

Sorting Algorithms

July 17th, 2021 (Class #2)

A quick refresher...

- What is an algorithm?

A sequence of **simple steps** to solve a problem.

A quick refresher...

- How do we measure how fast an algorithm is?

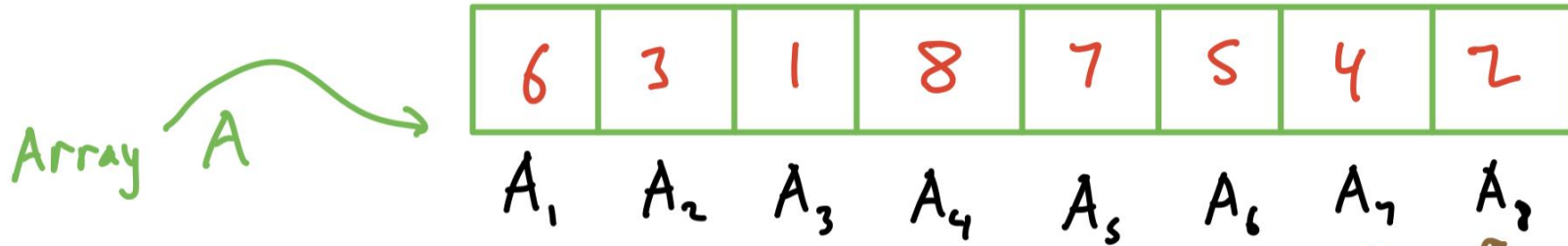
$T(n)$: The number of simple steps needed
in the worst case.

We cared about how $T(n)$ scaled with n .

$O(2^n)$? $O(n^2)$? $O(n \log n)$? $O(n)$?

Array

Think "list of elements"



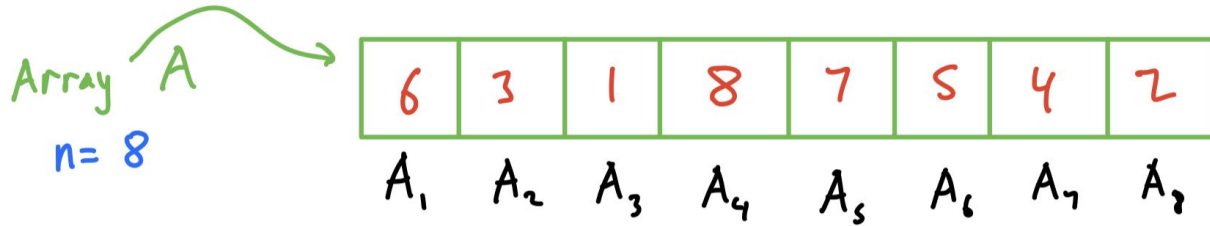
Properties:

