



10-423/10-623 Generative AI

Machine Learning Department
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Code Generation

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Lecture 23

Nov. 25, 2024

Reminders

- **Project Midway Report**
 - Due: Mon, Nov 25 at 11:59pm
- **HW623**
 - Only for students registered in 10-623
 - Due: Mon, Dec 2 at 11:59pm

CODE GENERATION

Building Code Models

Languages for LLMs

- LLMs are trained on massive quantities of text from the internet (e.g. trillions of tokens)
- Some LLMs cover a variety of human languages, some focus primarily on English
- Most LLMs include a **wide variety of programming languages**

Programming Languages on GitHub

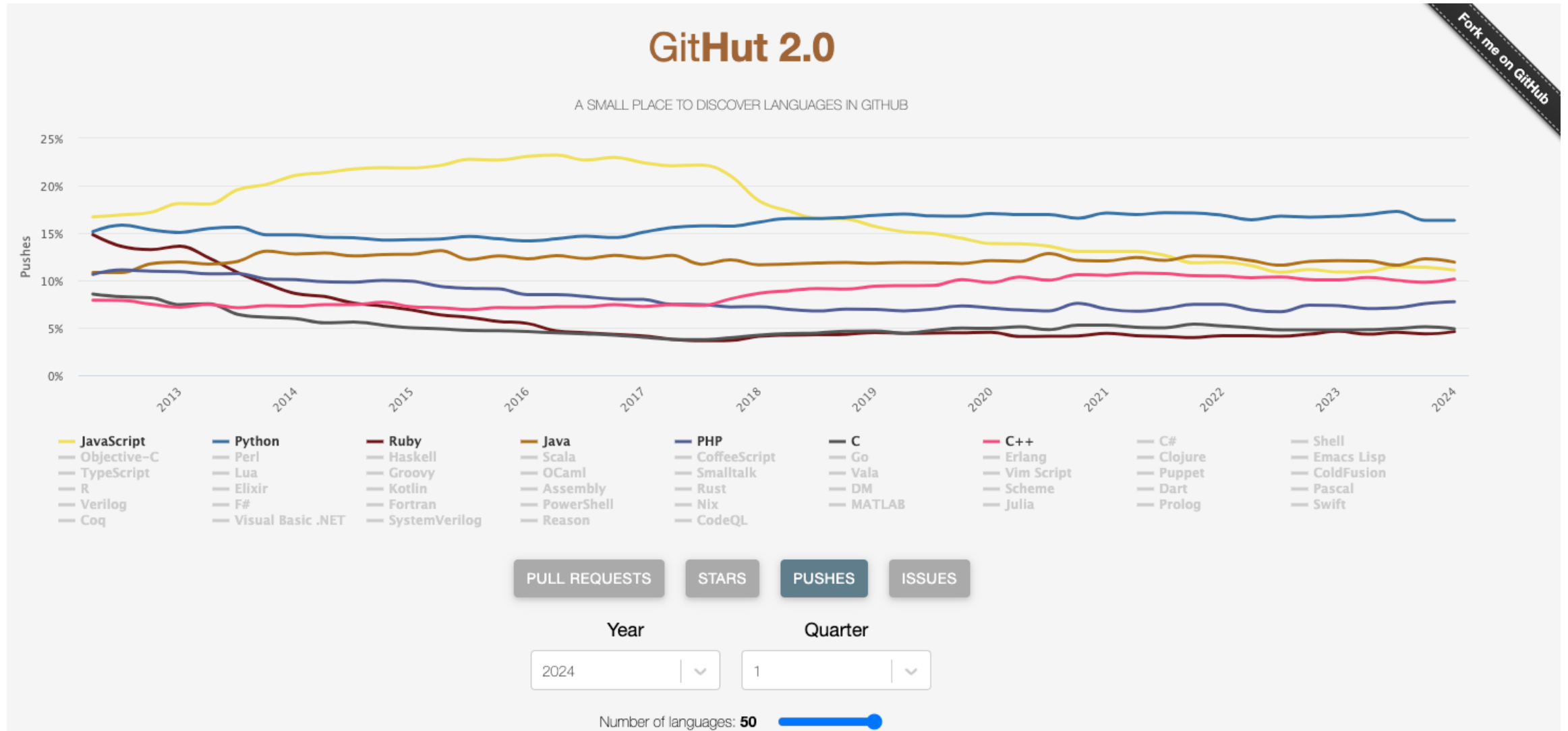


Figure from <https://madnight.github.io/github/#/pushes/2024/1>

Example: Dolma Dataset

- The **Dolma** dataset is 3 trillion tokens of text
- It is sourced from a variety of existing datasets
- **The Stack** is 3TB of permissively licensed code intended for LLMs

Subset		Size		
Source	Kind	Gzip files (GB)	Documents (millions)	Tokens (billions)
Common Crawl 24 shards, 2020-05 to 2023-06	web	4,197	4,600	2,415
C4 [24] [8]	web	302	364	175
peS2o [27]	academic	150	38.8	57
The Stack [16]	code	675	236	430
Project Gutenberg Wikipedia, Wikibooks (<i>en, simple</i>)	books encyclopedic	6.6 5.8	0.052 6.1	4.8 3.6
Total		5,334	5,245	3,084

Table 1: Composition of Dolma.

Language	The Stack [†]	CodeParrot [†]	AlphaCode	CodeGen	PolyCoder [†]
Assembly	2.36	0.78			
Batchfile	1.00	0.7			
C	222.88	183.83		48.9	55
C#	128.37	36.83	38.4		21
C++	192.84	87.73	290.5	69.9	52
CMake	1.96	0.54			
CSS	145.33	22.67			
Dockerfile	1.95	0.71			
FORTRAN	3.10	1.62			
GO	118.37	19.28	19.8	21.4	15
Haskell	6.95	1.85			
HTML	746.33	118.12			
Java	271.43	107.7	113.8	120.3	41
JavaScript	486.20	87.82	88	24.7	22
Julia	3.09	0.29			
Lua	6.58	2.81	2.9		
Makefile	5.09	2.92			
Markdown	164.61	23.09			
Perl	5.50	4.7			
PHP	183.19	61.41	64		13
PowerShell	3.37	0.69			
Python	190.73	52.03	54.3	55.9 (217.3)	16
Ruby	23.82	10.95	11.6		4.1
Rust	40.35	2.68	2.8		3.5
Scala	14.87	3.87	4.1		1.8
Shell	8.69	3.01			
SQL	18.15	5.67			
TeX	4.65	2.15			
TypeScript	131.46	24.59	24.90		9.20
Visual Basic	2.73	1.91			
Total	3135.95	872.95	715.1	314.1	253.6

Table 1: The size of The Stack (in GB) compared to other source code datasets used for pre-training LLMs. [†] indicates the dataset is publicly released. The Stack is more than three times the size of CodeParrot, the next-largest released code dataset.

