Principles of Software Construction: Objects, Design, and Concurrency

Invariants, immutability, and testing

Charlie Garrod

Chris Timperley



17-214



Administrivia

- Homework 4a due Thursday at 11:59 p.m.
 - Mandatory design review meeting before the homework deadline
- Final exam is Monday, December 9th, 1–4pm



Outline

- Class invariants and defensive copying
- Immutability
- Testing and coverage
- Testing for complex environments

Class invariants

- Critical properties of the fields of an object
- Established by the constructor
- Maintained by public method invocations
 - May be invalidated temporarily during method execution



Safe languages and robust programs

- Unlike C/C++, Java language *safe*
 - Immune to buffer overruns, wild pointers, etc.
- Makes it possible to write *robust* classes
 - Correctness doesn't depend on other modules
 - Even in safe language, requires programmer effort

5

Defensive programming

- Assume clients will try to destroy invariants
 - May actually be true (malicious hackers)
 - More likely: honest mistakes
- Ensure class invariants survive any inputs
 - Defensive copying
 - Minimizing mutability

This class is not robust

```
public final class Period {
   private final Date start, end; // Invariant: start <= end
   /**
    * @throws IllegalArgumentException if start > end
    * @throws NullPointerException if start or end is null
    */
   public Period(Date start, Date end) {
      if (start.after(end))
          throw new IllegalArgumentException(start + " > " + end);
      this.start = start;
     this.end = end;
   }
   public Date start() { return start; }
   public Date end() { return end; }
   ... // Remainder omitted
}
```



The problem: Date is mutable

Obsolete as of Java 8; sadly not deprecated even in Java 11

// Attack the internals of a Period instance
Date start = new Date(); // (The current time)
Date end = new Date(); // " " "
Period p = new Period(start, end);
end.setYear(78); // Modifies internals of p!



The solution: defensive copying

```
// Repaired constructor - defensively copies parameters
public Period(Date start, Date end) {
    this.start = new Date(start.getTime());
    this.end = new Date(end.getTime());
    if (this.start.after(this.end))
        throw new IllegalArgumentException(start + " > "+
    end);
}
```



A few important details

- Copies made before checking parameters
- Validity check performed on copies
- Eliminates window of vulnerability between validity check & copy
- Thwarts multithreaded TOCTOU attack
 - Time-Of-Check-To-Time-Of-U

```
// BROKEN - Permits multithreaded attack!
public Period(Date start, Date end) {
    if (start.after(end))
        throw new IllegalArgumentException(start + " > " + end);
    // Window of vulnerability
    this.start = new Date(start.getTime());
    this.end = new Date(end.getTime());
}
```

Another important detail

- Used constructor, not clone, to make copies
 - Necessary because Date class is nonfinal
 - Attacker could implement malicious subclass
 - Records reference to each extant instance
 - Provides attacker with access to instance list
- But who uses clone, anyway? [EJ Item 11]



Unfortunately, constructors are only half the battle

```
// Accessor attack on internals of Period
Period p = new Period(new Date(), new Date());
Date d = p.end();
p.end.setYear(78); // Modifies internals of p!
```



The solution: more defensive copying

```
// Repaired accessors - defensively copy fields
public Date start() {
    return new Date(start.getTime());
}
public Date end() {
    return new Date(end.getTime());
}
```

Now Period class is robust!



Summary

- Don't incorporate mutable parameters into object; make defensive copies
- Return defensive copies of mutable fields...
- Or return unmodifiable view of mutable fields
- Real lesson use *immutable* components
 - Eliminates the need for defensive copying



Outline

- Class invariants and defensive copying
- Immutability
- Testing and coverage
- Testing for complex environments



Immutable classes

- Class whose instances cannot be modified
- Examples: String, Integer, BigInteger, Instant
- How, why, and when to use them



How to write an immutable class

- Don't provide any mutators
- Ensure that no methods may be overridden
- Make all fields final
- Make all fields private
- Ensure security of any mutable components

Immutable class example

```
public final class Complex {
   private final double re, im;
   public Complex(double re, double im) {
       this.re = re;
       this.im = im;
    }
   // Getters without corresponding setters
    public double realPart() { return re; }
   public double imaginaryPart() { return im; }
   // minus, times, dividedBy similar to add
   public Complex plus(Complex c) {
        return new Complex(re + c.re, im + c.im);
    }
```



Immutable class example (cont.)