1. Access elements at a specific index
2. Write values to specific positions

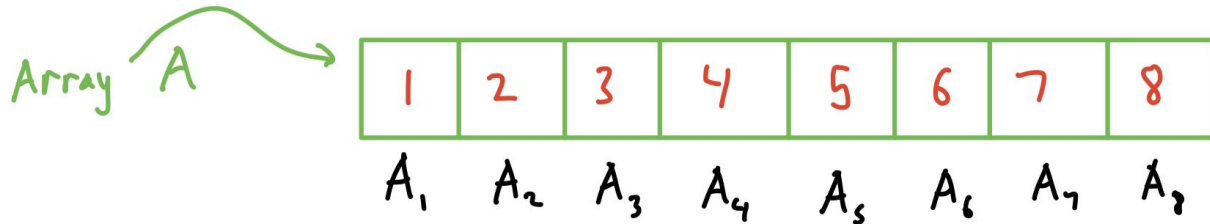
index

Array Sorting Problem

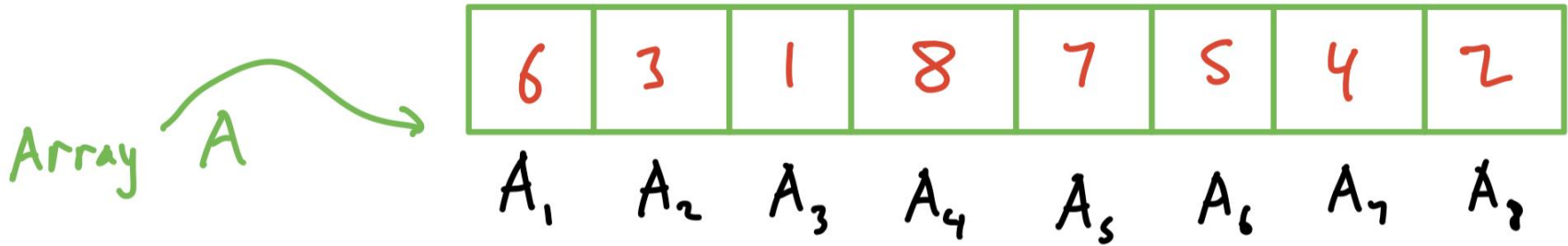
Input: An array of n integers



Output: The array sorted in increasing order



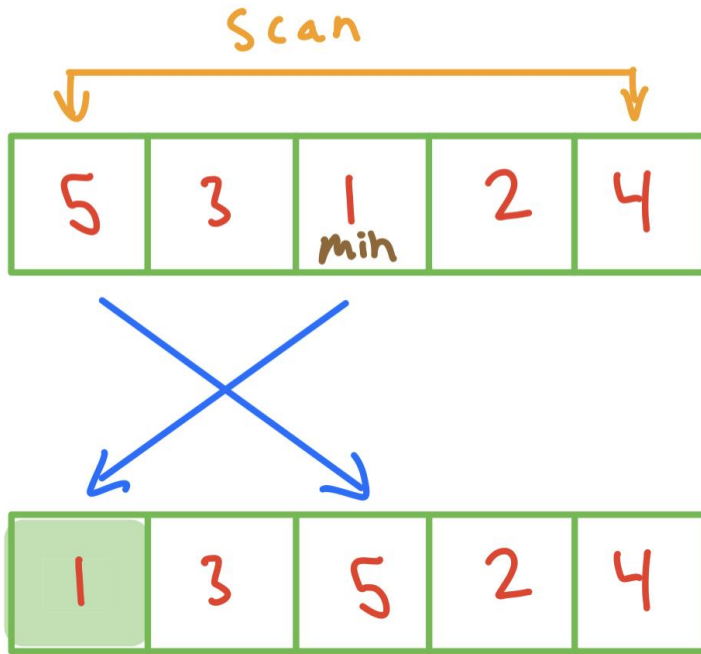
Simple Operations



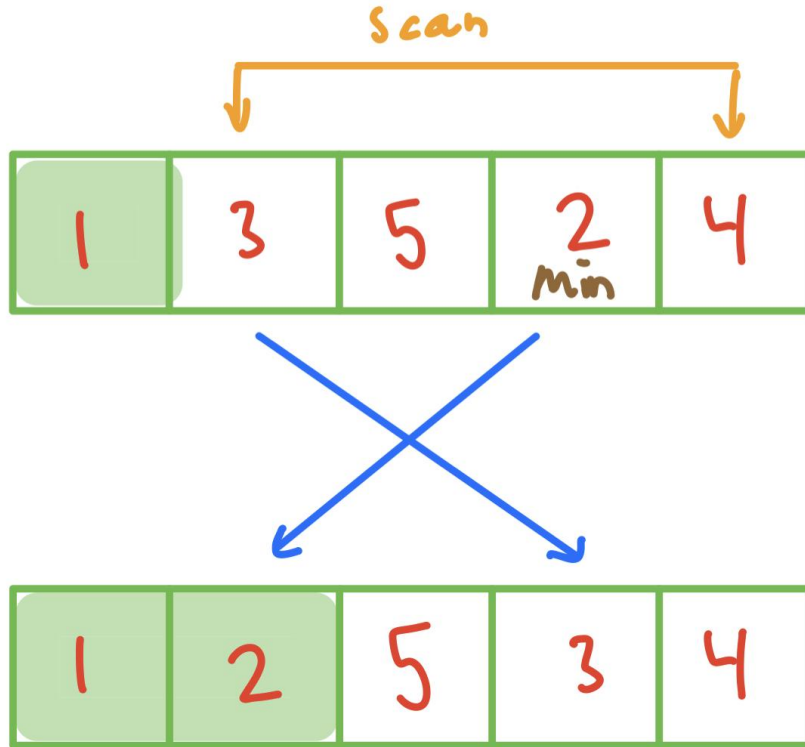
"blocks"

