Principles of Software Construction: Objects, Design, and Concurrency

Hoare Logic, Part 2

Jonathan Aldrich

Specification and Correctness

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Side Note: Why Weakest Preconditions?

- 15-122 teaches a (somewhat less formal) approach based on fresh variables
 - Increment x in a loop \rightarrow x' = x + 1
- This approach has limitations
 - Sequences
 - x := x * 2; // x' x := x + 1; // x''
 - Conditionals

else

if (...)

x := x * 2:

y := y + 1;

// x'

// y' – but we must also assume x' = x here

- Weakest preconditions scales better
 - No extra variables, no virtual assignments in branches

Review: Hoare Logic Rules

- wp(x := E, P) = [E/x] P
- wp(S;T, Q) = wp(S, wp(T, Q))
- wp(if B then S else T, Q)
 = B ⇒ wp(S,Q) && ¬B ⇒ wp(T,Q)

Hoare Logic Rules

- Loops
 - { P } while (i < x) f=f*i; i := i + 1 { f = x! }</pre>
 - What is the weakest precondition P?
- Intuition
 - Must prove by induction
 - Only way to generalize across number of times loop executes
 - Need to guess induction hypothesis
 - Base case: precondition P
 - Inductive case: should be preserved by executing loop body

Proving loops correct

- Partial correctness
 - The loop may not terminate, but if it does, the postcondition will hold
- {P} while B do S {Q}
 - Find an invariant Inv such that:
 - $P \Rightarrow Inv$
 - The invariant is initially true
 - { Inv && B } S {Inv}
 - Each execution of the loop preserves the invariant
 - (Inv && $\neg B$) \Rightarrow Q
 - The invariant and the loop exit condition imply the postcondition

Quick Quiz

Consider the following program: $\{ N \ge 0 \}$ i := 0;while (i < N) do i := N

 $\begin{array}{l} \hline \textbf{Correctness Conditions} \\ \mathsf{P} \Rightarrow \mathsf{Inv} \\ & \mathsf{The invariant is initially true} \\ \{ \mathsf{Inv \& B } S \{ \mathsf{Inv} \} \\ & \mathsf{Loop \ preserves \ the \ invariant} \\ (\mathsf{Inv \& } \neg \mathsf{B}) \Rightarrow \mathsf{Q} \\ & \mathsf{Invariant \ and \ exit \ implies \ postcondition} \end{array}$

Which of the following conditions are loop invariants that are sufficient to prove the postcondition?

For those that are incorrect, explain why.

A)
$$i = 0$$

B) $i = N$
C) $N \ge 0$

 $\{i = N\}$

Quick Quiz

Consider the following program: { N >= 0 }	$\frac{\text{Correctness Conditions}}{P \Rightarrow Inv}$
i := 0;	The invariant is initially true
while (i < N) do	{ Inv && B } S {Inv}
i := N	Loop preserves the invariant
$\{ i = N \}$	$(Inv \&\& \neg B) \Rightarrow Q$
	Invariant and exit implies postcondition

Which of the following conditions are loop invariants that are sufficient to prove the postcondition?

For those that are incorrect, explain why.

A)	i = 0	// not an invariant; not preserved by loop execution
B)	i = N	// not an invariant; not initially true
C)	N >= 0	// a loop invariant, but insufficient to prove postcondition
D)	i <= N	// correct loop invariant, sufficient to prove postcondition

Prove array sum correct
{ N ≥ 0 }
j := 0;
s := 0;

while (j < N) do

How can we find a loop invariant?

end { s = (Σi | 0≤i<N • a[i]) }

Prove array sum correct $\{ N \ge 0 \}$ j := 0; s := 0; How can we find a loop invariant? while (j < N) do j := j + 1; Replace N with j s := s + a[j];Add information on range of j Result: $0 \le j \le N \&\& s = (\Sigma i \mid 0 \le i < j \bullet a[i])$ end { s = (Σi | 0≤i< a[i]) }

```
• Prove array sum correct
{ N \ge 0 }
j := 0;
s := 0;
{ 0 \le j \le N && s = (\Sigmai | 0\lei<j • a[i]) }
while (j < N) do
```