Nothing interesting here

```
@Override public boolean equals(Object o) {
    if (!(o instanceof Complex)) return false;
    Complex c = (Complex) o;
    return Double.compare(re, c.re) == 0 &&
           Double.compare(im, c.im) == 0;
}
@Override public int hashCode() {
   return 31 * Double.hashCode(re) + Double.hashCode(im);
}
@Override public String toString() {
    return String.format("%d + %di", re, im)";
}
```



}

Distinguishing characteristic

- Return new instance instead of modifying
- Functional programming
- May seem unnatural at first
- Many advantages



Advantages

- Simplicity
- Inherently Thread-Safe
- Can be shared freely
- No need for defensive copies
- Excellent building blocks



Major disadvantage

- Separate instance for each distinct value
- Creating these instances can be costly
 BigInteger moby = ...; // A million bits long
 moby = moby.flipBit(0); // Ouch!
- Problem magnified for multistep operations
 - Well-designed immutable classes provide common multistep operations
 - e.g., myBigInteger.modPow(exponent, modulus)
 - Alternative: mutable companion class
 - e.g., StringBuilder for String



When to make classes immutable

- Always, unless there's a good reason not to
- Always make small "value classes" immutable!
 - Examples: Color, PhoneNumber, Unit
 - Date and Point were mistakes!
 - Experts often use long instead of Date

When to make classes mutable

- Class represents entity whose state changes
 - Real-world BankAccount, TrafficLight
 - Abstract Iterator, Matcher, Collection
 - Process classes Thread, Timer
- If class must be mutable, *minimize mutability*
 - Constructors should fully initialize instance
 - Avoid reinitialize methods



Outline

- Class Invariants
- Immutability
- Testing and coverage
- Testing for complex environments



Why do we test?





Testing decisions

- Who tests?
 - Developers who wrote the code
 - Quality Assurance Team and Technical Writers
 - Customers

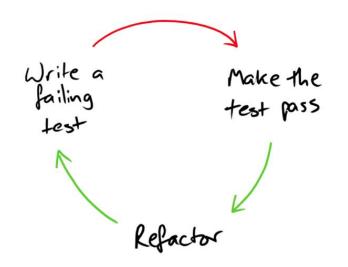
• When to test?

- Before and during development
- After milestones
- Before shipping
- After shipping
- When to stop testing?



Test driven development (TDD)

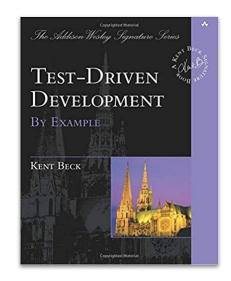
- Write tests before code
- Never write code without a failing test
- Code until the failing test passes



From Growing Object-Oriented Software by Nat Pryce and Steve Freeman http://www.growing-object-oriented-software.com/figures.html

@sebrose

http://cucumber.io







Why use test driven development?

- Forces you to think about interfaces early
- Higher product quality
 - Better code with fewer defects
- Higher test suite quality
- Higher productivity
- It's fun to watch tests pass



TDD in practice

- Empirical studies on TDD show:
 - May require more effort
 - May improve quality and save time
- Selective use of TDD is best
- Always use TDD for bug reports
 - Regression tests

Testing decisions

- Who tests?
 - Developers who wrote the code
 - Quality Assurance Team and Technical Writers
 - Customers
- When to test?
 - Before and during development
 - After milestones
 - Before shipping
 - After shipping
- When to stop testing?



How much testing?

- You generally cannot test all inputs
 - Too many usually infinite
 - Limited time and resources
- But when it works, exhaustive testing is best!

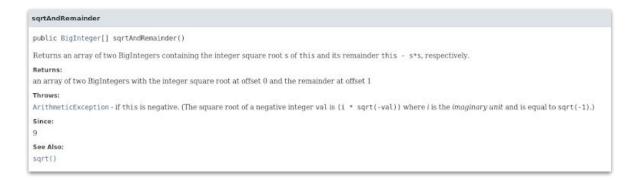


What makes a good test suite?

