

# 10-301/601: Introduction to Machine Learning

## Lecture 12 – Neural Networks

Henry Chai

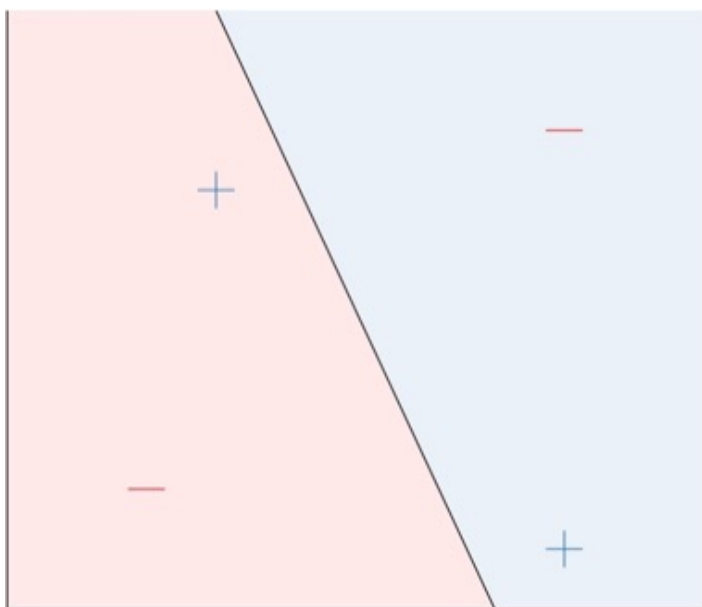
6/12/23

# Front Matter

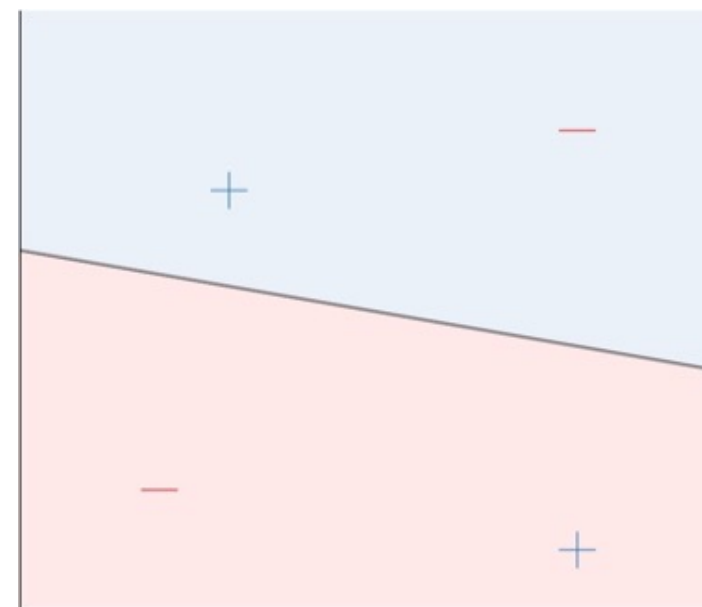
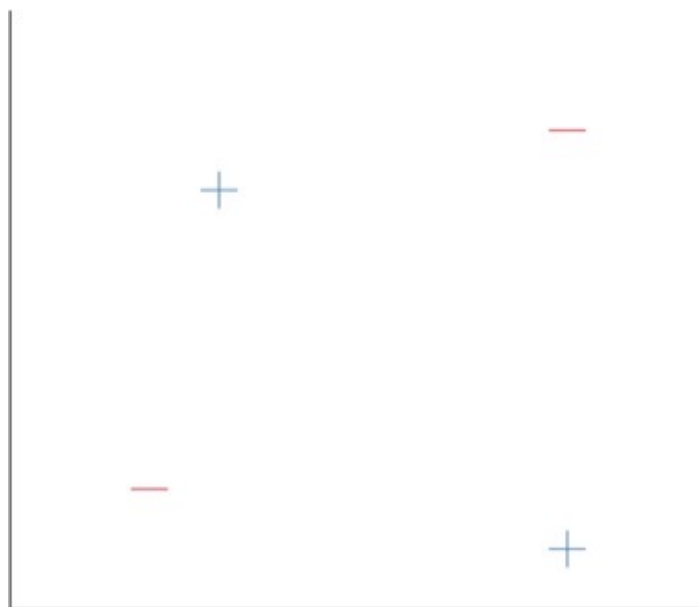
- Announcements
  - Quiz 4: MLE/MAP, Logistic Regression & Regularization on 6/13 (tomorrow!)
  - PA3 released 6/8, due 6/15 at 11:59 PM
  - Midterm on 6/23, one week from Friday
    - All of this week's material is in-scope
- Recommended Readings
  - Mitchell, Chapters 4.1 – 4.6

# Biological Neural Network





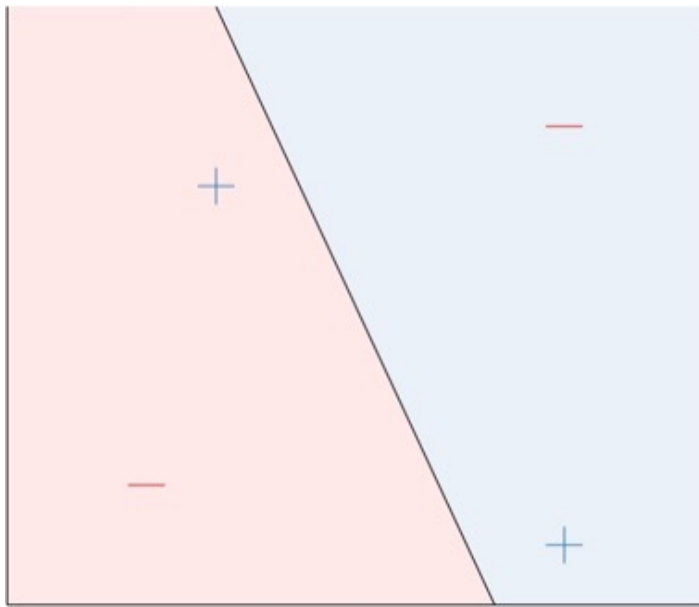
$h_1$



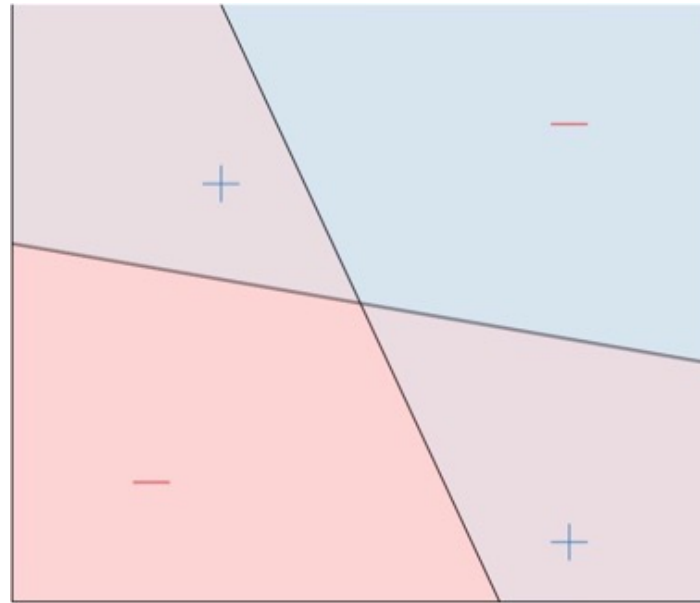
$h_2$

# Perceptrons

- Linear model for classification
- $h(\mathbf{x}) = \text{sign}(\mathbf{w}^T \mathbf{x})$
- Predictions are  $+1$  or  $-1$