end { s = (Σi | 0≤i<N • a[i]) }

```
Prove array sum correct
\{ N \ge 0 \}
i := 0;
s := 0;
\{ 0 \le j \le N \&\& s = (\Sigma i \mid 0 \le i < j \bullet a[i]) \}
while (j < N) do
     j := j + 1;
     s := s + a[j];
     \{0 \le j \le N \&\& s = (\Sigma i \mid 0 \le i < j \bullet a[i])\}
end
\{ s = (\Sigma i \mid 0 \le i < N \bullet a[i]) \}
```

```
Prove array sum correct
\{ N \ge 0 \}
i := 0;
s := 0;
\{ 0 \le j \le N \&\& s = (\Sigma i \mid 0 \le i < j \bullet a[i]) \}
while (i < N) do
     \{0 \le j \le N \&\& s = (\Sigma i \mid 0 \le i < j \bullet a[i]) \&\& j < N\}
     j := j + 1;
     s := s + a[j];
     \{0 \le j \le N \&\& s = (\Sigma i \mid 0 \le i < j \bullet a[i])\}
end
\{ s = (\Sigma i \mid 0 \le i < N \bullet a[i]) \}
```

```
Prove array sum correct
\{ N \ge 0 \}
i := 0;
                                                              Proof obligation #1
s := 0;
\{ 0 \le j \le N \&\& s = (\Sigma i \mid 0 \le i < j \bullet a[i]) \}
while (j < N) do
     \{0 \le j \le N \&\& s = (\Sigma i \mid 0 \le i < j \bullet a[i]) \&\& j < N\}
     j := j + 1;
                                                                              Proof obligation #2
     s := s + a[j];
     \{0 \le j \le N \&\& s = (\Sigma i \mid 0 \le i < j \bullet a[i]) \}
                                Proof obligation #3
end
\{ s = (\Sigma i \mid 0 \le i < N \bullet a[i]) \}
```

Invariant is initially true
{ N ≥ 0 }
j := 0;
s := 0;
{ 0 ≤ j ≤ N && s = (Σi | 0≤i<j • a[i]) }</p>

Invariant is initially true
{ N ≥ 0 }
j := 0;
S := 0;
{ 0 ≤ j ≤ N && S = (Σi | 0≤i<j • a[i]) }
Invariant is maintained
{ 0 ≤ j ≤ N && S = (Σi | 0≤i<j • a[i]) && j < N }
j := j + 1;
S := S + a[j];
{ 0 ≤ j ≤ N && S = (Σi | 0≤i<j • a[i]) }

Invariant is initially true $\{ N \ge 0 \}$ j := 0;s := 0; $\{ 0 \le j \le N \&\& s = (\Sigma i \mid 0 \le i < j \bullet a[i]) \}$ Invariant is maintained $\{0 \le j \le N \&\& s = (\Sigma i \mid 0 \le i < j \bullet a[i]) \&\& j < N\}$ i := i + 1;s := s + a[j]; $\{0 \le j \le N \&\& s = (\Sigma i \mid 0 \le i < j \bullet a[i])\}$ Invariant and exit condition imply postcondition $0 \le j \le N \&\& s = (\Sigma i \mid 0 \le i < j \bullet a[i]) \&\& j \ge N$ \Rightarrow s = (Σ i | 0 \leq i<N • a[i])

 Invariant is initially true { N ≥ 0 }

j := 0;

• Invariant is initially true $\{ N \ge 0 \}$

```
 \begin{array}{l} j := 0; \\ \{ \ 0 \leq j \leq N \ \& \& \ \pmb{0} = (\Sigma i \mid 0 \leq i < j \bullet a[i]) \ \} & // \ by \ assignment \ rule \\ s := 0; \\ \{ \ 0 \leq j \leq N \ \& \& \ s = (\Sigma i \mid 0 \leq i < j \bullet a[i]) \ \} \end{array}
```

Invariant is initially true
{ N ≥ 0 }
{ 0 ≤ 0 ≤ N && 0 = (Σi | 0≤i<0 • a[i]) } // by assignment rule</p>
j := 0;
{ 0 ≤ j ≤ N && 0 = (Σi | 0≤i<j • a[i]) } // by assignment rule</p>
s := 0;
{ 0 ≤ j ≤ N && s = (Σi | 0≤i<j • a[i]) }</p>