- Provides high confidence that code is correct
- Short, clear, and non-repetitious
 - Prefer smaller, more-directed tests
 - More difficult for test suites than regular code
 - Realistically, test suites will look worse
- Can be fun to write if approached in this spirit

Black-box testing

- Look at specifications, not code
- Test representative cases
- Test boundary conditions
- Test invalid (exception) cases
- Don't test unspecified cases





White-box testing

- Look at specifications and code
- Write tests to:
 - Check interesting implementation cases
 - Maximize branch coverage

2467 2468 2469 2470 2471	<pre>/** * Returns a BigInteger whose value is the greatest common divisor of * {@code abs(this)} and {@code abs(val)}. Returns 0 if * {@code this == 0 && val == 0}. *</pre>
gcd	<pre>* @param val value with which the GCD is to be computed. * @return {@code GCD(abs(this), abs(val))}</pre>
<pre>public BigInteger gcd(BigInteger val) Returns a BigInteger whose value is the greatest common divisor of abs(this) and abs(val). Returns 0 if this == 0 && val == 0. Parameters: val - value with which the GCD is to be computed.</pre>	<pre>*/ public BigInteger ↔gcd(BigInteger val) { if (val.signum == 0) return this.abs(); else if (this.signum == 0) return val.abs();</pre>
Returns: GCD(abs(this), abs(val)) 2483	<pre>MutableBigInteger a = new MutableBigInteger(this); MutableBigInteger b = new MutableBigInteger(val);</pre>
2484 2485	<pre>MutableBigInteger result = a.hybridGCD(b);</pre>
2486 2487	<pre>return result.toBigInteger(1); }</pre>



Code coverage metrics

- Method coverage coarse
- Branch coverage fine
- Path coverage too fine
 - Cost is high, value is low
 - (Related to cyclomatic complexity)
- ...



Coverage metrics: useful but dangerous

- Can give false sense of security
- Examples of what coverage analysis could miss
 - Data values
 - Concurrency issues race conditions, etc.
 - Usability problems
 - Customer requirements issues
- High branch coverage is not sufficient



Summary: Test suites – ideal and real

- Ideal test suites would
 - Uncover all errors in code
 - Test "non-functional" attributes such as performance and security
 - Minimum size and complexity
- Real test Suites
 - Uncover some portion of errors in code
 - Have errors of their own
 - Are nonetheless priceless

Automated Test Generation



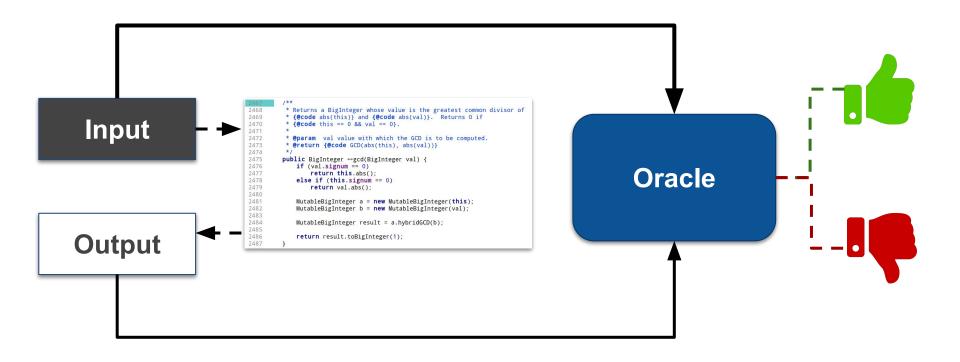
Fuzz Testing

- Also known as random input testing, torture testing
- Try "random" inputs, as many as you can
 - Choose inputs to tickle interesting cases
 - Knowledge of implementation helps here
- Seed random number generator so tests repeatable
- Successful in some domains (parsers, file processing, ...)
 - But, many tests execute similar paths
 - Generally hard to reach certain program states
 - Often finds only superficial errors



Oracle Problem

How should my program behave for any given input?