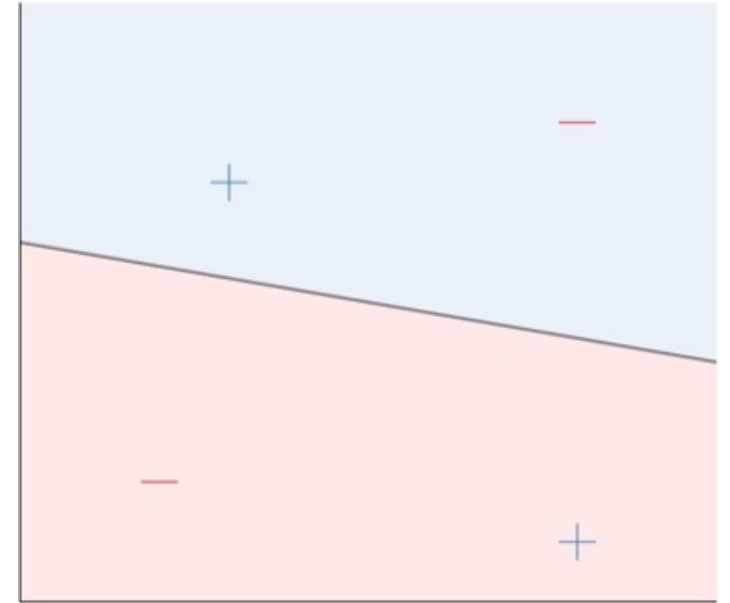


$h_1$



$h_1$

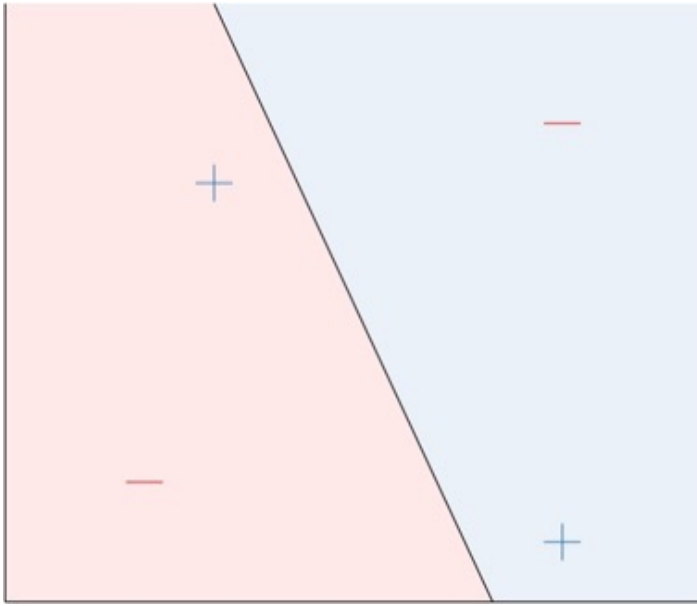
$h_2$



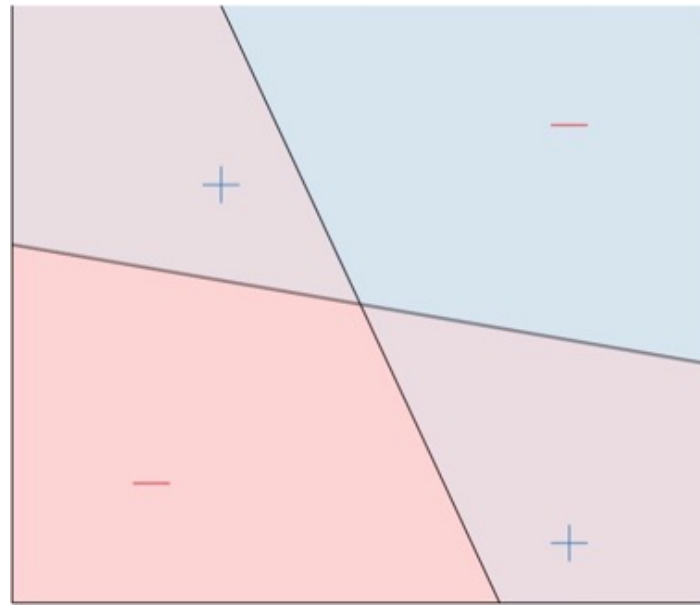
$h_2$

# Combining Perceptrons

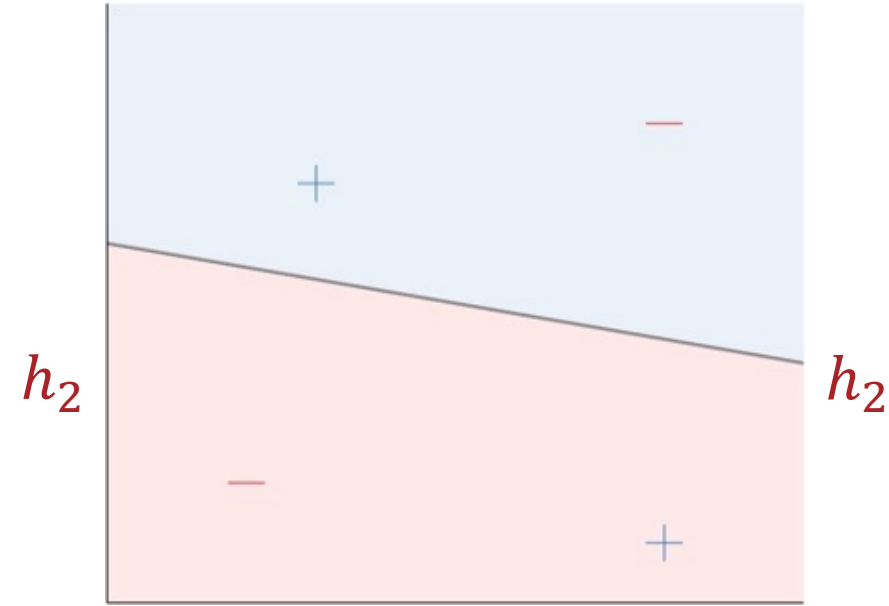
$h(x)$



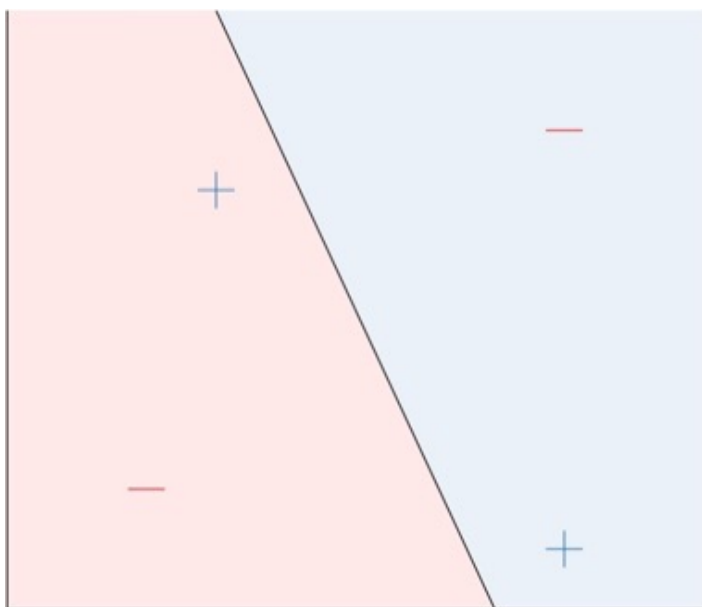
$h_1$



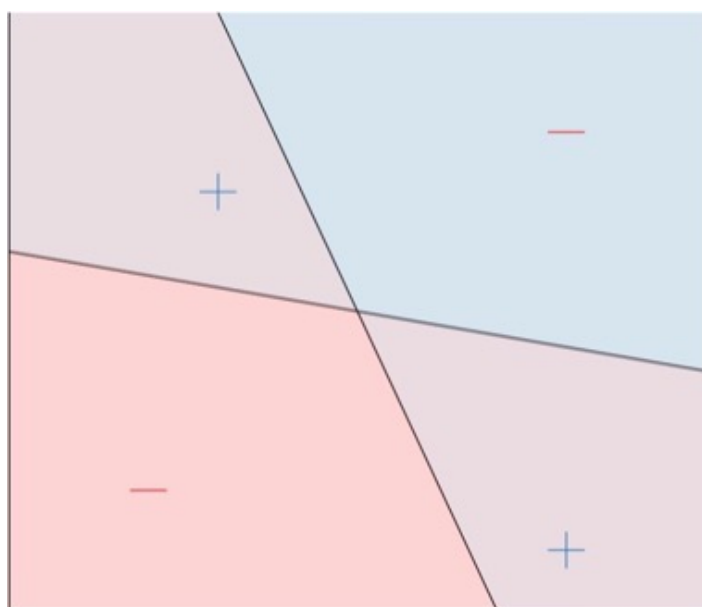
$h_1$



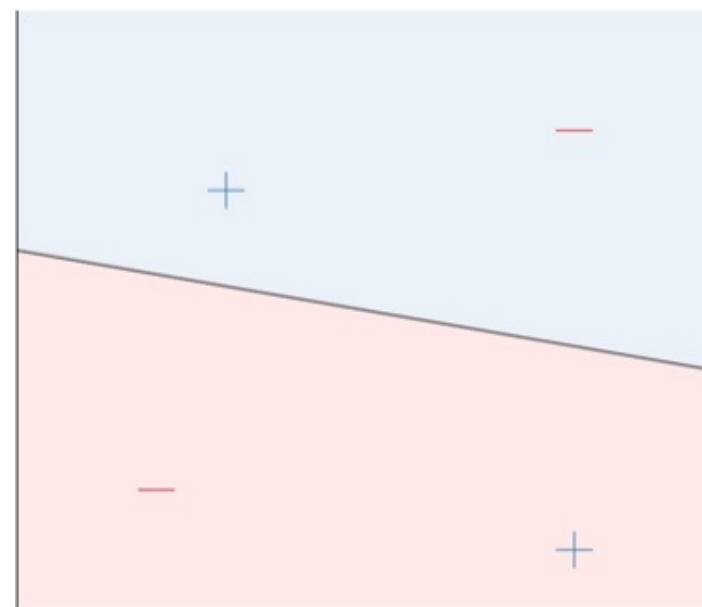
$$h(x) = \begin{cases} +1 & \text{if } (h_1(x) = +1 \text{ and } h_2(x) = -1) \text{ or } (h_1(x) = -1 \text{ and } h_2(x) = +1) \\ -1 & \text{otherwise} \end{cases}$$



$h_1$



$h_1$



$h_2$

$$h(x) = \text{OR} \left( \text{AND}(h_1(x), \neg h_2(x)), \text{AND}(\neg h_1(x), h_2(x)) \right)$$

$\downarrow$                        $\downarrow$   
 $\uparrow$

# Boolean Algebra

- Boolean variables are either  $+1$  ("true") or  $-1$  ("false")
- Basic Boolean operations
  - Negation:  $\neg z = -1 * z$
  - And:  $AND(z_1, z_2) = \begin{cases} +1 & \text{if both } z_1 \text{ and } z_2 \text{ equal } +1 \\ -1 & \text{otherwise} \end{cases}$
  - Or:  $OR(z_1, z_2) = \begin{cases} +1 & \text{if either } z_1 \text{ or } z_2 \text{ equals } +1 \\ -1 & \text{otherwise} \end{cases}$



# Boolean Algebra

- Boolean variables are either  $+1$  ("true") or  $-1$  ("false")
- Basic Boolean operations
  - Negation:  $\neg z = -1 * z$

- And:  $AND(z_1, z_2) = \text{sign}(z_1 + z_2 - 1.5)$

$\uparrow$   $\uparrow$

*completely arbitrary*

- Or:  $OR(z_1, z_2) = \text{sign}(z_1 + z_2 + 1.5)$

$\uparrow$   $\uparrow$

# Boolean Algebra

- Boolean variables are either  $+1$  ("true") or  $-1$  ("false")
- Basic Boolean operations
  - Negation:  $\neg z = -1 * z$

- And:  $AND(z_1, z_2) = \text{sign} \left( \begin{matrix} \underline{-1.5}, 1, 1 \\ \begin{matrix} \overline{1} \\ z_1 \\ z_2 \end{matrix} \end{matrix} \right)$

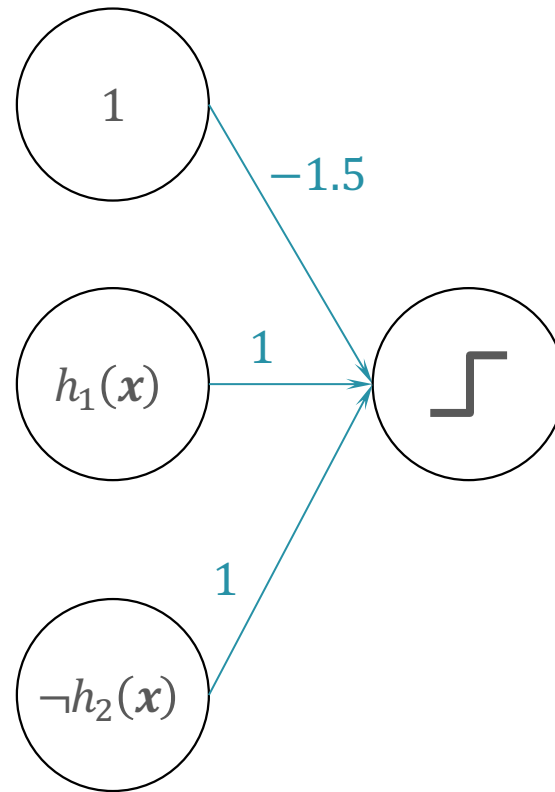
- Or:  $OR(z_1, z_2) = \text{sign} \left( \begin{matrix} 1.5, 1, 1 \\ \begin{matrix} \overline{1} \\ z_1 \\ z_2 \end{matrix} \end{matrix} \right)$