1. Comparisons
2. Swaps

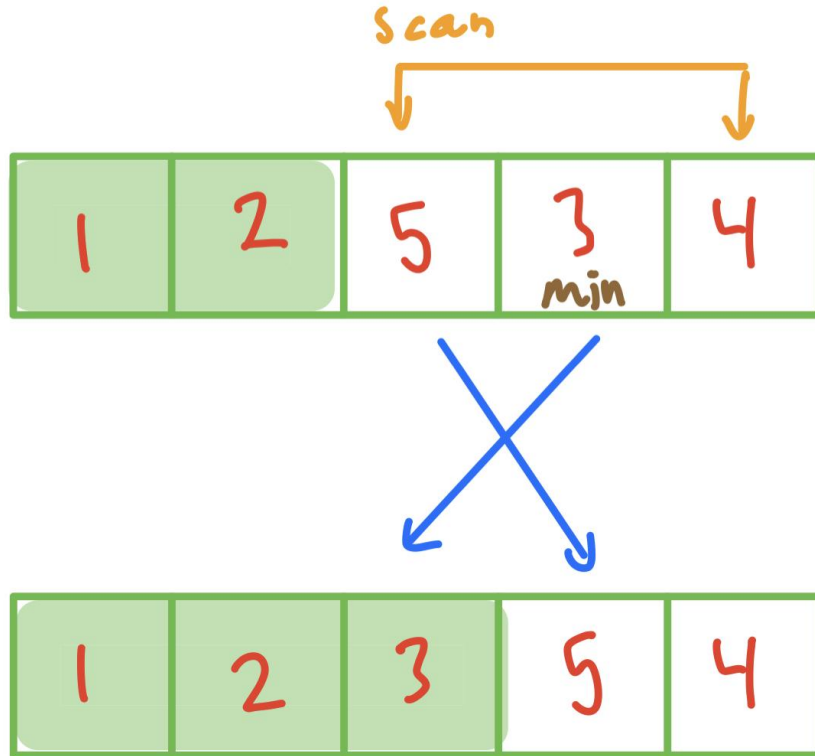
Iteration 1



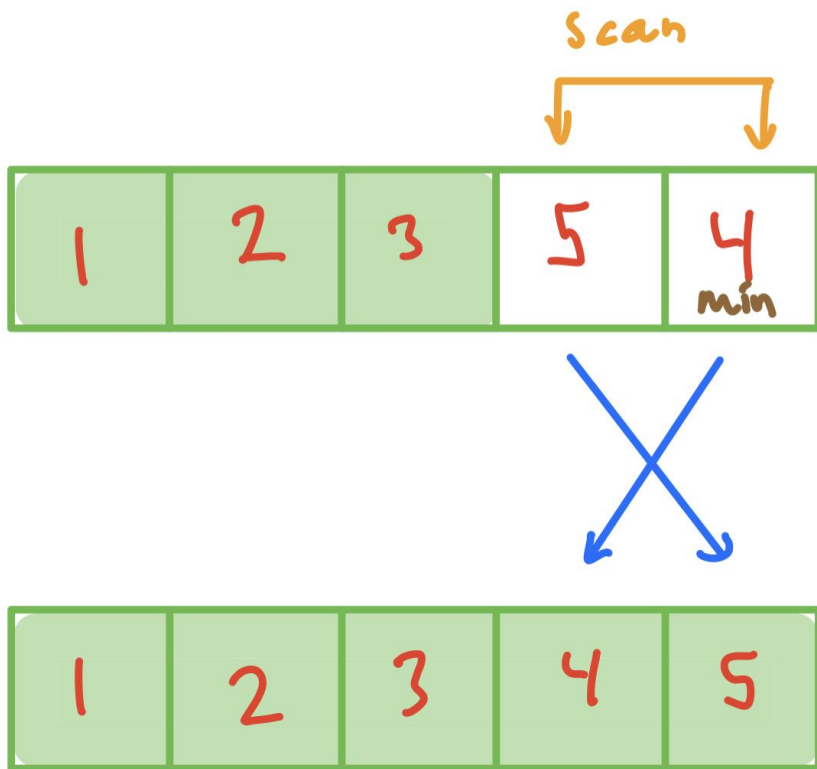
Iteration 2



Iteration 3



Iteration 4



Selection Sort

For an array A with n elements (length n)

Iteration 1) Scan $A_1 \rightarrow A_n$, find the minimum, swap with A_1

Iteration 2) Scan $A_2 \rightarrow A_n$, find the minimum, swap with A_2

Iteration 3) Scan $A_3 \rightarrow A_n$, find the minimum, swap with A_3

⋮

Iteration $n-1$) Scan $A_{n-1} \rightarrow A_n$, find the minimum, swap with A_{n-1}

Runtime Analysis

Iteration 1)	scan n elements	swap	} ——— swaps
Iteration 2)	scan $n-1$ elements	swap	
Iteration 3)	scan $n-2$ elements	swap	
	⋮	⋮	
Iteration $n-1$)	scan 2 elements	swap	

Runtime Analysis

$$T(n) = \underbrace{2 + 3 + 4 + \dots + n}_{\text{total elements to scan}} + \underbrace{n-1}_{\text{swaps}}$$

$$\text{Recall: } 1 + 2 + 3 + \dots + n = \frac{n(n+1)}{2}$$

$$T(n) =$$

Runtime Analysis

$$T(n) = \frac{n(n+1)}{2} - 1 + n - 1$$

$$= \frac{1}{2}n^2 + \frac{1}{2}n - 1 + n - 1$$