- Invariant is initially true
 { N ≥ 0 }
 { 0 ≤ 0 ≤ N && 0 = (Σi | 0≤i<0 a[i]) } // by assignment rule</p>
 j := 0;
 { 0 ≤ j ≤ N && 0 = (Σi | 0≤i<j a[i]) } // by assignment rule</p>
 s := 0;
 { 0 ≤ j ≤ N && s = (Σi | 0≤i<j a[i]) }</p>
- Need to show that:
 (N ≥ 0) ⇒ (0 ≤ 0 ≤ N && 0 = (Σi | 0≤i<0 a[i]))

- Invariant is initially true
 { N ≥ 0 }
 { 0 ≤ 0 ≤ N && 0 = (Σi | 0≤i<0 a[i]) } // by assignment rule</p>
 j := 0;
 { 0 ≤ j ≤ N && 0 = (Σi | 0≤i<j a[i]) } // by assignment rule</p>
 s := 0;
 { 0 ≤ j ≤ N && s = (Σi | 0≤i<j a[i]) }</p>
- Need to show that:
 (N ≥ 0) ⇒ (0 ≤ 0 ≤ N && 0 = (Σi | 0≤i<0 a[i]))
- = $(N \ge 0) \Rightarrow (0 \le N \&\& 0 = 0) // 0 \le 0$ is true, empty sum is 0

Invariant is initially true
{ N ≥ 0 }
{ 0 ≤ 0 ≤ N && 0 = (Σi | 0≤i<0 • a[i]) } // by assignment rule</p>
j := 0;
{ 0 ≤ j ≤ N && 0 = (Σi | 0≤i<j • a[i]) } // by assignment rule</p>
s := 0;
{ 0 ≤ j ≤ N && s = (Σi | 0≤i<j • a[i]) }</p>

• Need to show that: $(N \ge 0) \Rightarrow (0 \le 0 \le N \&\& 0 = (\Sigma i \mid 0 \le i < 0 \bullet a[i]))$

- = $(N \ge 0) \Rightarrow (0 \le N \&\& 0 = 0) // 0 \le 0$ is true, empty sum is 0
- $= (N \ge 0) \Rightarrow (0 \le N) \qquad // 0=0 \text{ is true, } P \&\& \text{ true is } P$

Invariant is initially true
{ N ≥ 0 }
{ 0 ≤ 0 ≤ N && 0 = (Σi | 0≤i<0 • a[i]) } // by assignment rule</p>
j := 0;
{ 0 ≤ j ≤ N && 0 = (Σi | 0≤i<j • a[i]) } // by assignment rule</p>
s := 0;
{ 0 ≤ j ≤ N && s = (Σi | 0≤i<j • a[i]) }</p>

• Need to show that:

- $(N \ge 0) \Rightarrow (0 \le 0 \le N \&\& 0 = (\Sigma i \mid 0 \le i < 0 \bullet a[i]))$
- = $(N \ge 0) \Rightarrow (0 \le N \&\& 0 = 0) // 0 \le 0$ is true, empty sum is 0
- $= (N \ge 0) \Rightarrow (0 \le N) \qquad // 0=0 \text{ is true, } P \&\& \text{ true is } P$
- = true

 Invariant is maintained {0 ≤ j ≤ N && s = (Σi | 0≤i<j • a[i]) && j < N}

```
j := j + 1;
```

```
s := s + a[j];
\{0 \le j \le N \&\& s = (\Sigma i \mid 0 \le i < j \bullet a[i]) \}
```