# Building a Network

$$h(\mathbf{x}) = OR \left( AND(h_1(\mathbf{x}), \neg h_2(\mathbf{x})), AND(\neg h_1(\mathbf{x}), h_2(\mathbf{x})) \right)$$

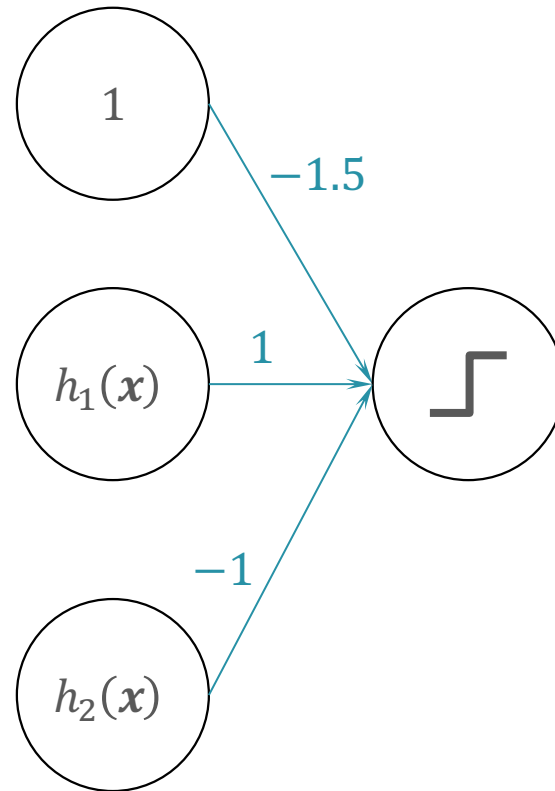
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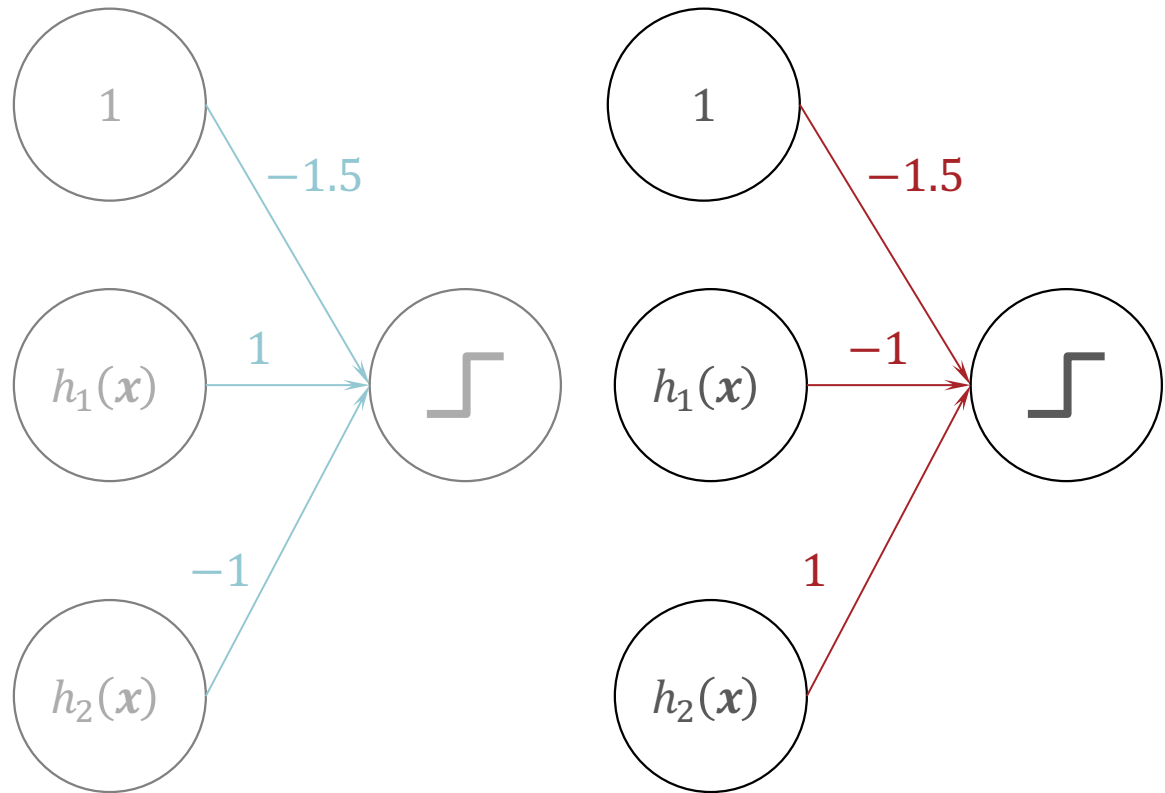
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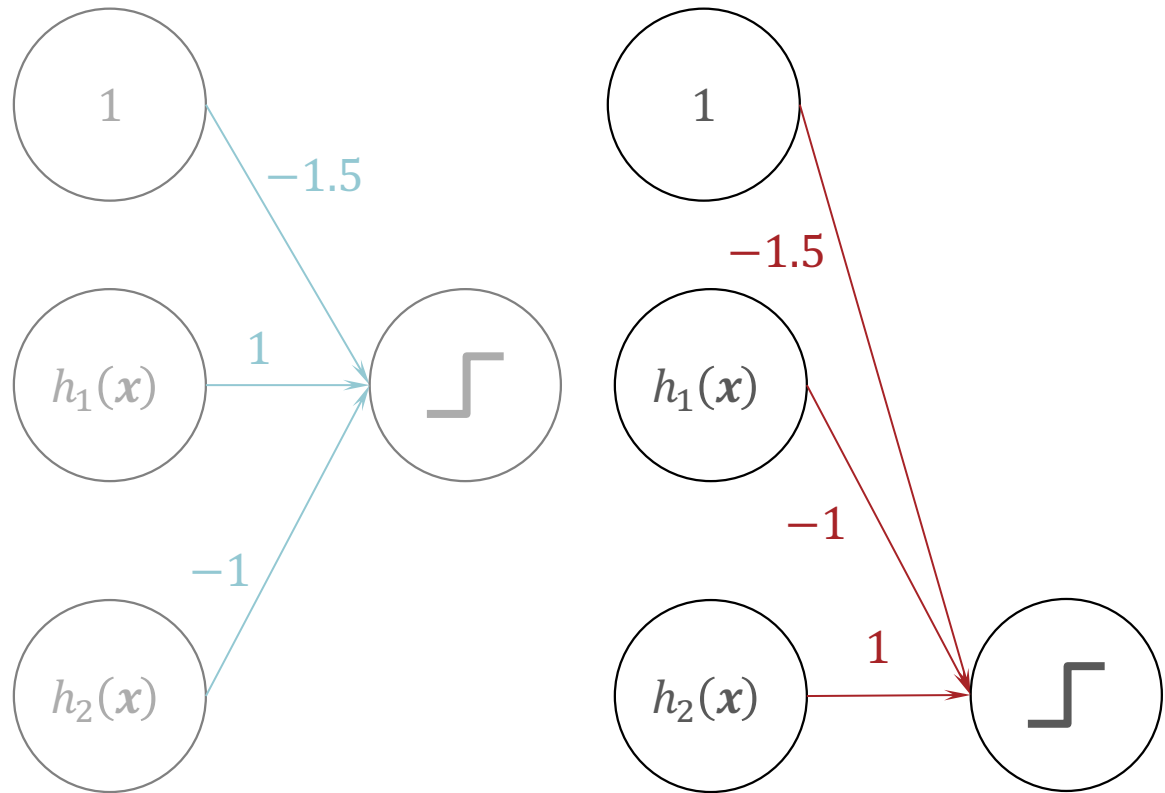
# Building a Network

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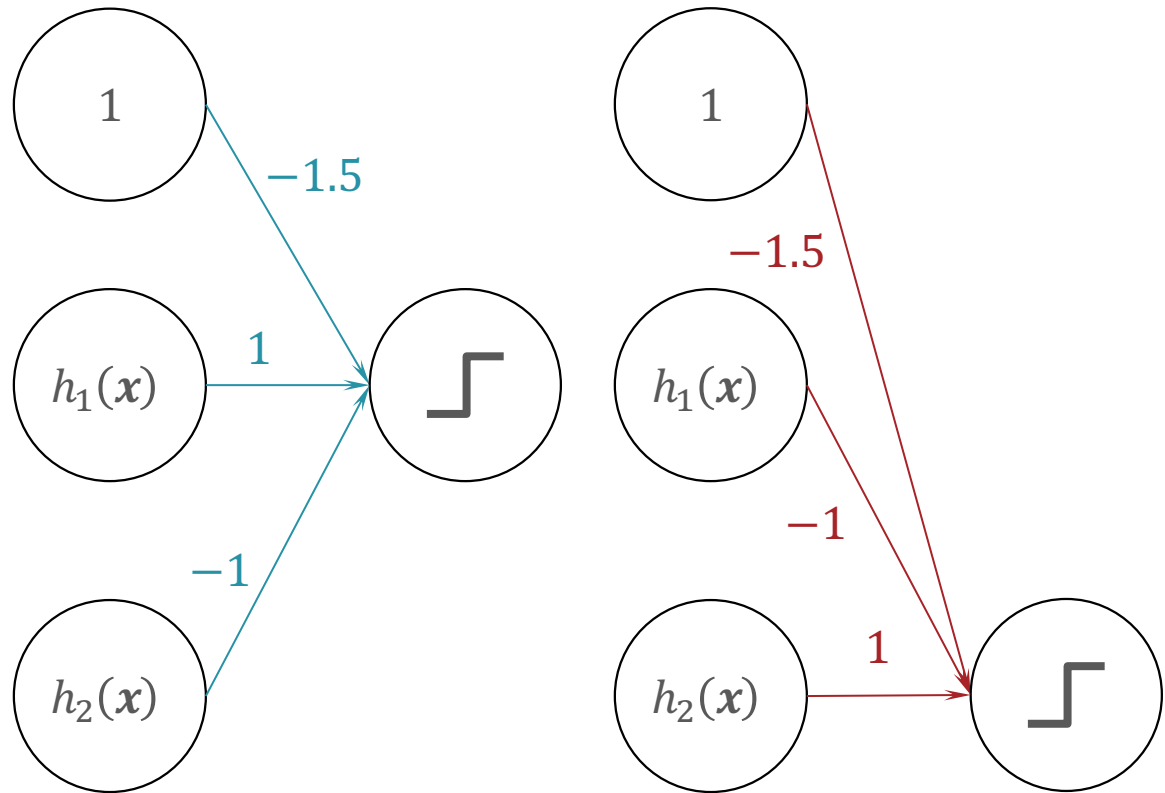
# Building a Network