$$= \frac{1}{2}n^2 + \frac{3}{2}n - 2$$

$$= O(\underline{\quad})$$

Selection Sort

<https://youtu.be/92BfuxHn2XE>

Can we do better than $O(n^2)$?

1	3	4	8	15	19	20	22
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Selection sort takes $8+7+6+\dots+1 = 36$ time.

We can do better, right?

Bubble sort

- Scan array from left to right, swapping out of order pairs.

... 4 7 3 1 ...
 ↕ ↕
 SWAP

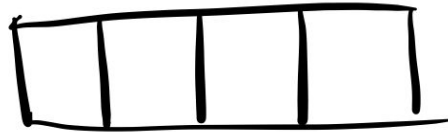
- If there are no more pairs, we are done!
Otherwise, scan again.

Trying out bubble sort...

1	2	3	4
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 → just one pass! $O(n)$.

But is there a worse case?



Bubble Sort Worst Case

4	3	2	1
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Bubble sort is not any better :(

Even though bubble sort sometimes runs in $O(n)$, in the worst case it is still order n^2 .

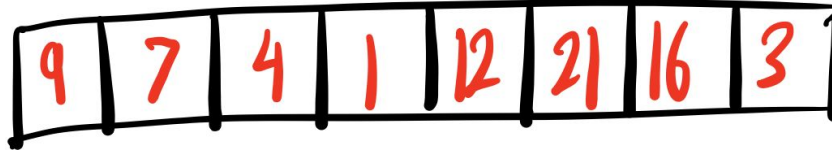
Bubble Sort

<https://www.youtube.com/watch?v=Cq7SMsQBEUw>

5 minute break

Divide and Conquer Algorithm for Sorting Numbers?

$$\underline{n = 8}$$



Divide?

Conquer?

