to each sector
- CRC = Cyclic redundancy check
  - Very good at detecting random data corruption
- Disks “know when they don't know”
  - Read sector 42 from 4 disks
  - Receive 0..4 good sectors, 4..0 errors (sector erasures)
- “Drive not ready” = “erasure” of all sectors

# “Fractional mirroring”

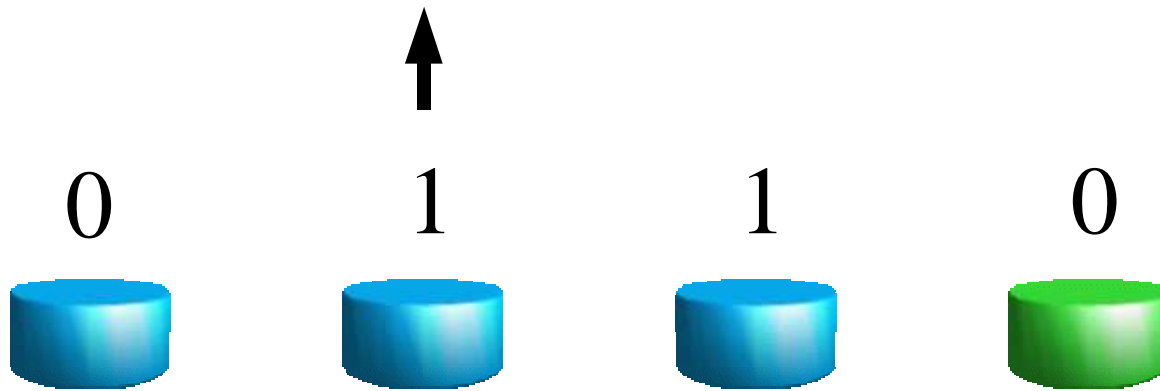


# “Fractional mirroring”

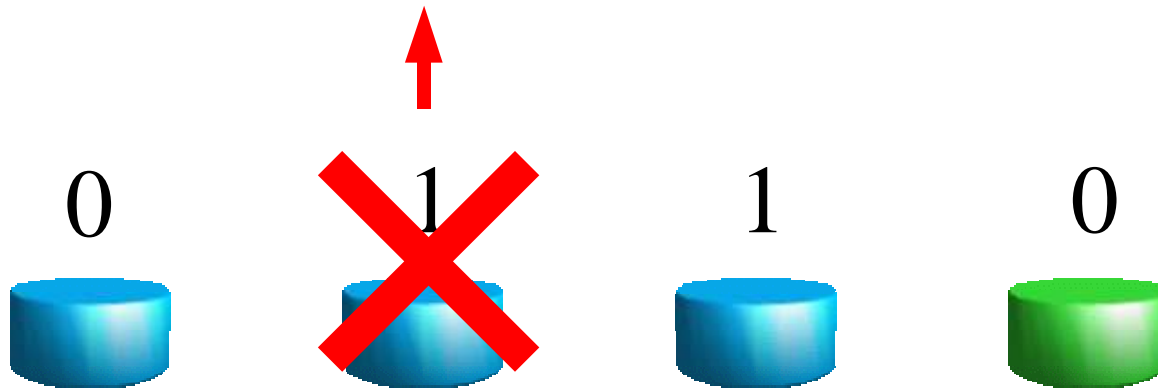
## Operation

- Read: read data disks
  - Error? Read parity disk, compute lost value
- Write: write data disks *and parity disk*