Applications for Code Models

Languages for LLMs

- LLMs are trained on massive quantities of text from the internet (e.g. trillions of tokens)
- Some LLMs cover a variety of human languages, some focus primarily on English
- Most LLMs include a **wide variety of programming languages**

Code Generation Examples

- Auto-complete for code IDEs (e.g. GitHub CoPilot)
- Write code given a text prompt
- Write code given a docstring (e.g. function-level or class-level comment)
- Read a large codebase and add a new feature
- Find bugs / fix bugs
- Write unit tests
- Translate code from one language to another
- Generate comments for existing code

Applications for Code Models

Type	I-O	Task	Definition
Understanding	C-K	Code Classification	Classify code snippets based on functionality, purpose, or attributes to aid in organization and analysis.
		Bug Detection	Detect and diagnose bugs or vulnerabilities in code to ensure functionality and security.
		Clone Detection	Identifying duplicate or similar code snippets in software to enhance maintainability, reduce redundancy, and check plagiarism.
		Exception Type Prediction	Predict different exception types in code to manage and handle exceptions effectively.
	C-C	Code-to-Code Retrieval	Retrieve relevant code snippets based on a given code query for reuse or analysis.
NL-C	Code Search	Find relevant code snippets based on natural language queries to facilitate coding and development tasks.	
Generation	C-C	Code Completion	Predict and suggest the next portion of code, given contextual information from the prefix (and suffix), while typing to enhance development speed and accuracy.
		Code Translation	Translate the code from one programming language to another while preserving functionality and logic.
		Code Repair	Identify and fix bugs in code by generating the correct version to improve functionality and reliability.
		Mutant Generation	Generate modified versions of code to test and evaluate the effectiveness of testing strategies.
		Test Generation	Generate test cases to validate code functionality, performance, and robustness.
	C-NL	Code Summarization	Generate concise textual descriptions or explanations of code to enhance understanding and documentation.
NL-C	Code Generation	Generate source code from natural language descriptions to streamline development and reduce manual coding efforts.	

EVALUATING CODE GENERATION

How to evaluate code?

- Metrics
 - BLEU (metric used in machine translation for testing n-gram overlap with a known reference)
 - CodeBLEU (a mixture of various syntactic and semantic metrics)
 - Functional correctness (checks how many unit tests pass)
 - NOTE: functional correctness has become the dominant metric for evaluation
- Benchmarks
 - HumanEval
 - MBPP
 - (many more!)

CodeBLEU

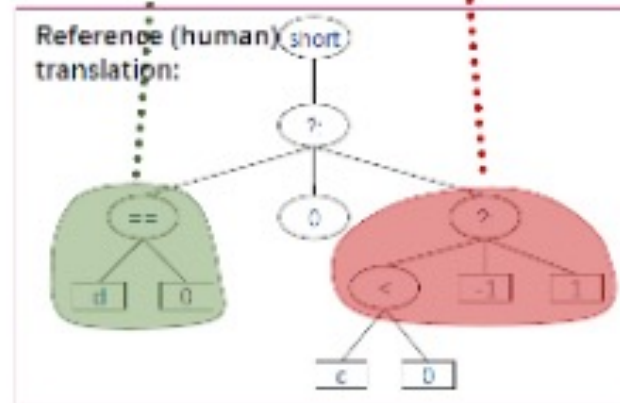
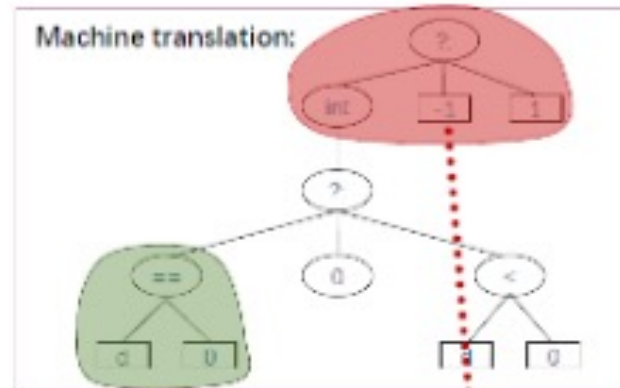
Machine translation:

```
public static int Sign ( double d )
{
    return ( (int) (( d == 0 ) ? 0 : ( d < 0 ) ) ) ?
    -1 : 1;
}
```

Reference (human) translation:

```
public static short Sign (double d)
{
    return ( short ) (( d == 0 ) ? 0 : ( d < 0 ) ?
    -1 : 1);
}
```

Weighted N-Gram Match



Syntactic AST Match

Machine translation:

```
public static int Sign ( double d )
{
    return ( (int) (( d == 0 ) ? 0 : ( d < 0 ) ) ) ?
    -1 : 1;
}
```

Reference (human) translation:

```
public static short Sign ( double c )
{
    return ( short ) (( c == 0 ) ? 0 : ( c < 0 ) ?
    -1 : 1);
}
```

Semantic Data-flow Match

$$\text{CodeBLEU} = \alpha \cdot \text{N-Gram Match (BLEU)} + \beta \cdot \text{Weighted N-Gram Match} + \gamma \cdot \text{Syntactic AST Match} + \delta \cdot \text{Semantic Data-flow Match}$$