A simple oracle: The program shouldn't crash



American Fuzzy Lop (AFL)



- + No need to manually specify an oracle!
- + Relatively low engineering effort
- Limited to crashing bugs

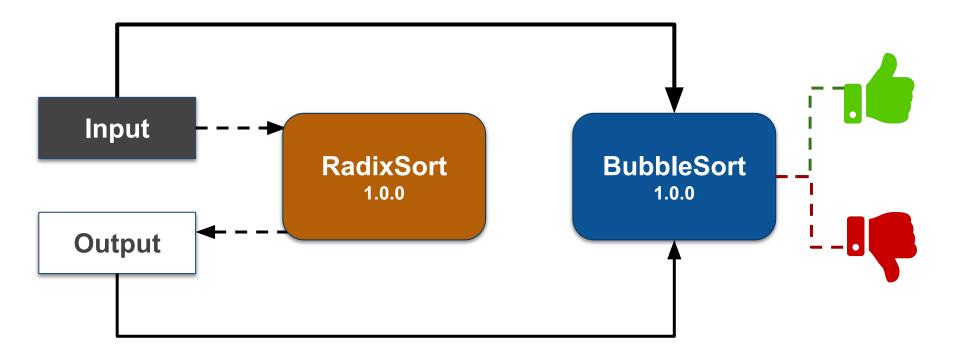
- process timing run time : 0 days, 0 hrs, 4 mi last new path : 0 days, 0 hrs, 0 mi last unig crash : none seen yet last unig hang : 0 days, 0 hrs, 1 mi - cycle progress	n, 26 sec total paths : 195 unig crashes : 0
now processing : 38 (19.49%) paths timed out : 0 (0.00%) - stage progress	map density : 1217 (7.43%) count coverage : 2.55 bits/tuple - findings in depth
now trying : interest 32/8 stage execs : 0/9990 (0.00%) total execs : 654k	favored paths : 128 (65.64%) new edges on : 85 (43.59%) total crashes : 0 (0 unique)
exec speed : 2306/sec - fuzzing strategy yields bit flips : 88/14.4k, 6/14.4k, 6/14 byte flips : 0/1804, 0/1786, 1/1750	pending : 178
arîthmetics : 31/126k, 3/45.6k, 1/17. known ints : 1/15.8k, 4/65.8k, 6/78. havoc : 34/254k, 0/0 trim : 2876 B/931 (61.45% gair	2k imported : 0 variable : 0

https://domesticanimalbreeds.com/american-fuzzy-lop-rabbit-everything-you-need-to-know/ http://lcamtuf.coredump.cx/afl/ https://embed.cs.utah.edu/csmith/



Another alternative: Differential Testing

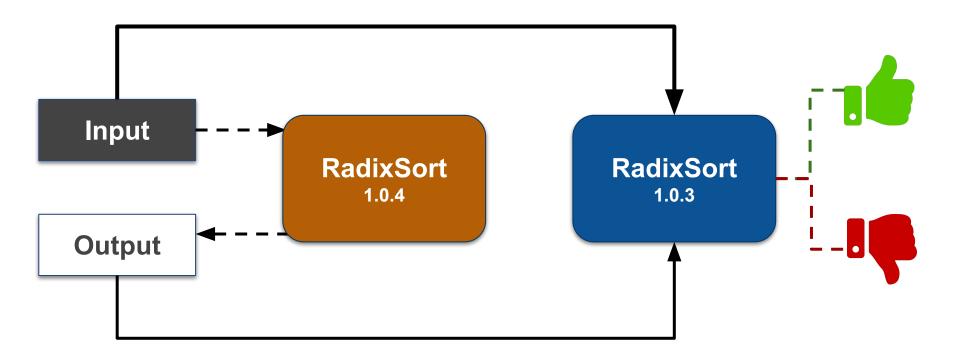
Use an existing, functionally-equivalent implementation as a reference. (E.g., a correct implementation with undesirable non-functional properties.)





Another alternative: Differential Testing

Alternatively, we can use an older, correct implementation.





No reference implementation? Property-based testing

Unit testing generally relies on checking concrete input-output examples. Property-based testing checks that certain *properties* hold true for all possible inputs.