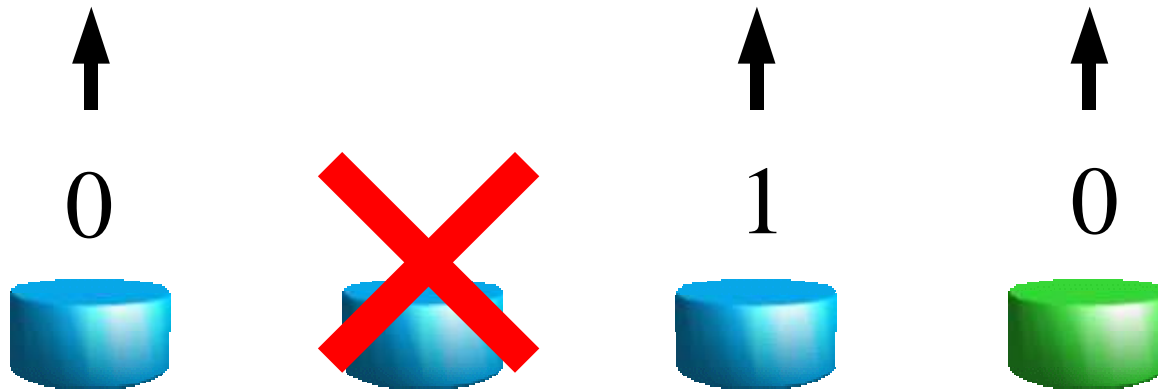
# Read



# Read Error



# Read Reconstruction



$$\text{Missing} = 0 \oplus 1 \oplus 0 = 1$$



# “Fractional mirroring”

## Performance

- Reads: run at normal disk speed
- Writes: slower (see “RAID 4” below)

## Reliability *vastly* increased

- Not quite as good as mirroring
  - Why not?

## Cost

- *Fractional* increase (50%, 33%, ...)
- Cheaper than mirroring's 100%

# RAID

## RAID

- Redundant Arrays of Inexpensive Disks

## SLED

- Single Large Expensive Disk

## Terms from original RAID paper (@end)

## Different ways to aggregate disks

- Paper presented a number-based taxonomy
- Metaphor tenuous then, stretched ridiculously now

# RAID “levels”

## They're not really levels

- RAID 2 isn't “more advanced than” RAID 1
  - People really do RAID 1
  - People basically never do RAID 2

## People invent new ones randomly

- RAID 0+1 ???
- JBOD ???

# Easy cases

## **JBOD = “just a bunch of disks”**

- N disks in a box pretending to be 1 large disk
- Box controller maps “logical sector”  $\Rightarrow$  (disk, real sector)

## **Legacy approaches**

- RAID 0 = striping
- RAID 1 = mirroring

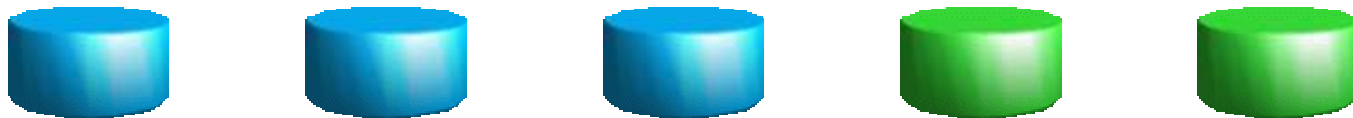
# RAID 2

Stripe size = *byte* (unit = 1 bit per disk)

N data disks, M parity disks

Use ECC to get multiple-error correction

Very rarely used



# RAID 3

Stripe size = *byte* (unit = 1 bit per disk)

Use parity instead of ECC (disks report erasures)

N data disks, 1 parity disk

Used in some high-performance applications



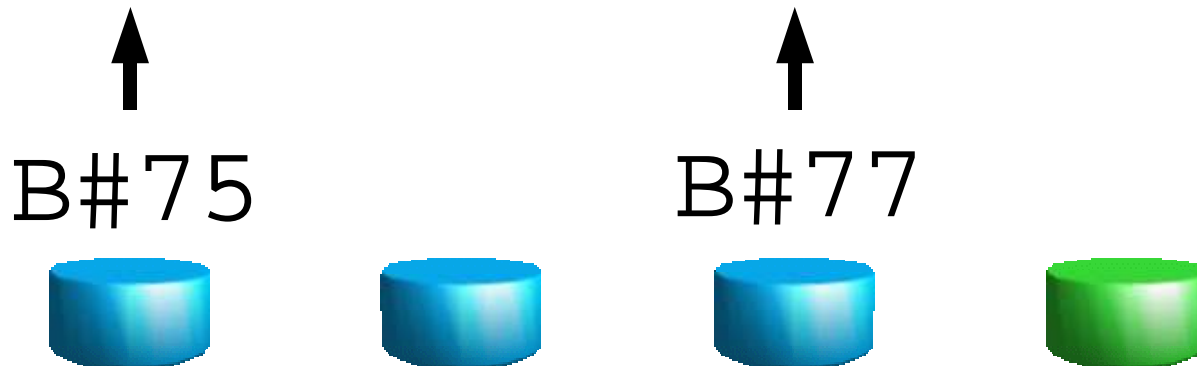
# RAID 4

## Like RAID 3

- Uses parity, relies on erasure signals from disks
- But unit = *sector* instead of *bit*