HumanEval Benchmark

- Introduced alongside Codex model
- Measures functional correctness of code (i.e. how many unit tests pass)
- pass@k metric = % of k code samples that pass *all* the unit tests (actual implementation uses more samples to reduce variance)
- 164 handwritten problems

```
def incr_list(l: list):  
    """Return list with elements incremented by 1.  
    >>> incr_list([1, 2, 3])  
    [2, 3, 4]  
    >>> incr_list([5, 3, 5, 2, 3, 3, 9, 0, 123])  
    [6, 4, 6, 3, 4, 4, 10, 1, 124]  
    """  
    return [i + 1 for i in l]
```

```
def solution(lst):  
    """Given a non-empty list of integers, return the sum of all of the odd elements  
    that are in even positions.  
  
    Examples  
    solution([5, 8, 7, 1]) ==>12  
    solution([3, 3, 3, 3, 3]) ==>9  
    solution([30, 13, 24, 321]) ==>0  
    """  
    return sum(lst[i] for i in range(0, len(lst)) if i % 2 == 0 and lst[i] % 2 == 1)
```

```
def encode_cyclic(s: str):  
    """  
    returns encoded string by cycling groups of three characters.  
    """  
    # split string to groups. Each of length 3.  
    groups = [s[(3 * i):min((3 * i + 3), len(s))] for i in range((len(s) + 2) // 3)]  
    # cycle elements in each group. Unless group has fewer elements than 3.  
    groups = [(group[1:] + group[0]) if len(group) == 3 else group for group in groups]  
    return "".join(groups)  
  
def decode_cyclic(s: str):  
    """  
    takes as input string encoded with encode_cyclic function. Returns decoded string.  
    """  
    # split string to groups. Each of length 3.  
    groups = [s[(3 * i):min((3 * i + 3), len(s))] for i in range((len(s) + 2) // 3)]  
    # cycle elements in each group.  
    groups = [(group[-1] + group[:-1]) if len(group) == 3 else group for group in groups]  
    return "".join(groups)
```

HumanEval Benchmark

- Introduced alongside Codex model
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- Results clearly indicate that BLEU is not a good surrogate

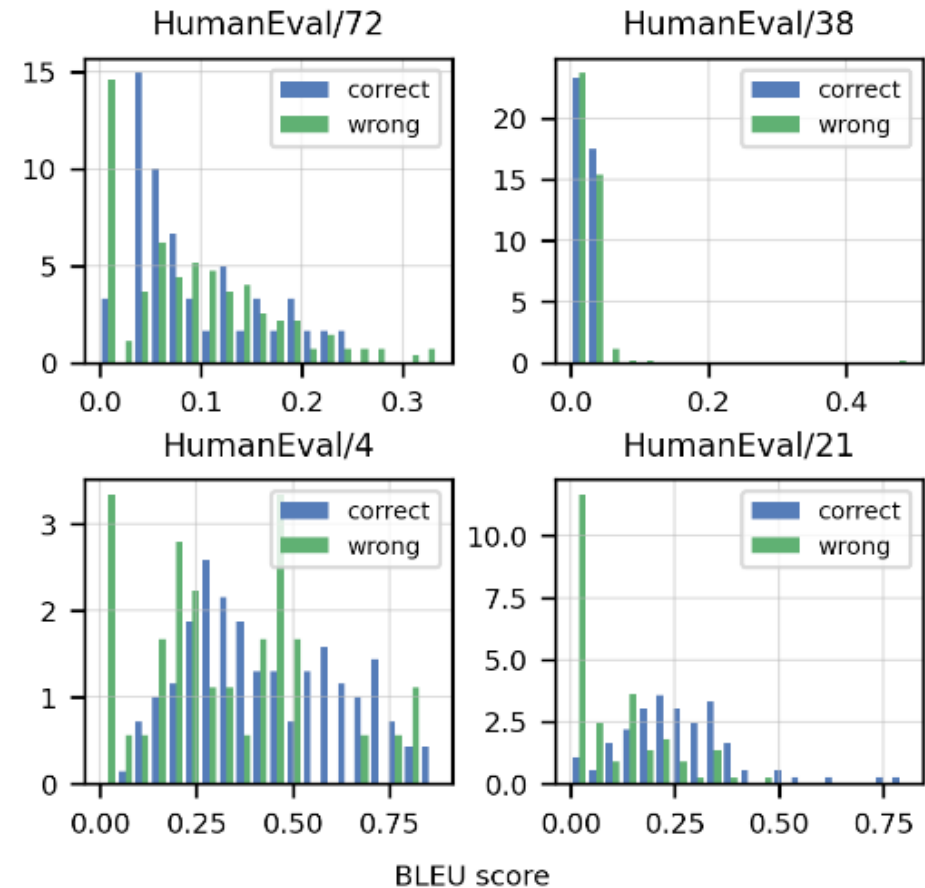


Figure 8. BLEU score probability densities for correct (blue) and wrong (green) solutions from Codex-12B for 4 random tasks from HumanEval. Note that the distributions are not cleanly separable, suggesting that optimizing for BLEU score is not equivalent to optimizing for functional correctness.

MBPP

- Mostly Basic Python Programs (MBPP) are intended to be problems solveable by a novice programmer
- 974 problems constructed by crowd-sourcing
- Consists of problem statement, 3 tests, and a self-contained solution

prompt

Write a python function to check if a given number is one less than twice its reverse. Your code should satisfy these tests:

```
assert check(70) == False
assert check(23) == False
assert check(73) == True
```

model

```
def check(n):
    if n == 2*int(str(n)[::-1])-1:
        return True
    else:
        return False
```

prompt

Write a function to find the smallest missing element in a sorted array. Your code should satisfy these tests:

```
assert smallest_missing([0, 1, 2, 3, 4, 5, 6], 0, 6) == 7
assert smallest_missing([0, 1, 2, 6, 9, 11, 15], 0, 6) == 3
assert smallest_missing([1, 2, 3, 4, 6, 9, 11, 15], 0, 7) == 0
```

model

```
def smallest_missing(arr, n, m):
    smallest = min(n, m)
    for i in range(n, m + 1):
        if arr[i] <= smallest:
            smallest += 1
    return smallest
```