- Attempts to generates inputs that violate properties.
- Easier to specify than expected outputs!
- What properties should I check?

```
@RunWith(JUnitQuickcheck.class)
public class StringProperties {
    @Property public void concatenationLength(String s1, String s2) {
    assertEquals(s1.length() + s2.length(), (s1 + s2).length());
    }
}
```



EvoSuite: Automated Test Generation for Java



- Generates minimal, coverage-maximizing test suites.
- Uses dynamic specification inference to suggest assertions that can be used by those tests.

http://www.evosuite.org/evosuite/



Summary

- Automated test generation is not a panacea.
 - Can be difficult to reach "interesting" program states
 - Requires an oracle
 - Cheap to automatically generate tests, but expensive to maintain.
- But it is a useful technique!
 - Complements developer-written tests
 - Can be better at identifying certain bug classes



Outline

- Class invariants
- Immutability
- Testing and coverage
- Testing for complex environments

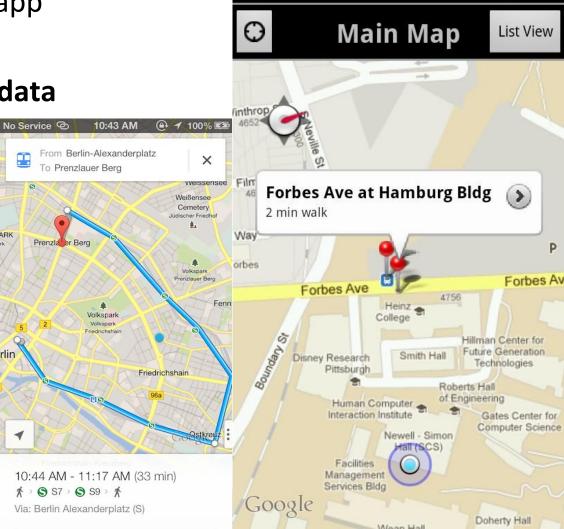
Problems when testing some apps

- User-facing applications
 - Users click, drag, etc., and interpret output
 - Timing issues
- Testing against big infrastructure
 - Databases, web services, etc.
- Real world effects
 - Printing, mailing documents, sensor noise, etc.
- Collectively comprise the *test environment*



Example – Tiramisu app

- Mobile route planning app
- Android user interface
- Backend uses live PAT data