• Invariant is maintained $\{0 \le j \le N \&\& s = (\Sigma i \mid 0 \le i < j \bullet a[i]) \&\& j < N\}$

```
 \begin{array}{l} j := j + 1; \\ \{0 \leq j \leq N \&\& \texttt{s+a[j]} = (\Sigma i \mid 0 \leq i < j \bullet a[i]) \} & // \ by \ assignment \ rule \\ \texttt{s} := \texttt{s} + a[j]; \\ \{0 \leq j \leq N \&\& \ \texttt{s} = (\Sigma i \mid 0 \leq i < j \bullet a[i]) \} \end{array}
```

```
    Invariant is maintained
        {0 ≤ j ≤ N && s = (Σi | 0≤i<j • a[i]) && j < N}
        {0 ≤ j +1 ≤ N && s+a[j+1] = (Σi | 0≤i<j+1 • a[i]) } // by assignment rule
        j := j + 1;
        {0 ≤ j ≤ N && s+a[j] = (Σi | 0≤i<j • a[i]) } // by assignment rule
        s := s + a[j];
        {0 ≤ j ≤ N && s = (Σi | 0≤i<j • a[i]) }
        </li>
```

```
Invariant is maintained
{0 ≤ j ≤ N && s = (Σi | 0≤i<j • a[i]) && j < N}
{0 ≤ j +1 ≤ N && s+a[j+1] = (Σi | 0≤i<j+1 • a[i]) } // by assignment rule
j := j + 1;
{0 ≤ j ≤ N && s+a[j] = (Σi | 0≤i<j • a[i]) } // by assignment rule
s := s + a[j];
{0 ≤ j ≤ N && s = (Σi | 0≤i<j • a[i]) }</li>
Need to show that:
```

```
(0 \le j \le N \&\& s = (\Sigma i \mid 0 \le i < j \bullet a[i]) \&\& j < N)

\Rightarrow (0 \le j + 1 \le N \&\& s + a[j+1] = (\Sigma i \mid 0 \le i < j + 1 \bullet a[i]))
```

```
Invariant is maintained
{0 ≤ j ≤ N && s = (Σi | 0≤i<j • a[i]) && j < N}
{0 ≤ j +1 ≤ N && s+a[j+1] = (Σi | 0≤i<j+1 • a[i]) } // by assignment rule
j := j + 1;
{0 ≤ j ≤ N && s+a[j] = (Σi | 0≤i<j • a[i]) } // by assignment rule
s := s + a[j];
{0 ≤ j ≤ N && s = (Σi | 0≤i<j • a[i]) }</li>
Need to show that:
(0 ≤ j ≤ N && s = (Σi | 0≤i<j • a[i]) && j < N)
⇒ (0 ≤ j +1 ≤ N && s+a[j+1] = (Σi | 0≤i<j+1 • a[i]))</li>
(0 ≤ j < N && s = (Σi | 0≤i<j • a[i]))
⇒ (-1 ≤ j < N && s+a[j+1] = (Σi | 0≤i<j+1 • a[i])) // simplify bounds of j</li>
```

```
Invariant is maintained
      \{0 \le i \le N \&\& s = (\Sigma i \mid 0 \le i < j \bullet a[i]) \&\& i < N\}
      \{0 \le j + 1 \le N \&\& s + a[j+1] = (\Sigma i | 0 \le i < j+1 \bullet a[i]) \} // by assignment rule
      i := i + 1:
      \{0 \le j \le N \& \& s+a[j] = (\Sigma i \mid 0 \le i < j \bullet a[i]) \} // by assignment rule
      s := s + a[i];
      \{0 \le j \le N \&\& s = (\Sigma i \mid 0 \le i < j \bullet a[i]) \}
     Need to show that:
      (0 \le i \le N \&\& s = (\Sigma i \mid 0 \le i < j \bullet a[i]) \&\& i < N)
             \Rightarrow (0 \leq j +1 \leq N && s+a[j+1] = (\Sigmai | 0\leqi<j+1 • a[i]))
     (0 \le j < N \&\& s = (\Sigma i \mid 0 \le i < j \bullet a[i]))
=
             \Rightarrow (-1 \leq i < N && s+a[i+1] = (\Sigmai | 0\leqi<i+1 • a[i]))
                                                                                               // simplify bounds of j
     (0 \le j < N \&\& s = (\Sigma i \mid 0 \le i < j \bullet a[i]))
=
             \Rightarrow (-1 \leq j < N && s+a[j+1] = (\Sigmai | 0\leqi<j • a[i]) + a[j] ) // separate last element
```

```
Invariant is maintained
      \{0 \le i \le N \&\& s = (\Sigma i \mid 0 \le i < j \bullet a[i]) \&\& i < N\}
      \{0 \le j + 1 \le N \&\& s + a[j+1] = (\Sigma i | 0 \le i < j+1 \bullet a[i]) \} // by assignment rule
      i := i + 1:
      \{0 \le j \le N \& \& s+a[j] = (\Sigma i \mid 0 \le i < j \bullet a[i]) \} // by assignment rule
      s := s + a[i];
      \{0 \le i \le N \&\& s = (\Sigma i \mid 0 \le i < j \bullet a[i]) \}
     Need to show that:
      (0 \le i \le N \&\& s = (\Sigma i \mid 0 \le i < j \bullet a[i]) \&\& i < N)
             \Rightarrow (0 \leq j +1 \leq N && s+a[j+1] = (\Sigmai | 0\leqi<j+1 • a[i]))
    (0 \le j < N \&\& s = (\Sigma i \mid 0 \le i < j \bullet a[i]))
=
             \Rightarrow (-1 \leq i < N && s+a[i+1] = (\Sigmai | 0\leqi<i+1 • a[i]))
                                                                                            // simplify bounds of j
    (0 \le j < N \&\& s = (\Sigma i \mid 0 \le i < j \bullet a[i]))
=
             \Rightarrow (-1 \leq j < N && s+a[j+1] = (\Sigmai | 0\leqi<j • a[i]) + a[j] ) // separate last element
// we have a problem – we need a[j+1] and a[j] to cancel out
```