CODE MODELS

Code Generation with LLMs

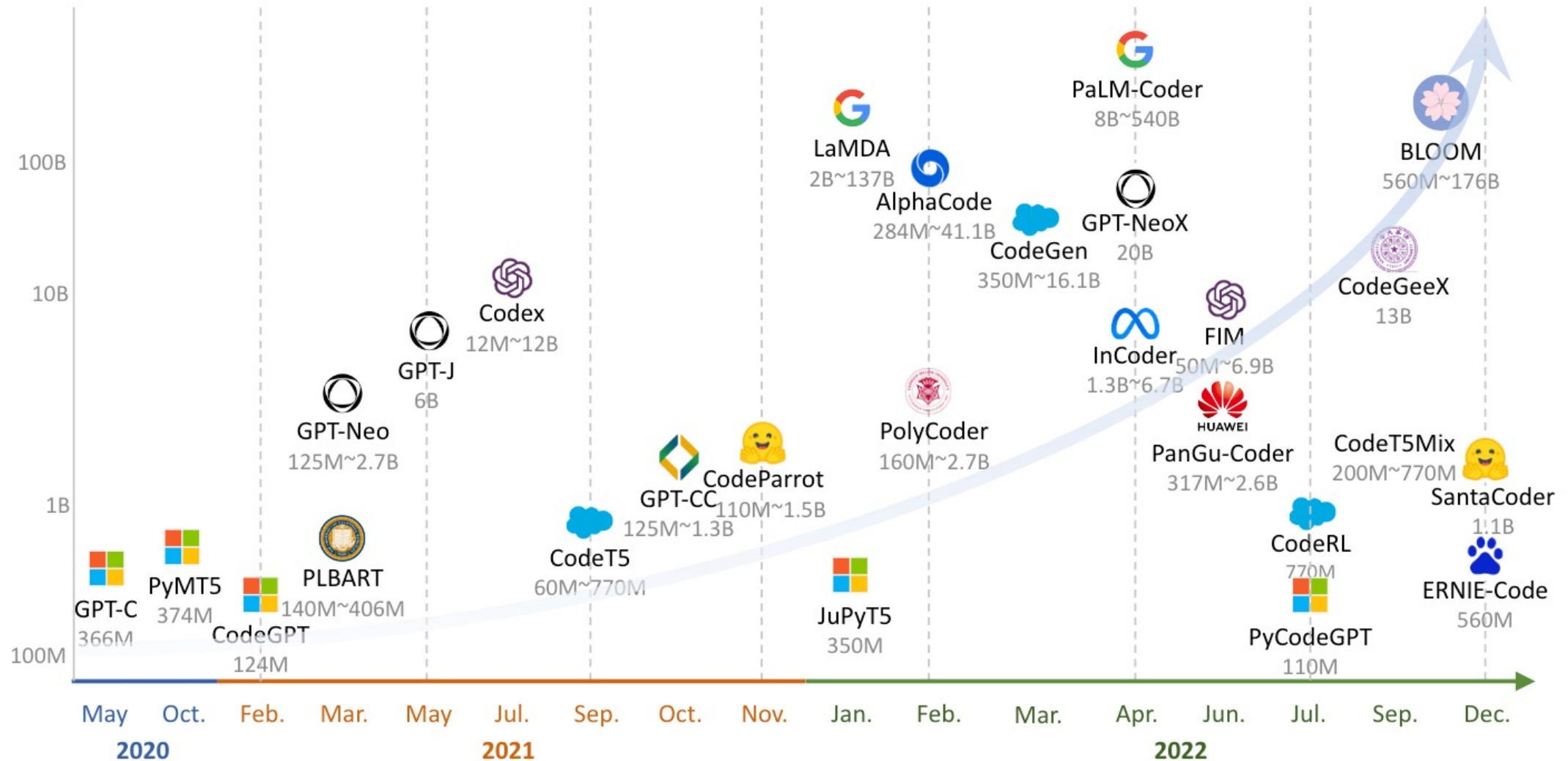


Figure from <https://aclanthology.org/2023.acl-long.411.pdf>

Code Generation with LLMs

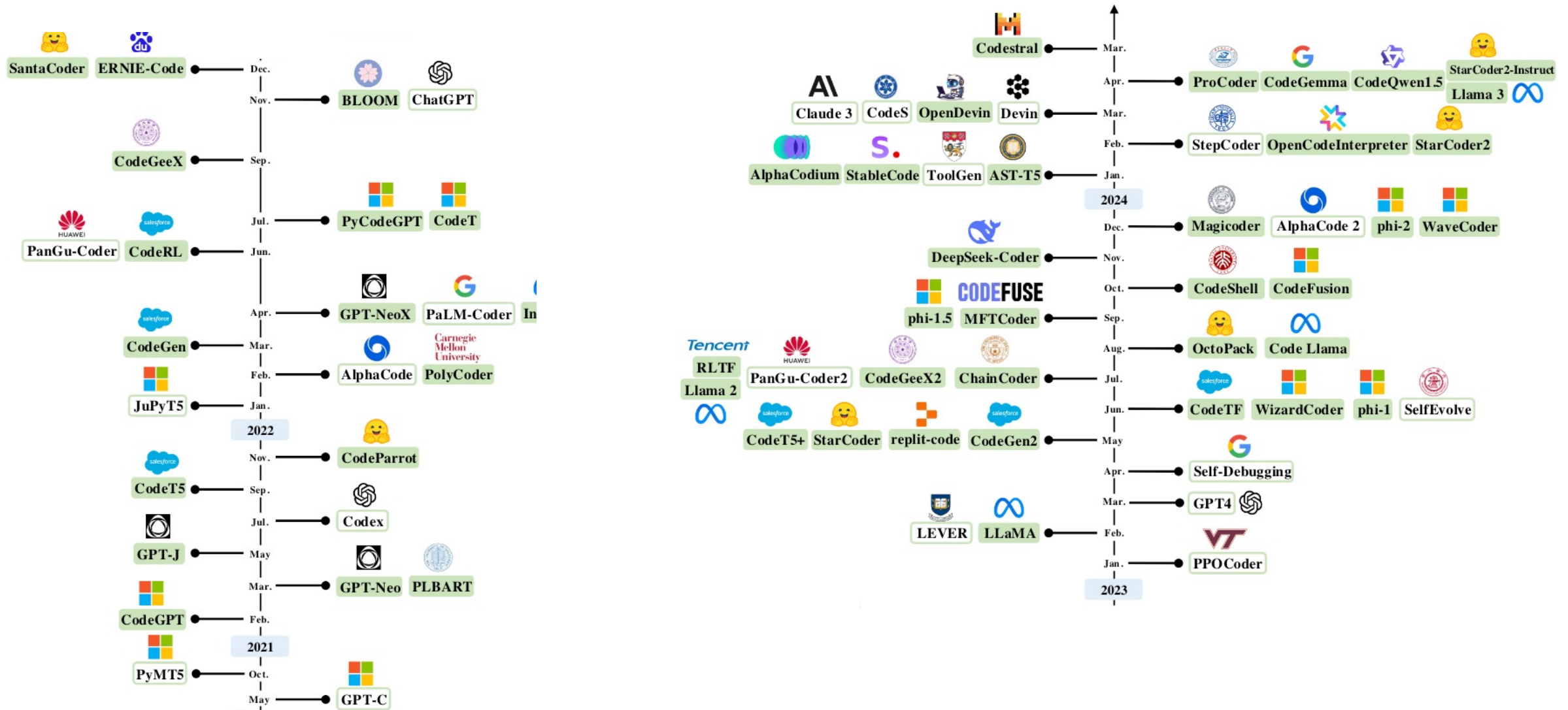


Figure from <https://arxiv.org/pdf/2406.00515>

Approaches to Code Generation

Here we consider a few representative examples of code models:

- CodeBERT
- Codex
- CodeT5
- InCoder / FIM
- StarCoder
- LongCoder

CodeBERT

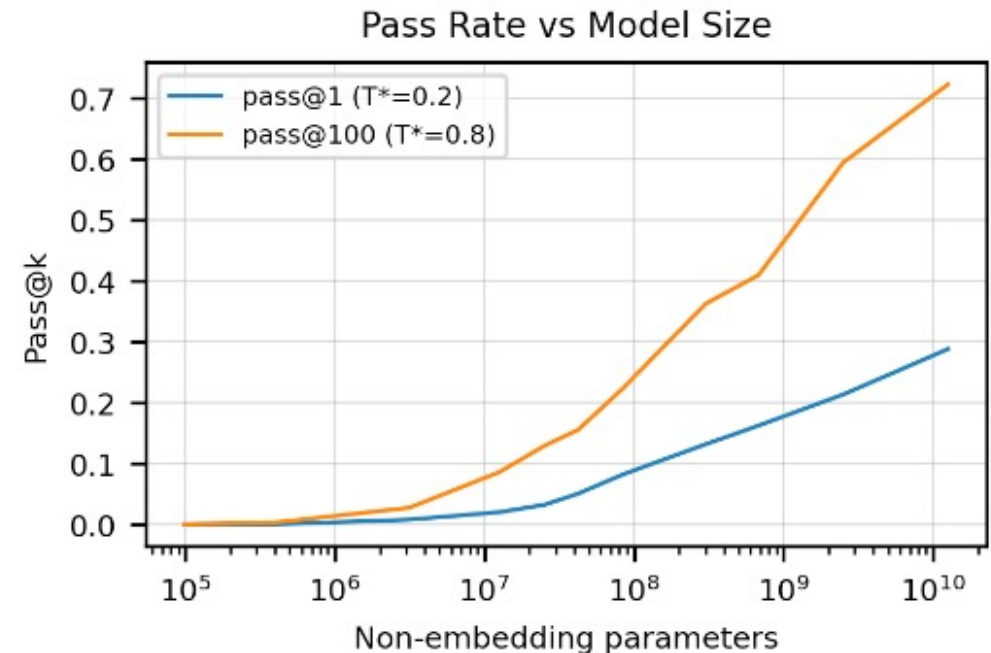
- One of the early successes in this space, CodeBERT has 125M model parameters (same architecture as RoBERTa)
- Two pre-training objectives:
 - Masked language modeling (MLM)
 - Replaced token detection (RTD)

- Example application:
 - natural language code retrieval

MODEL	RUBY	JAVASCRIPT	GO	PYTHON
NBOW	0.4285	0.4607	0.6409	0.5809
CNN	0.2450	0.3523	0.6274	0.5708
BiRNN	0.0835	0.1530	0.4524	0.3213
SELFATT	0.3651	0.4506	0.6809	0.6922
RoBERTa	0.6245	0.6060	0.8204	0.8087
PT w/ CODE ONLY (INIT=S)	0.5712	0.5557	0.7929	0.7855
PT w/ CODE ONLY (INIT=R)	0.6612	0.6402	0.8191	0.8438
CODEBERT (MLM, INIT=S)	0.5695	0.6029	0.8304	0.8261
CODEBERT (MLM, INIT=R)	0.6898	0.6997	0.8383	0.8647
CODEBERT (RTD, INIT=R)	0.6414	0.6512	0.8285	0.8263
CODEBERT (MLM+RTD, INIT=R)	0.6926	0.7059	0.8400	0.8685

Codex

- The original model behind GitHub Copilot
- GPT-3 model with 12B parameters fine-tuned on 159 GB of Python code
- Notably: using a pre-trained GPT-3 does not improve performance, but does improve convergence time



CodeT5

- CodeT5 is based on the T5 encoder-decoder Transformer architecture
- Like T5, CodeT5 brings together a number of different tasks