$$h(x) = OR \left( AND(h_1(x), \neg h_2(x)), AND(\neg h_1(x), h_2(x)) \right)$$



# Building a Network

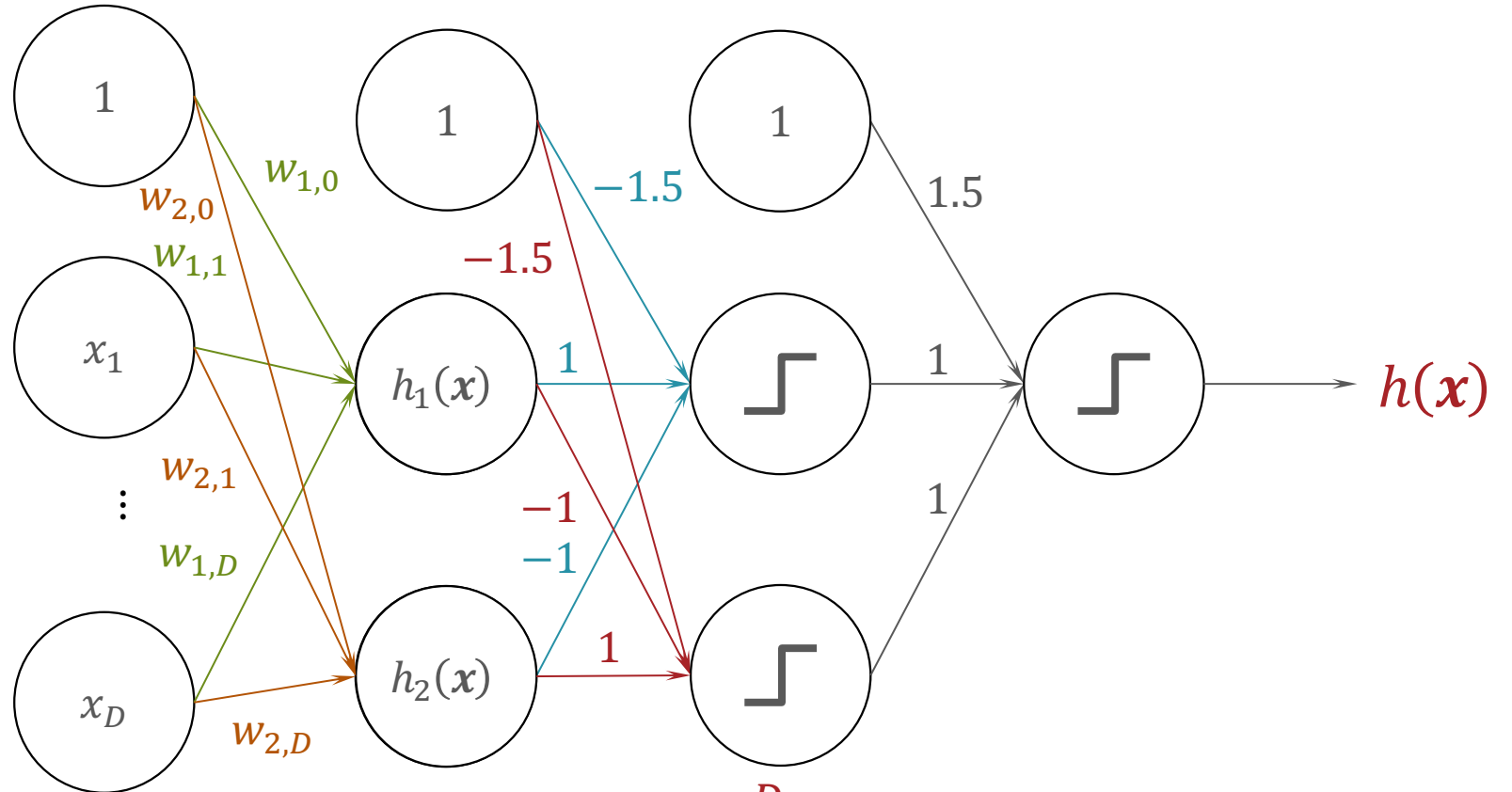
$$h(x) = OR(AND(h_1(x), \neg h_2(x)), AND(\neg h_1(x), h_2(x)))$$





# Building a Network

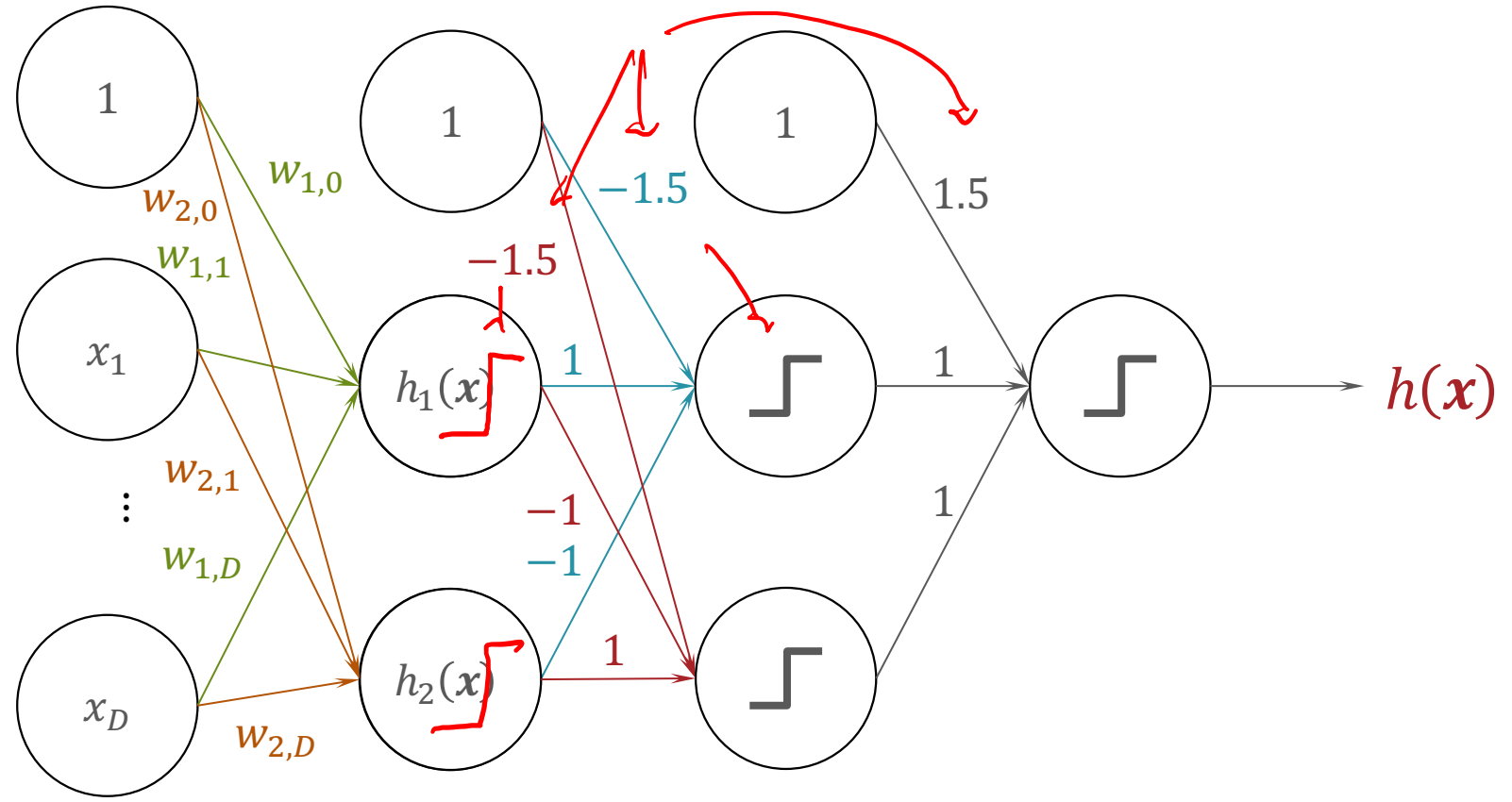
$$h(\mathbf{x}) = \text{OR} \left( \text{AND}(h_1(\mathbf{x}), \neg h_2(\mathbf{x})), \text{AND}(\neg h_1(\mathbf{x}), h_2(\mathbf{x})) \right)$$



$$h_i(\mathbf{x}) = \text{sign}(\mathbf{w}_i^T \mathbf{x}) = \text{sign} \left( \sum_{d=0}^D w_{i,d} x_d \right)$$