Merge Sort

Merge Sort: Given an array, sorts it

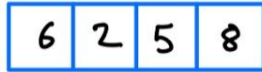
Original



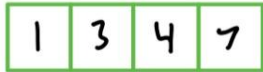
A



B



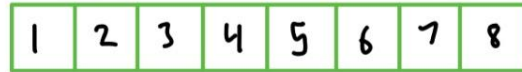
A'



B'



Result



1. Split array into half, A, B

2. Use merge sort on A

3. Use merge sort on B

4. Use merge routine on A' and B'

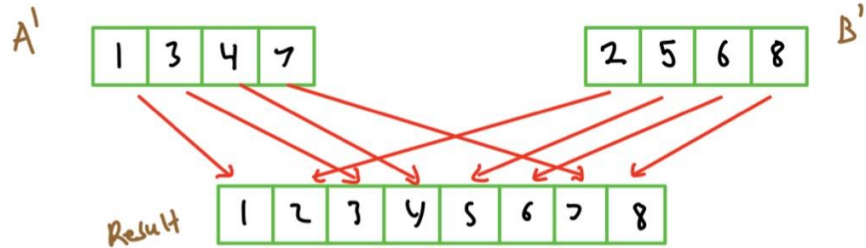
Merge Routine

- Pointers to beginning of A' and B' , compare elements, take smallest for Result, move pointer, repeat
- When A' or B' is exhausted, copy other array



Example

- 1) Compare 1 and 2, insert 1 into Result
- 2) Compare 3 and 2, insert 2 into Result
- 3) Compare 3 and 5, insert 3 into Result
- 4) Compare 4 and 5, insert 4 into Result
- 5) Compare 7 and 5, insert 5 into Result
- 6) Compare 7 and 6, insert 6 into Result
- 7) Compare 7 and 8, insert 7 into Result
- 8) A' exhausted, insert 8 into Result