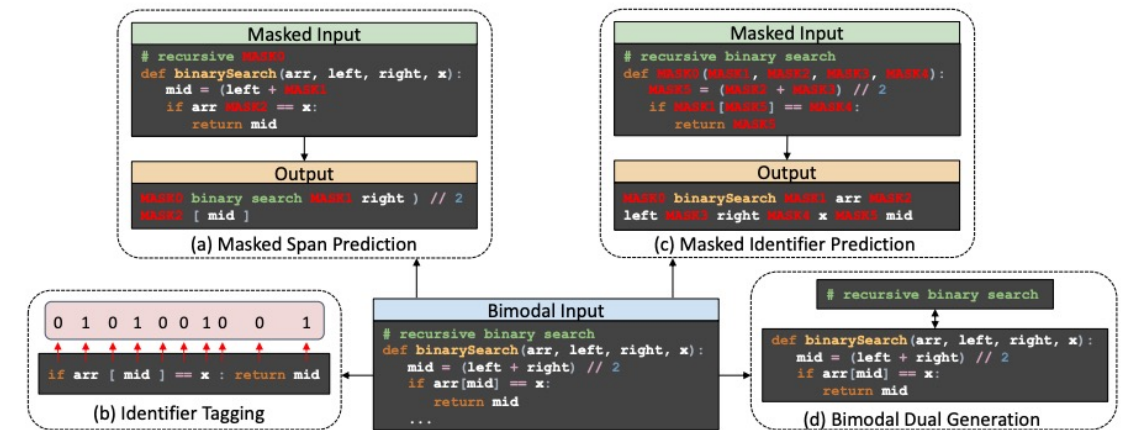
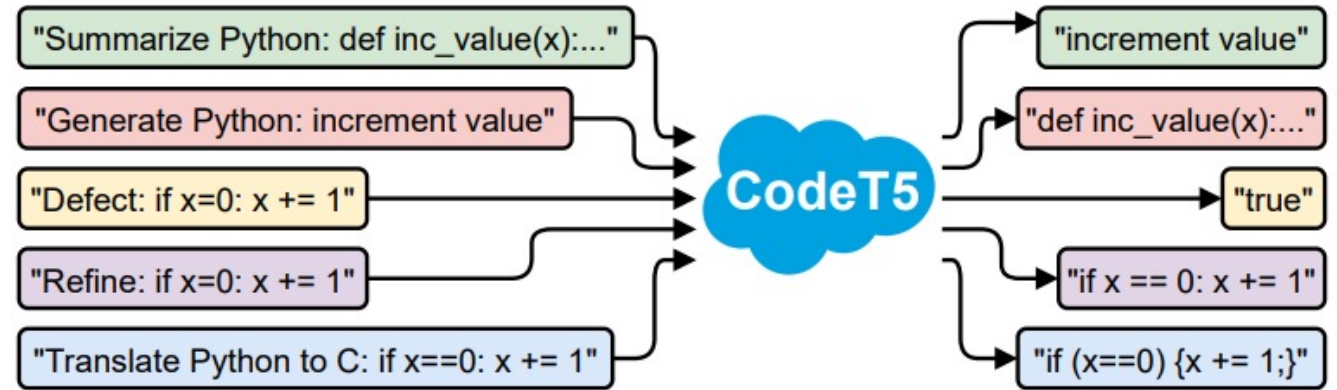
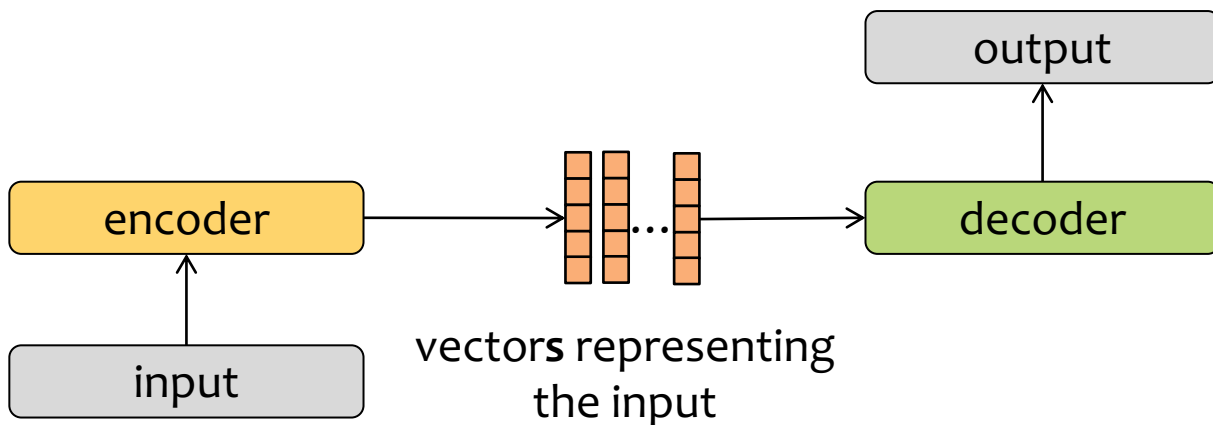


Figure 2: Pre-training tasks of CodeT5. We first alternately train span prediction, identifier prediction, and identifier tagging on both unimodal and bimodal data, and then leverage the bimodal data for dual generation training.

Question how do we use an **causally-masked LM** to fill in code in the **middle** of code file?

InCoder / FIM

InCoder (April 2022)

- Place a mask token where you want to fill in the code

Original Document

```
def count_words(filename: str) -> Dict[str, int]:
    """Count the number of occurrences of each word in the file."""
    with open(filename, 'r') as f:
        word_counts = {}
        for line in f:
            for word in line.split():
                if word in word_counts:
                    word_counts[word] += 1
                else:
                    word_counts[word] = 1
    return word_counts
```

Masked Document

```
def count_words(filename: str) -> Dict[str, int]:
    """Count the number of occurrences of each word in the file."""
    with open(filename, 'r') as f:
        <MASK:0> in word_counts:
            word_counts[word] += 1
        else:
            word_counts[word] = 1
    return word_counts
<MASK:0> word_counts = {}
for line in f:
    for word in line.split():
        if word <EOM>
```

FIM (July 2022)

- Goal is to train a model that fills in the middle
- Divide the code snippet into (prefix, middle, suffix)
- Then train with examples of the form:
<PRE> prefix <MID> middle <SUF> suffix
- And predict using examples of the form:
<PRE> prefix <MID> middle <SUF>