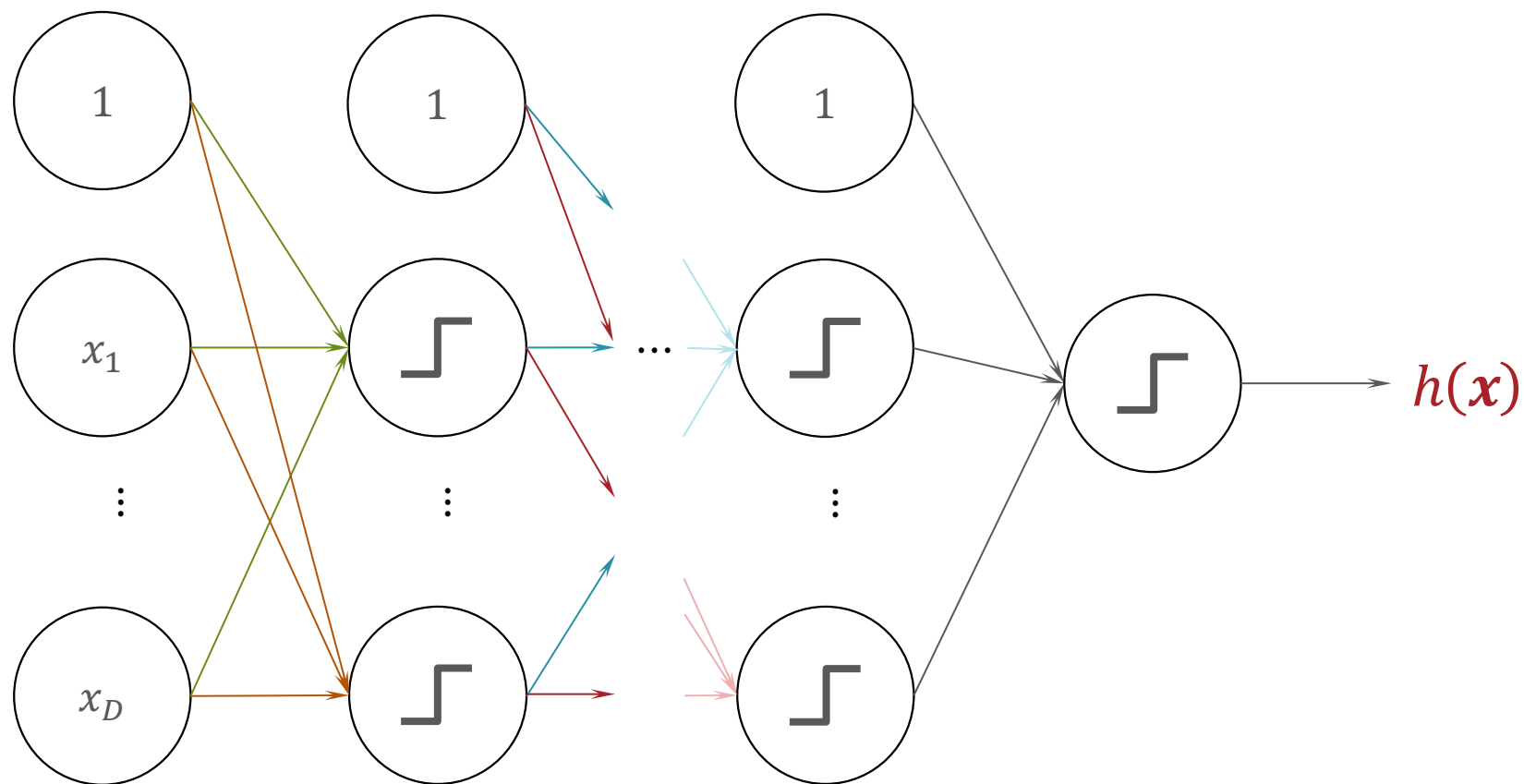
# Building a Network

$$h(\mathbf{x}) = OR \left( AND(h_1(\mathbf{x}), \neg h_2(\mathbf{x})), AND(\neg h_1(\mathbf{x}), h_2(\mathbf{x})) \right)$$

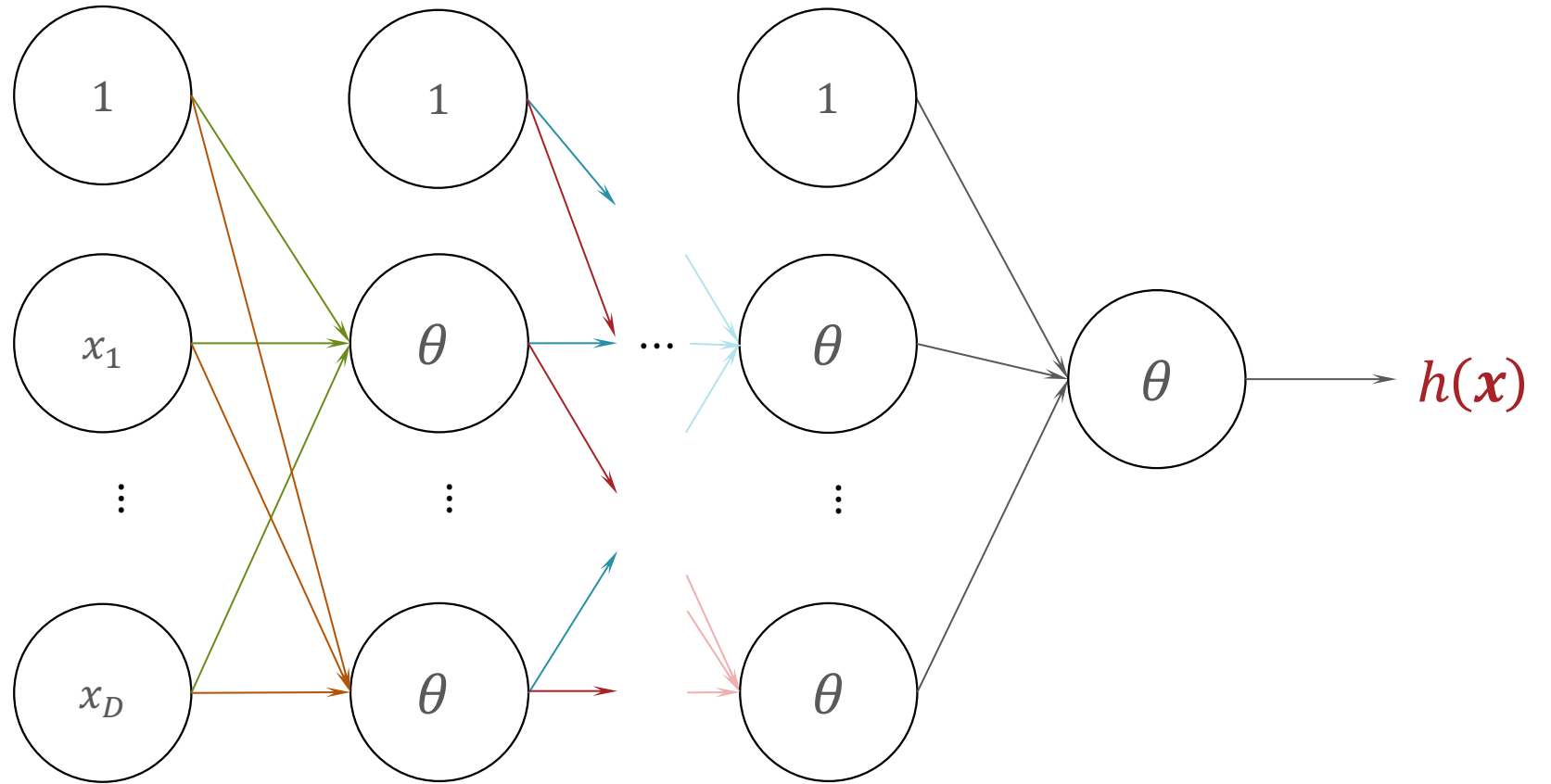


$$h(\mathbf{x}) = \text{sign}(\text{sign}(\text{sign}(\mathbf{w}_1^T \mathbf{x}) - \text{sign}(\mathbf{w}_2^T \mathbf{x}) - 1.5) + \text{sign}(-\text{sign}(\mathbf{w}_1^T \mathbf{x}) + \text{sign}(\mathbf{w}_2^T \mathbf{x}) - 1.5) + 1.5)$$

# Multi-Layer Perceptron (MLP)



# (Fully-Connected) Feed Forward Neural Network

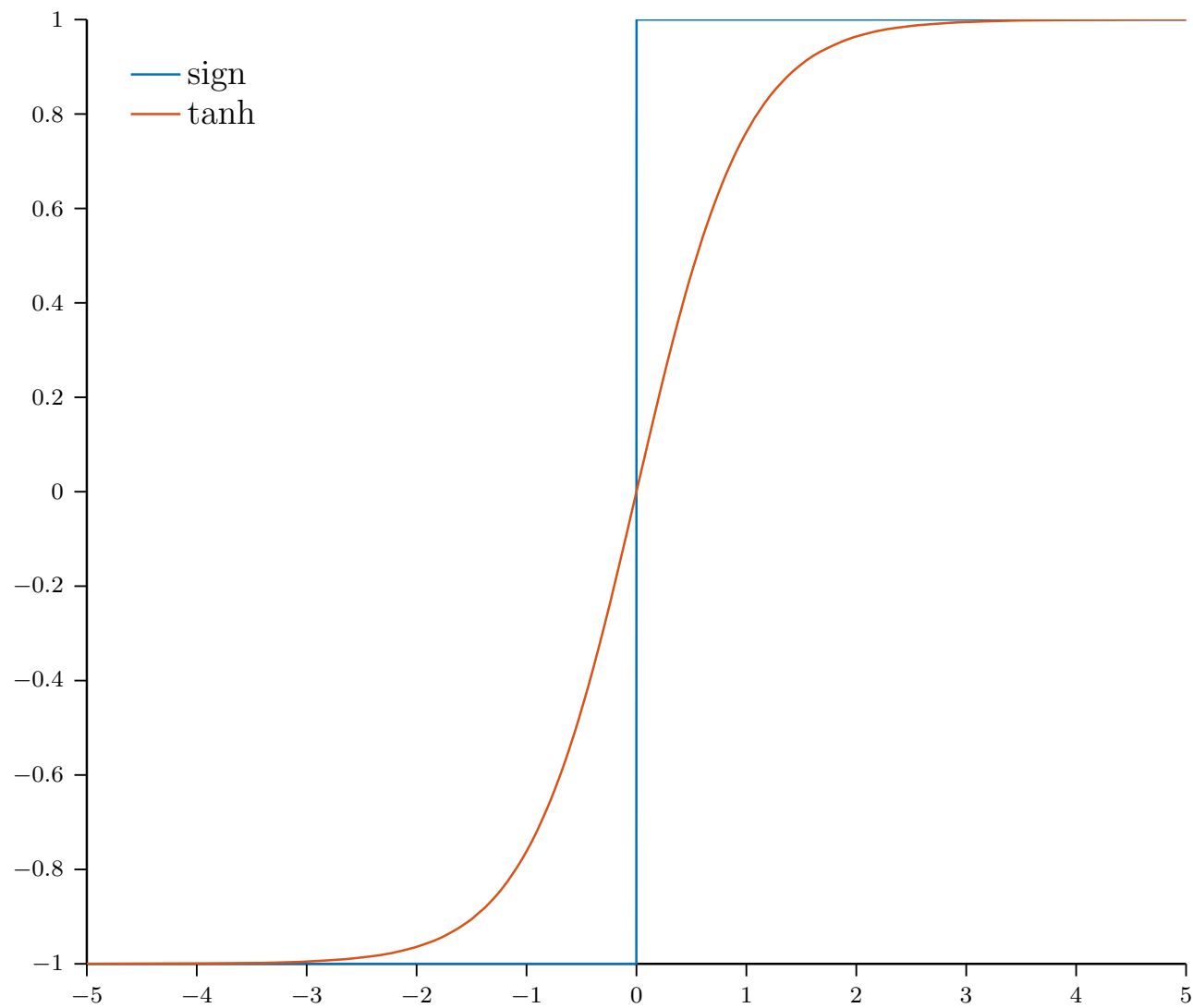


$\theta(\cdot)$


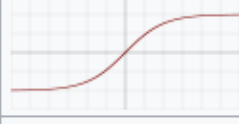

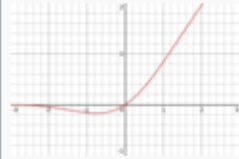




- Hyperbolic tangent:

$$\tanh(z) = \frac{\sinh(z)}{\cosh(z)} = \frac{e^z - e^{-z}}{e^z + e^{-z}}$$

- $\frac{\partial \tanh(z)}{\partial z} = 1 - \tanh(z)^2$



# Other Activation Functions

Logistic, sigmoid, or soft step		$\sigma(x) = \frac{1}{1 + e^{-x}}$
Hyperbolic tangent (tanh)		$\tanh(x) = \frac{e^x - e^{-x}}{e^x + e^{-x}}$
Rectified linear unit (ReLU) <sup>[7]</sup>		$\begin{cases} 0 & \text{if } x \leq 0 \\ x & \text{if } x > 0 \end{cases}$ $= \max\{0, x\} = x\mathbf{1}_{x>0}$
Gaussian Error Linear Unit (GELU) <sup>[4]</sup>		$\frac{1}{2}x \left( 1 + \operatorname{erf} \left( \frac{x}{\sqrt{2}} \right) \right)$ $= x\Phi(x)$
Softplus <sup>[8]</sup>		$\ln(1 + e^x)$
Exponential linear unit (ELU) <sup>[9]</sup>		$\begin{cases} \alpha(e^x - 1) & \text{if } x \leq 0 \\ x & \text{if } x > 0 \end{cases}$ with parameter $\alpha$
Leaky rectified linear unit (Leaky ReLU) <sup>[11]</sup>		$\begin{cases} 0.01x & \text{if } x < 0 \\ x & \text{if } x \geq 0 \end{cases}$
Parametric rectified linear unit (PReLU) <sup>[12]</sup>		$\begin{cases} \alpha x & \text{if } x < 0 \\ x & \text{if } x \geq 0 \end{cases}$ with parameter $\alpha$