## Single-sector reads involve only 1 disk

- Can handle multiple single-sector reads in parallel
  - Nice for transaction processing, small files



# Single-sector *writes*

*Modifying* a single sector is harder

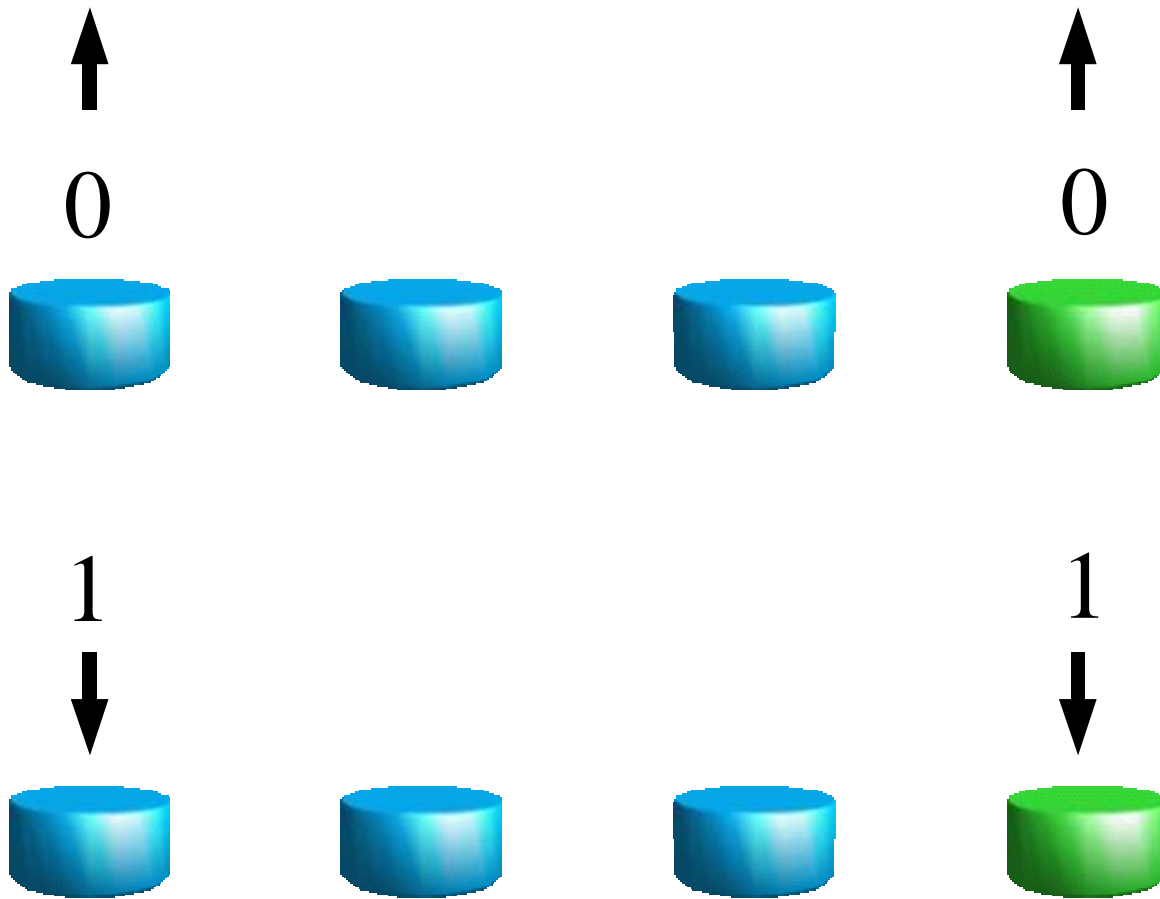
**Must fetch old version of sector**

**Must maintain parity invariant for stripe**

- Change a sector of 0's to a sector of 1's
- Old condition:  $0 \oplus X \oplus Y = 0$  (assume wlog)
- New condition:  $1 \oplus X \oplus Y = 1$