Where's the error?

Prove array sum correct
{ N ≥ 0 }
j := 0;
s := 0;

while (j < N) do

end { s = (Σi | 0≤i<N • a[i]) }

Where's the error?

Prove array sum correct
{ N ≥ 0 }
j := 0;
s := 0;



end { s = (Σi | 0≤i<N • a[i]) }

Corrected Code

Prove array sum correct
{ N ≥ 0 }
j := 0;
s := 0;

while (j < N) do

end { s = (Σi | 0≤i<N • a[i]) }

 Invariant is maintained {0 ≤ j ≤ N && s = (Σi | 0≤i<j • a[i]) && j < N}

```
s := s + a[j];
```

j := j + 1;{ $0 \le j \le N \&\& s = (\Sigma i \mid 0 \le i < j \bullet a[i])$ }

 Invariant is maintained {0 ≤ j ≤ N && s = (Σi | 0≤i<j • a[i]) && j < N}

```
\begin{split} s &:= s + a[j]; \\ \{0 \leq j + 1 \leq N \&\& s = (\Sigma i \mid 0 \leq i < j + 1 \bullet a[i]) \} \\ j &:= j + 1; \\ \{0 \leq j \leq N \&\& s = (\Sigma i \mid 0 \leq i < j \bullet a[i]) \} \end{split}
```

```
// by assignment rule
```

```
Invariant is maintained
\{0 \le j \le N \&\& s = (\Sigma i \mid 0 \le i < j \bullet a[i]) \&\& j < N\}
\{0 \le j + 1 \le N \& \& s + a[j] = (\Sigma i | 0 \le i < j + 1 \bullet a[i]) \} // by assignment rule
s := s + a[j];
\{0 \le j + 1 \le N \&\& s = (\Sigma i \mid 0 \le i < j + 1 \bullet a[i]) \}
j := j + 1;
\{0 \le j \le N \&\& s = (\Sigma i \mid 0 \le i < j \bullet a[i]) \}
```

```
// by assignment rule
```
- Invariant is maintained
 {0 ≤ j ≤ N && s = (Σi | 0≤i<j a[i]) && j < N}
 {0 ≤ j +1 ≤ N && s+a[j] = (Σi | 0≤i<j+1 a[i]) } // by assignment rule
 s := s + a[j];
 {0 ≤ j +1 ≤ N && s = (Σi | 0≤i<j+1 a[i]) } // by assignment rule
 j := j + 1;
 {0 ≤ j ≤ N && s = (Σi | 0≤i<j a[i]) }
 Need to show that:
 - $\begin{array}{l} (0 \le j \le N \&\& \ s = (\Sigma i \mid 0 \le i < j \bullet a[i]) \&\& \ j < N) \\ \implies (0 \le j + 1 \le N \&\& \ s + a[j] = (\Sigma i \mid 0 \le i < j + 1 \bullet a[i])) \end{array}$

Invariant is maintained {0 ≤ j ≤ N && s = (Σi | 0≤i<j • a[i]) && j < N} {0 ≤ j +1 ≤ N && s+a[j] = (Σi | 0≤i<j+1 • a[i]) } // by assignment rule s := s + a[j]; {0 ≤ j +1 ≤ N && s = (Σi | 0≤i<j+1 • a[i]) } // by assignment rule j := j + 1; {0 ≤ j ≤ N && s = (Σi | 0≤i<j • a[i]) }
Need to show that: (0 ≤ j ≤ N && s = (Σi | 0≤i<j • a[i]) && j < N) ⇒ (0 ≤ j +1 ≤ N && s+a[j] = (Σi | 0≤i<j+1 • a[i]))
(0 ≤ j < N && s = (Σi | 0≤i<j • a[i])) ⇒ (-1 ≤ j < N && s+a[j] = (Σi | 0≤i<j+1 • a[i])) // simplify bounds of j