3G

98

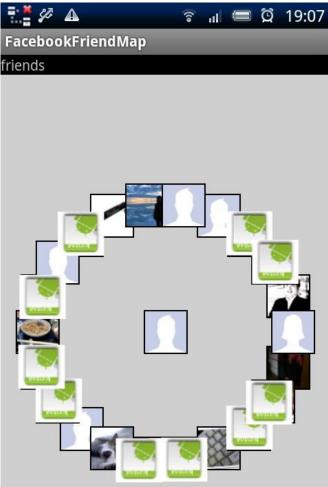
0 0

5:16

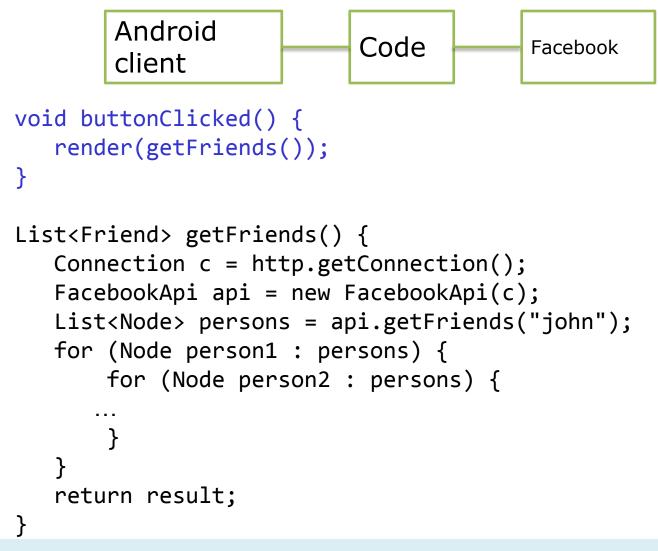
Another example

- 3rd party Facebook apps
- Android user interface
- Backend uses Facebook data



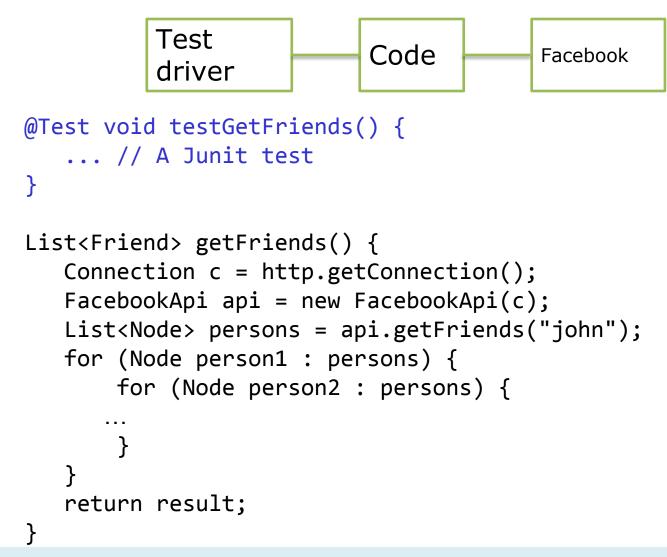


Testing in real environments





Eliminating Android dependency?





That won't quite work

- GUI applications process many thousands of events
- Solution: automated GUI testing frameworks
 - Allow streams of GUI events to be captured, replayed
- These tools are sometimes called *robots*



The more general case: Record and replay





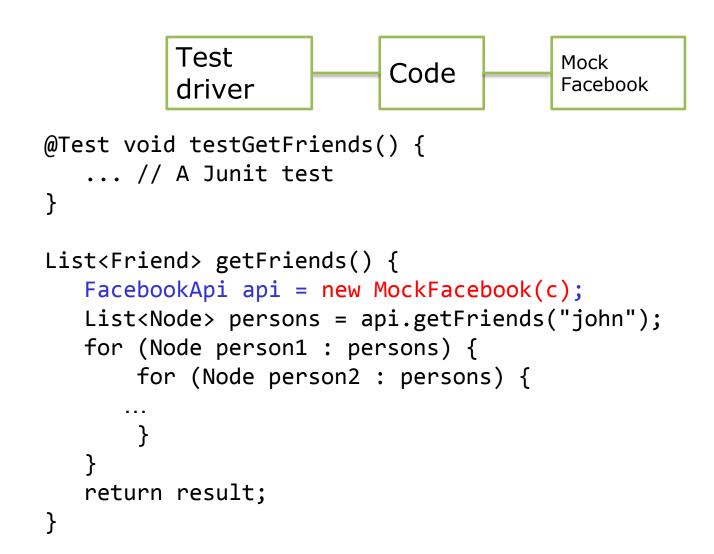




https://github.com/SeleniumHQ/selenium https://netflix.github.io/pollyis/#/ https://wiki.ros.org/rosbag



Eliminating Facebook dependency?





That won't quite work!

- Changing production code for testing unacceptable
- Problem caused by constructor in code
- Instead of constructor, use special factory that allows alternative implementations
- Use tools to facilitate this sort of testing
 - Dependency injection tools, e.g., Dagger, Guice, Spring
 - Mock object frameworks such as Mockito



Fault injection



- Mocks can emulate failures such as timeouts
- Allows you to verify the robustness of system against faults that you can't generate at will

https://github.com/mrwilson/byte-monkey https://blog.probablyfine.co.uk/2016/05/30/announcing-byte-monkey.html





Advantages of using mocks

- Test code locally without large environment
- Enable deterministic tests (in some cases)
- Enable fault injection
- Can speed up test execution
 - e.g., avoid slow database access
- Can simulate functionality not yet implemented
- Enable test automation

Design Implications

- Think about testability when writing code
- When a mock may be appropriate, design for it
- Hide subsystems behind an interfaces
- Use factories, not constructors to instantiate
- Use appropriate tools
 - Dependency injection or mocking frameworks



Hardware differences matter...



https://engineering.fb.com/android/the-mobile-device-lab-at-the-prineville-data-center/ https://medium.com/netflix-techblog/automated-testing-on-devices-fc5a39f47e24 https://ai.google/research/teams/brain/robotics/





More Testing in 15-313 Foundations of Software Engineering

- Manual testing
- Security testing, penetration testing
- Fuzz testing for reliability
- Usability testing
- GUI/Web testing
- Regression testing
- Property-based testing
- Differential testing
- Stress/soak testing



Conclusion

- To maintain class invariants
 - Minimize mutability
 - Make defensive copies where required
- Interface testing is critical
 - Design interfaces to facilitate testing
 - Write creative test suites that maximize power-to-weight ratio
 - Coverage tools can help gauge test suite quality
- Testing apps with complex environments requires added effort