# True or False: both the linear regression model and the logistic regression model can be expressed as neural networks.

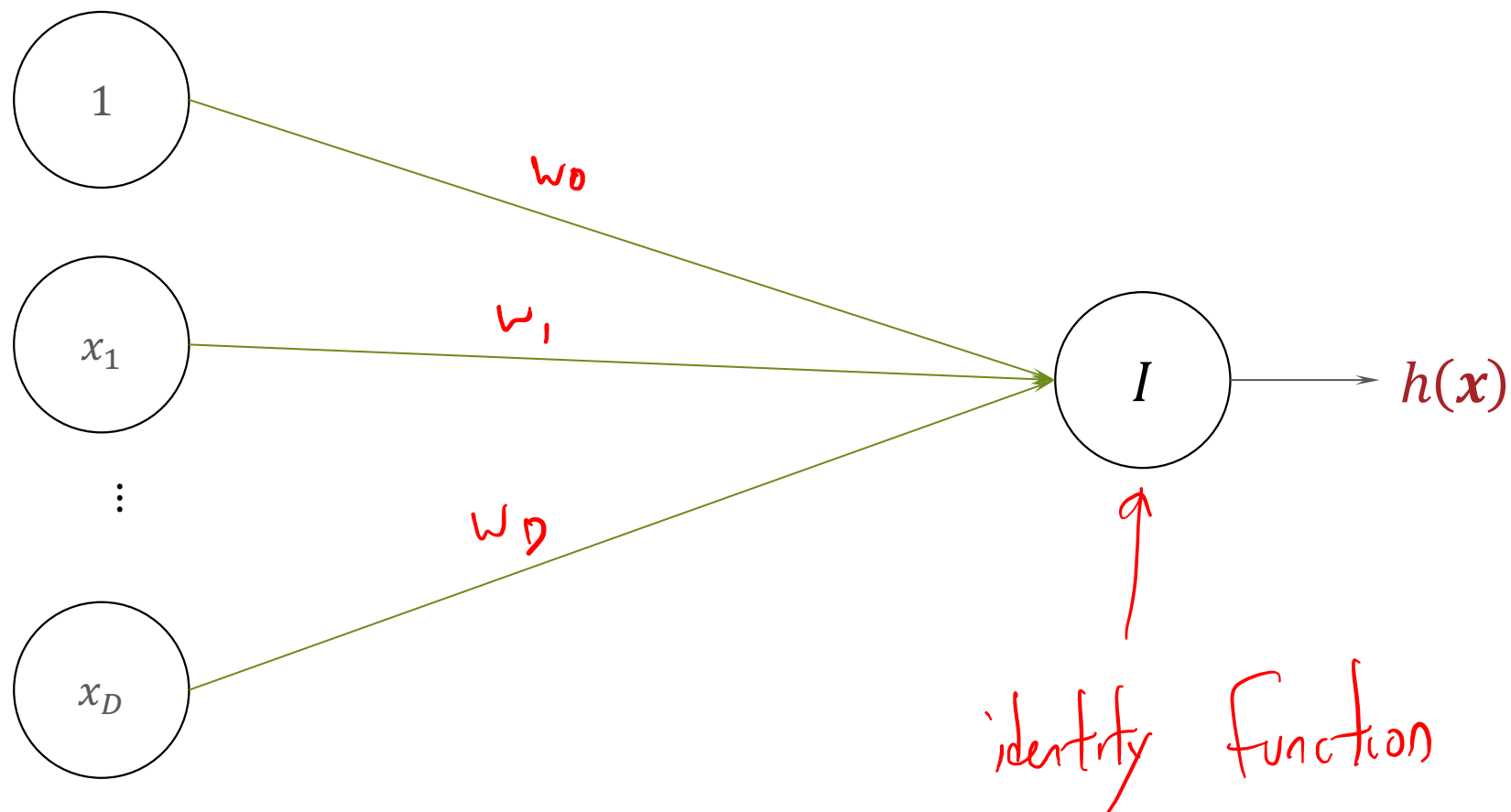
True for both

True for linear regression,  
false for logistic regression

False for linear regression,  
true for logistic regression

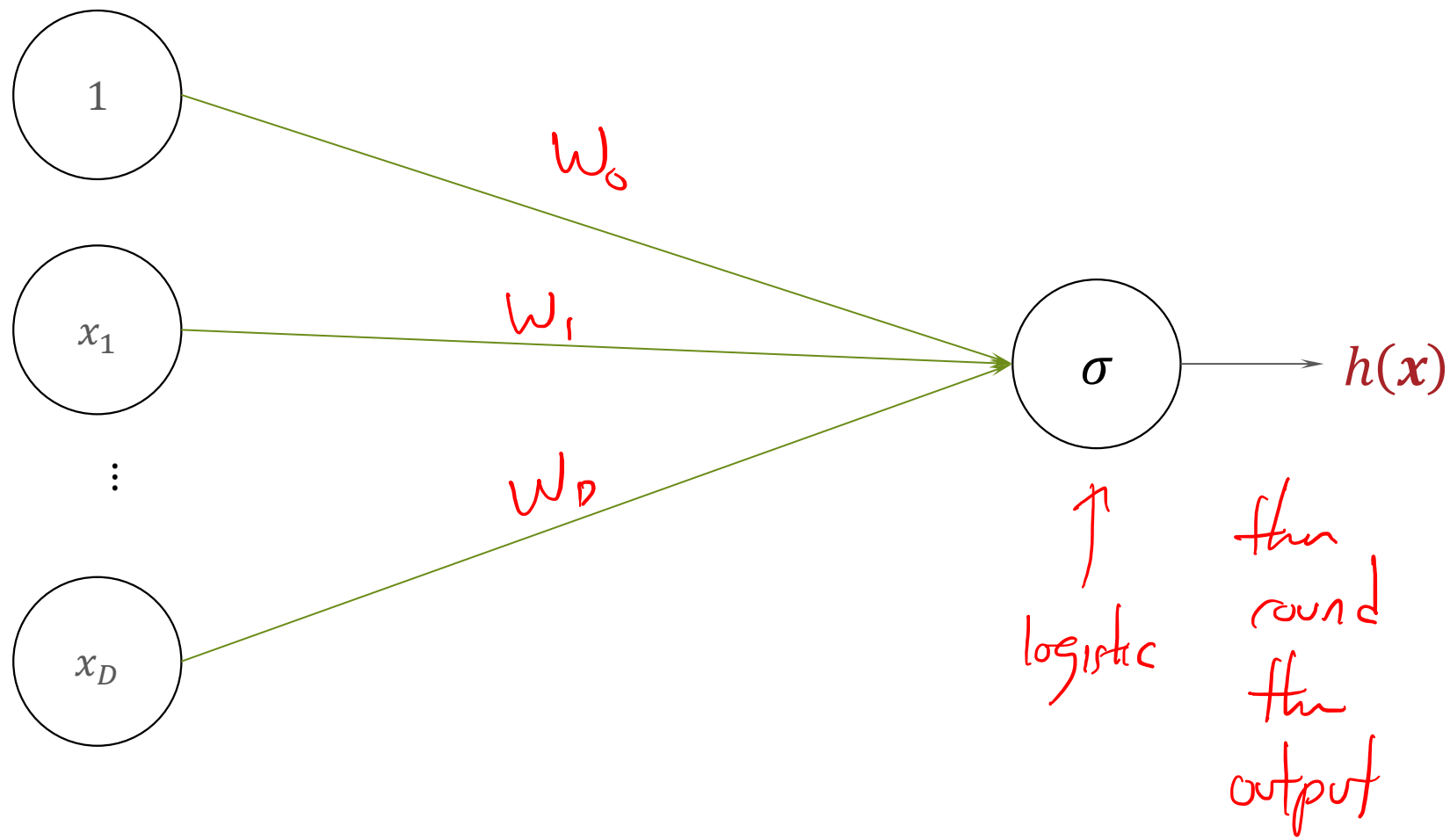
False for both

# Linear Regression as a Neural Network

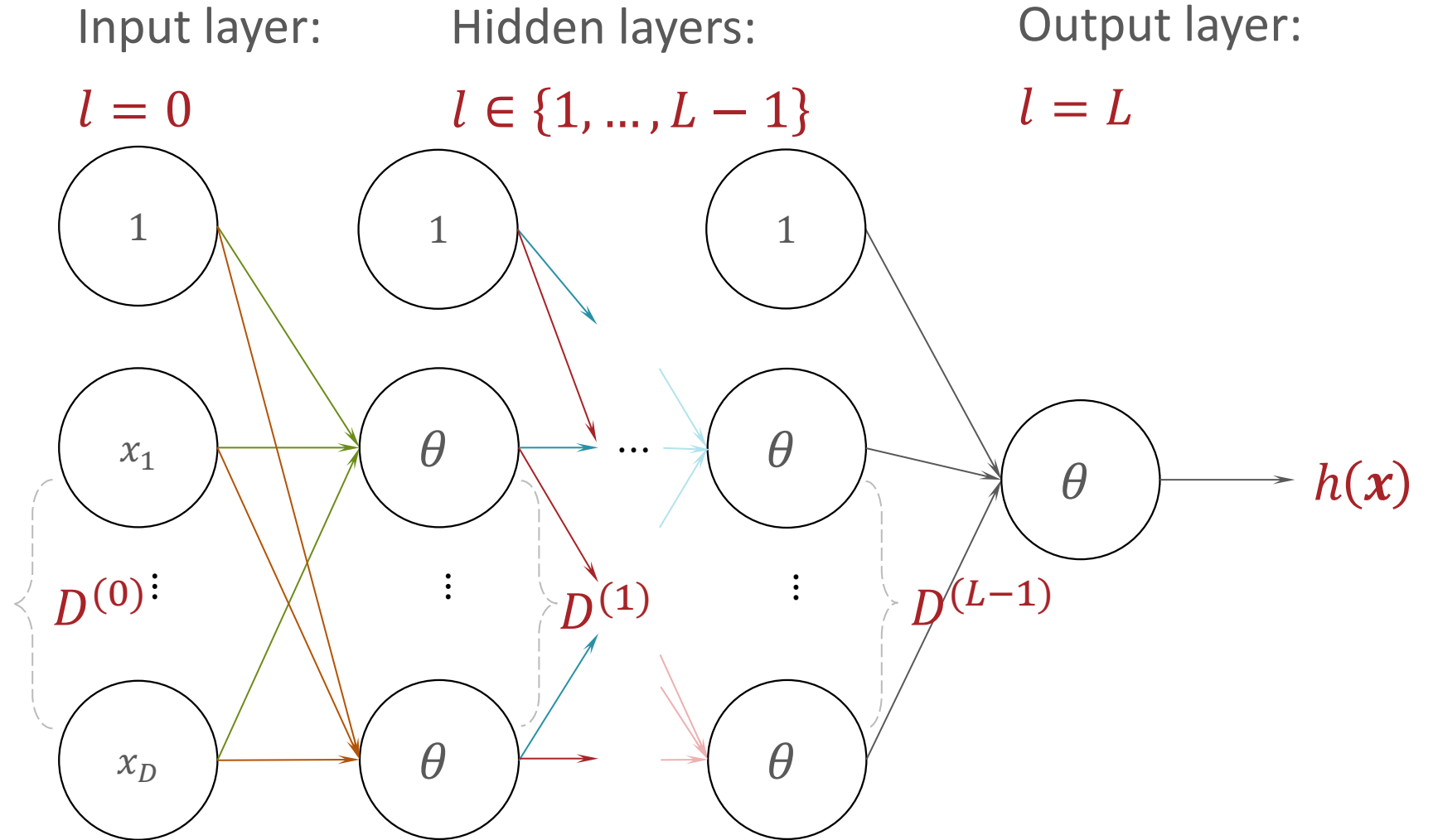




# Logistic Regression as a Neural Network



# (Fully-Connected) Feed Forward Neural Network

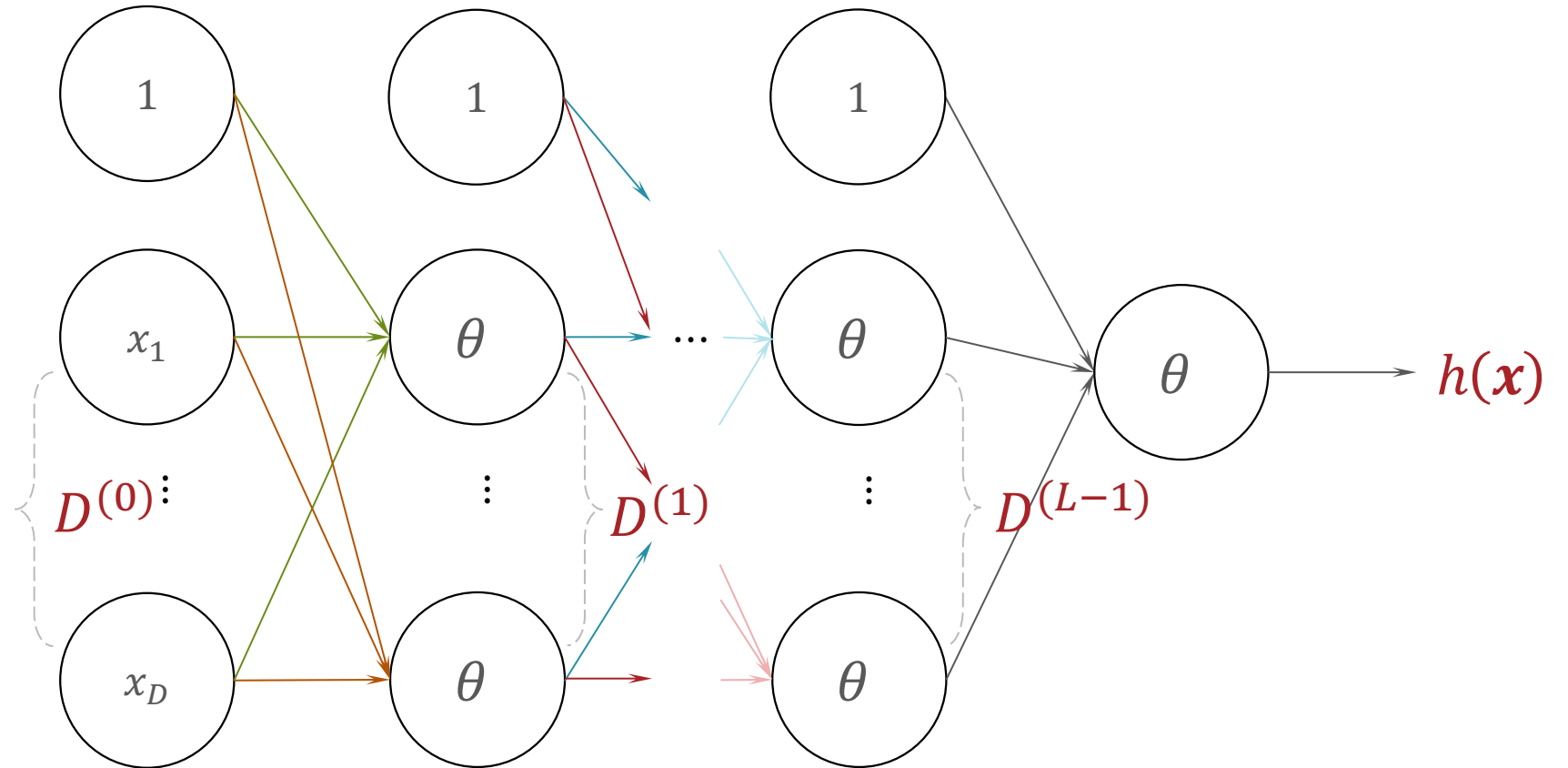


Layer  $l$  has dimension  $D^{(l)}$   $\rightarrow$  Layer  $l$  has  $D^{(l)} + 1$  nodes,  
counting the bias node

# (Fully-Connected) Feed Forward Neural Network

The weights between layer  $l - 1$  and layer  $l$  are a matrix:

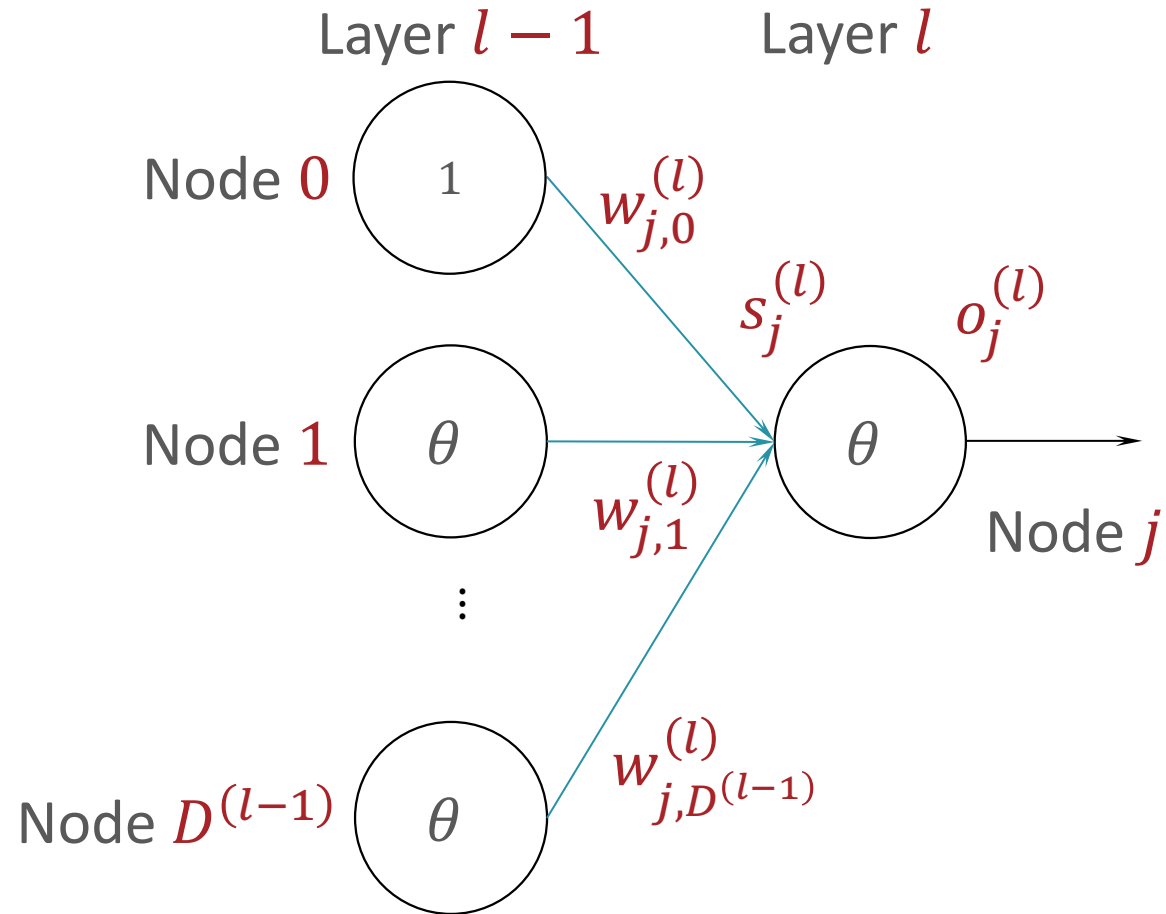
$$W^{(l)} \in \mathbb{R}^{D^{(l)} \times (D^{(l-1)} + 1)}$$