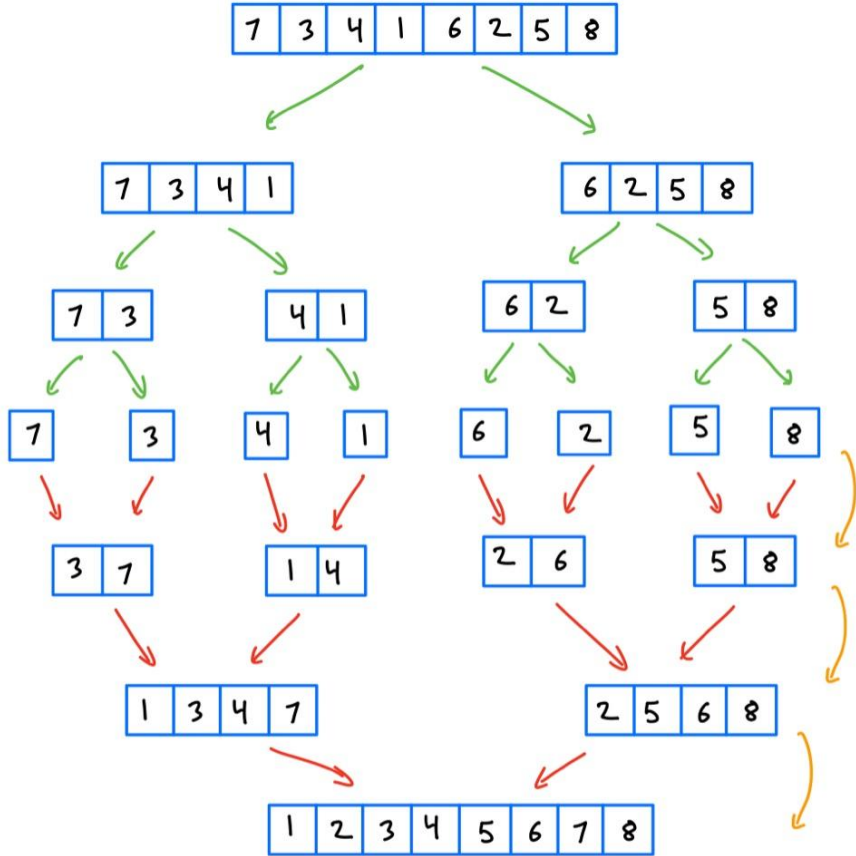


Merge Routine Analysis

- If A' and B' have a total of n elements
- We do n comparisons and insertions

$$T(n) = \underline{\quad} = O(\underline{\quad})$$

Merge Sort Example



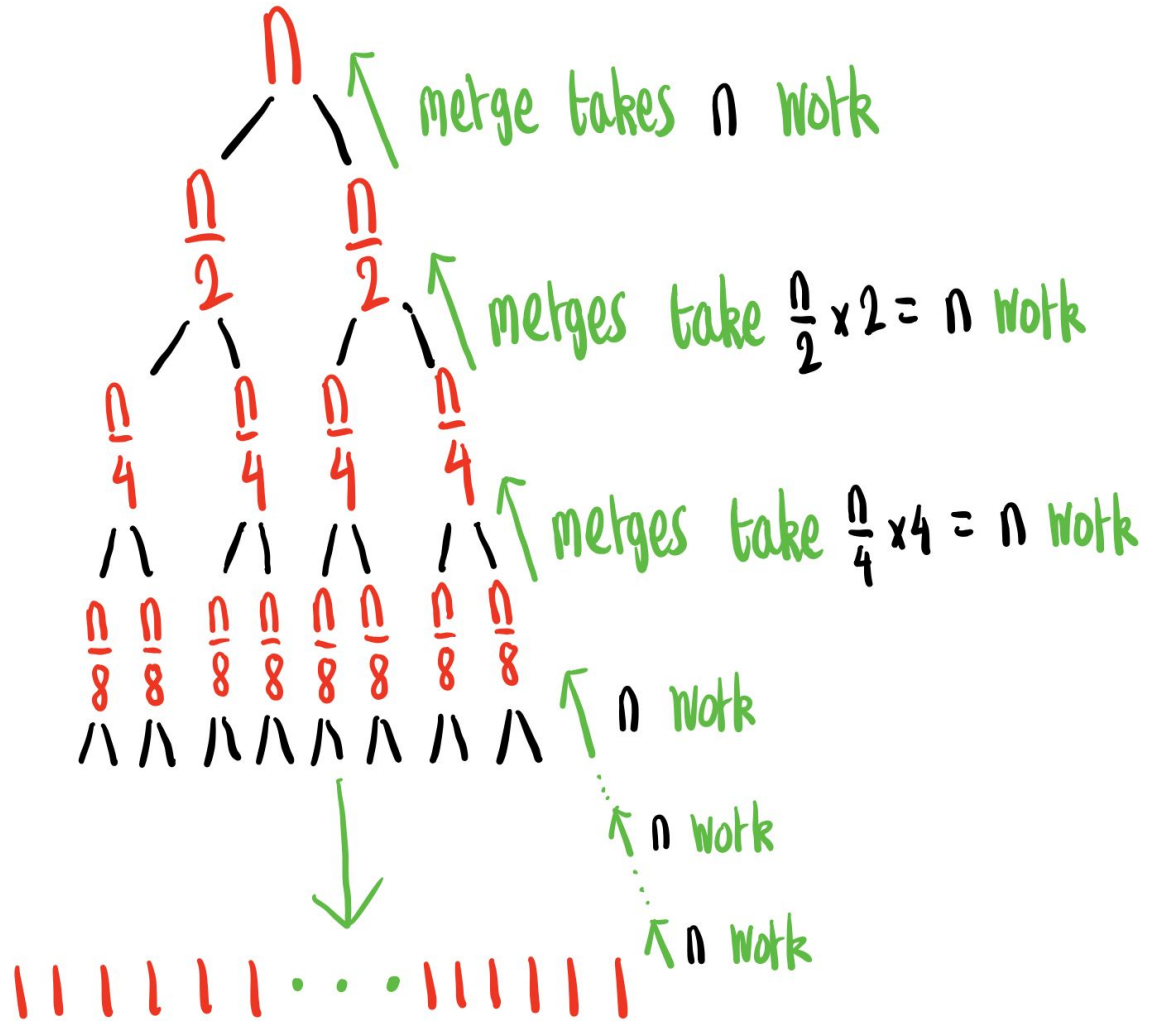
8 elements to compare

8 elements to compare

8 elements to compare

⇒ 24 elements to compare
 $T(8) = 24$

Recursion Tree for Merge Sort



Recursion Tree Analysis

Ω work per "level" of the recursion tree.