Invariant and exit condition implies postcondition
 0 ≤ j ≤ N && s = (Σi | 0≤i<j • a[i]) && j ≥ N
 ⇒ s = (Σi | 0≤i<N • a[i])

 Invariant and exit condition implies postcondition
 0 ≤ j ≤ N && s = (Σi | 0≤i<j • a[i]) && j ≥ N
 ⇒ s = (Σi | 0≤i<N • a[i])
 = 0 ≤ j && j = N && s = (Σi | 0≤i<j • a[i])
 ⇒ s = (Σi | 0≤i<N • a[i])
 // because (j ≤ N && j ≥ N) = (j = N)

Invariant and exit condition implies postcondition

 0 ≤ j ≤ N && s = (Σi | 0≤i<j • a[i]) && j ≥ N
 ⇒ s = (Σi | 0≤i<N • a[i])

 0 ≤ j && j = N && s = (Σi | 0≤i<j • a[i])

 ⇒ s = (Σi | 0≤i<N • a[i])
 ⇒ s = (Σi | 0≤i<N • a[i])
 // because (j ≤ N && j ≥ N) = (j = N)

 0 ≤ N && s = (Σi | 0≤i<N • a[i]) ⇒ s = (Σi | 0≤i<N • a[i])
 // by substituting N for j, since j = N

- Invariant and exit condition implies postcondition 0 ≤ j ≤ N && s = (Σi | 0≤i<j • a[i]) && j ≥ N ⇒ s = (Σi | 0≤i<N • a[i]) 0 ≤ j && j = N && s = (Σi | 0≤i<j • a[i]) ⇒ s = (Σi | 0≤i<N • a[i]) // because (j ≤ N && j ≥ N) = (j = N) 0 ≤ N && s = (Σi | 0≤i<N • a[i]) ⇒ s = (Σi | 0≤i<N • a[i]) // by substituting N for j, since j = N = true // because P && Q ⇒ Q

• For the program below and the invariant i <= N, write the proof obligations. The form of your answer should be three mathematical implications.

```
{ N >= 0 }
i := 0;
while (i < N) do
i := N
```

 $\{ \ i=N \ \}$

- Invariant is initially true:
- Invariant is preserved by the loop body:
- Invariant and exit condition imply postcondition:

• For the program below and the invariant i <= N, write the proof obligations. The form of your answer should be three mathematical implications.

```
{ N >= 0 }
i := 0;
{ i <= N }
while (i < N) do
```

i := N { i <= N }

 $\{ \ i=N \ \}$

- Invariant is initially true:
- Invariant is preserved by the loop body:
- Invariant and exit condition imply postcondition:

```
{ N >= 0 }
i := 0;
{ i <= N }
while (i < N) do
    { i <= N && I < N}
i := N
    { i <= N }
{ i <= N }</pre>
```

- Invariant is initially true:
- Invariant is preserved by the loop body:
- Invariant and exit condition imply postcondition:

```
{ N >= 0 }
i := 0;
{ i <= N }
while (i < N) do
    { i <= N && I < N}
i := N
    { i <= N }
{ i <= N && i >= N }
{ i <= N && i >= N }
< i = N </pre>
```

- Invariant is initially true:
- Invariant is preserved by the loop body:
- Invariant and exit condition imply postcondition: i <= N && i >= N ==> i = N

```
{ N >= 0 }
i := 0;
{ i <= N }
while (i < N) do
    { i <= N && I < N}
    { N <= N }
    i := N
    { i <= N }
{ i <= N && i >= N }
{ i <= N }
</pre>
```

- Invariant is initially true:
- Invariant is preserved by the loop body: I <= N && I < N ==> N <= N
- Invariant and exit condition imply postcondition: i <= N && i >= N ==> i = N

```
 \{ N \ge 0 \} \\ \{ 0 \le N \} \\ i := 0; \\ \{ i \le N \} \\ while (i < N) do \\ \{ i \le N \& i < N \} \\ \{ N \le N \} \\ i := N \\ \{ i <= N \} \}
```