# Sector Write



# Parity Disk is a “Hot Spot”

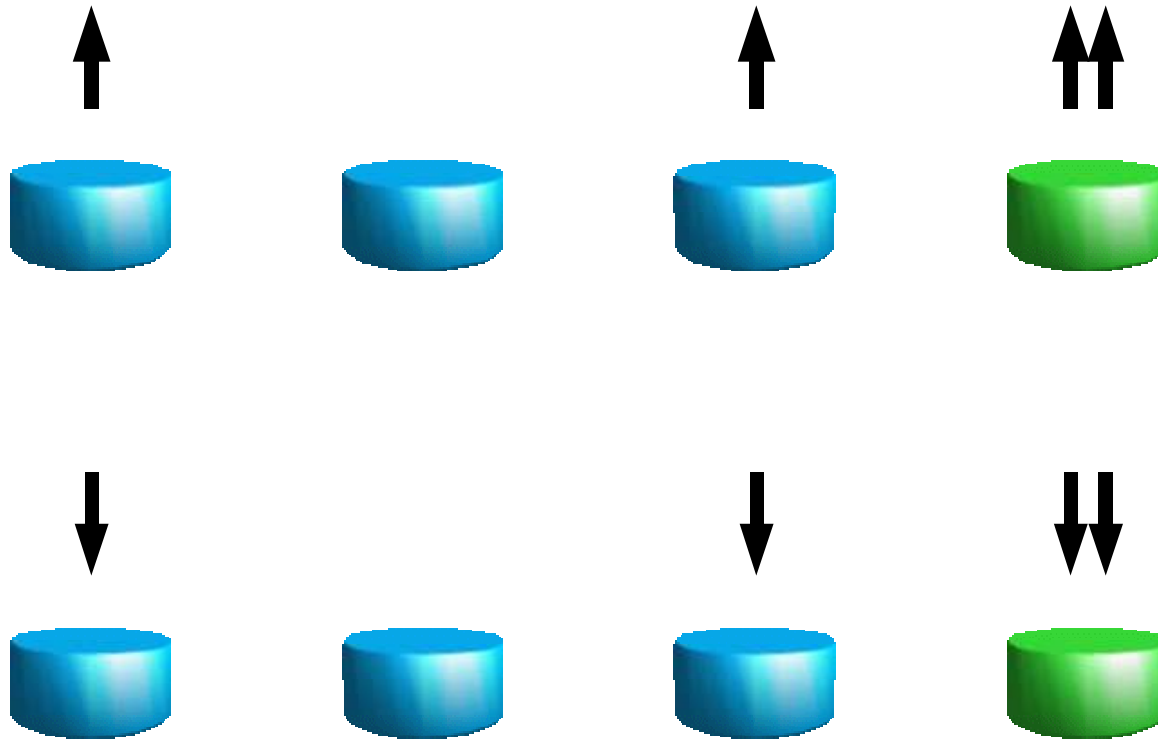
## Single-sector reads can happen in parallel

- Each 1-sector read affects only one disk

## Single-sector writes *serialize*

- Each 1-sector write needs the parity disk
  - Twice!

# Sector-Write Hot Spot



# RAID 4 – Summary

## Like RAID 3

- Uses parity, relies on erasure signals from disks
- But unit = *sector* instead of *bit*

## Single-sector reads involve only 1 disk

- Can handle multiple single-sector reads in parallel

## Single-sector writes: read, read, write, write!

Rarely used: parity disk is a *hot spot*



# RAID 5

## RAID 4, distribute parity among disks

### No more “parity disk hot spot”

- Each small write still reads 2 disks, writes 2 disks
- But if you're lucky the sets don't intersect

### Frequently used



# Other fun flavors

## RAID 6

- “two-dimensional” parity –handle multi-disk failures

## RAID 7, 10, 53

- Esoteric, single-vendor, non-standard terminology

## RAID 0+1

- Stripe data across half of your disks
- Use the other half to mirror the first half
- Characteristics
  - RAID 0 lets you scale to arbitrary size
  - Mirroring gives you safety, good read performance
  - “Imaging applications”

# Applications

## RAID 0

- Supercomputer temporary storage / swapping
- Not reliable!

## RAID 1

- Simple to explain, reasonable performance, expensive
- Traditional high-reliability applications (banking)

## RAID 5

- Cheap reliability for large on-line storage
- AFS servers (*your* AFS servers!)

# Are failures independent?

**With RAID (1-5) disk failures are “ok”**

**Array failures are never ok**

- Cause: “Too many” disk failures “too soon”
- Result: No longer possible to XOR back to original data
- Hope your backup tapes are good...
- ...and your backup system is tape-drive-parallel!