How many levels?

Logarithms

$\log_b^n \implies$ How many times do I have to divide n by b until I reach 1?

$$\log_3 9 = \underline{\quad} \quad 9 \rightarrow 3 \rightarrow 1$$

$$\log_5 125 = \underline{\quad} \quad 125 \rightarrow 25 \rightarrow 5 \rightarrow 1$$

$$\log_2 64 = \underline{\quad} \quad 64 \rightarrow 32 \rightarrow 16 \rightarrow 8 \rightarrow 4 \rightarrow 2 \rightarrow 1$$

Logarithms Practice

$\log_b^n \implies$ How many times do I have to divide
n by b until I reach 1?

In this class, $\log n$ means \log_2^n

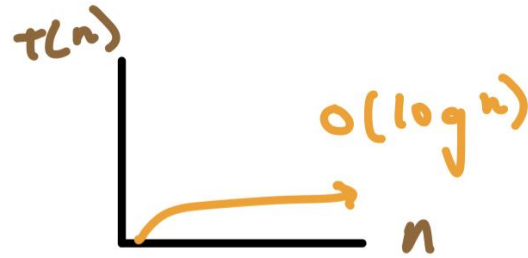
$$\log 8 = \underline{\quad}$$

$$\log 12 = \underline{\quad}$$

$$\log 16 = \underline{\quad}$$

Logarithms

- for $\log n$, doubling input increases output by 1



$$O(\log n) \text{ vs } O(n)$$

$$O(n \log n) \text{ vs } O(n^2)$$

Run time

# elements	levels	comparisons per level	$(\text{levels}) \times \left(\frac{\text{comparisons}}{\text{level}}\right)$ = total # of comparisons
2	1	2	$1 \times 2 = 2$
4	2	4	$2 \times 4 = 8$
8	3	8	$3 \times 8 = 24$
16	4	16	$4 \times 16 = 64$
⋮	⋮	⋮	⋮
⋮	⋮	⋮	⋮
n			