$w_{j,i}^{(l)}$  is the weight between node  $i$  in layer  $l - 1$  and node  $j$  in layer  $l$

# Signal and Outputs

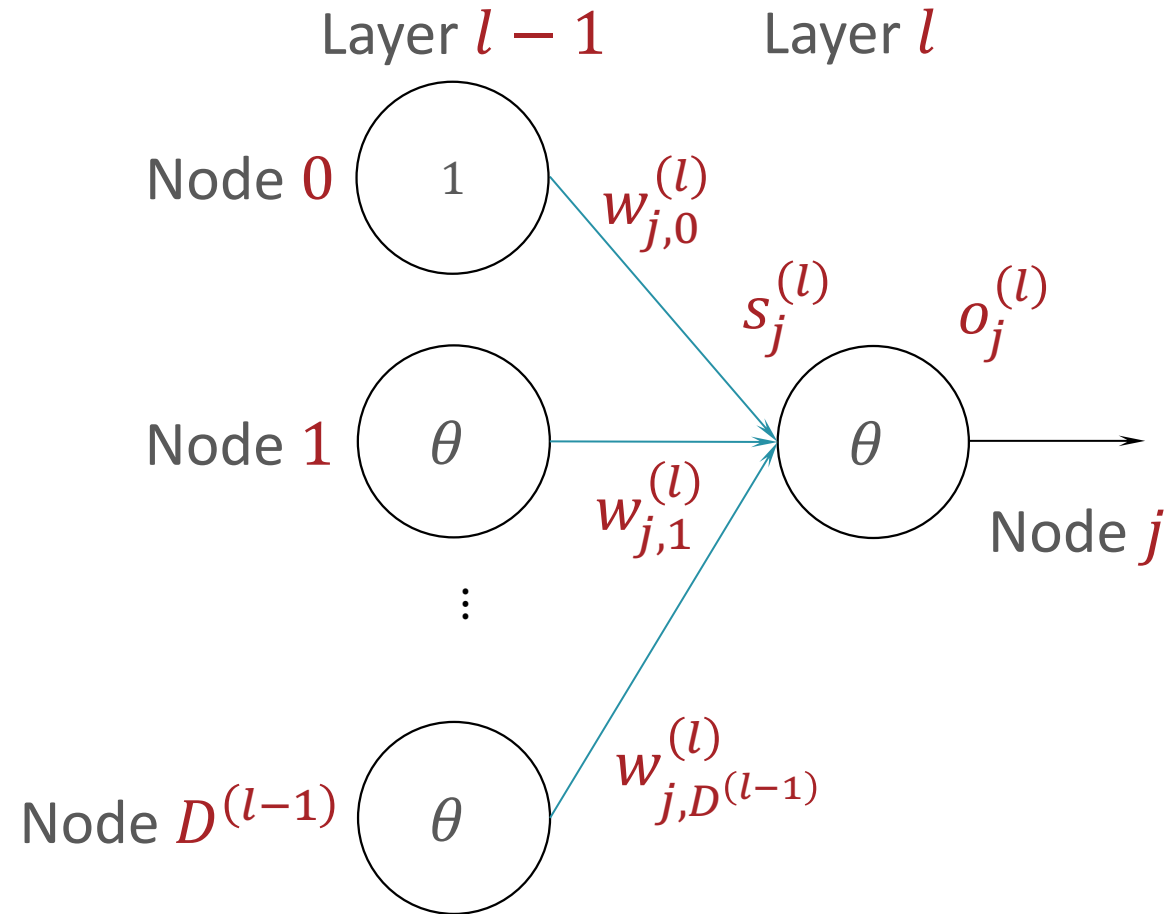
Every node has an incoming *signal* and outgoing *output*



$$s_j^{(l)} = \sum_{i=0}^{D^{(l-1)}} w_{j,i}^{(l)} o_i^{(l-1)} \text{ and } o_j^{(l)} = \theta \left( s_j^{(l)} \right)$$

# Signal and Outputs

Every node has an incoming *signal* and outgoing *output*



$$\mathbf{s}^{(l)} = W^{(l)} \mathbf{o}^{(l-1)} \text{ and } \mathbf{o}^{(l)} = [1, \theta(\mathbf{s}^{(l)})]^T$$

# Forward Propagation for Making Predictions

- Input: weights  $W^{(1)}, \dots, W^{(L)}$  and a query data point  $\mathbf{x}$
- Initialize  $\mathbf{o}^{(0)} = [1, \mathbf{x}]^T$
- For  $l = 1, \dots, L$ 
  - $\mathbf{s}^{(l)} = W^{(l)} \mathbf{o}^{(l-1)}$
  - $\mathbf{o}^{(l)} = [1, \theta(\mathbf{s}^{(l)})]^T$
- Output:  $h_{W^{(1)}, \dots, W^{(L)}}(\mathbf{x}) = \mathbf{o}^{(L)}$

# Gradient Descent for Learning

- Input:  $\mathcal{D} = \{(\mathbf{x}^{(n)}, y^{(n)})\}_{n=1}^N, \eta^{(0)}$
- Initialize all weights  $\underbrace{W_{(0)}^{(1)}, \dots, W_{(0)}^{(L)}}_{}$  to small, random numbers and set  $t = 0$
- While TERMINATION CRITERION is not satisfied
  - For  $l = 1, \dots, L$ 
    - Compute  $G^{(l)} = \nabla_{W^{(l)}} \underline{\ell}_{\mathcal{D}} (W_{(t)}^{(1)}, \dots, W_{(t)}^{(L)})$  ?????
    - Update  $W^{(l)}$ :  $W_{(t+1)}^{(l)} = W_{(t)}^{(l)} - \eta_0 G^{(l)}$
  - Increment  $t$ :  $t = t + 1$
- Output:  $W_{(t)}^{(1)}, \dots, W_{(t)}^{(L)}$

# NN Hyperparameters

- $L = \#$  of layers
- $\Theta =$  activation function
- $D^{(l)} \quad \forall l =$  dimensionality
- Initialization for the weights
- $\mathcal{L}_D =$  loss function
- Bias nodes? - (connections)



# Loss Functions for Neural Networks

- Regression - squared error (same as linear regression!)

$$\ell_{\mathcal{D}} \left( W_{(t)}^{(1)}, \dots, W_{(t)}^{(L)} \right) = \sum_{n=1}^N \left( h_{W^{(1)}, \dots, W^{(L)}}(\mathbf{x}^{(n)}) - y^{(n)} \right)^2$$

- Binary classification - cross-entropy loss (same as logistic regression!)

- Assume  $P(Y = 1 | \mathbf{x}, W^{(1)}, \dots, W^{(L)}) = h_{W^{(1)}, \dots, W^{(L)}}(\mathbf{x}^{(n)})$

$$= - \sum_{n=1}^N \log \left( h_{W^{(1)}, \dots, W^{(L)}}(\mathbf{x}^{(n)})^{y^{(n)}} \left( 1 - h_{W^{(1)}, \dots, W^{(L)}}(\mathbf{x}^{(n)}) \right)^{1 - y^{(n)}} \right)$$

$$\text{here } h_{W^{(1)}, \dots, W^{(L)}}(\mathbf{x}^{(n)}) = P(Y=1 | \mathbf{x}^{(n)})$$

^  
assume

# Loss Functions for Neural Networks

- Multi-class classification - cross-entropy loss

- Express the label as a one-hot or one-of- $C$  vector e.g.,

$$\underline{y = [0 \quad 0 \quad 1 \quad 0 \quad \dots \quad 0]}$$

- Assume the neural network output is also a vector of length  $C$

$$P(y[c] = 1 | \mathbf{x}, W^{(1)}, \dots, W^{(L)}) = h_{W^{(1)}, \dots, W^{(L)}}(\mathbf{x}^{(n)})[c]$$

- Then the cross-entropy loss is

$$\ell_{\mathcal{D}}(W_{(t)}^{(1)}, \dots, W_{(t)}^{(L)}) = - \sum_{n=1}^N \log P(y^{(n)} | \mathbf{x}^{(n)}, W^{(1)}, \dots, W^{(L)})$$

$$= - \sum_{n=1}^N \left( \sum_{c=1}^C \log \left( h_{W^{(1)}, \dots, W^{(L)}}(\mathbf{x}^{(n)})[c] \right)^{y^{(n)}[c]} \right)$$
$$= - \sum_{n=1}^N \left( \sum_{c=1}^C y^{(n)}[c] \log \left( h_{W^{(1)}, \dots, W^{(L)}}(\mathbf{x}^{(n)})[c] \right) \right)$$

# Key Takeaways

- Perceptrons can be combined to achieve non-linear decision boundaries
- Feed-forward neural network model
  - Activation function
  - Layers: input, hidden & output
  - Weight matrices
  - Signals & outputs
- Forward propagation for making predictions
- Neural networks can use the same loss functions as other machine learning models (e.g., squared error for regression, cross-entropy for classification)