StarCoder

StarCoder

- (Was) one of the best open source Code Models
- Used FIM for pre-training a 15.5B parameter model on 1 trillion tokens of text

| Hyperparameter | SantaCoder | StarCoder |
|--------------------------|-----------------------|------------------------|
| Hidden size | 2048 | 6144 |
| Intermediate size | 8192 | 24576 |
| Max. position embeddings | 2048 | 8192 |
| Num. of attention heads | 16 | 48 |
| Num. of hidden layers | 24 | 40 |
| Attention | Multi-query | Multi-query |
| Num. of parameters | $\approx 1.1\text{B}$ | $\approx 15.5\text{B}$ |

| Model | Size | HumanEval | MBPP |
|----------------------|-------|-----------|------|
| <i>Open-access</i> | | | |
| LLaMA | 7B | 10.5 | 17.7 |
| LLaMA | 13B | 15.8 | 22.0 |
| SantaCoder | 1.1B | 18.0 | 35.0 |
| CodeGen-Multi | 16B | 18.3 | 20.9 |
| LLaMA | 33B | 21.7 | 30.2 |
| CodeGeeX | 13B | 22.9 | 24.4 |
| LLaMA-65B | 65B | 23.7 | 37.7 |
| CodeGen-Mono | 16B | 29.3 | 35.3 |
| StarCoderBase | 15.5B | 30.4 | 49.0 |
| StarCoder | 15.5B | 33.6 | 52.7 |
| <i>Closed-access</i> | | | |
| LaMDA | 137B | 14.0 | 14.8 |
| PaLM | 540B | 26.2 | 36.8 |
| code-cushman-001 | 12B | 33.5 | 45.9 |
| code-davinci-002 | 175B | 45.9 | 60.3 |

LongCoder

- LongCoder aims to address the problem of working with large codebases
- Employs sparse attention to handle long input sequences

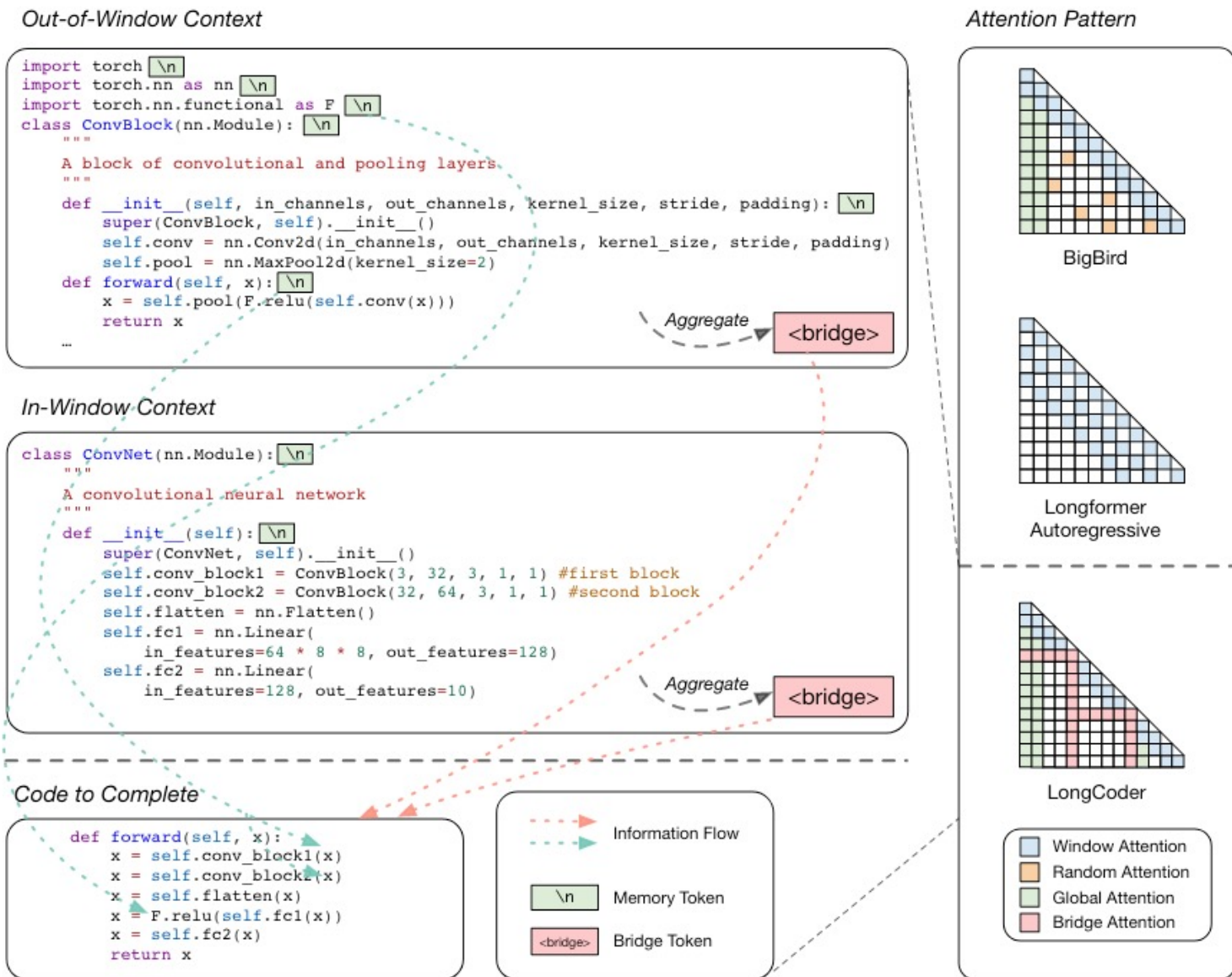
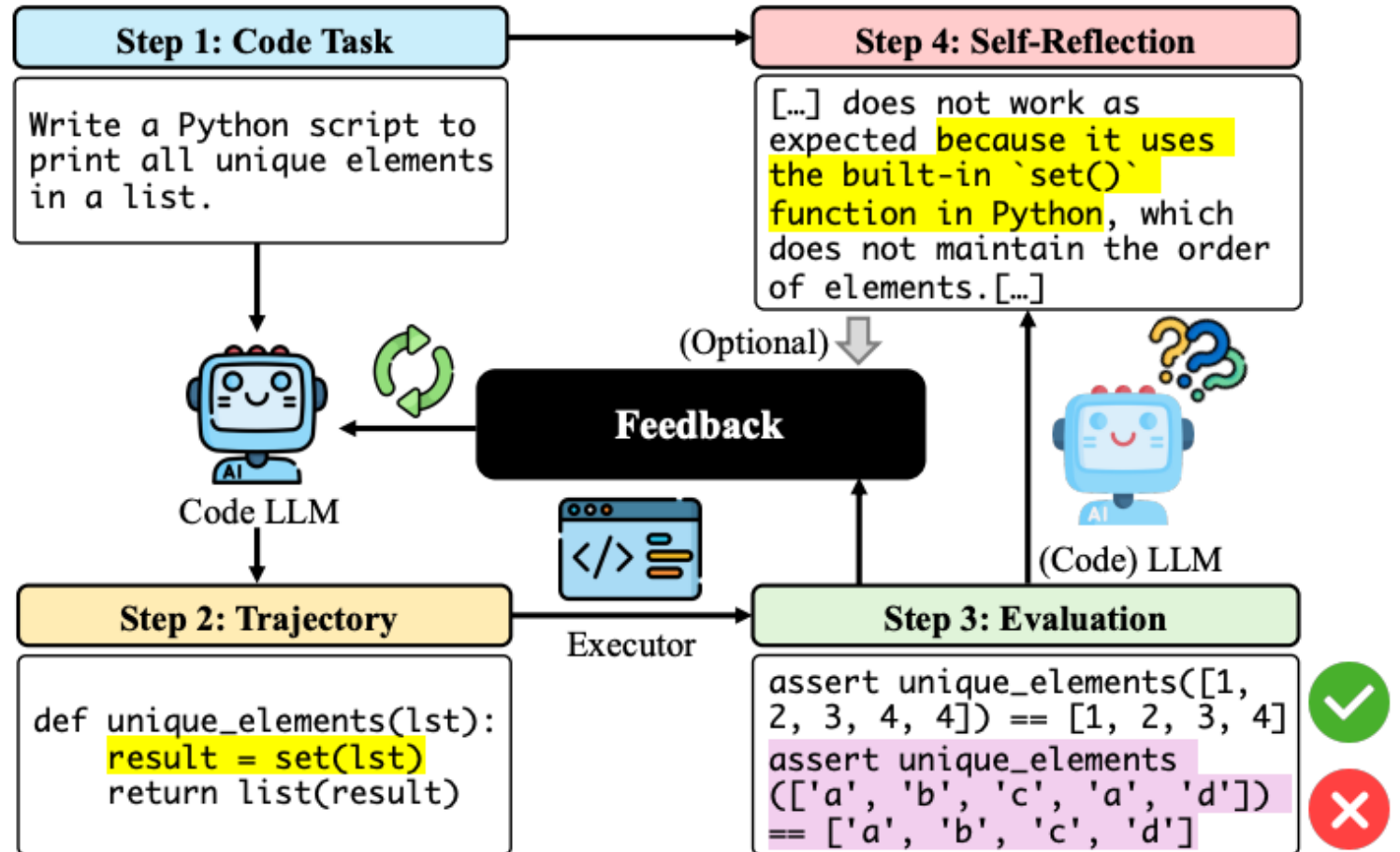