$$T(n) = \underline{\quad} = O(\underline{\quad})$$

Merge Sort

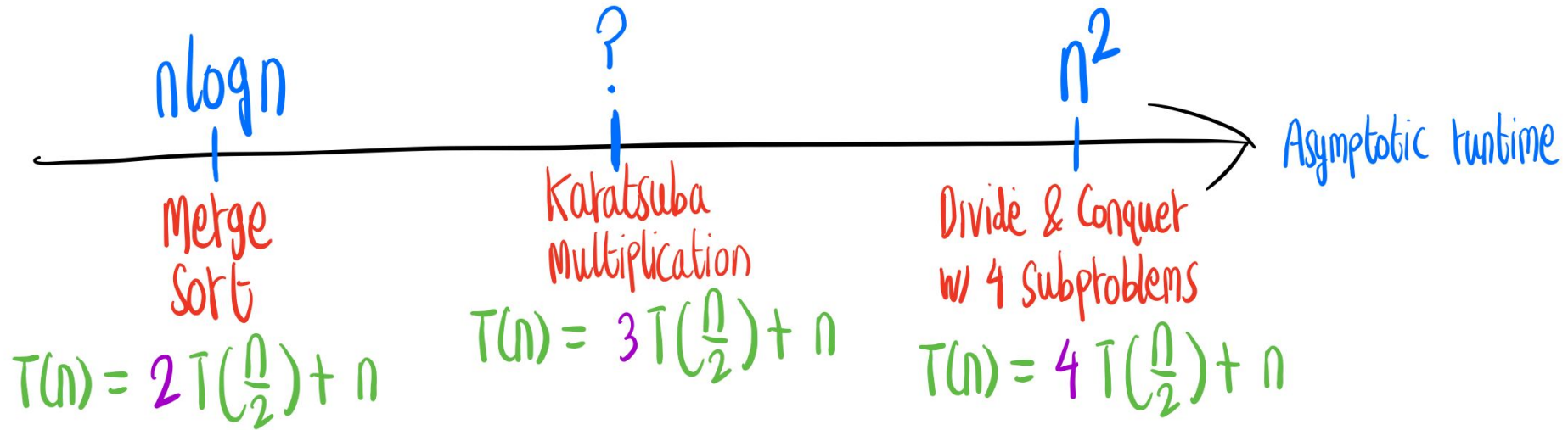
<https://youtu.be/ZRPoEKHXTJg>

Conclusion

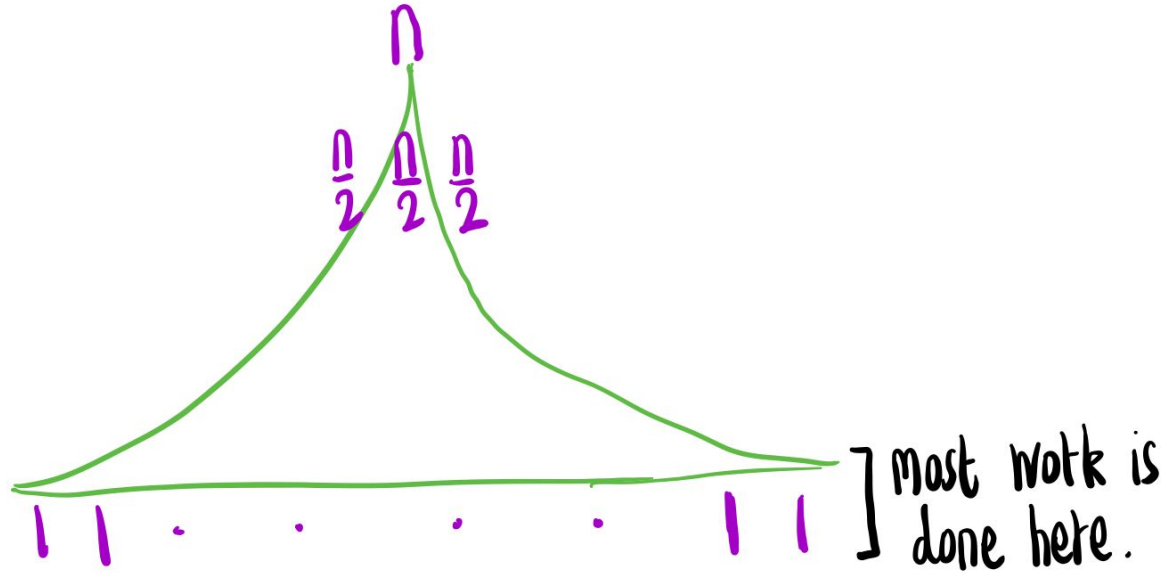
Merge sort is $O(n \log n)$.

In fact, this is an optimal algorithm
for comparison-based sorting.

Returning to Karatsuba Multiplication...



Recursion Tree for Karatsuba Multiplication



The recursion tree for Karatsuba multiplication is **leaf heavy**.

Number of Leaves in Recursion Tree

$\log_2 n$ levels...

Each level, number of problems $\times 3$.

Hence, the number of leaves is

$$3^{\log_2 n} \dots = \left(2^{\log_2 3} \right)^{\log_2 n} = \left(2^{\log_2 n} \right)^{\log_2 3} = \boxed{n^{\log_2 3}}.$$

Can we do better for multiplication?

Karatsuba multiplication is $O(n^{\log_2 3}) = O(n^{1.58\dots})$.

Out of scope...

Schonhage-Strassen (1971) is $O(n \log n \cdot \log \log n)$.

Efficient for $n > 10000\dots$

Hatrey & Hoeben (2019) is $O(n \log n)$.

Will never be useful in practice!