- Invariant is initially true:
- Invariant is preserved by the loop body: I <= N && I < N ==> N <= N
- Invariant and exit condition imply postcondition: i <= N && i >= N ==> i = N

```
{ N >= 0 }
{ 0 <= N }
i := 0;
{ i <= N }
while (i < N) do
    { i <= N && I < N}
    { N <= N }
    i := N
    { i <= N }
{ i <= N && i >= N }
{ i <= N && i >= N }
}
```

- Invariant is initially true: N >= 0 ==> 0 <= N
- Invariant is preserved by the loop body: I <= N && I < N ==> N <= N
- Invariant and exit condition imply postcondition: i <= N && i >= N ==> i = N

Invariant Intuition

- For code without loops, we are simulating execution directly
 - We prove one Hoare Triple for each statement, and each statement is executed once
- For code with loops, we are doing one proof of correctness for multiple loop iterations
 - Proof must cover all iterations
 - Don't know how many there will be
 - The invariant must be *general* yet *precise*
 - general enough to be true for every execution
 - precise enough to imply the postcondition we need
 - This tension makes inferring loop invariants challenging

- {P} while B do S {Q}
- Partial correctness:
 - Find an invariant Inv such that:
 - $P \Rightarrow Inv$
 - The invariant is initially true
 - { Inv && B } S {Inv}
 - Each execution of the loop preserves the invariant
 - $(Inv \&\& \neg B) \Rightarrow Q$
 - The invariant and the loop exit condition imply the postcondition
- Total correctness
 - Loop will terminate

We haven't proven termination

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{ true }
i := 0
while (true) do { true }
i := i + 1;
{ i == -1 }
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- This program verifies (as partially correct)
 - Loop invariant trivially true initially and trivially preserved
 - Postcondition check:
 - (not(true) && true) => (i == -1)= (false && true) => (i == -1) = (false) => (i == -1)

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 - Postcondition check:
 - (not(true) && true) => (i == -1) = (false && true) => (i == -1)

 - = (false) => (i = -1)
 - = true
 - Partial correctness: if the program terminates, then the postcondition will hold
 - Doesn't say anything about the postcondition if the program does not terminate—any postcondition is OK.
 - We need a stronger correctness property

Specification and Correctness

Termination

- $\{ N \ge 0 \}$ j := 0; s := 0;
- while (j < N) do

end { s = (Σi | 0≤i<N • a[i]) }

Specification and Correctness

 How would you prove this program terminates?

Termination

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while (j < N) do

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- How would you prove this program terminates?
- Consider the loop
 - What is the maximum number of times it could execute?
 - Use induction to prove this bound is correct

Specification and Correctness

- {P} while B do S {Q}
- Partial correctness:
 - Find an invariant Inv such that:
 - $P \Rightarrow Inv$
 - The invariant is initially true
 - { Inv_&& B } S {Inv}
 - Each execution of the loop preserves the invariant
 - $(Inv \&\& \neg B) \Rightarrow Q$
 - The invariant and the loop exit condition imply the postcondition
- Termination bound
 - Find a *variant function* v such that:
 - v is an upper bound on the number of loops remaining

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 - Find a *variant function* v such that:
 - v is an upper bound on the number of loops remaining
 - { Inv && B && v=V } S {v < V}
 - The variant function decreases each time the loop body executes
 - $(Inv \&\& v \le 0) \Rightarrow \neg B$
 - If we the variant function reaches zero, we must exit the loop

Total Correctness Example

while (j < N) do $\{0 \le j \le N \&\& s = (\Sigma i \mid 0 \le i < j \bullet a[i]) \&\& j < N\}$ s := s + a[j]; j := j + 1; $\{0 \le j \le N \&\& s = (\Sigma i \mid 0 \le i < j \bullet a[i]) \}$ end

Variant function for this loop?

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while (j < N) do $\{0 \le j \le N \&\& s = (\Sigma i \mid 0 \le i < j \bullet a[i]) \&\& j < N\}$ s := s + a[j]; j := j + 1; $\{0 \le j \le N \&\& s = (\Sigma i \mid 0 \le i < j \bullet a[i]) \}$ end

- Variant function for this loop?
 - N-j

Guessing Variant Functions

- Loops with an index
 - N±i
 - Applies if you always add or always subtract a constant, and if you exit the loop when the index reaches some constant
 - Use N-i if you are incrementing i, N+i if you are decrementing i
 - Set N such that $N \pm i \le 0$ at loop exit
- Other loops
 - Find an expression that is an upper bound on the number of iterations left in the loop