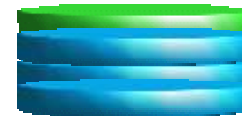
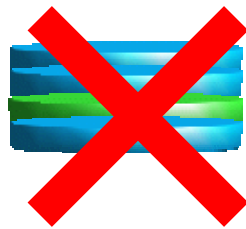
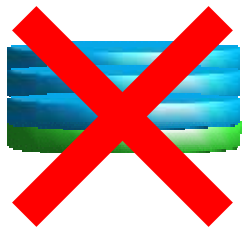
**Luckily, multi-disk failures are “very rare”**

- After all, disk failures are “independently distributed”...

**#insert <quad-failure.story>**

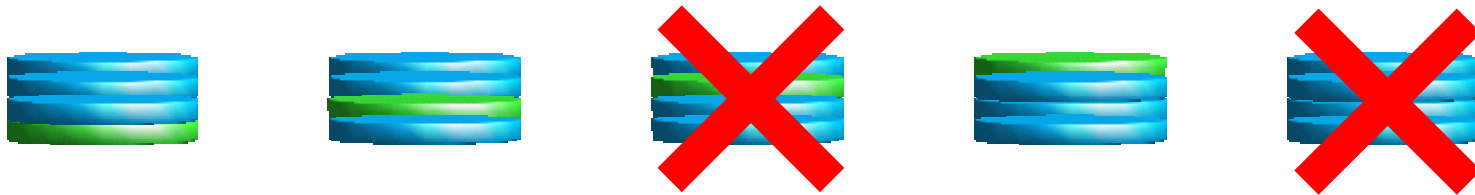


# Are failures independent?



**[See Hint 1]**

# Are failures independent?



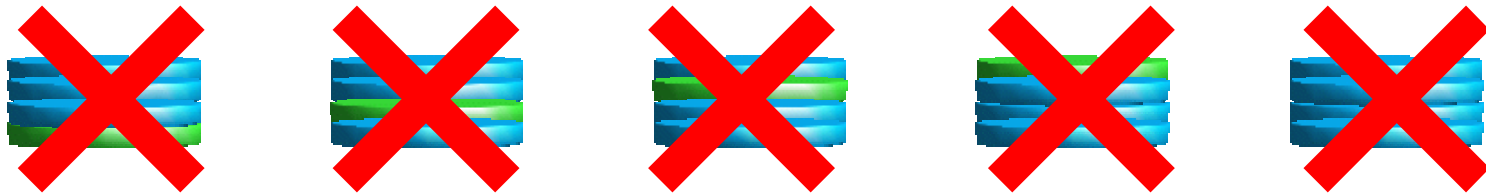
**[See Hint 2]**

# Are failures independent?



**[See Hint 3]**

# *Are* failures independent?



**[See Hint 4]**

# Hints

**Hint 1: 2 disks per IDE cable**

**Hint 2: If you never use it, does it still work?**

**Hint 3: Some days are bad days**

**Hint 4: “Tunguska impact event” (1908, Russia)**

# RAID Papers

**1988: Patterson, Gibson, Katz: A Case for Redundant Arrays of Inexpensive Disks (RAID),  
[www.cs.cmu.edu/~garth/RAIDpaper/Patterson88.pdf](http://www.cs.cmu.edu/~garth/RAIDpaper/Patterson88.pdf)**

**1990: Chervenak, Performance Measurements of the First RAID Prototype,  
[www.isi.edu/~annc/papers/masters.ps](http://www.isi.edu/~annc/papers/masters.ps)**

- This is a carefully-told sad story.

**Countless others**

# Other Papers

## U.S. Patent 4,092,732

- "System for recovering data stored in failed memory unit," Norman Ken Ouchi, 1978 (assigned to IBM).
- <http://www.google.com/patents?vid=USPAT4092732>

## Dispersed Concentration: Industry Location and Globalization in Hard Disk Drives

- David McKendrick, UCSD Info. Storage Industry Center
- Some history of disk market (1956-1998)
- [isic.ucsd.edu/papers/dispersedconcentration/index.shtml](http://isic.ucsd.edu/papers/dispersedconcentration/index.shtml)

# Summary

## **Need more disks!**

- More space, lower latency, more throughput

***Cannot* tolerate 1/N reliability**

**Store information carefully and redundantly**

**Lots of variations on a common theme**

**You should understand RAID 0, 1, 5**