Figure 1. (Left) An example of how LongCoder facilitates completion with longer context. The memory tokens save potentially useful information (including package imports, class and function definitions) for global access despite whether they are within the sliding window. The bridge tokens aggregate local information by attending to a fixed length of tokens. The information flow within the window is omitted for clarity. (Right) Attention patterns used in BigBird (Zaheer et al., 2020), Longformer (Beltagy et al., 2020) and LongCoder. Best viewed in color.

CODE MODEL-SPECIFIC TECHNIQUES

Iterative Self-Refinement

- Normally self-correction with an LLM (e.g. for reasoning problems) does not work
- However, when performing self-correction on a code model, we may also have access to unit test output
- This output from unit tests can lead to great success in iterative self-refinement at test time



Do code assistants make programmers more efficient?

- The bill is still out on this one...
- Current research includes conflicting results

Generative AI can increase developer speed, but less so for complex tasks.

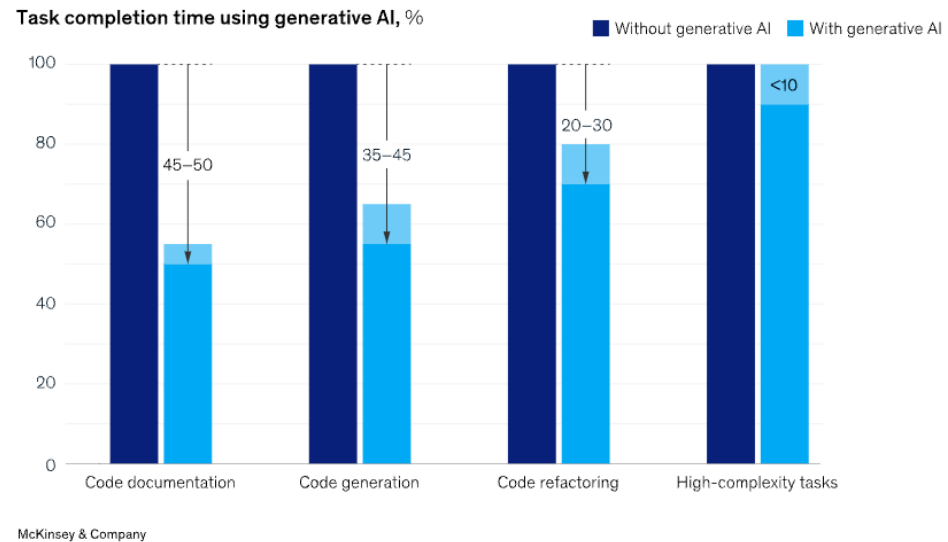


Figure from <https://www.mckinsey.com/capabilities/mckinsey-digital/our-insights/unleashing-developer-productivity-with-generative-ai#/>

+41%
IN BUG RATE

Key Insight:

Developers with Copilot access saw a **significantly higher bug rate** while their issue throughput remained consistent.

This suggests that Copilot may negatively impact code quality. Engineering leaders may wish to dig deeper to find the PRs with bugs and put guardrails in place for the responsible use of generative AI.

Figure from <https://resources.uplevelteam.com/gen-ai-for-coding>