- Variant function for this loop: N-j
- To show: variant function is decreasing
 {0 ≤ j ≤ N && s = (Σi | 0≤i<j a[i]) && j < N && N-j = V}
 s := s + a[j];
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 {N-j < V}

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- To show: exit the loop once variant function reaches 0 (0 ≤ j ≤ N && s = (Σi | 0≤i<j • a[i]) && N-j ≤ 0) ⇒ j ≥ N

To show: variant function is decreasing
 {0 ≤ j ≤ N && s = (Σi | 0≤i<j • a[i]) && j < N && N-j = V}

s := s + a[j];

j := j + 1; {N-j < V}

Specification and Correctness

To show: variant function is decreasing • $\{0 \le j \le N \&\& s = (\Sigma i \mid 0 \le i < j \bullet a[i]) \&\& j < N \&\& N - j = V\}$

```
s := s + a[j];
\{N-(j+1) < V\} // by assignment
j := j + 1;
\{N-i < V\}
```

Specification and Correctness

To show: variant function is decreasing
 {0 ≤ j ≤ N && s = (Σi | 0≤i<j • a[i]) && j < N && N-j = V}
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 Need to show:

```
(0 ≤ j ≤ N && s = (Σi | 0≤i<j • a[i]) && j < N && N-j = V)

⇒ (N-(j+1) < V)

Assume 0 ≤ j ≤ N && s = (Σi | 0≤i<j • a[i]) && j < N && N-j = V
```
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(0 \le j \le N \&\& s = (\Sigma i \mid 0 \le i < j \bullet a[i]) \&\& j < N \&\& N-j = V)

\Rightarrow (N-(j+1) < V)

Assume 0 \le j \le N \&\& s = (\Sigma i \mid 0 \le i < j \bullet a[i]) \&\& j < N \&\& N-j = V

By weakening we have N-j = V
```

To show: variant function is decreasing {0 ≤ j ≤ N && s = (Σi | 0≤i<j • a[i]) && j < N && N-j = V} {N-(j+1) < V} // by assignment s := s + a[j]; {N-(j+1) < V} // by assignment j := j + 1; {N-j < V}
Need to show: (0 ≤ j ≤ N && s = (Σi | 0≤i<j • a[i]) && j < N && N-j = V) ⇒ (N-(j+1) < V)
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Assume $0 \le j \le N \&\& s = (\Sigma i | 0 \le i < j \bullet a[i]) \&\& j < N \&\& N-j = V$ By weakening we have N-j = V Therefore N-j-1 < V

- To show: variant function is decreasing {0 ≤ j ≤ N && s = (Σi | 0≤i<j • a[i]) && j < N && N-j = V} {N-(j+1) < V} // by assignment s := s + a[j]; {N-(j+1) < V} // by assignment j := j + 1; {N-j < V}
 Need to show: (0 ≤ j ≤ N && s = (Σi | 0≤i<j • a[i]) && j < N && N-j = V) ⇒ (N-(j+1) < V)
- Assume $0 \le j \le N \&\& s = (\Sigma i \mid 0 \le i < j \bullet a[i]) \&\& j < N \&\& N-j = V$
- By weakening we have N-j = V
- Therefore N-j-1 < V

But this is equivalent to N-(j+1) < V, so we are done.

 To show: exit the loop once variant function reaches 0 (0 ≤ j ≤ N && s = (Σi | 0≤i<j • a[i]) && N-j ≤ 0) ⇒ j ≥ N

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- $= true \qquad // (N \le j) = (j \ge N), P \&\& Q \Longrightarrow P$

Quick Quiz

```
For each of the following loops, is the given variant function correct? If not, why not?
                n := 256;
A)
     Loop:
                while (n > 1) do
                     n := n / 2
     Variant Function:
                         log<sub>2</sub> n
B)
                n := 100;
     Loop:
                while (n > 0) do
                     if (random())
                           then n := n + 1;
                           else n := n - 1;
     Variant Function:
                           n
C)
     Loop:
                n := 0;
                while (n < 10) do
                     n := n + 1;
     Variant Function:
                           -n
```

Session Summary

- While testing can find bugs, formal verification can assure their absence
- Hoare Logic is a mechanical approach for verifying software
 - Creativity is required in finding loop invariants, however

Further Reading

 C.A.R. Hoare. An Axiomatic Basis for Computer Programming. Communications of the ACM 12(10):576-580, October 1969.